Research Article

A New $S_4$ Flavor Symmetry in 3-3-1 Model with Neutral Fermions

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A new $S_4$ flavor model based on $SU(3)_C \otimes SU(3)_L \otimes U(1)_X$ gauge symmetry responsible for fermion masses and mixings is constructed. The neutrinos get small masses from only an antisextet of $SU(3)_L$ which is in a doublet under $S_4$. In this work, we assume the VEVs of the antisextet differ from each other under $S_4$ and the difference of these VEVs is regarded as a small perturbation, and then the model can fit the experimental data on neutrino masses and mixings. Our results show that the neutrino masses are naturally small and a deviation from the tribimaximal neutrino mixing form can be realized. The quark masses and mixing matrix are also discussed. The number of required Higgs multiplets is less and the scalar potential of the model is simpler than those of the model based on $S_3$ and our previous $S_4$ model. The assignation of VEVs to antisextet leads to the mixing of the new gauge bosons and those in the standard model. The mixing in the charged gauge bosons as well as the neutral gauge bosons is considered.

1. Introduction

The experiments on neutrino oscillation confirm that neutrinos are massive particles [1–6]. The parameters of neutrino oscillations such as the squared mass differences and mixing angles are now well constrained. The data in PDG2012 [7–11] imply

$$
\sin^2(2\theta_{12}) = 0.857 \pm 0.024 \quad (t_{12} = 0.6717),
$$

$$
\sin^2(2\theta_{13}) = 0.098 \pm 0.013 \quad (s_{13} = 0.1585),
$$

$$
\sin^2(2\theta_{23}) > 0.95,
$$

$$
\Delta m^2_{21} = (7.50 \pm 0.20) \times 10^{-5} \text{ eV}^2,
$$

$$
\Delta m^2_{32} = (2.32^{+0.12}_{-0.08}) \times 10^{-3} \text{ eV}^2.
$$

These large neutrino mixing angles are completely different from the quark mixing ones defined by the CKM matrix [12, 13]. This has stimulated work on flavor symmetries and non-Abelian discrete symmetries are considered to be the most attractive candidate to formulate dynamical principles that can lead to the flavor mixing patterns for quarks and lepton. There are many recent models based on the non-Abelian discrete symmetries, such as $A_4$ [14–29], $S_3$ [30–65], and $S_4$ [66–93].

An alternative to extend the standard model (SM) is the 3-3-1 models, in which the SM gauge group $SU(2)_L \otimes U(1)_Y$ is extended to $SU(3)_L \otimes U(1)_X$ which is investigated in [94–109]. The extension of the gauge group with respect to SM leads to interesting consequences. The first one is that the requirement of anomaly cancelation together with that of asymptotic freedom of QCD implies that the number of generations must necessarily be equal to the number of colors, hence giving an explanation for the existence of three generations. Furthermore, quark generations should transform differently under the action of $SU(3)_L$. In particular, two quark generations should transform as triplets, one as an antitriplet.

A fundamental relation holds among some of the generators of the group:

$$
Q = T_3 + \beta T_8 + X,
$$

where $Q$ indicates the electric charge, $T_3$ and $T_8$ are two of the $SU(3)$ generators, and $X$ is the generator of $U(1)_X$. $\beta$ is
a key parameter that defines a specific variant of the model. The model thus provides a partial explanation for the family number, as also required by flavor symmetries such as $S_4$ for 3-dimensional representations. In addition, due to the anomaly cancelation one family of quarks has to transform under $SU(3)_c$ differently from the two others. $S_4$ can meet this requirement with the representations $1$ and $2$.

There are two typical variants of the 3-3-1 models as far as lepton sectors are concerned. In the minimal version, three $SU(3)_c$ lepton triplets are $(\nu_L, I_L, \nu_R^c)$, where $I_L$ are ordinary right-handed charged leptons [94–98]. In the second version, the third components of lepton triplets are the right-handed neutrinos, $(\nu_L, I_L, \nu_R^c)$ [99–105]. To have a model with the realistic neutrino mixing matrix, we should consider another variant of the form $(\nu_L, I_L, N_R^c)$ where $N_R$ are three new fermion singlets under SM symmetry with vanishing lepton numbers [110–113].

In our previous works we have also extended the above application to the 3-3-1 models [110–113]. In [112] we have studied the 3-3-1 model with neutral fermions based on $S_4$ group, in which most of the Higgs multiplets are in triplets under $S_4$ except that $\chi$ is in a singlet, and the exact tribimaximal form [114–117] is obtained, in which $\theta_{13} = 0$. As we know, the recent considerations have implied $\theta_{13} \neq 0$, but small as given in (1). This problem has been improved in [111] by adding a new triplet $\rho$ and another antiseptet $s'$, in which $s'$ is regarded as a small perturbation. Therefore the model contains up to eight Higgs multiplets, and the scalar potential of the model is quite complicated.

In this paper, we propose another choice of fermion content and Higgs sector. As a consequence, the number of required Higgs is fewer and the scalar potential of the model is much simpler. The resulting model is near that of our previous work in [111] and includes those given in [112] as a special case and the physics is also different from the former. With the similar analysis as in [111], $S_4$ contains two triplets irreducible representation, one doublet and two singlets. This feature is useful to separate the third family of fermions from the others which contains a $2$ irreducible representation which can connect two maximally mixed generations. Besides the facilitating maximal mixing through $2$, it provides two inequivalent singlet representations $1$ and $1'$ which play a crucial role in consistently reproducing fermion masses and mixing as a perturbation. We have pointed out that this model is simpler than that of $S_4$ and our previous $S_4$ model, since fewer Higgs multiplets are needed in order to allow the fermions to gain masses and to break the gauge symmetry. Indeed, the model contains only six Higgs multiplets. On the other hand, the neutrino sector is simpler than those of $S_4$ and $S_3$ models [111, 112].

The rest of this work is organized as follows. In Sections 2 and 3 we present the necessary elements of the 3-3-1 model with $S_4$ flavor symmetry as in the above choice, as well as introducing necessary Higgs fields responsible for the charged-lepton masses. In Section 4, we discuss on quark sector. Section 5 is devoted to the neutrino mass and mixing. In Section 6 we discuss the gauge boson pattern of the model. We summarize our results and make conclusions in Section 7. Appendix A is devoted to the Higgs potential and minimization conditions. Appendix B is devoted to $S_4$ group with its Clebsch-Gordan coefficients. Appendix C presents the lepton numbers and lepton parities of model particles.

2. Fermion Content

The gauge symmetry is based on $SU(3)_c \otimes SU(3)_L \otimes U(1)_X$, where the electroweak factor $SU(3)_L \otimes U(1)_X$ is extended from those of the SM whereas the strong interaction sector is retained. Each lepton family includes a new fermion singlet carrying no lepton number $(N_R)$ arranged under the $SU(3)_L$ symmetry as a triplet $(\nu_L, I_L, N_R^c)$ and a singlet $I_R$. The residual electric charge operator $Q$ is therefore related to the generators of the gauge symmetry by [110–112]

$$Q = T_3 - \frac{1}{\sqrt{3}} T_8 + X,$$

(3)

where $T_\alpha (\alpha = 1, 2, \ldots , 8)$ are $SU(3)_L$ charges with $\text{Tr} T_\alpha T_\beta = (1/2) \delta_{ab}$ and $X$ is the $U(1)_X$ charge. This means that the model under consideration does not contain exotic electric charges in the fundamental fermion, scalar, and adjoint gauge boson representations.

The particles in the lepton triplet have different lepton numbers (1 and 0), so the lepton number in the model does not commute with the gauge symmetry unlike the SM. Therefore, it is better to work with a new conserved charge $\mathcal{Z}$ commuting with the gauge symmetry and related to the ordinary lepton number by diagonal matrices [110–112, 118]

$$L = \frac{2}{\sqrt{3}} T_8 + \mathcal{Z}.$$  

(4)

The lepton charge arranged in this way (i.e., $L(N_R) = 0$ as assumed) is in order to prevent unwanted interactions due to $U(1)_X$ symmetry and breaking (due to the lepton parity as shown below), such as the SM and exotic quarks, and to obtain the consistent neutrino mixing.

By this embedding, exotic quarks $U$ and $D$ as well as new non-Hermitian gauge bosons $X^0$ and $Y^0$ possess lepton charges as of the ordinary leptons: $L(D) = -L(U) = L(X^0) = L(Y^0) = 1$. The lepton parity is introduced as follows: $P_L = (-)^L$, which is a residual symmetry of $L$. The particles possess $L = 0, \pm 2$ such as $N_R$, ordinary quarks, and bileptons having $P_L = 1$; the particles with $L = \pm 1$ such as ordinary leptons and exotic quarks having $P_L = -1$. Any nonzero VEV with odd parity, $P_L = -1$, will break this symmetry spontaneously [112]. For convenience in reading, the numbers $L$ and $P_L$ of the component particles are given in Appendix C.

In this paper we work on a basis where $\mathbb{3}$ and $\mathbb{3}'$ are real representations whereas the two-dimensional representation $\mathbb{2}$ of $S_4$ is complex, $\mathbb{2}^* (1^+, 2^*) = \mathbb{2}(2^*, 1^*)$, and

$$\mathbb{2} \otimes \mathbb{2} = \mathbb{1} (12 + 21) \oplus \mathbb{1}' (12 - 21) \oplus \mathbb{2} (22, 11).$$  

(5)

The lepton content of this model is similar to that of [111] but is different from the one in [112]; namely, in [112] three left-handed leptons are put in one triplet $\mathbb{3}$ under $S_4$, whereas in this model we put the first family of leptons in singlets $\mathbb{1}$ of $S_4$, while the two other families are in the doublets $\mathbb{2}$. In the quark content, the third family is put in a singlet $\mathbb{1}$. ...
and the two others in a doublet 2 under $S_4$ which satisfy the anomaly cancelation in 3-3-1 models. The difference in fermion content leads to the difference between this work and our previous work [112] in physical phenomenon as seen bellow. Under the $[SU(3)_c, U(1)_Y, U(1)_{B-L}, S_4]$ symmetries as proposed, the fermions of the model transform as follows:

\[
\begin{align*}
\psi_{1L} &= (y_{1L}, l_{1L}, N_{1L}^c) \sim \left[ 3, -\frac{2}{3}, 1 \right], \\
\psi_{aL} &= (\nu_{aL}, l_{aL}, N_{aL}^c) \sim \left[ 3, -\frac{1}{3}, \frac{2}{3} \right], \\
l_{aR} &\sim [1, -1, 1, 2], \quad (\alpha = 2, 3),
\end{align*}
\]

\[
Q_{3L} = \left( u_{3L}, d_{3L}, U_L \right) \sim \left[ 3, \frac{2}{3}, -\frac{1}{3}, 1 \right],
\]

\[
u_{3R} \sim \left[ 1, \frac{2}{3}, 0, 1 \right], \quad d_{3R} \sim \left[ 1, -\frac{1}{3}, 0, 1 \right],
\]

\[
U_L \sim \left[ 1, \frac{2}{3}, -1, 1 \right],\]

\[
Q_{iL} = \left( d_{iL}, -u_{iL}, D_{iL} \right) \sim \left[ 3^*, 0, -\frac{1}{2}, 1 \right], \quad (i = 1, 2),
\]

\[
d_{iR} \sim \left[ 1, -\frac{1}{3}, 0, 2 \right], \quad u_{iR} \sim \left[ 1, \frac{2}{3}, 0, 2 \right],
\]

\[
D_{iR} \sim \left[ 1, -\frac{1}{3}, 1, 2 \right],
\]

where the subscript numbers on field indicate respective families in order to define components of their $S_3$ multiplets. In the following, we consider possibilities of generating masses for the fermions. The scalar multiplets needed for this purpose would be introduced accordingly.

### 3. Charged Lepton Mass

In [112], both three families of left-handed fermions and three right-handed quarks are put in a triplet under $S_4$. To generate masses for the charged leptons, we have introduced two $SU(3)_c$ scalar triplets $\phi$ and $\phi'$ lying in 3 and 3' under $S_4$, respectively, with VEVs $\langle \phi \rangle = (v'_{e}, v', v)^T$ and $\langle \phi' \rangle = (v', v''_{e}, v'')^T$. From the invariant Yukawa interactions for the charged leptons, we obtain the right-handed charged leptons mixing matrices which are diagonal ones, $U_{1R} = 1$, and the right-handed one given by [112]

\[
U_L = \frac{1}{\sqrt{3}} \begin{pmatrix} 1 & 1 & 1 \\ 1 & \omega & \omega^2 \\ 1 & \omega^2 & \omega \end{pmatrix},
\]

(7)

Similar to the charged lepton sector, to generate the quark masses, we have additionally introduced the three scalar Higgs triplets $\chi, \eta, \eta'$ lying in $1, \bar{3}$, and $3'$ under $S_4$, respectively. Quark masses can be derived from the invariant Yukawa interactions for quarks with supposing that the VEVs of $\eta, \eta'$, and $\chi$ are $(u, u, u), (u', u', u')$, and $\omega$, where $u = \langle \eta_{1L}^0 \rangle$, $u' = \langle \eta_{1R}^0 \rangle$, and $\omega = \langle \chi_{1L}^0 \rangle$. The other VEVs $\langle \eta_{2L}^0 \rangle, \langle \eta_{3L}^0 \rangle$, and $\langle \chi_{1R}^0 \rangle$ vanish if the lepton parity is conserved. In addition, the VEV $\omega$ also breaks the 3-3-1 gauge symmetry down to that of the standard model and provides the masses for the exotic quarks $U$ and $D$ as well as the new gauge bosons. The $u, u'$ as well as $v, v'$ break the SM symmetry and give the masses for the ordinary quarks, charged leptons, and gauge bosons.

To keep consistency with the effective theory, we assume that $\omega$ is much larger than those of $\phi$ and $\eta$ [112]. The unitary matrices which couple the left-handed quarks $u_L$ and $d_L$ with those in the mass bases are unit ones ($U_{1R}^u = 1, U_{1R}^d = 1$), and the CKM quark mixing matrix at the tree level is then $U_{CKM} = U_{1R}^u U_{1R}^d = 1$. For a detailed study on charged lepton and quark mass the reader can see [112].

In [112], to generate masses for neutrinos, we have introduced one $SU(3)_c$ antisextet lying in 1 under $S_4$ and one $SU(3)_c$ antisextet lying in 3 under $S_4$ with the VEV of $s$ being set as $(\langle s_{1L} \rangle, 0, 0)$ under $S_4$. The neutrino masses are explicitly separated and the lepton mixing matrix yields the exact tribimaximal form [112] where $\theta_{13} = 0$ which is a small deviation from recent neutrino oscillation data [7]. However, this problem will be improved in this work.

Because the fermion content of the model, as given in (6), is the same as that of one in [111] under all symmetries, so the charged-lepton mass is also similar to the one in [111]. Indeed, to generate masses for the charged leptons, we need two scalar triplets:

\[
\begin{pmatrix} \phi_1^0 \\ \phi_2^0 \\ \phi_3^0 \end{pmatrix} \sim \left[ 3, \frac{2}{3}, -\frac{1}{3}, 1 \right],
\]

(8)

\[
\begin{pmatrix} \phi_1^{1*} \\ \phi_2^{1*} \\ \phi_3^{1*} \end{pmatrix} \sim \left[ 3, \frac{2}{3}, -\frac{1}{3}, 1 \right],
\]

with VEVs $\langle \phi \rangle = (0, v, 0)^T$ and $\langle \phi' \rangle = (0, v', 0)^T$.

The Yukawa interactions are

\[
-L_Y = h_1(\bar{\psi}_{1L} \phi_1 l_{1R} + \bar{\psi}_{1L} \phi_2 l_{2R} + h.c) = h_3(\bar{\psi}_{1L} \phi_3 l_{3R} + h.c).
\]

(9)

The mass Lagrangian of the charged leptons reads

\[
-L^{\text{mass}}_{1L} = \left( \tilde{l}_{1L}, \tilde{l}_{2L}, \tilde{l}_{3L} \right) M_1 \left( \tilde{l}_{1R}, \tilde{l}_{2R}, \tilde{l}_{3R} \right)^T + h.c.
\]

\[
M_1 = \begin{pmatrix} m_e & 0 & 0 \\ 0 & m_\mu & 0 \\ h_1 v + h_3 v' & h_3 v & h_3 v' \end{pmatrix} \equiv \begin{pmatrix} m_e & 0 & 0 \\ 0 & m_\mu & 0 \\ 0 & 0 & m_\tau \end{pmatrix}.
\]

(10)
It is then diagonalized, and
\[ U^+_{cL} = U_{cR} = I. \] (11)

This means that the charged leptons \( l_{1,2,3} \) by themselves are the physical mass eigenstates, and the lepton mixing matrix depends on only that of the neutrinos that will be studied in Section 5.

We see that the masses of muon and tauon are separated by the \( \phi' \) triplet. This is the reason why we introduce \( \phi' \) in addition to \( \phi \).

The charged lepton Yukawa couplings \( h_{1,2,3} \) relate to their masses as follows:
\[ h_1 v = m_e, \]
\[ 2h_2 v = m_\mu + m_\mu, \] \hspace{1em} (12)
\[ 2h_3 v' = m_\tau - m_\mu. \]

The current mass values for the charged leptons at the weak scale are given by [7]
\[ m_e = 0.511 \text{ MeV}, \quad m_\mu = 105.66 \text{ MeV}, \]
\[ m_\tau = 1776.82 \text{ MeV}. \] \hspace{1em} (13)

Thus, we get
\[ h_1 v = 0.511 \text{ MeV}, \quad h_2 v = 941.24 \text{ MeV}, \]
\[ h_3 v' = 835.58 \text{ MeV}. \] \hspace{1em} (14)

It follows that if \( v' \) and \( v \) are of the same order of magnitude, \( h_1 \ll h_2 \) and \( h_2 \sim h_3 \). This result is similar to the case of the model based on \( S_3 \) group [III]. On the other hand, if we choose the VEV of \( \phi \) as \( v = 100 \text{ GeV} \), then \( h_1 \sim 5 \times 10^{-6}, h_3 \sim h_2 \sim 10^{-4}. \)

4. Quark Mass

To generate the quark masses with a minimal Higgs content, we additionally introduce the following scalar multiplets:
\[ \chi = \left( \chi_1^0, \chi_2^-, \chi_3^- \right)^T \sim \left[ 3, -\frac{1}{3}, \frac{2}{3}, 1 \right], \]
\[ \eta = \left( \eta_1^0, \eta_2^-, \eta_3^- \right)^T \sim \left[ 3, -\frac{1}{3}, -\frac{1}{3}, 1 \right], \]
\[ \eta' = \left( \eta_1'^0, \eta_2'^-, \eta_3'^- \right)^T \sim \left[ 3, -\frac{1}{3}, -\frac{1}{3}, 1 \right]. \] \hspace{1em} (15)

It is noticed that these scalars do not couple with the lepton sector due to the gauge invariance. The Yukawa interactions are then
\[ -\mathcal{L}_Y = f_1 \bar{Q}_{3L} \chi U_R + f_2 (\bar{Q}_{3L} \chi') \bar{D}_{IR} \]
\[ + h^d_3 \bar{Q}_{3L} \eta_3 u_{3R} + h^u (\bar{Q}_{3L} \phi^*) \bar{u}_{3R} \]
\[ + h^{u'} (\bar{Q}_{3L} \phi'^*) \bar{u}_{3R} + h^{d'} (\bar{Q}_{3L} \phi'^*) \bar{d}_{3R} + h.c. \]
\[ = f_3 \bar{Q}_{3L} \chi U_R + f_2 (\bar{Q}_{3L} \chi') \bar{D}_{IR} + h^d_3 \bar{Q}_{3L} \eta_3 u_{3R} \]
\[ + h^u (\bar{Q}_{3L} \phi^*) \bar{u}_{3R} - (\bar{Q}_{3L} \phi'^*) \bar{u}_{1R} \]
\[ + h^{u'} (\bar{Q}_{3L} \phi'^*) \bar{u}_{2R} + h^{d'} (\bar{Q}_{3L} \phi'^*) \bar{d}_{3R} \]
\[ + h^d (\bar{Q}_{3L} \eta_3) \bar{d}_{1R} + (\bar{Q}_{3L} \eta_3') \bar{d}_{2R} \]
\[ + h^u (\bar{Q}_{3L} \phi) \bar{u}_{1R} + h^d (\bar{Q}_{3L} \phi') \bar{d}_{2R} + h.c. \] \hspace{1em} (16)

Suppose that the VEVs of \( \eta, \eta', \) and \( \chi \) are \( u, u', \) and \( w \), where \( u = \langle \eta_1^0 \rangle, u' = \langle \eta_1'^0 \rangle, \) and \( w = \langle \chi_1^0 \rangle \). The other VEVs \( \langle \eta_2^-, \eta_3^- \rangle, \langle \eta_2'^-, \eta_3'^- \rangle \) vanish due to the lepton parity conservation [III]. The exotic quarks therefore get masses \( m_u = f_2 w \) and \( m_{d,1,2} = f w \). In addition, \( w \) has to be much larger than those of \( \phi, \phi', \eta, \) and \( \eta' \) for a consistency with the effective theory. The mass matrices for ordinary up-quarks and down-quarks are, respectively, obtained as follows:
\[ M_u = \begin{pmatrix} h^u u + h^{u'} u' & 0 & 0 \\ 0 & h^u u + h^{u'} u' & 0 \\ 0 & 0 & h^u u \\ \end{pmatrix} \]
\[ = \begin{pmatrix} m_u & 0 & 0 \\ 0 & m_e & 0 \\ 0 & 0 & m_e \end{pmatrix}, \] \hspace{1em} (17)
\[ M_d = \begin{pmatrix} h^d u - h^{d'} u' & 0 & 0 \\ 0 & h^d u + h^{d'} u' & 0 \\ 0 & 0 & h^d u \end{pmatrix} \]
\[ = \begin{pmatrix} m_d & 0 & 0 \\ 0 & m_s & 0 \\ 0 & 0 & m_b \end{pmatrix}. \]

Similar to the charged leptons, the masses of \( u - c \) and \( d - s \) quarks are in part separated by the scalars \( \phi' \) and \( \eta' \), respectively. We see also that the introduction of \( \eta' \) in addition to \( \eta \) is necessary to provide the different masses for \( u \) and \( c \) quarks as well as for \( d \) and \( s \) quarks.

The expressions (17) yield the relations:
\[ h^u u = m_u, \quad 2h^u v = m_\mu + m_\tau, \quad 2h^{u'} v' = m_\tau - m_\mu, \]
\[ h^d u = m_d, \quad 2h^d v = m_\mu + m_\tau, \quad 2h^{d'} v' = m_\tau - m_\mu. \] \hspace{1em} (18)

The current mass values for the quarks are given by [7]
\[ m_u = (1.8 \div 3.0) \text{ MeV}, \quad m_d = (4.5 \div 5.5) \text{ MeV}, \]
\[ m_\tau = (1.25 \div 1.30) \text{ GeV}, \]
\[ m_s = (90.0 \div 100.0) \text{ MeV}, \quad m_t = (172.1 \div 174.9) \text{ GeV}, \]
\[ m_b = (4.13 \div 4.37) \text{ GeV}. \] \hspace{1em} (19)
Hence

\[
\begin{align*}
    h^u_2 & = (172.1 \pm 174.9) \text{ GeV}, & h^d_2 & = (625.9 \pm 651.5) \text{ MeV}, \\
    h^u & = (47.25 \pm 52.75) \text{ MeV}, & h^{d'} & = (42.75 \pm 47.25) \text{ MeV}, \\
    h^{u'} & = (624.1 \pm 648.5) \text{ MeV}.
\end{align*}
\]

(20)

It is obvious that if \( u \sim v \sim v' \sim u' \), the Yukawa coupling hierarchies are \( h^u \sim h^{u'} \ll h^d \), and the couplings between up-quarks \( (h^u, h^{u'}, h^d) \) and Higgs scalar multiplets are slightly heavier than those of down-quarks \( (h^d, h^{d'}, h^d) \), respectively.

The unitary matrices, which couple the left-handed up- and down-quarks with those in the mass bases, are \( U^u_L = 1 \) and \( U^d_L = 1 \), respectively. Therefore we get the CKM matrix

\[
U_{\text{CKM}} = U^{d't} U^{u'}. \quad (21)
\]

This is a good approximation for the realistic quark mixing matrix, which implies that the mixings among the quarks are dynamically small. The small permutations such as a breaking of the lepton parity due to the VEVs \( \langle \eta_1 \rangle, \langle \eta_2 \rangle, \) and \( \langle \chi_1 \rangle \) or a violation of \( \mathcal{L} \) and/or \( S_U \) symmetry due to unnatural Yukawa interactions, namely, \( \bar{Q}_{3L} \chi H R, \bar{Q}_{1L} \chi d R, \bar{Q}_{3L} \chi^c H R, \bar{Q}_{1L} \chi^c d R, \) and so forth, will disturb the tree level matrix resulting in mixing between exotic and ordinary quarks and possibly providing the desirable quark mixing pattern. A detailed study on these problems is out of the scope of this work and should be skipped.

## 5. Neutrino Mass and Mixing

The neutrino masses arise from the couplings of \( \bar{\nu}_{\text{at}} \psi_{\text{at}} \), \( \bar{\nu}_{1L} \psi_{1L} \), and \( \bar{\nu}_{\text{at}} \psi_{\text{at}} \) to scalars, where \( \bar{\nu}_{\text{at}} \psi_{\text{at}} \) transforms as \( 3^* \otimes 6 \) under \( SU(3)_L \) and \( 1 \otimes 2 \otimes 3 \) under \( S_U \). \( \bar{\nu}_{1L} \psi_{1L} \) transforms as \( 3 \otimes 6 \) under \( SU(3)_L \) and \( 1 \otimes 2 \) under \( S_U \), and \( \bar{\nu}_{1L} \psi_{\text{at}} \) transforms as \( 3 \otimes 6 \) under \( SU(3)_L \) and \( 2 \otimes 2 \) under \( S_U \).

For the known scalar multiplets \( (\phi_1, \phi_2, \chi, \eta, \eta') \), only available interactions are \( (\bar{\nu}_{\text{at}} \psi_{\text{at}}) \phi \) and \( (\bar{\nu}_{\text{at}} \psi_{\text{at}}) \phi' \) but explicitly suppressed because of the \( \mathcal{L} \)-symmetry. We will therefore propose new \( SU(3)_L \) octets instead of coupling to \( \bar{\nu}_{\text{at}} \psi_{\text{at}} \) responsible for the neutrino masses which are lying in either \( 1, 2, 3 \), or \( 2' \) under \( S_U \). In [112], we have introduced two \( SU(3)_L \) antisextets \( \sigma, s \) which are lying in \( 1 \) and \( 3 \) under \( S_U \), respectively. Contrastingly, in this work, with fermion content as proposed, to obtain a realistic neutrino spectrum, the model needs only one antisextet which transforms as follows:

\[
\begin{align*}
    s_i &= \begin{pmatrix}
        s_{11}^0 & s_{12}^{1+} & s_{13}^{0+} \\
        s_{12}^{1+} & s_{22}^{0+} & s_{23}^{1+} \\
        s_{13}^{0+} & s_{23}^{1+} & s_{33}^{0+}
    \end{pmatrix} - \begin{pmatrix}
        6^* & 4 \overline{3} & 4 \overline{3} \overline{2}
    \end{pmatrix},
\end{align*}
\]

(22)

where the numbered subscripts on the component scalars are the \( SU(3)_L \) indices, whereas \( i = 1, 2 \) is that of \( S_4 \). The VEV of \( s \) is set as \( \langle s_1 \rangle, \langle s_2 \rangle \) under \( S_4 \), in which

\[
\langle s_i \rangle = \begin{pmatrix}
    \lambda_i & 0 & v_i \\
    0 & 0 & 0 \\
    v_i & 0 & \Lambda_i
\end{pmatrix}. \quad (i = 1, 2).
\]

Following the potential minimization conditions, we have several VEV alignments. The first is that \( \langle s_1 \rangle = \langle s_2 \rangle \) and then \( S_4 \) is broken into an eight-element subgroup, which is isomorphic to \( D_4 \). The second is that \( \langle s_1 \rangle \neq \langle s_2 \rangle \) and \( \langle s_1 \rangle = 0 \neq \langle s_2 \rangle \) and then \( S_4 \) is broken into \( A_4 \) consisting of the identity and the even permutations of four objects. The third is that \( \langle s_1 \rangle \neq \langle s_2 \rangle \neq 0 \) and then \( S_4 \) is broken into a four-element subgroup consisting of the identity and three double transitions, which is isomorphic to Klein four group [75] (in this paper we denote this group by \( K_4 \)). To obtain a realistic neutrino spectrum, we argue that both the breakings \( S_4 \rightarrow D_4 \) and \( S_4 \rightarrow K_4 \) must take place. We therefore assume that its VEVs are aligned as the former to derive the direction of the breaking \( S_4 \rightarrow D_4 \), and this happens in any case bellow:

\[
\begin{align*}
    \lambda_1 &= \lambda_2 \equiv \lambda, \quad v_1 = v_2 \equiv v, \quad \Lambda_1 = \Lambda_2 \equiv \Lambda, \\
    \langle s_1 \rangle &= \langle s_2 \rangle = \langle s \rangle = \begin{pmatrix}
        \lambda & 0 & v \\
        0 & 0 & 0 \\
        v & 0 & \Lambda
\end{pmatrix}.
\end{align*}
\]

(24)

The direction of the breaking \( S_4 \rightarrow K_4 \) is equivalent to the breaking \( D_4 \rightarrow \{ \text{Identity} \} \). In this direction, we set \( \langle s_1 \rangle \neq \langle s_2 \rangle \neq 0 \). If \( D_4 \) is unbroken, we have \( \langle s_1 \rangle = \langle s_2 \rangle = \langle s \rangle \) as in (24), and on the contrary, if \( D_4 \) is unbroken, we have \( \langle s \rangle = \langle s_2 \rangle = \langle s_1 \rangle \):

\[
\begin{align*}
    \langle s_1 \rangle &= \begin{pmatrix}
        \lambda & 0 & v \\
        0 & 0 & 0 \\
        v & 0 & \Lambda
\end{pmatrix}.
\end{align*}
\]

(25)

The difference between \( \langle s_1 \rangle \) and \( \langle s_2 \rangle \) is very small which is regarded as a small perturbation as considered bellow. It is noteworthy that the derivation in this paper contains a fewer, in comparison with the model based on the \( S_3 \) group [111], number of Higgs triplets; consequently the Higgs sector and the minimization condition of the potential are much simpler. Moreover, the obtained model, despite the compact in Higgs sector, can fit the current data with \( \theta_{13} \neq 0 \), while the old version [112] based on \( S_4 \) cannot provide nonvanishing \( \theta_{13} \).

In general, the Yukawa interactions are

\[
\begin{align*}
    -\mathcal{L}_Y &= \frac{1}{2} x (\bar{\nu}_{1L} \psi_{1L}) s_1 + \frac{1}{2} y (\bar{\nu}_{1L} \psi_{1L}) s_2 + h.c \\
    &= \frac{1}{2} x (\bar{\nu}_{1L} \psi_{2L} s_2 + \bar{\nu}_{1L} \psi_{3L} s_1) \\
    &+ \frac{1}{2} y (\bar{\nu}_{2L} \psi_{2L} s_1 + \bar{\nu}_{3L} \psi_{3L} s_2) + h.c.
\end{align*}
\]

(26)
With the alignments of VEVs as in (24) and (25), the mass Lagrangian for the neutrinos is determined by

\[ -\mathcal{L}^{\text{mass}}_\nu = \frac{1}{2} \overline{\chi}_L \gamma^\mu M_{\nu} \chi_L + \text{h.c.}, \]

\[ \chi_L \equiv \begin{pmatrix} \nu_L \\ N_R \end{pmatrix}, \quad M_{\nu} \equiv \begin{pmatrix} M_L & M_D^T \\ M_D & M_R \end{pmatrix}, \tag{27} \]

where \( \nu = (\nu_1, \nu_2, \nu_3)^T \) and \( N = (N_1, N_2, N_3)^T \). The mass matrices are then obtained by

\[ M_{L,R,D} = \begin{pmatrix} 0 & a_{L,R,D} & b_{L,R,D} \\ a_{L,R,D} & c_{L,R,D} & 0 \\ b_{L,R,D} & 0 & d_{L,R,D} \end{pmatrix}, \tag{28} \]

with

\[ a_L = \frac{x}{2} \lambda_s, \quad a_D = \frac{y}{2} \nu, \quad a_R = \frac{x}{2} \Lambda_s, \]

\[ b_L = \frac{x}{2} \lambda_1, \quad b_D = \frac{y}{2} \nu_1, \quad b_R = \frac{x}{2} \Lambda_1, \]

\[ c_L = y \lambda_s, \quad c_D = y \nu, \quad c_R = y \Lambda_s, \]

\[ d_L = y \lambda_1, \quad d_D = y \nu_1, \quad d_R = y \Lambda_1. \tag{29} \]

The VEVs \( \Lambda_{1,2} \) break the 3-3-1 gauge symmetry down to that of the SM and provide the masses for the neutral fermions \( N_R \) and the new gauge bosons: the neutral \( Z' \) and the charged \( Y^6 \) and \( X^{0,\nu} \). The \( \lambda_{1,2} \) and \( \nu_{1,2} \) belong to the second stage of the symmetry breaking from the SM down to the \( SU(3)_C \otimes U(1)_Q \) symmetry and contribute the masses to the neutrinos. Hence, to keep a consistency we assume that \( \Lambda_{1,2} \gg \nu_{1,2} \gg \lambda_{1,2} \) [105].

Three active neutrinos therefore gain masses via a combination of type I and type II seesaw mechanisms derived from (27) and (28) as

\[ M_{\text{eff}} = M_L - M_D^T M_R^{-1} M_D = \begin{pmatrix} A & B_1 & B_2 \\ B_1 & C_1 & D_1 \\ B_2 & D_1 & C_2 \end{pmatrix}, \tag{30} \]

where

\[ A = \frac{(a_R b_D - a_D b_R)^2}{b_R^2 c_R + a_R^2 d_R}, \]

\[ B_1 = \left( b_R \left( a_R b_D c_R + a_D b_R c_R - a_D b_D c_D + b_D^2 c_D \right) + a_R \left( a_D a_R - a_D^2 \right) d_R \right), \]

\[ \times \left( b_R^2 c_R + a_R^2 d_R \right)^{-1}, \]

\[ B_2 = \left( -b_D^2 b_R c_R + b_D^2 b_R c_R + a_D a_R b_R d_D + a_R^2 b_D d_R \right), \]

\[ - a_R b_D \left( a_D d_D + a_D d_R \right) \times \left( b_R^2 c_R + a_R^2 d_R \right)^{-1}, \]

\[ C_1 = \frac{b_R^2 \left( c_L c_R - c_D^2 \right) + \left( a_R^2 c_L + a_D^2 c_D - 2 a_D a_R c_D d_R \right) d_R}{b_R^2 c_R + a_R^2 d_R}, \]

\[ C_2 = \frac{-2 b_D b_R c_D d_D + b_D^2 c_D d_L + b_D^2 c_D d_R + a_R^2 \left( d_l d_R - d_D^2 \right)}{b_R^2 c_R + a_R^2 d_R}, \]

\[ D = \frac{\left( a_R c_D - a_D c_R \right) \left( b_R d_D - b_D d_R \right)}{b_R^2 c_R + a_R^2 d_R}. \tag{31} \]

The following comments of \( S_4 \) breaking are in order.

(i) If \( S_4 \) is broken into \( D_4 \) (\( D_4 \) is unbroken), we have \( A = D = 0, B_1 = B_2 = B, \) and \( C_1 = C_2 = C \), which is presented in Section 5.1.

(ii) If \( S_4 \) is broken into \( K_4 \) (\( D_4 \) is broken into \{Identity\}), we have \( A = 0, B_1 \equiv B_2, C_1 \equiv C_2, \) and \( D \neq 0 \) but it is very small. In this case the disparity of two VEVs of \( \langle \phi \rangle \) is regarded as a small perturbation as shown in Section 5.2.

We next divide our considerations into two cases to fit the data: the first case is \( S_4 \rightarrow D_4 \), and the second one is \( S_4 \rightarrow K_4 \).

### 5.1. Experimental Constraints in the Case \( S_4 \rightarrow D_4 \)

If \( S_4 \) is broken into \( D_4 \), \( \lambda_1 \equiv \lambda_2 \equiv \nu \), \( \nu_1 \equiv \nu_2 \equiv \nu_3 \), \( \Lambda_1 \equiv \Lambda_2 \equiv \Lambda_3 \), we have \( A = 0, B_1 = B_2 \equiv B, C_1 = C_2 \equiv C, \) and \( D = 0 \), and \( M_{\text{eff}} \) reduces to

\[ M_{\text{eff}} = \begin{pmatrix} 0 & B & B \\ B & C & 0 \\ B & 0 & C \end{pmatrix}, \tag{32} \]

where

\[ B = \left( \lambda_s - \frac{\nu^2}{\Lambda_s} \right) x, \quad C = \left( \lambda_s - \frac{\nu^2}{\Lambda_s} \right) y. \tag{33} \]

We can diagonalize the matrix \( M_{\text{eff}} \) in (32) as follows:

\[ U^T M_{\text{eff}} U = \text{diag} \left( m_1, m_2, m_3 \right), \tag{34} \]

where

\[ m_1 = \frac{1}{2} \left( C - \sqrt{C^2 + 8 B^2} \right) \left( \lambda_s - \frac{\nu^2}{\Lambda_s} \right) y + \frac{\sqrt{y^2 + 2 x^2}}{2}, \]

\[ m_2 = \frac{1}{2} \left( C + \sqrt{C^2 + 8 B^2} \right) \left( \lambda_s - \frac{\nu^2}{\Lambda_s} \right) y - \frac{\sqrt{y^2 + 2 x^2}}{2}, \]

\[ m_3 = C \left( \lambda_s - \frac{\nu^2}{\Lambda_s} \right) y. \tag{35} \]
and the neutrino mixing matrix takes the form:

\[
U_0 = \begin{pmatrix}
\frac{|K|}{\sqrt{|K|^2 + 2}} & \frac{-\sqrt{2}}{\sqrt{|K|^2 + 2}} & 0 \\
\frac{1}{\sqrt{|K|^2 + 2}} & \frac{\sqrt{2}}{\sqrt{|K|^2 + 2}} & \frac{-1}{\sqrt{2}} \\
\frac{1}{\sqrt{|K|^2 + 2}} & \frac{\sqrt{2}}{\sqrt{|K|^2 + 2}} & \frac{1}{\sqrt{2}}
\end{pmatrix}
\]

(36)

\[
K = -C + \sqrt{C^2 + 8B^2}.
\]

Note that \(m_1m_2 = -2B^2\). This matrix can be parameterized in three Euler's angles, which implies

\[
\theta_{13} = 0, \quad \theta_{23} = \frac{\pi}{4}, \quad \tan \theta_{12} = \frac{\sqrt{2}}{|K|}
\]

(37)

This case coincides with the data since \(\sin^2(2\theta_{12}) < 0.15\) and \(\sin^2(2\theta_{23}) > 0.92\) [119, 120]. For the remaining constraints, taking the central values from the data in [119]

\[
\sin^2(2\theta_{12}) = 0.87, \quad \left(\hat{\theta}_{23} = 0.32\right),
\]

\[
\Delta m_{21}^2 = 7.59 \times 10^{-5} \text{ eV}^2, \quad \Delta m_{32}^2 = 2.43 \times 10^{-3} \text{ eV}^2,
\]

(38)

and we have a solution

\[
m_1 = 0.0280284 \text{ eV}, \quad m_2 = 0.0293347 \text{ eV},
\]

\[
m_3 = 0.0573631 \text{ eV},
\]

(39)

and \(B = -0.0202757i \text{ eV}, \quad C = 0.0573631 \text{ eV}, \quad K = 1.44667, \quad \text{and} \quad |x/y| = 0.707087 \). It follows that \(\tan \theta_{12} = 0.977565, \quad (\theta_{12} = 44.35^0)\), and the neutrino mixing matrix form is very close to that of bimaximal mixing which takes the form:

\[
U = \begin{pmatrix}
0.715083 & -0.69904 & 0 \\
0.494296 & 0.50564 & \frac{-1}{\sqrt{2}} \\
0.494296 & 0.50564 & \frac{1}{\sqrt{2}}
\end{pmatrix}
\]

(40)

Now, it is natural to choose \(\lambda_1, \lambda_2, \lambda_3\) in eV order, and suppose that \(\lambda_1 > \lambda_2 > \lambda_3\). Let us assume \(\lambda_1, \lambda_2, \lambda_3\) and we have then \(x = 0.399403i\) and \(y = -0.573631\).

This result is not obviously consistent with the recent data on neutrinos oscillation in which \(\theta_{13} \neq 0\), but small as given in [7]. However, as we will see in Section 5.2, this situation will be improved if the direction of the breaking \(S_4 \rightarrow K_4\) takes place. This means that, for the model under consideration, both the breakings \(S_4 \rightarrow D_4\) and \(S_4 \rightarrow K_4\) (instead of \(D_4 \rightarrow \{\text{Identity}\}\)) must take place in the neutrino sector.

5.2. Experimental Constraints in the Case \(S_4 \rightarrow K_4\). In this case \(S_4\) is broken into the Klein four group \(K_4\), \(\lambda_1 \neq \lambda_2, \nu_1 \neq \nu_2\), and \(\Lambda_1 \neq \Lambda_2\), and the direct consequence is \(A \approx 0, B_1 \approx B_2, C_1 \approx C_2\), and \(D \neq 0\). The general neutrino mass matrix in (30) can be rewritten in the form:

\[
M_{\text{eff}} = \begin{pmatrix}
0 & B \\
B & 0 \\
0 & 0
\end{pmatrix} + \begin{pmatrix}
a^2r & a & q \cdot r \\
a & q & r/p \\
aq & r & p
\end{pmatrix}, \quad (a = \frac{x}{2y})
\]

(41)

where \(B\) and \(C\) are given by (33), accommodated in the first matrix, which is matched to the case of \(S_4 \rightarrow D_4\). The three last matrices in (41) are a deviation from the contribution due to the disparity of \((\lambda_1^2)\) and \((\lambda_2^2)\), namely, \(A = a^2r, B_1 - B = ap, B_2 - B = aq, p = C_2 - C, \quad r = D\), with the \(A_1, B_1, C_1, D\), and \(D\) being defined in (5), which correspond to \(S_4 \rightarrow K_4\).

Substituting (29) into (5) we get

\[
q = \left[\left(\lambda_1^2\lambda_1 - \lambda_1\lambda_2\right) + \lambda_1\lambda_2\right]y
\]

\[
(\lambda_1^2\lambda_2 + \lambda_2^2\lambda_1)
\]

\[
x^{-1}
\]

(42)

Indeed, if \(S_4 \rightarrow D_4\), the deviaions \(p, q, r\) will vanish, therefore the mass matrix \(M_{\text{eff}}\) in (30) reduces to its first term coinciding with (32). The first term of (41) provides bimaximal mixing pattern, in which \(\theta_{13} = 0\) as shown in Section 5.1. The other matrices proportional to \(p, q, r\) due to the contribution from the disparity of \((\lambda_1)\) and \((\lambda_2)\) will take the role of perturbation for such a deviation of \(\theta_{13}\). So, in this work we consider the disparity of \((\lambda_1)\) and \((\lambda_2)\) as a small perturbation and terminating the theory at the first order.
Without loss of generality, we consider the case of breaking $S_4 \rightarrow K_4$, in which $\lambda_1 \neq \lambda_4$, whereas $v_1 = v_4$, and $\Lambda_1 = \Lambda_4$. It is then $p = r = 0, q = (\lambda_1 - \lambda_4) y \equiv \epsilon y$ with $\epsilon = \lambda_1 - \lambda_4$ being a small parameter. In this case, the matrix $M_{\text{eff}}$ in (41) reduces to

$$M_{\text{eff}} = \begin{pmatrix} 0 & \frac{x}{2y} & \frac{x}{2y} \\ \frac{x}{2y} & C & 0 \\ \frac{x}{2y} & 0 & C \end{pmatrix} + \epsilon \begin{pmatrix} 0 & 0 & \frac{x}{2} \\ 0 & y & 0 \\ \frac{x}{2} & 0 & 0 \end{pmatrix}$$

$$= M_{\text{eff}}^0 + \epsilon M_{\text{eff}}^{(1)}.$$

At the first order of perturbation, the physical neutrino masses are obtained as

$$m_1' = \lambda_1 = m_1 + \epsilon \left( \frac{Kx + y}{K^2 + 2} \right),$$

$$m_2' = \lambda_2 = m_2 + \epsilon K \left( \frac{Ky - 2x}{2(K^2 + 2)} \right),$$

$$m_3' = \lambda_3 = m_3 + \epsilon y/2,$$

where $m_{1,2,3}'$ are the mass values as of the case $S_4 \rightarrow D_4$ given by (39). For the corresponding perturbed eigenstates, we put

$$U \rightarrow U' = U + \Delta U,$$

where $U$ is defined by (36), and

$$\Delta U = \begin{pmatrix} \Delta U_{11} & \Delta U_{12} & \Delta U_{13} \\ \Delta U_{21} & \Delta U_{22} & \Delta U_{23} \\ \Delta U_{31} & \Delta U_{32} & \Delta U_{33} \end{pmatrix},$$

with

$$\Delta U_{11} = -\epsilon \frac{(K^2 - 2)x + 2Ky}{2(K^2 + 2)^{3/2}(m_1 - m_2)},$$

$$\Delta U_{21} = -\epsilon \frac{(Kx - 2y)}{4\sqrt{K^2 + 2}(m_1 - m_3)} + \frac{K}{4(K^2 + 2)^{3/2}} \frac{[(K^2 - 2)x + 2Ky]}{(m_1 - m_2)},$$

$$\Delta U_{31} = \epsilon \frac{(Kx - 2y)}{4\sqrt{K^2 + 2}(m_1 - m_3)} + \frac{K}{4(K^2 + 2)^{3/2}} \frac{[(K^2 - 2)x + 2Ky]}{(m_1 - m_2)},$$

$$\Delta U_{12} = -\epsilon \frac{K}{2\sqrt{2}(K^2 + 2)^{3/2}} \frac{[(K^2 - 2)x + 2Ky]}{(m_1 - m_2)},$$

$$\Delta U_{22} = \epsilon \frac{K}{2\sqrt{2}(K^2 + 2)^{3/2}} \frac{(K^2 - 2)x + 2Ky}{(m_1 - m_2)},$$

$$\Delta U_{32} = -\epsilon \frac{K}{2\sqrt{2}(K^2 + 2)^{3/2}} \frac{(K^2 - 2)x + 2Ky}{(m_1 - m_2)},$$

$$\Delta U_{13} = \epsilon \frac{K}{2\sqrt{2}(K^2 + 2)^{3/2}} \frac{(Kx - 2y)}{(m_1 - m_3)},$$

$$\Delta U_{23} = -\epsilon \frac{K}{2\sqrt{2}(K^2 + 2)^{3/2}} \frac{(Kx - 2y)}{(m_1 - m_3)},$$

$$\Delta U_{33} = \epsilon \frac{K}{2\sqrt{2}(K^2 + 2)^{3/2}} \frac{(Kx - 2y)}{(m_1 - m_3)}.$$

The lepton mixing matrix in this case $U'$ can still be parameterized in three new Euler's angles $\theta_{ij}'$, which are also a perturbation from the $\theta_{ij}$ in the case 1, defined by

$$s_{ij}' = -U_{ij}' = \Delta U_{ij},$$

$$t_{ij}' = -\frac{U_{ij}'}{U_{11}'},$$

with

$$s_{13}' = -U_{13}' = \Delta U_{13},$$

$$t_{12}' = -\frac{U_{12}'}{U_{11}'} = \Delta U_{12},$$

$$s_{13}' = -\frac{Kx - 2y}{2\sqrt{2}(K^2 + 2)(m_1 - m_3)} - \frac{\epsilon y}{2\sqrt{2}B}.,$$

$$t_{12}' = \left( -\left[ 4\epsilon B^2 Cx + \epsilon C^2 \left( C + \sqrt{C^2 + 8B^2} \right) x + 2BC \left( C + \sqrt{C^2 + 8B^2} \right) (2C - \epsilon y) + 8B^3 \left( 4C + 4\sqrt{C^2 + 8B^2} - \epsilon y \right) \right] \right) \times \left( \left[ \sqrt{2} \left( 64B^4 + 2C^3 \left( C + \sqrt{C^2 + 8B^2} \right) \right) - \epsilon BC \left( C + \sqrt{C^2 + 8B^2} \right) x + 2B^2 \left( 12C^2 + 8C \sqrt{C^2 + 8B^2} \right) + \epsilon Cy + \epsilon y \sqrt{C^2 + 8B^2} \right] \right)^{-1},$$
\[ t'_{23} = \frac{U'_{23}}{U'_{33}} = \frac{4B^2 + eBx - eCy}{4B^2 - eBx + eCy}. \]  

(48)

It is easily to show that our model is consistent since the five experimental constraints on the mixing angles and squared neutrino masses can be, respectively, fitted with two Yukawa coupling parameters \( x, y \) of the antisextet scalar \( s \) with the above mentioned VEVs. Indeed, taking the data in (1) we obtain \( \epsilon = 0.0692, x = 0.0728, y = -0.1562, \) and \( B = -0.0241 \) eV and \( C = 0.0224 \) eV, \( K = 1.943, \) and \( t'_{23} = 0.9045 \) \( |\theta'_{23}| = 42.1^\circ, \) \( \sin^2(2\theta'_{23}) \approx 0.98999 \) satisfying the condition \( \sin^2(2\theta'_{23}) > 0.95 \).

The neutrino masses are explicitly given as \( m_1' = -0.02737 \) eV, \( m_2' = -0.02870 \) eV, and \( m_3' = -0.05607 \) eV. The neutrino mixing matrix then takes the form:

\[
U = \begin{pmatrix}
0.8251 & -0.5657 & -0.1585 \\
0.3302 & 0.6781 & -0.6716 \\
0.4697 & 0.4888 & 0.7426
\end{pmatrix}. 
\]  

(49)

with \( t = g_x/g \). We note that \( W_4 \) and \( W_5 \) are pure real and imaginary parts of \( X^0 \) and \( X^{a*} \), respectively. The covariant derivative for an antisextet with the VEV part is [121]

\[
D_\mu \langle s_i \rangle = \frac{ig}{2} \left[ W^{\mu}_{\lambda a} \lambda^*_{a} \langle s_i \rangle + \langle s_i \rangle W^{\mu\lambda}_{a} \lambda^*_{a} + i g \epsilon X \epsilon B_{\mu} \langle s_i \rangle \right]. 
\]  

(53)

The covariant derivative (53) acting on the antisextet VEVs is given by

\[
[D_\mu \langle s_i \rangle]_{11} = ig \left( \lambda_i W_{\mu a} + \frac{\lambda_i}{\sqrt{3}} W_{\mu a} \right) + \frac{\sqrt{2}}{3} t \lambda_i B_{\mu} + \sqrt{2} \nu_i X_{\mu a} \right), 
\]  

[\mu \langle s_i \rangle]_{12} = \frac{ig}{\sqrt{2}} \left( \lambda_i W^{\mu a}_{\lambda a} + \nu_i Y^{\mu a}_{\lambda a} \right), 
\]  

[\mu \langle s_i \rangle]_{13} = \frac{ig}{2} \left( \nu_i W_{\mu a} - \frac{\nu_i}{\sqrt{3}} W_{\mu a} + \frac{2}{3} t \nu_i B_{\mu} \right) + \sqrt{2} \lambda_i X^{\mu a} + \sqrt{2} \lambda_i X_{\mu a} \right), 
\]  

[\mu \langle s_i \rangle]_{21} = [\mu \langle s_i \rangle]_{12} \quad [\mu \langle s_i \rangle]_{22} = 0, 
\]  

[\mu \langle s_i \rangle]_{23} = \frac{ig}{\sqrt{2}} \left( \nu_i W^{\mu a}_{\lambda a} + \lambda_i Y^{\mu a}_{\lambda a} \right), 
\]  

\]

6. Gauge Bosons

The covariant derivative of a triplet is given by

\[
D_\mu = \partial_\mu - ig\frac{\lambda_1}{2} W^\mu_{\lambda a} - ig\epsilon X \lambda_2 B_\mu = \partial_\mu - iP_\mu, 
\]  

(50)

where \( \lambda_1 (a = 1, 2, ..., 8) \) are Gell-Mann matrices, \( \lambda_2 = \sqrt{2}/3 \) diag \((1, 1, 1)\), \( \text{Tr} \lambda_1 \lambda_2 = 2\delta_{ab}, \) \( \text{Tr} \lambda_3 \lambda_9 = 2, \) and \( X \) is \( X \)-charge of Higgs triplets.

Let us denote the following combinations:

\[
W_\mu^a = \frac{W_{\mu a} - iW_{a\mu}}{2 \sqrt{2}}, \quad X_{\mu a} = \frac{W_{\mu a} - iW_{a\mu}}{\sqrt{2}}, 
\]  

(51)

\[
Y_\mu a = \frac{W_{\mu a} - iW_{a\mu}}{\sqrt{2}}, \quad Y_{\mu a} = Y_{\mu a}^*, 
\]  

and then \( P_\mu \) is rewritten in a convenient form as follows:

\[
[D_\mu \langle s_i \rangle]_{11} = [D_\mu \langle s_i \rangle]_{12} \quad [D_\mu \langle s_i \rangle]_{13} = [D_\mu \langle s_i \rangle]_{23}, 
\]  

(54)

The masses of gauge bosons in this model are defined as follows:

\[
\mathcal{L}_{\text{GB mass}}^{\lambda} = \left( D_\mu \langle \phi \rangle \right)^* \left( D_\mu \langle \phi \rangle \right) + \left( D_\mu \langle \chi \rangle \right)^* \left( D_\mu \langle \chi \rangle \right) + \left( D_\mu \langle \eta \rangle \right)^* \left( D_\mu \langle \eta \rangle \right) + \text{Tr} \left[ \left( D_\mu \langle s_1 \rangle \right)^* \left( D_\mu \langle s_1 \rangle \right) \right] 
\]  

(55)

where \( \mathcal{L}_{\text{GB mass}}^{\lambda} \) in (55) is different from the one in [122] by the difference of the components of the antisextet \( s \). In [122], \( \langle s_1 \rangle = \langle s_2 \rangle \) namely, \( \lambda_1 = \lambda_2 = \lambda_3, v_1 = v_2 = v_3, \) and \( \Lambda_1 = \Lambda_2 = \Lambda_3 \) are taken into account, and the contribution of perturbation has been skipped at the first order. In the following, we consider the general case in which \( \lambda_1 \neq \lambda_2, \) \( v_1 \neq v_2, \) and \( \Lambda_1 \neq \Lambda_2. \) As a consequence, the few number of
Higgs multiplets is needed in order to allow the fermions to gain masses and with the simpler scalar Higgs potential as mentioned above. Substitution of the VEVs of Higgs multiplets into (55) yields

\[ \mathcal{L}_{\text{mass}}^{\text{GB}} = \frac{v}{324} \left[ 81g^2 \left( W_{\mu_1}^2 + W_{\mu_2}^2 \right) + 81g^2 \left( W_{\rho_1}^2 + W_{\rho_2}^2 \right) + \left( -9gW_{\rho_3} + 3\sqrt{3}gW_{\rho_8} + 2\sqrt{6}g_X B_\rho \right)^2 \right] + \frac{v^2}{324} \left[ 81g^2 \left( W_{\mu_1}^2 + W_{\mu_2}^2 \right) + 81g^2 \left( W_{\rho_1}^2 + W_{\rho_2}^2 \right) + \left( -9gW_{\rho_3} - 3\sqrt{3}gW_{\rho_8} + 2\sqrt{6}g_X B_\rho \right)^2 \right] + \frac{u^2}{108} \left[ 27g^2 \left( W_{\mu_4}^2 + W_{\mu_5}^2 \right) + 27g^2 \left( W_{\rho_6}^2 + W_{\rho_7}^2 \right) + 36g^2 W_{\rho_8}^2 + 12\sqrt{2}g_X W_{\rho_8} B_\rho + 2g_X B_\rho \right] + \frac{u^2}{324} \left[ 81g^2 \left( W_{\mu_1}^2 + W_{\mu_2}^2 \right) + 81g^2 \left( W_{\rho_1}^2 + W_{\rho_2}^2 \right) + \left( -9gW_{\rho_3} - 3\sqrt{3}gW_{\rho_8} + 2\sqrt{6}g_X B_\rho \right)^2 \right] + \frac{g^2}{6} \left[ 2(\Lambda_1 v_1 + \Lambda_2 v_2) \left( 3W_{\rho_3} W_{\rho_4} + 3W_{\rho_1} W_{\rho_5} - 3W_{\rho_2} W_{\rho_7} - 5\sqrt{3}W_{\rho_4} W_{\rho_5} \right) + 3 \left( v_1^2 + v_2^2 + 2\lambda_1^2 + 2\lambda_2^2 + 8\Lambda_1^2 + 8\Lambda_2^2 \right) W_{\mu_1}^2 + 3 \left( v_1^2 + v_2^2 + 2\lambda_1^2 + 2\lambda_2^2 \right) W_{\mu_2}^2 + 3 \left( v_1^2 + v_2^2 + 4\lambda_1^2 + 4\lambda_2^2 + 2\lambda_1^2 + 2\lambda_2^2 \right) W_{\rho_3}^2 + 3 \left( 4v_1^2 + 4v_2^2 + \lambda_1^2 + \lambda_2^2 + 2\lambda_1^2 + 2\lambda_2^2 \right) W_{\rho_4}^2 + 2\Lambda_1 \lambda_1 + 2\Lambda_2 \lambda_2 \right] W_{\mu_4}^2 + 3 \left( 4v_1^2 + 4v_2^2 + \lambda_1^2 + \lambda_2^2 + 2\lambda_1^2 + 2\lambda_2^2 \right) W_{\rho_5}^2 - 2\Lambda_1 \lambda_1 - 2\Lambda_2 \lambda_2 \right] W_{\rho_5}^2 + 3 \left( v_1^2 + v_2^2 + 2\lambda_1^2 + 2\lambda_2^2 \right) W_{\rho_6}^2 + 3 \left( v_1^2 + v_2^2 + 2\lambda_1^2 + 2\lambda_2^2 \right) W_{\rho_7}^2 + 2\sqrt{3} \left( -v_1^2 - v_2^2 + 2\lambda_1^2 + 2\lambda_2^2 \right) W_{\rho_3} W_{\rho_8} + 2\sqrt{3} \left( -v_1^2 - v_2^2 + 2\lambda_1^2 + 2\lambda_2^2 \right) W_{\rho_8} W_{\rho_5} + 2\sqrt{3} \left( -v_1^2 - v_2^2 + 2\lambda_1^2 + 2\lambda_2^2 \right) W_{\rho_5} W_{\rho_7} + 2\sqrt{3} \left( -v_1^2 - v_2^2 + 2\lambda_1^2 + 2\lambda_2^2 \right) W_{\rho_7} W_{\rho_8} + \left( v_1^2 + v_2^2 + 2\lambda_1^2 + 2\lambda_2^2 + 8\Lambda_1^2 + 8\Lambda_2^2 \right) W_{\mu_8}^2 + 18 \left( \lambda_1 v_1 + \lambda_2 v_2 \right) W_{\mu_3} W_{\mu_4} + 6 \left( \lambda_1 v_1 + \lambda_2 v_2 \right) W_{\mu_4} W_{\mu_5} + 6 \left( \lambda_1 v_1 + \lambda_2 v_2 \right) W_{\mu_5} W_{\mu_7} + 2\sqrt{3} \left( \lambda_1 v_1 + \lambda_2 v_2 \right) W_{\mu_4} W_{\mu_8} + \left( v_1^2 + v_2^2 + 2\lambda_1^2 + 2\lambda_2^2 + 8\Lambda_1^2 + 8\Lambda_2^2 \right) W_{\mu_8}^2 + 18 \left( \lambda_1 v_1 + \lambda_2 v_2 \right) W_{\mu_3} W_{\mu_4} + 6 \left( \lambda_1 v_1 + \lambda_2 v_2 \right) W_{\mu_4} W_{\mu_5} + 6 \left( \lambda_1 v_1 + \lambda_2 v_2 \right) W_{\mu_5} W_{\mu_7} + 2\sqrt{3} \left( \lambda_1 v_1 + \lambda_2 v_2 \right) W_{\mu_4} W_{\mu_8} \right) \]

We can separate \( \mathcal{L}_{\text{mass}}^{\text{GB}} \) in (57) into

\[ \mathcal{L}_{\text{mass}}^{\text{GB}} = \mathcal{L}_{\text{mass}}^{W_5} + \mathcal{L}_{\text{mix}}^{\text{GB}} + \mathcal{L}_{\text{mix}}^{\text{NGB}}, \]

where \( \mathcal{L}_{\text{mass}}^{W_5} \) is the Lagrangian part of the imaginary part \( W_5 \). This boson is decoupled with mass given by

\[ M_{W_5}^2 = \frac{g^2}{2} \left( \omega^2 + u^2 + u'^2 + 8\nu_1 + 8\nu_2 + 2\lambda_1^2 + 2\lambda_2^2 + 2\lambda_1^2 + 2\lambda_2^2 + 4\Lambda_1 \lambda_1 + 4\Lambda_2 \lambda_2 \right). \]

In the limit \( \lambda_1, \lambda_2, v_1, v_2 \to 0 \) we have

\[ M_{W_5}^2 = \frac{g^2}{2} \left( \omega^2 + u^2 + u'^2 + 2\lambda_1^2 + 2\lambda_2^2 \right). \]

\( \mathcal{L}_{\text{mix}}^{\text{GB}} \) is the Lagrangian part of the charged gauge bosons \( W \) and \( Y \):

\[ \mathcal{L}_{\text{mix}}^{\text{GB}} = \frac{g^2}{4} \left[ v^2 + v'^2 + u^2 + u'^2 + 2 \left( v_1^2 + v_2^2 + \lambda_1^2 + \lambda_2^2 \right) \right] \left( W_{\mu_1}^2 + W_{\mu_2}^2 \right) + \frac{g^2}{4} \left[ v^2 + v'^2 + \omega^2 \right] \]
The mixing angle \( \theta \) in (60) can be rewritten in matrix form as follows:

\[
\mathcal{L}^{\text{GB}}_{\text{mix}} = \frac{g^2}{4} \begin{pmatrix} W_{\mu \nu}^{\ell -} & Y_{\mu \nu}^{\ell} \end{pmatrix} M_{\nu \nu}^{H}(W_{\mu \nu}^{\ell +} Y_{\mu \nu}^{\ell})^T,
\]

where

\[
M_{\nu \nu}^{H} = 2 \left( v^2 + v'^2 + u^2 + u'^2 + 2 \left( v^2 + v'^2 + \lambda_1^2 + \lambda_2^2 \right) \right) / \left( 2 \lambda_1 v_1 + \lambda_1 v_1 + \lambda_2 v_2 + \lambda_2 v_2 \right).
\]

With the help of (69), the \( \Gamma \) in (65) becomes

\[
\Gamma = \frac{2 \lambda_1^2 + 2 \lambda_2^2 + \omega^2 - u^2 - u'^2}{2 \lambda_1^2 + 2 \lambda_2^2 + \omega^2 - u^2 - u'^2}.
\]

It is then

\[
M_{\nu \nu}^{H} = \frac{g^2}{2} \left( u^2 + u'^2 + v^2 + v'^2 \right) - \frac{g^2}{2} \Delta M_{\nu}^2,
\]

with

\[
\Delta M_{\nu}^2 = \frac{2 \lambda_1^2 + 2 \lambda_2^2 + \omega^2 - u^2 - u'^2}{2 \lambda_1^2 + 2 \lambda_2^2 + \omega^2 - u^2 - u'^2}.
\]

In the limit \( v_{1,2} \to 0 \) the mixing angle \( \theta \) tends to zero, \( \Gamma \to 2 \lambda_1^2 + 2 \lambda_2^2 + \omega^2 - u^2 - u'^2 \), and one has

\[
M_{\nu \nu}^{H} = \frac{g^2}{2} \left( u^2 + u'^2 + v^2 + v'^2 \right),
\]

\[
\Delta M_{\nu}^2 = \frac{g^2}{2} \left( 2 \lambda_1^2 + 2 \lambda_2^2 + \omega^2 + v^2 + v'^2 \right).
\]

With the help of (69), one can estimate

\[
\tan \theta = \frac{4 \lambda_1 v_1 + 4 \lambda_2 v_2}{2 \lambda_1^2 - 2 \lambda_2^2 - \omega^2 - u^2 - u'^2} (i = 1, 2).
\]
$\mathcal{L}_{\text{mix}}^{\text{NGB}}$ is the Lagrangian that describes the mixing among the neutral gauge bosons $W_3, W_8, B, W_4$. The mass Lagrangian in this case has the form

\[
\mathcal{L}_{\text{mix}}^{\text{NGB}} = \frac{(v^2 + v'^2)}{324} (-9 g W_{\mu 3} + 3 \sqrt{3} g W_{\mu 8} + 2 \sqrt{6} g X B_\mu)^2 \\
+ \frac{\omega^2}{108} (27 g^2 W_{\mu 3} + 36 g^2 W_{\mu 8} \\
+ 12 \sqrt{2} g_{X} W_{\mu 8} B_\mu + 2 g^2 X B_\mu^2) \\
+ \frac{(u^2 + u'^2)}{324} [81 g^2 W_{\mu 4}^2 \\
+ (9 g W_{\mu 3} - 3 \sqrt{3} g W_{\mu 8} + 6 g X B_\mu)^2] \\
+ \frac{\mu^2}{6} \left[ 2 (\lambda_1 v_1 + \lambda_2 v_2) (3 W_{\mu 4} W_{\mu 4} - 5 \sqrt{3} W_{\mu 4} W_{\mu 8}) \\
+ 3 (v_1^2 + v_2^2 + 2 \lambda_1^2 + 2 \lambda_2^2) W_{\mu 3}^2 \\
+ 3 (4 v_1^2 + 4 v_2^2 + \lambda_1^2 + \lambda_2^2 + \lambda_1^2 + \lambda_2^2 \\
+ 2 \lambda_1 \lambda_1 + 2 \lambda_2 \lambda_2) W_{\mu 3}^2 \\
+ 2 \sqrt{3} (v_1^2 - v_2^2 + 2 \lambda_1^2 + 2 \lambda_2^2) W_{\mu 3} W_{\mu 8} \\
+ (v_1^2 + v_2^2 + 2 \lambda_1^2 + 2 \lambda_2^2 + 8 \lambda_1^2 + \lambda_2^2) W_{\mu 8}^2 \\
+ 18 (\lambda_1 v_1 + \lambda_2 v_2) W_{\mu 4} W_{\mu 4} \\
+ 2 \sqrt{3} (\lambda_1 v_1 + \lambda_2 v_2) W_{\mu 4} W_{\mu 8}] \\
+ \frac{2}{27} g^2 \left( \lambda_1^2 + \lambda_2^2 + \lambda_1^2 + \lambda_2^2 + 2 v_1^2 + 2 v_2^2 \right) B_\mu^2 \\
- \frac{2}{3} \sqrt{3} g^2 \left( \lambda_1^2 + \lambda_2^2 + v_1^2 + v_2^2 \right) W_{\mu 3} B_\mu \\
- \frac{4}{3} \sqrt{3} g^2 \left[ (\lambda_1 + \lambda_2) v_1 + (\lambda_2 + \lambda_2) v_2 \right] W_{\mu 4} B_\mu \\
- \frac{2}{9} g^2 \left( \lambda_1^2 + \lambda_2^2 - v_1^2 - v_2^2 - 2 \lambda_1^2 - 2 \lambda_2^2 \right) W_{\mu 8} B_\mu.
\]

(75)

On the basis of $(W_{\mu 3}, W_{\mu 8}, B_\mu, W_{\mu 4})$, the $\mathcal{L}_{\text{mix}}^{\text{NGB}}$ in (75) can be rewritten in matrix form:

\[
\mathcal{L}_{\text{mix}}^{\text{NGB}} = \frac{1}{2} V^T M^2 V,
\]

\[
V^T = (W_{\mu 3}, W_{\mu 8}, B_\mu, W_{\mu 4}),
\]

\[
M^2 = \frac{\mu^2}{4} \left( \begin{array}{cccc}
M_{11} & M_{12} & M_{13} & M_{14} \\
M_{12} & M_{22} & M_{23} & M_{24} \\
M_{13} & M_{23} & M_{33} & M_{34} \\
M_{14} & M_{24} & M_{34} & M_{44} \\
\end{array} \right),
\]

(76)

where

\[
M_{11}^2 = 2 \left( v^2 + v'^2 + u^2 + u'^2 + 2 v_1^2 + 2 v_2^2 + 4 \lambda_1^2 + 4 \lambda_2^2 \right),
\]

\[
M_{12}^2 = -\frac{2 \sqrt{3}}{3} \left( v^2 + v'^2 - u^2 - u'^2 + 2 v_1^2 + 2 v_2^2 - 4 \lambda_1^2 - 4 \lambda_2^2 \right),
\]

\[
M_{13}^2 = -\frac{2 \sqrt{3}}{3} \left( 2 v^2 + 2 v'^2 + u^2 + u'^2 \\
+ 4 \lambda_1^2 + 4 \lambda_2^2 + 4 v_1^2 + 4 v_2^2 \right),
\]

\[
M_{14}^2 = 4 \left( \lambda_1 v_1 + \lambda_2 v_2 \right) + 12 \left( \lambda_1 v_1 + \lambda_2 v_2 \right),
\]

\[
M_{22}^2 = \frac{2}{3} \left( v^2 + v'^2 + 4 \omega^2 + u^2 + u'^2 + 2 v_1^2 + 2 v_2^2 \\
+ 4 \lambda_1^2 + 4 \lambda_2^2 + 16 \lambda_1^2 + 16 \lambda_2^2 \right),
\]

\[
M_{23}^2 = \frac{2 \sqrt{2} \pi}{9} \left( 2 v^2 + 2 v'^2 + 2 \omega^2 - u^2 - u'^2 - 4 \lambda_1^2 - 4 \lambda_2^2 \\
+ 4 v_1^2 + 4 v_2^2 + 8 \lambda_1^2 + 8 \lambda_2^2 \right),
\]

\[
M_{24}^2 = \frac{4 \sqrt{3}}{27} \left( 4 v^2 + 4 v'^2 + \omega^2 + u^2 + u'^2 + 4 \lambda_1^2 + 4 \lambda_2^2 \\
+ 4 \lambda_1^2 + 4 \lambda_2^2 + 8 \lambda_1^2 + 8 \lambda_2^2 \right),
\]

\[
M_{34}^2 = \frac{16}{3} \sqrt{3} \left( \lambda_1 v_1 + \lambda_1 v_1 + \lambda_2 v_2 + \lambda_2 v_2 \right),
\]

\[
M_{44}^2 = 2 \left( \omega^2 + u^2 + u'^2 + 8 v_1^2 + 8 v_2^2 + 2 \lambda_1^2 + 2 \lambda_2^2 \\
+ 2 \lambda_1^2 + 2 \lambda_2^2 + 4 \lambda_1 \lambda_1 + 4 \lambda_2 \lambda_2 \right).
\]

(77)

The matrix $M^2$ in (76) with elements in (77) has one exact eigenvalue, which is identified with the photon mass:

\[
M_{11}^2 = 0,
\]

(78)

The corresponding eigenvector of $M_{11}^2$ is

\[
A_{\mu} = \left( \begin{array}{c}
\sqrt{3} t \\
\sqrt{4 \omega^2 + 18} \\
\sqrt{4 \omega^2 + 18} \\
3 \sqrt{2} \\
\end{array} \right).
\]

(79)

Note that in the limit $\lambda_{1,2}, v_{1,2} \rightarrow 0, M_{14}^2 = M_{24}^2 = M_{34}^2 = 0$, and $W_4$ does not mix with $W_{\mu 4}, W_{84}, B_\mu$. In the general case $\lambda_{1,2}, v_{1,2} \neq 0$, the mass matrix in (76) contains one exact eigenvalues as in (78) with the corresponding eigenstate given in (79).
The mass matrix $M^2$ in (76) is diagonalized via two steps. In the first step, the basic $(W_{\mu3}, W_{\nu3}, B_{\mu}, W_{\nu4})$ is transformed into the basic $(A_{\mu}, Z_{\mu}, Z'_{\mu}, W_{\nu4})$ by the matrix:

$$U_{\text{NGB}} = \begin{pmatrix} \frac{s_W}{\sqrt{3}} & -c_W & 0 & 0 \\ \frac{c_W t_W}{\sqrt{3}} & \frac{s_W t_W}{\sqrt{3}} & \sqrt{1 - \frac{t_W^2}{3}} & 0 \\ c_W \sqrt{1 - \frac{t_W^2}{3}} & s_W \sqrt{1 - \frac{t_W^2}{3}} & 0 & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix}.$$  

(80)

The corresponding eigenstates are given by

$$A_{\mu} = s_W W_{3\mu} + c_W \left( \frac{t_W}{\sqrt{3}} W_{8\mu} + \sqrt{1 - \frac{t_W^2}{3}} B_{\mu} \right),$$

$$Z_{\mu} = -c_W W_{3\mu} + s_W \left( \frac{t_W}{\sqrt{3}} W_{8\mu} + \sqrt{1 - \frac{t_W^2}{3}} B_{\mu} \right),$$

$$Z'_{\mu} = \sqrt{1 - \frac{t_W^2}{3}} W_{8\mu} + \frac{t_W}{\sqrt{3}} B_{\mu}.$$  

(81)

To obtain (80) and (81) we have used the continuation of the gauge coupling constant $g$ of the $SU(3)_L$ at the spontaneous symmetry breaking point, in which

$$t = \frac{3\sqrt{3} s_W}{\sqrt{3} - 4 s_W^2}. \quad (82)$$

On this basis, the mass matrix $M^2$ becomes

$$M^2 = U^+_{\text{NGB}} M^2 U_{\text{NGB}} = \frac{g^2}{4} \begin{pmatrix} 0 & 0 & 0 & 0 \\ 0 & M^2_{22} & M^2_{23} & M^2_{24} \\ 0 & M^2_{23} & M^2_{33} & M^2_{34} \\ 0 & M^2_{24} & M^2_{34} & M^2_{44} \end{pmatrix},$$

where

$$M^2_{22} = \frac{2}{c_W^2} \left( u^2 + u'^2 + v^2 + v'^2 + 4\lambda_1^2 + 4\lambda_2^2 + 2v_1^2 + 2v_2^2 \right),$$

$$M^2_{23} = \frac{2}{c_W^2} \left( 1 - 2c_W^2 \right) \left( u^2 + u'^2 + 4\lambda_1^2 + 4\lambda_2^2 + v^2 + v'^2 \right) \sqrt{\alpha_0},$$

$$M^2_{24} = -\frac{4}{c_W^2} \left( \Lambda_1 v_1 + \Lambda_2 v_2 + 3\lambda_1 v_1 + 3\lambda_2 v_2 \right),$$

$$M^2_{33} = \frac{1}{c_W^2} \left( \Lambda_1^2 + \Lambda_2^2 \right) \left( \alpha_1^2 \alpha_0 + 8\omega^2 \epsilon_W \alpha_0 + \frac{2}{c_W^2} \left( v^2 + v'^2 + 2v_1^2 + 2v_2^2 \right) \alpha_0 \right) \left( \Lambda_1^2 + \Lambda_2^2 \right) \alpha_0,$$

$$M^2_{34} = \frac{8}{c_W^2} \left( 2c_W^2 - 1 \right)^2 \left( u^2 + u'^2 \right) \alpha_0 + \frac{2}{c_W^2} \left( v^2 + v'^2 + 2v_1^2 + 2v_2^2 \right) \alpha_0 + \frac{8}{c_W^2} \left( 2c_W^2 - 1 \right)^2 \left( u^2 + u'^2 \right) \alpha_0.$$

(84)

In the approximation $\Lambda_{1,2}^2, v_{1,2} \ll \Lambda_{1,2}^2 \sim \omega^2$, we have

$$M^2_{22} = \frac{2}{c_W^2} \left( u^2 + u'^2 + v^2 + v'^2 \right),$$

$$M^2_{23} = \frac{2}{c_W^2} \left( 1 - 2c_W^2 \right) \left( u^2 + u'^2 + v^2 + v'^2 \right) \sqrt{\alpha_0},$$

$$M^2_{24} = -\frac{4}{c_W^2} \left( \Lambda_1 v_1 + \Lambda_2 v_2 \right),$$

$$M^2_{33} = \frac{1}{c_W^2} \left( \Lambda_1^2 + \Lambda_2^2 \right) \left( \alpha_1^2 \alpha_0 + 8\omega^2 \epsilon_W \alpha_0 \right) + \frac{2}{c_W^2} \left( v^2 + v'^2 \right) \alpha_0 + \frac{2}{c_W^2} \left( 2c_W^2 - 1 \right)^2 \left( u^2 + u'^2 \right) \alpha_0,$$

$$M^2_{34} = -\frac{4}{c_W^2} \left( \Lambda_1 v_1 + \Lambda_2 v_2 \right),$$

$$M^2_{44} = \left( u^2 + u'^2 + \omega^2 + 2\lambda_1^2 + 2\lambda_2^2 + 2\Lambda_1^2 + 2\Lambda_2^2 + 4\lambda_1 \Lambda_1 + 4\lambda_2 \Lambda_2 \right).$$

(85)

with

$$s_W = \sin \theta_W, \quad c_W = \cos \theta_W, \quad t_W = \tan \theta_W,$$

$$x_0 = 4c_W^2 + 1, \quad \alpha_0 = \left( 4c_W^2 - 1 \right)^{-1}. \quad (86)$$
From (83), there exist mixings between $Z_\mu$, $Z'_\mu$ and $W_{\mu 4}$. It is noteworthy that, in the limit $v_{1,2} = 0$, the elements $M_{33}^{(2)}$ and $M_{54}^{(2)}$ vanish. In this case there is no mixing between $W_4$ and $Z'_\mu$, $Z'_\mu$.

In the second step, three bosons gain masses via seesaw mechanism

$$M_Z^2 = \frac{g^2}{4} \left[ M_{22}^{(2)} - (M^{\text{off}})^T (M_{2x2}^{(2)})^{-1} M^{\text{off}} \right],$$  \hspace{0.5cm} (87)

where

$$M^{\text{off}} = \begin{pmatrix} M_{22}^{(2)} \\ M_{24}^{(2)} \end{pmatrix}, \quad M_{2x2}^{(2)} = \begin{pmatrix} M_{33}^{(2)} & M_{34}^{(2)} \\ M_{54}^{(2)} & M_{55}^{(2)} \end{pmatrix}. $$  \hspace{0.5cm} (88)

Combination of (87), (88), and (85) yields

$$M_Z^2 = \frac{g^2}{2 c_W^2} \left( u' + u'^2 + v' + v'^2 \right) - \frac{g^2}{2 c_W^2} \Delta_M^{2},$$  \hspace{0.5cm} (89)

where

$$\Delta_M^{2} = \frac{4\Delta_2^2 (4\Delta_4^4 - 2x_0x_1 + x_4 + x^2_2)}{x_2 (x_4 + 4\Delta_4^4x_3)} - 4\Delta_2^2 x_3^2,$$  \hspace{0.5cm} (90)

with

$$x_1 = (1 - 2\Delta_4^2) \left( u'^2 + u'^2 \right) + v' + v'^2,$$

$$x_2 = 2\Lambda_1 (2\Lambda_1 + 1) + 2\Lambda_2 (2\Lambda_2 + 1) + 2\Lambda_3 (2\Lambda_3 + 1) \omega'^2 + u'^2 + u'^2,$$

$$x_3 = 4\Lambda_4^2 + 4\Lambda_4^2 + \omega'^2 + u'^2 + u'^2,$$

$$x_4 = (1 - 2\Delta_4^2) \left( u'^2 + u'^2 \right) + v' + v'^2,$$

$$\Delta_m = \Lambda_1 \nu_1 + \Lambda_2 \nu_2.$$  \hspace{0.5cm} (91)

The $\rho$ parameter in our model is given by

$$\rho = \frac{M_{22}^{\text{free}}}{M_Z^2 \cos^2 \theta_W} = 1 + \frac{\Delta_m}{M_Z^2} \equiv 1 + \delta_{\text{tree}},$$  \hspace{0.5cm} (92)

where

$$\delta_{\text{tree}} = \frac{g^2}{2 c_W^2} \left( \Delta_m - \Delta_m^{\text{c}} \right).$$  \hspace{0.5cm} (93)

Let us assume the relations (A.17) and put $\nu_2 \equiv \nu_4$, $\omega = \Lambda_3 \equiv \Lambda_4$, and then

$$\Delta_m^{\text{c}} - \Delta_m^{\text{c}} = \frac{8 (k^2 + 1) \nu_4^2}{2k^2 + 3} \left( \frac{k^2 + 1}{2c_W^2} - 1 \right).$$  \hspace{0.5cm} (94)

From (92)–(94) we have

$$\delta_{\text{tree}} = \frac{g^2}{2 c_W^2} \left( \frac{1}{M_Z^2} \right) \frac{8 (k^2 + 1) \nu_4^2}{2k^2 + 3} \left( \frac{k^2 + 1}{2c_W^2} - 1 \right).$$  \hspace{0.5cm} (95)

The experimental value of the $\rho$ parameter and $M_{2w}$ are, respectively, given in [7]

$$\rho = 1.0004^{+0.0003}_{-0.0004}, \quad (\delta_{\text{tree}} = 0.0004^{+0.0003}_{-0.0004}),$$  \hspace{0.5cm} (96)

$$s_W^2 = 0.23116 \pm 0.00012,$$

$$M_{2w} = 80.358 \pm 0.015 \text{ GeV}.$$  \hspace{0.5cm} (97)

It means

$$0 \leq \delta_{\text{tree}} \leq 0.0007.$$  \hspace{0.5cm} (98)

From (95) one can make the relations between $\nu$, $g$, and $k$. Indeed, we have

$$\nu = \pm \frac{c_W^2 \sqrt{2k^2 + 3} M_Z}{g^2 \sqrt{k^2 + 2 \sqrt{1 - 2c_W^2}} \nu_4^2}.$$  \hspace{0.5cm} (98)

Figure 1 gives the relation between $\nu$ and $g$, $k$ provided that $g = 0.5$, and $k \in (0, 1)$, in which $|\nu| \in (0, 3.0) \text{ GeV}$. Figure 2 gives the relation between $g$ and $\delta_{\text{tree}}$, $\nu$, provided that $k = 1$ and $\delta_{\text{tree}} \in (0, 0.0007)$, $\nu_4 \in (0, 3.0) \text{ GeV}$ in which $|g| \in (0, 2.0) \text{ GeV}$. The conditions (94) are satisfied. The Figure 3 gives the relation between $k$ and $g$, $\nu$, provided $\delta_{\text{tree}} = 0.0005$ and $g \in (0, 0.6)$, $\nu_4 \in (0, 3.0) \text{ GeV}$ in which $k \in (1, 3) \text{ GeV}$ ($k$ is a real number, Figure 3(a)) or $k = i k_1$, $k_1 \in (-1, 1.05) \text{ GeV}$ ($k$ is a pure complex number, Figure 3(b)). The conditions (95) are satisfied. From Figure 3 we see that for a lot of values of $k$ that is different from the unit but nearly it still can fit the recent experimental data [7]. It means that the difference of $<s_1>$ and $<s_2>$ as mentioned in this work is necessary.

Diagonalizing the mass matrix $M_{2x2}^{(2)}$, we get two new physical gauge bosons

$$Z''_\mu = \cos \phi Z'_\mu + \sin \phi W_{\mu 4},$$

$$W''_{\mu 4} = - \sin \phi Z'_\mu + \cos \phi W_{\mu 4}.$$  \hspace{0.5cm} (99)

With the approximation as in (69), the mixing angle $\phi$ is given by

$$\tan \phi = \frac{2 \sqrt{2} c_W (\Lambda_1 \nu_1 + \Lambda_2 \nu_2) x_0}{4 \alpha_0 c_W^2 x_4 + c_W^2 x_3 - \alpha_0 x_4},$$

$$\nu_1 \sim \nu_2, \quad \Lambda_1 \sim \Lambda_2.$$  \hspace{0.5cm} (100)

provided that $\nu_1 \sim \nu_2, \Lambda_1 \sim \Lambda_2$.

In the limit $\Lambda_{1,2}, \nu_{1,2} \to 0$ the mixing angle $\phi$ tends to zero, and the physical mass eigenvalues are defined by

$$M_{Z''_\mu}^2 = \frac{g^2}{2 c_W^2} \left( x_4 + 4c_W^4 x_3 \right),$$  \hspace{0.5cm} (101)

$$M_{W''_{\mu 4}}^2 = \frac{g^2}{2} \left( u'^2 + u'^2 + \omega'^2 + 2\Lambda_4^2 + 2\Lambda_4^2 \right).$$  \hspace{0.5cm} (101)

From (99) and (101) we see that the $W''_{\mu 4}$ and $W_5$ components have the same mass in the limit $\Lambda_{1,2}, \nu_{1,2} \to 0$. So we should identify the combination of $W''_{\mu 4}$ and $W_5$

$$\sqrt{2} X_{\mu}^0 = W''_{\mu 4} - i W_{\mu 5}. $$  \hspace{0.5cm} (102)
as physical neutral non-Hermitian gauge boson. The subscript “0” denotes neutrality of gauge boson $X$. Notice that the identification in (102) only can be acceptable with the limit $\lambda_{1,2}, v_{1,2} \to 0$. In general, it is not true because of the difference in masses of $W_{\mu}^I$ and $W_{\mu}^S$ as in (58) and (99).

The expressions (74) and (100) show that, with the limit (69), the mixings between the charged gauge bosons $W - Y$ and the neutral ones $Z' - W_4$ are in the same order since they are proportional to $v_i/\Lambda_i (i = 1, 2)$. In addition, from (101), $M_{Z_{\mu}^i}^2 = g^2 (4\Lambda_1^2 + 4\Lambda_2^2 + \omega^2)$ is little bigger than $M_{W_{\mu}^I}^2 = (g^2/2)(\omega^2 + 2\Lambda_1^2 + 2\Lambda_2^2)$ (or $M_{X_0}^2$), and $|M_{Z_{\mu}^i}^2 - M_{W_{\mu}^I}| = (g^2/2)(u^2 + u'^2 - \nu^2 - \nu'^2)$ is little smaller than $M_{W_{\mu}^I}^2 = (g^2/2)(u^2 + u'^2 + \nu^2 + \nu'^2)$. In that limit, the masses of $X_\mu^0$ and $Y$ degenerate.

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**Figure 1:** The relation between $v$, $g$, $k$ with $g = 0.5$ and $k \in (0.9, 1.1)$.

**Figure 2:** The relation between $g$ and $\delta_{\text{tree}}$, $v$, with $k = 1$ and $\delta_{\text{tree}} \in (0, 0.0007)$, $v \in (0, 8.0)$ GeV.

**Figure 3:** The relation between $k$ and $g$, $v$, provided that $\delta_{\text{tree}} = 0.0005$ and $g \in (0.4, 0.6)$, $v \in (0, 8.0)$ GeV.
7. Conclusions

In this paper, we have constructed a new $S_4$ model based on $SU(3)_C \otimes SU(3)_L \otimes U(1)_X$ gauge symmetry responsible for fermion masses and mixing which is different from our previous work in [112]. Neutrinos get masses from only an antisextet which is in a doublet under $S_4$. We argue how flavor mixing patterns and mass splitting are obtained with a perturbed $S_4$ symmetry by the difference of VEV components of the antisextet under $S_4$. We have pointed out that this model is simpler than those of $S_3$ and $S_6$ [111, 112] with the fewer number of Higgs multiplets needed in order to allow the fermions to gain masses but with the simple scalar Higgs potential. Quark mixing matrix is unity at the tree level. The realistic neutrino mixing in which the fermions to gain masses but with the simple scalar Higgs potential. Quark mixing matrix is unity at the tree level. The realistic neutrino mixing in which the fermions to gain masses but with the simple scalar Higgs potential.

Appendices

A. Vacuum Alignment

We can separate the general scalar potential into

$$V_{\text{total}} = V_{\text{tri}} + V_{\text{sex}} + V_{\text{tri-sex}} + \nabla,$$  \hspace{1cm} (A.1)

where $V_{\text{tri}}$ and $V_{\text{sex}}$, respectively, consist of the $SU(3)_C$ scalar triplets and sixets, whereas $V_{\text{tri-sex}}$ contains the terms connecting the two sectors. Moreover, $V_{\text{tri-sex}}$ conserves $Z^\prime$-charge and $S_4$ symmetry, while $V$ includes possible soft terms explicitly violating these charges. Here we keep the soft terms as we meant include the trilinear and quartic ones as well. The reason for imposing $\nabla$ will be shown below.

The details on the potentials are given as follows. We first denote $V(X \rightarrow Y, Y \rightarrow Y, \ldots) \equiv V(X, Y, \ldots) |_{X=Y=\ldots}$. Notice also that $(\text{Tr} A)(\text{Tr} B) = \text{Tr}(A \text{Tr} B)$. $V_{\text{tri}}$ is a sum of

$$V(\chi) = \mu_\chi^2 \chi^\dagger \chi + \lambda_\chi (\chi^\dagger \chi)^2,$$

$$V(\phi) = V(\chi \rightarrow \phi), \hspace{0.5cm} V(\phi^\prime) = V(\phi \rightarrow \phi^\prime),$$

$$V(\eta) = V(\phi \rightarrow \eta), \hspace{0.5cm} V(\eta^\prime) = V(\phi \rightarrow \eta^\prime),$$

$$V(\chi, \phi) = \lambda_{\chi \phi} (\phi^\dagger \phi) (\chi^\dagger \chi) + \lambda_{\phi \chi} (\phi^\dagger \chi) (\chi^\dagger \phi),$$

$$V(\chi, \phi') = V(\phi \rightarrow \phi^\prime, \chi), \hspace{0.5cm} V(\chi, \eta) = V(\phi \rightarrow \eta, \chi),$$

$$V(\chi, \eta^\prime) = V(\phi \rightarrow \eta^\prime, \chi),$$

$$V(\phi, \phi^\prime) = V(\phi, \chi \rightarrow \phi^\prime) + \lambda_{\phi \phi'} (\phi^\dagger \phi') (\phi^{\dagger} \phi'),$$

$$+ \lambda_{\phi \phi'} (\phi^{\dagger} \phi) (\phi^{\dagger} \phi'),$$

$$V(\phi, \eta) = V(\phi, \chi \rightarrow \eta), \hspace{0.5cm} V(\phi, \eta^\prime) = V(\phi, \chi \rightarrow \eta^\prime),$$

$$V(\phi', \eta) = V(\phi', \chi \rightarrow \eta),$$

$$V(\phi', \eta') = V(\phi', \chi \rightarrow \eta'),$$

$$V(\eta, \eta') = V(\phi \rightarrow \eta, \chi \rightarrow \eta'), + \lambda_{\eta \eta'} (\eta^\dagger \eta') (\eta^{\dagger} \eta'),$$

$$+ \lambda_{\eta \eta'} (\eta^{\dagger} \eta) (\eta^{\dagger} \eta'),$$

$$V_{\text{sex}} \text{ is only of } V(s),$$

$$V(s) = \mu_s^2 \text{Tr} (s^\dagger s) + \lambda_s^1 \text{Tr} \left( [s^\dagger s]^2 \right) + \lambda_s^2 \text{Tr} \left( [s^\dagger s]^3 \right) + \lambda_s^3 \text{Tr} \left( [s^\dagger s]^4 \right) + \lambda_s^4 \text{Tr} \left( [s^\dagger s]^5 \right),$$

$$V_{\text{tri-sex}} \text{ is a sum of }$$

$$V(\chi, s) = \lambda_{\chi s} (\chi^\dagger s) \text{Tr} (s^\dagger s) + \lambda_{\chi s} (\chi^\dagger s)^2 \text{Tr} (s^\dagger s),$$

$$V(\phi, s) = V(\chi \rightarrow \phi, s), \hspace{0.5cm} V(\phi^\prime, s) = V(\chi \rightarrow \phi^\prime, s),$$

$$V(\eta, s) = V(\chi \rightarrow \eta, s), \hspace{0.5cm} V(\eta^\prime, s) = V(\chi \rightarrow \eta^\prime, s),$$

$$V_{\text{sex}} = \left( \lambda_{\eta \eta'} (\eta^\dagger \eta') \text{Tr} (s^\dagger s) \right)^2$$

$$+ \lambda_{\eta \eta'} (\eta^\dagger \eta') (\eta^{\dagger} \eta') + \lambda_{\eta \eta'} (\eta^{\dagger} \eta) (\eta^{\dagger} \eta') + h.c.$$

To provide the Majorana masses for the neutrinos, the lepton number must be broken. This can be achieved via the scalar potential violating $U(1)_X$. However, the other symmetries should be conserved. The violating $Z^\prime$ potential up to quartic interactions is given as

$$\nabla = \left[ \lambda_{\chi} \text{Tr} (s^\dagger s) \lambda_{\eta} (\chi^\dagger \chi) + \lambda_{\eta} (\eta^\dagger \eta) \phi + \lambda_{\eta} (\eta^\dagger \eta) \phi' + \lambda_{\eta} (\eta^\dagger \eta) \phi' + \lambda_{\eta} (\eta^\dagger \eta) \phi' \right] \eta \chi.
We have not explicitly written, but must additionally exist the terms in \( \nabla \) explicitly violating the only \( S_4 \) symmetry or both the \( S_4 \) and \( \mathcal{L} \)-charge too. In the following, most of them will be omitted, and only the terms of the kind of interest will be provided.

There are several scalar sectors corresponding to the expected VEV directions. The first direction, \( 0 \neq \langle s_1 \rangle \neq \langle s_2 \rangle \neq 0, S_4 \), is broken into a subgroup including the elements \( \{ 1, TS^2T^2, S^2, T^2S^2 T \} \) which is isomorphic to the Klein four-group [75] \( |S = (1234), T = (123), \) obeying the relations \( S^4 = T^3 = 1, ST^2S = T, \) are generators of \( S_4 \) group given in [112]. The second direction, \( \langle s_1 \rangle = \langle s_2 \rangle = \langle s \rangle \neq 0, S_4 \), is broken into \( D_4 \). The third direction, \( 0 = \langle s_1 \rangle \neq \langle s_2 \rangle, \) or \( 0 = \langle s_2 \rangle \neq \langle s_1 \rangle, S_4 \) is broken into \( A_4 \). As mentioned before, to obtain a realistic neutrino spectrum, we have thus imposed both of the first and the second directions to be performed.

Let us now consider the potential \( V_{\text{int}} \). The flavons \( \chi, \phi, \eta, \eta' \) with their VEVs aligned in the same direction (all of them are singlets) is an automatic solution from the minimization conditions of \( V_{\text{int}} \). To explicit see this, in the system of equations for minimization, let us put \( v' = v, v'' = v' = u, u' = u' = u' = v' = v = v = v = v' \). Then the potential minimization conditions for triplets reduce to

\[
\frac{\partial V_{\text{int}}}{\partial \omega} = 4\lambda_2 \omega^3 + 2 \left[ \mu_1^2 + \lambda_1 \eta' u^2 + \lambda_2 \eta'' u'^2 + \lambda_3 \phi v^2 + \lambda_4 \phi' v'^2 \right] \omega - \mu_1 \omega v - \mu_1 u' v' = 0,
\]

\[
\frac{\partial V_{\text{int}}}{\partial v} = 4\lambda_2 v^3 + 2 \left[ \mu_2^2 + \lambda_2 \eta^2 u^2 + \lambda_3 \eta'' u'^2 + \lambda_4 \phi v^2 + \lambda_5 \phi' v'^2 \right] v' + \left( \lambda_1^2 + \lambda_3^2 \right) uu' v - \mu_1 \omega u = 0,
\]

\[
\frac{\partial V_{\text{int}}}{\partial v'} = 4\lambda_2 v'^3 + 2 \left[ \mu_2^2 + \lambda_2 \eta^2 u^2 + \lambda_3 \eta'' u'^2 + \lambda_4 \phi v^2 + \lambda_5 \phi' v'^2 \right] v' + \left( \lambda_1^2 + \lambda_3^2 \right) uu' v - \mu_1 \omega u' = 0,
\]

(A.5)

It is easily shown that the derivatives of \( V_{\text{int}} \) with respect to the variables \( u, u', v, v' \) shown in \( (A.7), (A.8), (A.9), \) and \( (A.10) \) are symmetric to each other. The system of \( (A.6)-\text{(A.10)} \) always has the solution \((u, v, u', v')\) as expected, even though it is complicated. It is also noted that the above alignment is only one of the solutions to be imposed to have the desirable results. We have evaluated that \( (A.7)-(A.10) \) have the same structure solution. Consequently, to have a simple solution, we can assume that \( u = u' = v = v' \). In this case, \( (A.7)-(A.10) \) reduce a unique equation, and system of \( (A.6)-(A.10) \) becomes

\[
\frac{\partial V_{\text{int}}}{\partial \omega} = 4\lambda_2 \omega^3 + 2 \omega \left[ \mu_1^2 + \lambda_1 \eta^2 u^2 + \lambda_2 \eta'' u'^2 + \lambda_3 \phi v^2 + \lambda_4 \phi' v'^2 \right] - 2 \mu_1 v = 0,
\]

\[
\frac{\partial V_{\text{int}}}{\partial v} = 2 \omega \left[ \lambda_2 \eta^2 u^2 + \lambda_2 \eta'' u'^2 + \lambda_3 \phi v^2 + \lambda_4 \phi' v'^2 \right] + 2 \left( \lambda_1^2 + \lambda_3^2 \right) uu' v - 2 \mu_1 \omega = 0.
\]

(A.11)

This system has a solution as follows:

\[
u = \frac{\omega \left( \mu_1^2 + \lambda_2 \omega^2 \right)}{\mu_1 - 2 \omega \left( \lambda_2 \eta^2 + \lambda_1 \eta' \right)},
\]

\[
\omega = \frac{\alpha \mu_1}{2 \left( \alpha^2 - \beta \lambda \right)} - \frac{\Omega}{3 \times 2^{2/3} \left( \alpha^2 - \beta \lambda \right)} \left( \Gamma + \sqrt{T^2 + 4T^2} \right)^{1/3}
\]

(A.12)
where

\[ \Gamma = 54 \alpha \beta \mu_1 \left( \lambda_x^2 \mu_1^2 + \alpha^2 \mu_X^2 - \beta \lambda_x \mu_X^2 \right) \]

\[ - 108 \lambda_x \mu_1 \beta \gamma \left( \alpha^2 - \lambda_x^2 \beta \right), \]

\[ \Omega = 6 \left( \alpha^2 - \beta \lambda_x^2 \right) (2 \gamma + \mu_1^2 - \beta \mu_X^2) - 9 \alpha^2 \mu_1^2, \]

\[ \alpha = \lambda_1 \eta + \lambda_2 \eta, \]

\[ \beta = \lambda_1^2 + \lambda_2^2 + \lambda_3^2 + 4 \lambda_x^2 \eta + \lambda_{\phi \phi}^2 + \lambda_{\eta \eta} + 2 \left( \lambda_1^2 + \lambda_2^2 \right), \]

\[ \lambda_{\phi \phi}^2 = \lambda_{\phi \phi}^2 + \lambda_{\phi \phi}^2 + \lambda_{\phi \phi}^2 + \lambda_{\phi \phi}^2, \]

\[ \lambda_{\eta \eta} = \lambda_{\eta \eta}^2 + \lambda_{\eta \eta}^2 + \lambda_{\eta \eta}^2 + \lambda_{\eta \eta}^2. \]

(A.13)

Considering the potentials \( V_{\text{sex}} \) and \( V_{\text{tri-sex}} \), we impose that

\[ \lambda_1^* = \lambda_1, \quad \lambda_2^* = \lambda_2, \quad v_1 = v_1, \quad v_2 = v_2, \]

\[ \Lambda_1^* = \Lambda_1, \quad \Lambda_2^* = \Lambda_2, \quad v^* = v, \quad v'^* = v', \]

and we obtain a system of equations of the potential minimization for antisextets:

\[ \frac{\partial V_i}{\partial \lambda_1} = 2 \left\{ \lambda_2 \left[ \lambda_{11}^2 \omega^2 + \mu_1^2 + \left( \lambda_{11}^2 + \lambda_{22}^2 + \lambda_{33}^2 \right) u^2 \right. \right. \]

\[ + \left( \lambda_{11}^2 + \lambda_{12}^2 + \lambda_{13}^2 \right) u^2 + \left( \lambda_1^2 + \lambda_2^2 \right) uu' \]

\[ + \lambda_{11}^2 v^2 + \lambda_{12}^2 v^2 + \lambda_{21}^2 v' + \lambda_{22}^2 v' \]

\[ + 2 \left( 3 \lambda_{11}^2 + \lambda_{12}^2 + \lambda_{13}^2 + 4 \lambda_{22}^2 \right) v_1 v_2 + 4 \lambda_{21}^2 \Lambda_1 \Lambda_2 \right] \]

\[ + 2 \lambda_2 \left( \lambda_1^2 - \lambda_2^2 + \lambda_3^2 \right) v_1 v_2 + 2 \lambda_1 \left( \lambda_1^2 + \lambda_2^2 \right) v_1^2 \]

\[ + 2 \lambda_1 \left[ \lambda_{11} \lambda_{21}^2 + \lambda_{21}^2 \left( 2 \lambda_1^2 + \lambda_3^2 + 2 \lambda_4^2 + \lambda_6^2 \right) \right. \]

\[ + \left( \lambda_1^2 - \lambda_2^2 + \lambda_3^2 + 2 \lambda_5^2 \right) \right\} = 0, \]

\[ \frac{\partial V_i}{\partial \lambda_2} = 2 \left\{ \lambda_1 \left[ \lambda_{11}^2 \omega^2 + \mu_1^2 + \left( \lambda_{11}^2 + \lambda_{22}^2 + \lambda_{33}^2 \right) u^2 \right. \right. \]

\[ + \left( \lambda_{11}^2 + \lambda_{12}^2 + \lambda_{13}^2 \right) u^2 + \left( \lambda_1^2 + \lambda_2^2 \right) uu' \]

\[ + \lambda_{11}^2 v^2 + \lambda_{12}^2 v^2 + \lambda_{21}^2 v' + \lambda_{22}^2 v' \]

\[ + 2 \left( 3 \lambda_{11}^2 + \lambda_{12}^2 + \lambda_{13}^2 + 4 \lambda_{22}^2 \right) v_1 v_2 + 4 \lambda_{21}^2 \Lambda_1 \Lambda_2 \right] \]

\[ + 2 \lambda_2 \left( \lambda_1^2 - \lambda_2^2 + \lambda_3^2 \right) v_1 v_2 + 2 \lambda_1 \left( \lambda_1^2 + \lambda_2^2 \right) v_1^2 \]

\[ + 2 \lambda_1 \left[ \lambda_{11} \lambda_{21}^2 + \lambda_{21}^2 \left( 2 \lambda_1^2 + \lambda_3^2 + 2 \lambda_4^2 + \lambda_6^2 \right) \right. \]

\[ + \left( \lambda_1^2 - \lambda_2^2 + \lambda_3^2 + 2 \lambda_5^2 \right) \right\} = 0, \]

\[ \frac{\partial V_1}{\partial v_1} = 2 \left\{ v_2 \left[ \left( 2 \lambda_{11}^2 + \lambda_{22}^2 + \lambda_{33}^2 \right) \omega^2 + 2 \mu_2^2 \right. \right. \]

\[ + \left( \lambda_{11}^2 + \lambda_{22}^2 + \lambda_{33}^2 \right) uu' \]

\[ + \left( \lambda_{11}^2 + \lambda_{22}^2 + \lambda_{33}^2 \right) uu' \]

\[ + \lambda_{11}^2 v^2 + \lambda_{22}^2 v^2 + \lambda_{22}^2 v'^2 + \lambda_{22}^2 v'^2 \]

\[ + 2 \lambda_1 \left( \lambda_1^2 + \lambda_2^2 \right) \right\} \left. \right. \]

\[ \frac{\partial V_2}{\partial v_2} = 2 \left\{ v_1 \left[ \left( 2 \lambda_{11}^2 + \lambda_{22}^2 + \lambda_{33}^2 \right) \omega^2 + 2 \mu_2^2 \right. \right. \]

\[ + \lambda_{11}^2 v^2 + \lambda_{22}^2 v'^2 + \lambda_{22}^2 v'^2 \]

\[ + 2 \lambda_1 \left( \lambda_1^2 + \lambda_2^2 \right) \right\} \left. \right. \]
where $V_1$ is a sum of $V_{\text{ext}}$ and $V_{\text{tri-ext}}$:

$$V_1 = V_{\text{ext}} + V_{\text{tri-ext}}$$

It is easily shown that (A.15) takes the same form in couples. This system of equations yields the following relations:

$$\lambda_1 = \kappa \lambda_2, \quad v_1 = \kappa v_2, \quad \Lambda_1 = \kappa \Lambda_2,$$  \hspace{1cm} (A.17)

where $\kappa$ is a constant. It means that there are several alignments for VEVs. In this work, to have the desirable results, we have imposed the two directions for breaking $S_4 \rightarrow D_4$ and $S_4 \rightarrow K_4$ as mentioned, in which $\kappa = 1$ and $\kappa \neq 1$ but approximates to the unit. In the case of $\kappa = 1$ or $\lambda_1 = \lambda_2 = \lambda$, $v_1 = v_2 = v$ and $\Lambda_1 = \Lambda_2 = \Lambda$, the system of (A.15) reduces to system for minimal potential condition consisting of three equations as follows:

$$\lambda_1 \left[ A_\omega + \mu_2^2 + 2 A_4 \lambda_1^2 + 2 (A_4 + B_4) \lambda_2^2 + A_v \right.
\left. + 4 (A_4 + B_4) v_1^2 \right] + 2 B_4 A_\omega v_1^2 = 0,$$

$$2 (A_\omega + B_\omega) + 2 \mu_1^2 + A_\omega + A_4 + 4 B_4 A_\omega \lambda_1$$
$$+ 4 (A_4 + B_4) \left( \lambda_2^2 + v_1^2 + \lambda_2^2 \right) = 0,$$

$$\Lambda_1 \left[ A_\omega + B_\omega + \mu_2^2 + 2 A_4 \lambda_1^2 + 2 (A_4 + B_4) \Lambda_1^2 \right.
\left. + A_v + 4 (A_4 + B_4) v_1^2 \right] + 2 B_4 A_\omega v_1^2 = 0,$$  \hspace{1cm} (A.18)

where

$$A_\omega = \lambda_1^{2 \omega}, \quad B_\omega = \left( \lambda_2^{2 \omega} + \lambda_3^{2 \omega} \right) \omega^2,$$

$$A_4 = 2 \lambda_4 + \lambda_6, \quad B_4 = 2 \lambda_4 + \lambda_5,$$

$$A_v = \left( \lambda_4^v + \lambda_5^v + \lambda_6^v + \lambda_1^v s + \lambda_1^v s + \lambda_2^v n + \lambda_2^v n \right)$$
$$\left. + \lambda_3^v n + \lambda_4^v s + \lambda_5^v s + \lambda_3^v n \right) v^2,$$

$$A_v' = \left( \lambda_4^v + \lambda_5^v + \lambda_6^v + \lambda_1^v s + \lambda_1^v s + \lambda_2^v n + \lambda_2^v n \right) v^2.$$  \hspace{1cm} (A.19)

The system of (A.18) always has the solution $(\lambda_1, v_1, A)$ as expected, even though it is complicated. It is also noted that the above alignment is only one of the solutions to be imposed to have the desirable results.

**B. $S_4$ Group and Clebsch-Gordan Coefficients**

$S_4$ is the permutation group of four objects, which is also the symmetry group of a cube. It has 24 elements divided into 5 conjugacy classes, with $1, 1', 2, 3, 3'$ as its 5 irreducible representations. Any element of $S_4$ can be formed by multiplication of the generators $S$ and $T$ obeying the relations $S^4 = T^3 = 1, ST^2S = T$. Without loss of generality, we could choose $S = (1234), T = (123)$ where the cycle (1234) denotes the permutation $(1, 2, 3, 4) \to (2, 3, 4, 1)$, and (123) means $(1, 2, 3, 4) \to (2, 3, 1, 4)$. The conjugacy classes generated from $S$ and $T$ are

$$C_1 : 1,$$

$$C_2 : (12) (34) = TS^2 T^2, \quad (13) (24) = S^2,$$

$$\quad (14) (23) = T^2 S^2 T,$$

$$C_3 : (123) = T, \quad (123) = T^2, \quad (124) = T^2 S^2,$$

$$\quad (142) = S^2 T, \quad (134) = S^2 S^2 T, \quad (143) = S T S,$$

$$\quad (234) = S^2 T^2, \quad (243) = T S T,$$

$$C_4 : (1234) = S, \quad (1243) = T S, \quad (1324) = ST,$$

$$\quad (1432) = S, \quad (1423) = T S T, \quad (1342) = S T S,$$

$$\quad (234) = S^2 T S, \quad (243) = T S T, \quad (34) = T^2 S.$$  \hspace{1cm} (B.1)

The character table of $S_4$ is given as shown in Table 1, where $n$ is the order of class and $h$ is the order of elements within each class. Let us note that $C_{1,2,3}$ are even permutations, while $C_{4,5}$ are odd permutations. The two three-dimensional representations differ only in the signs of their $C_4$ and $C_5$ matrices. Similarly, the two one-dimensional representations behave the same.

We will work on a basis where $\bar{3}$ and $\bar{3}'$ are real representations whereas $\bar{2}$ is complex. One possible choice of generators is given as follows:

$$1 : S = 1, \quad T = 1,$$

$$1' : S = -1, \quad T = 1,$$

$$2 : S = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}, \quad T = \begin{pmatrix} \omega & 0 \\ 0 & \omega^3 \end{pmatrix},$$

$$3 : S = \begin{pmatrix} -1 & 0 & 0 \\ 0 & -1 & 0 \end{pmatrix}, \quad T = \begin{pmatrix} 0 & 1 & 0 \\ 1 & 0 & 0 \end{pmatrix},$$

$$\frac{3'}{2} : S = \begin{pmatrix} -1 & 0 & 0 \\ 0 & 0 & -1 \end{pmatrix}, \quad T = \begin{pmatrix} 0 & 0 & 1 \\ 0 & 1 & 0 \end{pmatrix},$$

where $\omega = e^{2\pi i/3} = -1/2 + i\sqrt{3}/2$ is the cube root of unity. Using them we calculate the Clebsch-Gordan coefficients for all the tensor products as given below.

First, let us put $\bar{3}(1, 2, 3)$ which means some $\bar{3}$ multiplet such as $x = (x_1, x_2, x_3) \sim \bar{3}$ or $y = (y_1, y_2, y_3) \sim \bar{3}$, and similarly for the other representations. Moreover, the numbered multiplets such as $(\ldots, i, j, \ldots)$ mean $(\ldots, x_i y_j, \ldots)$ where $x_i$ and $y_j$ are the multiplet components of different
representations $x$ and $y$, respectively. In the following the components of representations in l.h.s. will be omitted and should be understood, but they always exist in order in the components of decompositions in r.h.s.:

$$\chi = 1 \otimes 1 = 1(11), \quad 1' \otimes 1' = 1(11), \quad 1 \otimes 1' = 1'(11),$$

$$1 \otimes 2 = 2(11, 12), \quad 1' \otimes 2 = 2(11, -12),$$

$$1 \otimes 3 = 3(11, 12, 13), \quad 1' \otimes 3 = 3'(11, 12, 13),$$

$$1 \otimes 3' = 3'(11, 12, 13), \quad 1' \otimes 3' = 3(11, 12, 13),$$

$$2 \otimes 2 = 2'(12 + 21) \oplus 1'(12 - 21) \oplus 2(22, 11),$$

$$2 \otimes 3 = 3((1 + 2)1, \omega (1 + \omega 2), \omega 2 (1 + \omega 2) 3),$$

$$\oplus 3'(1 - 2)1, \omega (1 - \omega 2) 2, \omega 2 (1 - \omega 2) 3),$$

$$2 \otimes 3' = 3'(1 + 2)1, \omega (1 + \omega 2) 2, \omega 2 (1 + \omega 2) 3),$$

$$\oplus 3((1 - 2)1, \omega (1 - \omega 2) 2, \omega 2 (1 - \omega 2) 3),$$

$$3 \otimes 3 = 1(11 + 22 + 33),$$

$$\oplus 2((11 + \omega 22 + \omega 33, 11 + \omega 22 + \omega 33),$$

$$2(23 + 32, 31 + 13, 12 + 21),$$

$$3(23 - 32, 31 - 13, 12 - 21),$$

$$3' \otimes 3' = 1(11 + 22 + 33),$$

$$\oplus 2((11 + \omega 22 + \omega 33, 11 + \omega 22 + \omega 33),$$

$$2(23 + 32, 31 + 13, 12 + 21),$$

$$3(23 - 32, 31 - 13, 12 - 21),$$

$$3' \otimes 3' = 1'(11 + 22 + 33),$$

$$\oplus 2((11 + \omega 22 + \omega 33, -11 - \omega 22 - \omega 33),$$

$$2(23 + 32, 31 + 13, 12 + 21),$$

$$3(23 - 32, 31 - 13, 12 - 21),$$

where the subscripts $s$ and $a$, respectively, refer to their symmetric and antisymmetric product combinations as explicitly pointed out. We also notice that many group multiplication rules above have similar forms as those of $S_3$ and $A_4$ groups [14,112].

In the text we usually use the following notations, for example, $(xy')_2 = [xy']_2 = (x_2y'_3 - x_3y'_2, x_3y'_1 - x_1y'_3, x_1y'_2 - x_2y'_1)$ which is the Clebsch-Gordan coefficients of $A_{20}$ in the decomposition of $3\otimes 3$, whereas mentioned $x = (x_1, x_2, x_3) \sim 3$ and $y' = (y'_1, y'_2, y'_3) \sim 3'$. The rules to conjugate the representations 1, 1', 2, 3, and 3' are given by

$$2^* (1^*, 2^*) = 2 (2^*, 1^*), \quad 1^* (1^*) = 1 (1^*),$$

$$1^* (1^*) = 1 (1^*),$$

$$3^* (1^*, 2^*, 3^*) = 3 (1^*, 2^*, 3^*),$$

$$3'^* (1^*, 2^*, 3^*) = 3' (1^*, 2^*, 3^*),$$

where, for example, $2^* (1^*, 2^*)$ denotes some $2^*$ multiplet of the form $(x^*_1, x^*_2) \sim 3^*$. The numbers $L$ and $P_I$ of the model particles (notice that the family indices are suppressed).

**Conflict of Interests**

The authors declare that there is no conflict of interests regarding the publication of this paper.

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**References**


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