

# Wave Dark Matter

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wave interference, axion detection experiments

## Abstract

We review the physics and phenomenology of wave dark matter: a bosonic dark matter candidate lighter than about 30 eV. Such particles have a de Broglie wavelength exceeding the average interparticle separation in a galaxy like the Milky Way and are, thus, well described as a set of classical waves. We outline the particle physics motivations for such particles, including the quantum chromodynamics axion as well as ultralight axion-like particles such as fuzzy dark matter. The wave nature of the dark matter implies a rich phenomenology:

- Wave interference gives rise to order unity density fluctuations on de Broglie scale in halos. One manifestation is vortices where the density vanishes and around which the velocity circulates. There is one vortex ring per de Broglie volume on average.
- For sufficiently low masses, soliton condensation occurs at centers of halos. The soliton oscillates and undergoes random walks, which is another manifestation of wave interference. The halo and subhalo abundance is expected to be suppressed at small masses, but the precise prediction from numerical wave simulations remains to be determined.
- For ultralight  $\sim 10^{-22}$  eV dark matter, the wave interference substructures can be probed by tidal streams or gravitational lensing. The signal can be distinguished from that due to subhalos by the dependence on stream orbital radius or image separation.
- Axion detection experiments are sensitive to interference substructures for wave dark matter that is moderately light. The stochastic nature of the waves affects the interpretation of experimental constraints and motivates the measurement of correlation functions.

Current constraints and open questions, covering detection experiments and cosmological, galactic, and black hole observations, are discussed.



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## 1. INTRODUCTION

The astronomical evidence for the existence of dark matter, accumulated over decades, is rich and compelling (e.g., Zwicky 1933, Smith 1936, Freeman 1970, Rubin & Ford 1970, Ostriker & Peebles 1973, Hoekstra et al. 2004, Clowe et al. 2006, Bennett et al. 2013, Aghanim et al. 2020). Yet, the identity and basic properties of dark matter remain shrouded in mystery. An example is the constituent's mass: Proposals range from ultralight  $\sim 10^{-22}$  eV (Hu et al. 2000) to astronomical  $\sim 10 M_{\odot}$  (Bird et al. 2016, Garcia-Bellido & Ruiz Morales 2017, Sasaki et al. 2018, Jedamzik 2020). In this vast spectrum, there is nonetheless a useful demarcation point. Dynamical measurements tell us the dark matter mass density in the Solar Neighborhood is about  $0.4 \text{ GeV cm}^{-3}$ .<sup>1</sup> From

<sup>1</sup>A range of local dark matter density values have been reported in the literature: e.g.,  $0.008 M_{\odot} \text{ pc}^{-3} = 0.3 \text{ GeV cm}^{-3}$  (Bovy & Tremaine 2012),  $0.0122 M_{\odot} \text{ pc}^{-3} = 0.46 \text{ GeV cm}^{-3}$  (Sivertsson et al. 2018), and  $0.013 M_{\odot} \text{ cm}^{-3} = 0.49 \text{ GeV cm}^{-3}$  (McKee et al. 2015).

this, one can deduce the average interparticle separation, given a dark matter particle mass. We can compare it against the de Broglie wavelength of the particle:

$$\lambda_{\text{dB}} \equiv \frac{2\pi}{mv} = 0.48 \text{ kpc} \left( \frac{10^{-22} \text{ eV}}{m} \right) \left( \frac{250 \text{ km s}^{-1}}{v} \right) = 1.49 \text{ km} \left( \frac{10^{-6} \text{ eV}}{m} \right) \left( \frac{250 \text{ km s}^{-1}}{v} \right), \quad 1.$$

where  $v$  is the velocity dispersion of the galactic halo, and  $m$  is the dark matter particle mass, for which two representative values are chosen for illustration.<sup>2</sup> It can be shown that the de Broglie wavelength exceeds the interparticle separation if  $m \lesssim 30$  eV. In other words, in a Milky Way-like environment, the average number of particles in a de Broglie volume  $\lambda_{\text{dB}}^3$  is

$$N_{\text{dB}} \sim \left( \frac{34 \text{ eV}}{m} \right)^4 \left( \frac{250 \text{ km s}^{-1}}{v} \right)^3. \quad 2.$$

For  $m \ll 30$  eV, the occupancy  $N_{\text{dB}}$  is so large that the set of particles is best described by classical waves; this is similar to electromagnetism, in which a state with a large number of photons is well described by the classical electric and magnetic fields.<sup>3</sup> The associated wave phenomena are the subject of this review. We emphasize classical, because large occupancy implies negligible quantum fluctuations. The question of how the classical description relates to the underlying quantum one is a fascinating subject. We unfortunately do not have the space to explore it here (see Sikivie & Yang 2009, Guth et al. 2015, Dvali & Zell 2018, Allali & Hertzberg 2020, Lentz et al. 2020).

Such a light dark matter particle is necessarily bosonic, for the Pauli exclusion principle precludes multiple occupancies for fermions—this is the essence of the bound by Tremaine & Gunn (1979). For concreteness, we focus on a spin zero (scalar) particle, although much of the wave phenomenology applies to higher spin cases as well (Aoki & Mukohyama 2016, Graham et al. 2016b, Kolb & Long 2021, Adshead & Lozano 2021). There is a long history of investigations of dark matter as a scalar field (e.g., Baldeschi et al. 1983, Turner 1983, Khlopov et al. 1985, Press et al. 1990, Sin 1994, Guzmán et al. 1999, Goodman 2000, Matos et al. 2000, Peebles 2000, Lesgourgues et al. 2002, Bernal et al. 2003, Amendola & Barbieri 2006, Chavanis 2011, Suárez & Matos 2011, Rindler-Daller & Shapiro 2012, Berezhiani & Khouri 2015, Fan 2016, Alexander & Cormack 2017). Perhaps the most well-motivated example is the quantum chromodynamics (QCD) axion (Peccei & Quinn 1977, Weinberg 1978, Wilczek 1978, Kim 1979, Shifman et al. 1980, Zhitnitsky 1980, Dine et al. 1981, Abbott & Sikivie 1983, Dine & Fischler 1983, Preskill et al. 1983). Its possible mass spans a large range—experimental detection has focused on masses around  $10^{-6}$  eV, with newer experiments reaching down to much lower values. For recent reviews, see Graham et al. (2015), Marsh (2016), and Sikivie (2020). String theory also predicts a large number of axion-like particles (ALP), one or some of which could be dark matter (Svrcek & Witten 2006, Arvanitaki et al. 2010, Halverson et al. 2017, Bachlechner et al. 2019). At the extreme end of the spectrum is the possibility of an ALP with mass around  $10^{-22}$ – $10^{-20}$  eV, with a relic abundance that naturally matches the observed dark matter density (see Section 2). More generally, ultralight dark matter in this mass range is often referred to as fuzzy dark matter (FDM). The FDM model was proposed by Hu et al. (2000) to address small-scale structure issues thought to be associated with conventional cold dark matter (CDM) (Spergel & Steinhardt 2000). This is a large subject we do not discuss in depth, though it is touched upon in Section 4.

<sup>2</sup>In this article,  $\hbar$  and  $c$  are set to unity. In most cases, restoring  $\hbar$  is a matter of replacing  $m$  by  $m/\hbar$ . For instance, the de Broglie wavelength is  $\lambda_{\text{dB}} = 2\pi\hbar/(mv) = \hbar/(mv)$ . The Compton wavelength is  $\lambda_{\text{Compton}} = 2\pi\hbar/(mc)$ .

<sup>3</sup>A more precise statement is that a coherent state of photons has negligible quantum fluctuations if the average occupation number is large. See, e.g., the classic paper by Glauber (1963).

## TERMINOLOGY

We use the term axion to loosely refer to both the QCD axion and an axion-like particle, ALP (Section 2). The term fuzzy dark matter, FDM, is reserved for the ultralight part of the mass spectrum,  $m \sim 10^{-22}\text{--}10^{-20}$  eV. Wave dark matter is the more general term,  $m \lesssim 30$  eV, for which dark matter exhibits wave phenomena. Wave dark matter, such as the axion, is in fact one form of cold dark matter, CDM, assuming it is not produced by thermal freeze-out (see Section 2). We use the term particle dark matter for cases in which  $m \gtrsim 30$  eV, the primary example of which is a weakly interacting massive particle (WIMP). We sometimes refer to it as conventional CDM.

It remains unclear whether the small-scale structure issues point to novelty in the dark matter sector, or can be resolved by baryonic physics, once the complexities of galaxy formation are properly understood (for a recent review, see Weinberg et al. 2015).

In this review, we take a broad perspective on wave dark matter ( $m \lesssim 30$  eV) and discuss novel features that distinguish it from particle dark matter ( $m \gtrsim 30$  eV). The underlying wave dynamics is the same whether the dark matter is ultralight like FDM or merely light like the QCD axion. The length scale of the wave phenomena (i.e., the de Broglie wavelength) depends, of course, on the mass. For the higher masses, the length scales are small, which can be probed by laboratory detection experiments. (The higher masses can have astrophysical consequences too, despite the short de Broglie wavelength, for instance around black holes or in solitons, as we discuss below.) For the ultralight end of the spectrum, i.e., FDM ( $m \sim 10^{-22}\text{--}10^{-20}$  eV), the length scales are long and there can be striking astrophysical signatures, which we highlight.<sup>4</sup> A mass  $m < 10^{-22}$  eV is possible, but only if the particle constitutes a small fraction of dark matter, for the simple reason that an excessively large  $\lambda_{\text{dB}}$  precludes the existence of dark matter-dominated dwarf galaxies (Hu et al. 2000). When the mass approaches the size of the Hubble constant today,  $m \sim 10^{-33}$  eV, the scalar field is so slowly rolling that it is essentially a form of dark energy (Hložek et al. 2015). (The distinction between a slowly rolling scalar field as dark energy and oscillating scalar field as dark matter is discussed in Section 2.)

An outline of this review is as follows. Particle physics motivations for considering wave dark matter are discussed in Section 2. The bulk of this review is devoted to elucidating the dynamics and phenomenology of wave dark matter, in Section 3. The observational and experimental implications and constraints are summarized in Section 4. We conclude, in Section 5, with a discussion of open questions and directions for further research. This review is intended to be pedagogical: We emphasize results that can be understood in an intuitive way while providing ample references. We devote more space to elucidating the physics than to summarizing the current constraints, which evolve, sometimes rapidly. The definitions of several recurring terms can be found in the sidebar titled Terminology.

## 2. PARTICLE PHYSICS MOTIVATIONS

In this section, we describe the axion—the QCD axion or an ALP—as a concrete example of wave dark matter: (a) how it is motivated by high-energy physics considerations independent of the

<sup>4</sup>There is a recent flurry of activities on this front, starting from the paper by Schive et al. (2014a), then Schive et al. (2014b), Veltmaat & Niemeyer (2016), Schwabe et al. (2016), Hui et al. (2017), Mocz et al. (2017), Nori & Baldi (2018), Levkov et al. (2018), Bar-Or et al. (2019), Bar et al. (2018), Church et al. (2019), Li et al. (2019), Marsh & Niemeyer (2019), Schive et al. (2020), Mocz et al. (2019), Lancaster et al. (2020), Chan et al. (2020), and Hui et al. (2021). Recent reviews can be found by Niemeyer (2019) and Ferreira (2020).

dark matter problem; (b) how a relic abundance that matches the observed dark matter density can be naturally obtained; and (c) how it is weakly interacting and cold. Readers not interested in the details can skip to Section 3 without loss of continuity.

We are interested in a scalar field  $\phi$  that has a small mass  $m$ . A natural starting point is a massless Goldstone boson associated with the spontaneous breaking of some symmetry. Nonperturbative quantum effects can generate a small mass—hence, a pseudo Goldstone boson—or more generally a potential  $V(\phi)$ , giving a Lagrangian density of the following form:<sup>5</sup>

$$\mathcal{L} = -\frac{1}{2} \partial_\mu \phi \partial^\mu \phi - V(\phi). \quad 3.$$

A concrete realization is the axion, which is a real angular field, in the sense that  $\phi$  and  $\phi + 2\pi f$  are identified; i.e.,  $\phi/f$  is effectively an angle. The periodicity scale  $f$ , an energy scale, is often referred to as the axion decay constant.

The classic example is the QCD axion, a particle that couples to the gluon field strength and derives its mass from the presence of this coupling (and confinement). It was introduced to address the strong CP (charge conjugation parity) problem: that a certain parameter in the standard model, the angle  $\theta_{\text{QCD}}$ , is constrained to be less than  $10^{-9}$  from experimental bounds on the neutron electric dipole moment.<sup>6</sup> It has certain generic couplings to the standard model, allowing the possibility of experimental detection (see Equation 9 below). More general examples—namely, ALPs that have similar couplings to the standard model but do not contribute to the resolution of the strong CP problem—arise naturally in string theory as the Kaluza–Klein zero modes of higher form fields when the extra dimensions are compactified (Green et al. 1988, Svrcek & Witten 2006, Arvanitaki et al. 2010, Dine 2016, Halverson et al. 2017, Bachlechner et al. 2019).

For illustration, consider a potential  $V(\phi)$  of the following form:

$$V(\phi) = \Lambda^4 (1 - \cos [\phi/f]) \quad 4.$$

(the QCD axion potential does not have this precise form, but shares similar qualitative features). The cosine is consistent with the idea of  $\phi/f$  being an angle. The additive constant is not important for our considerations and is chosen merely to make  $V$  vanish at the minimum  $\phi = 0$ . The mass of  $\phi$  can be read off from expanding the cosine around  $\phi = 0$ :  $m = \Lambda^2/f$ . Typically,  $f$  is some high-energy scale up to Planck scale, whereas  $\Lambda$  is exponentially suppressed compared to that (see footnote 5), giving a small  $m$ . For instance,  $f \sim 10^{17}$  GeV and  $\Lambda \sim 100$  eV gives  $m \sim 10^{-22}$  eV. The QCD axion potential does not have the exact form above (for a recent computation, see di Cortona et al. 2016), but  $m \sim \Lambda^2/f$  remains true with  $\Lambda$  being the QCD scale of  $\sim 100$  MeV. For instance,  $f \sim 10^{13}$  GeV gives  $m \sim 10^{-6}$  eV for the QCD axion.

<sup>5</sup>By nonperturbative effects, we mean something that is exponentially suppressed in the  $\hbar \rightarrow 0$  limit, which is analogous to how the tunneling amplitude in quantum mechanics is exponentially suppressed ( $\sim e^{-S_{\text{instanton}}/\hbar}$ ). A moderate value for  $S_{\text{instanton}}/\hbar$  could yield a small mass, starting from some high-energy scale. See Marsh (2016) for examples.

<sup>6</sup>The  $\theta_{\text{QCD}}$  term in the Lagrangian takes the form  $\mathcal{L} \sim \theta_{\text{QCD}} G \tilde{G}$ , where  $G$  and  $\tilde{G}$  are the gluon field strength and its dual, respectively. Such a term is a total derivative yet must be included in the path integral to account for gluon field configurations of different windings. Such topological considerations tell us  $\theta_{\text{QCD}}$  is an angle. With nonvanishing quark masses, a nonzero angle signals the breaking of CP, which is severely constrained by experiments. The idea of the QCD axion is to promote this angle to a dynamical field  $\theta_{\text{QCD}} \rightarrow \phi/f$ , thereby allowing a physical mechanism that relaxes it to zero, as suggested by Peccei & Quinn (1977). The axion  $\phi$  is the Goldstone boson associated with the breaking of a certain global symmetry, Peccei–Quinn U(1), as pointed out by Weinberg (1978) and Wilczek (1978). See Dine (2001) and Hook (2019) for reviews on axions and alternative solutions to the strong CP problem.

What determines the contribution of  $\phi$  to the energy content of the Universe today? Here, we outline the misalignment mechanism reviewed by Kolb & Turner (1990). Consider the equation of motion for a homogeneous  $\phi$  (following from Equation 3) in an expanding background:

$$\ddot{\phi} + 3H\dot{\phi} + \partial_\phi V = 0, \quad 5.$$

where  $H$  is the Hubble expansion rate. In the early Universe, when  $H$  is large, Hubble friction is sufficient to keep  $\phi$  slowly rolling, i.e., balancing the last two terms on the left. Thus,  $V(\phi)$  plays the role of dark energy. The value of  $\phi$  is essentially stuck at its primordial value—we assume  $\phi_{\text{primordial}}/f$ , the so-called misalignment angle, is order unity. [An interesting variant of the idea, where the primordial  $\phi$  has a significant velocity, was proposed by Co et al. (2020).] The expansion rate drops as time goes on, until  $H$  reaches  $\sim m$ . After that,  $\phi$  rolls toward the minimum of the potential and commences oscillations around it. The expansion of the Universe takes energy out of such oscillations, diminishing the oscillation amplitude. Subsequently,  $\phi$  oscillates close to zero, implying it is a good approximation to treat the potential as:

$$V(\phi) \sim \frac{1}{2}m^2\phi^2. \quad 6.$$

The energy density contained in the  $\phi$  oscillations is

$$\rho = \frac{1}{2}\dot{\phi}^2 + \frac{1}{2}m^2\phi^2. \quad 7.$$

It follows from Equation 5 that  $\rho$  redshifts like  $a^{-3}$ , where  $a$  is the scale factor. The  $\phi$  oscillations, which can be interpreted as a set of particles, therefore have the redshifting behavior of (nonrelativistic) matter, making this a suitable dark matter candidate. Following this cosmological history, it can be shown that the relic density today is (e.g., Arvanitaki et al. 2010, Marsh 2016, Hui et al. 2017):

$$\Omega_{\text{axion}} \sim 0.1 \left( \frac{f}{10^{17} \text{ GeV}} \right)^2 \left( \frac{m}{10^{-22} \text{ eV}} \right)^{1/2}, \quad 8.$$

where  $\Omega_{\text{axion}}$  is the axion density today as a fraction of the critical density. It is worth emphasizing that the relic density is more sensitive to the choice of  $f$  than to  $m$ . The value of  $10^{17}$  GeV, close to but below the Planck scale, is motivated by string theory constructions (Svrcek & Witten 2006; see Kim & Marsh 2016, Davoudiasl & Murphy 2017, and Alonso-Álvarez & Jaeckel 2018 for recent explorations of model building). But a slightly different  $f$  would have to be paired with a quite different  $m$ , if one were to insist on matching the observed dark matter abundance. Nonetheless, this relic abundance computation motivates the consideration of light, even ultralight, axions.

The reasoning above essentially follows the classic computation of the QCD axion relic density (Abbott & Sikivie 1983, Dine & Fischler 1983, Preskill et al. 1983)—the difference is that while  $V(\phi)$  is constant here, it is temperature dependent for the QCD axion. Besides the misalignment mechanism, it is also possible axions arise from the decay of topological defects, if the Peccei–Quinn U(1) symmetry is broken after inflation [for recent lattice computations, see Buschmann et al. 2020 and Gorgetto et al. 2021; see the sidebar titled Peccei–Quinn U(1)].

## PECCEI–QUINN U(1)

The symmetry associated with shifting  $\phi$  by a constant. Its spontaneous breaking is what makes the axion  $\phi$  possible. Its small explicit breaking by nonperturbative effects gives  $\phi$  a potential.

Aside from having the requisite relic abundance, a good dark matter candidate should be cold and weakly interacting. The coldness is implicit in the misalignment mechanism: The axion starts off as a homogeneous scalar field in the early Universe, with the homogeneity guaranteed, for instance, by inflation. (There are inevitable small fluctuations as well; this is discussed in Section 4.) The weakly interacting nature is implied by the large axion decay constant  $f$ . Possible interactions include the following:<sup>7</sup>

$$\mathcal{L}_{\text{int.}}^{\text{self}} \sim \frac{m^2}{f^2} \phi^4, \quad \mathcal{L}_{\text{int.}}^{\gamma} \sim \frac{\phi}{f} F^{\mu\nu} \tilde{F}_{\mu\nu}, \quad \mathcal{L}_{\text{int.}}^{\Psi} \sim \frac{\partial_\mu \phi}{f} \bar{\Psi} \gamma^\mu \gamma_5 \Psi. \quad 9.$$

The first interaction, a self-interaction of  $\phi$ , follows from expanding out the potential  $V(\phi)$  to quartic order; it is an attractive interaction for the axion. The second interaction is with the photon,  $F$  and  $\tilde{F}$  being the photon field strength and its dual, respectively (there is an analogous interaction with gluon field strength and its dual for the QCD axion). The third interaction is with a fermion  $\Psi$ , which could represent quarks or leptons. The last two interactions are both symmetric under a shift of  $\phi$  by a constant, as befitting a (pseudo) Goldstone boson. The generic expectation is that all three coupling strengths are of the order shown, but models can be constructed that deviate from it (Choi & Im 2016, Kaplan & Rattazzi 2016, Kim & Marsh 2016). The important point is that  $f$  is expected to be large, keeping these interactions weak, for both the QCD axion and ALPs. For structure formation purposes, these interactions can be largely ignored, though their presence is important for direct detection and in certain extreme astrophysical environments, as we discuss below.

### 3. WAVE DYNAMICS AND PHENOMENOLOGY

The discussion above motivates us to consider a scalar field  $\phi$  satisfying the Klein–Gordon equation:

$$-\square\phi + m^2\phi = 0, \quad 10.$$

which follows from Equation 3 with the potential approximated by Equation 6. Much of the following discussion is not specific to axions—it applies to any scalar (or pseudoscalar) particle whose dominant interaction is gravitational. Occasionally, we comment on features that are specific to axions, for instance, in cases where their self-interaction is important. [For an axion, self-interaction is subdominant relative to gravity or quantum pressure in a broad range, though not all, of the parameter space, and for a typical halo density. The relative importance of self-interaction, gravity, and quantum pressure can be estimated by comparing  $m^2\phi^4/f^2$ ,  $(m^2\phi^2)^2 r^2/m_{\text{pl}}^2$  and  $\phi^2/r^2$  (Hui et al. 2021). The first term, for self-interaction, is smaller than the other two for all scales  $r$  for a fixed density  $\rho = m^2\phi^2$  provided that  $1 > 10^{-11}(10^{-6}\text{eV}/m)(10^{12}\text{GeV}/f)^2(\rho/\text{GeV}/\text{cm}^3)^{1/2}$ . The inequality holds also for FDM-like values such as  $m \sim 10^{-22}\text{eV}$  and  $f \sim 10^{17}\text{GeV}$ . For numerical investigations of cases with significant self-interaction, see Amin & Mocz (2019) and Glennon & Prescod-Weinstein (2020).]

Unlike in Equation 5, here we are interested in the possibility of  $\phi$  having spatial fluctuations. In the nonrelativistic regime relevant for structure formation, it is useful to introduce a complex scalar  $\psi$  ( $\phi$  is a real scalar):

$$\phi = \frac{1}{\sqrt{2m}} (\psi e^{-imt} + \psi^* e^{imt}). \quad 11.$$

<sup>7</sup>We list here only interactions for a pseudoscalar like the axion. For a scalar, there are other possibilities; see, e.g., Graham et al. (2015).

The idea is to factor out the fast time dependence of  $\phi$ —oscillation with frequency  $m$ —and assume  $\psi$  is slowly varying, i.e.,  $|\ddot{\psi}| \ll m|\dot{\psi}|$ . The Klein–Gordon equation reduces to the Schrödinger equation:

$$i \partial_t \psi = -\frac{\nabla^2}{2m} \psi + m\Phi\psi. \quad 12.$$

Several comments are in order. (a) In what sense is the assumption of  $\partial_t \ll m$  nonrelativistic? From the Schrödinger equation, we see  $\partial_t \sim \nabla^2/m \sim k^2/m$ . Thus,  $\partial_t \ll m$  is equivalent to  $k^2/m \ll m$ ; i.e., momentum is small compared to rest mass. (b) We introduce the gravitational potential  $\Phi$ . Recall that  $\square = g^{\mu\nu} \nabla_\mu \nabla_\nu$  contains the metric  $g^{\mu\nu}$ , thus gravitational interaction of  $\phi$  is implicit. For many applications, this is the only interaction we need to include.<sup>8</sup> In principle, the metric should account for the cosmic expansion, which we have ignored to simplify the discussion. Cosmic counterparts of the equations presented here can be found (e.g., Hu et al. 2000, Hui et al. 2017). (c) Despite the appearance of the Schrödinger equation,  $\psi$  should be thought of as a (complex) classical field. The situation is analogous to the case of electromagnetism: A state with high occupancy is adequately described by the classical electric and magnetic fields. On occasion, we refer to  $\psi$  as the wavefunction, purely out of habit.

The nonrelativistic dynamics of wave dark matter is completely described by Equation 12, supplemented by the Poisson equation:

$$\nabla^2 \Phi = 4\pi G \rho, \quad \rho = m|\psi|^2. \quad 13.$$

The expression for mass density,  $\rho$ , can be justified by plugging Equation 11 into Equation 7, taking the nonrelativistic limit and averaging over oscillations; i.e.,  $|\psi|^2$  has the meaning of particle number density. Strictly speaking, the energy density should include gradient energy that is not contained in Equation 7. The gradient energy contribution to  $\rho$  is of order  $|\nabla\psi|^2/m$ , which is negligible compared to the rest mass contribution  $m|\psi|^2$  in the nonrelativistic regime.

An alternative, fluid description of this wave system is instructive. This is called the Madelung (1927) formulation (see also Feynman et al. 1963). The mass density of the fluid is  $\rho = m|\psi|^2$  as discussed. The complex  $\psi$  can be written as  $\psi = \sqrt{\rho/m} e^{i\theta}$ . The fluid velocity  $\vec{v}$  is related to the phase  $\theta$  by

$$\vec{v} = \frac{1}{m} \vec{\nabla} \theta = \frac{i}{2m|\psi|^2} (\psi \vec{\nabla} \psi^* - \psi^* \vec{\nabla} \psi). \quad 14.$$

Notice the fluid velocity is a gradient flow, resembling that of a superfluid. (A superfluid can have vortices as topological defects; see Section 3.4.) With this identification of the fluid velocity, what is normally understood as probability conservation in quantum mechanics is now recast as mass conservation:

$$\partial_t \rho + \vec{\nabla} \cdot (\rho \vec{v}) = 0. \quad 15.$$

The Schrödinger equation possesses a U(1) symmetry, the rotation of  $\psi$  by a phase. In our context, conservation of the associated Noether current expresses particle number conservation, or mass conservation, as appropriate for the  $\phi$  particles in the nonrelativistic regime.

<sup>8</sup>Wave dark matter described as such can be thought of as a minimalist version: The primary interaction is gravitational (though as we discuss later, other interactions expected for an axion could be relevant in some cases). In the literature, there are studies of models in which additional interactions play a crucial role, e.g., Rindler-Daller & Shapiro (2012), Berezhiani & Khoury (2015), Fan (2016), Alexander & Cormack (2017), Alexander et al. (2019), and Ferreira et al. (2019). Some of the phenomenology described here, such as wave interference, applies to these models as well.

The Schrödinger equation is complex. Thus, besides mass conservation, it implies an additional real equation, the Euler equation:

$$\partial_t \vec{v} + (\vec{v} \cdot \vec{\nabla}) \vec{v} = -\vec{\nabla} \Phi + \frac{1}{2m^2} \vec{\nabla} \left( \frac{\nabla^2 \sqrt{\rho}}{\sqrt{\rho}} \right). \quad 16.$$

Equations 15 and 16 together serve as an alternative, fluid description to the Schrödinger or wave formulation. The last term in Equation 16 is often referred to as the quantum pressure term. It is a bit of a misnomer (which we will perpetuate!), because what we have is a classical system. Also, the term arises from a stress tensor rather than mere pressure:

$$\Sigma_{ij} = \frac{1}{4m^2} (\rho^{-1} \partial_i \rho \partial_j \rho - \partial_i \partial_j \rho) = -\frac{\rho}{4m^2} \partial_i \partial_j \ln \rho; \quad 17.$$

i.e.,  $\partial_i (\nabla^2 \sqrt{\rho} / \sqrt{\rho}) / (2m^2) = -\rho^{-1} \partial_j \Sigma_{ij}$ .<sup>9</sup> The stress tensor represents how the fluid description accounts for the underlying wave dynamics. It shows in a clear way how the particle limit is obtained: For large  $m$ , the Euler equation reduces to that for a pressureless fluid, as is appropriate for particle dark matter. We are interested in the opposite regime, where this stress tensor, or the wave effects it encodes, plays an important role.

Incidentally, the insight that the wave formulation in the large  $m$  limit can be used to model particle CDM was exploited to good effect by Widrow & Kaiser (1993). The wave description effectively reshuffles information in a phase-space Boltzmann distribution into a position-space wavefunction. It offers several insights that might otherwise be obscure (Uhlemann et al. 2014, 2019; Garny et al. 2020).

In the rest of this section, we deduce a few intuitive consequences from this system of equations—Equations 12 and 13 in the wave description, or Equations 15, 16, and 13 in the fluid description. Implications for observations and experiments are discussed in Section 4.

### 3.1. Perturbation Theory

Suppose the density is approximately homogeneous with small fluctuations:  $\rho = \bar{\rho}(1 + \delta)$  where  $|\delta| \ll 1$ . We are interested in comparing the two terms—gravity and quantum pressure—on the right-hand side of the Euler equation (Equation 16). Taking the divergence of both, we find the following:

$$-\nabla^2 \Phi + \frac{1}{4m^2} \nabla^4 \delta, \quad 18.$$

where we have expanded out the quantum pressure term in small  $\delta$ . Employing the Poisson equation  $\nabla^2 \Phi = 4\pi G \bar{\rho} \delta$ ,<sup>10</sup> we see that the relative importance of gravity versus quantum pressure is delineated by the Jeans scale:

$$k_J = (16\pi G \bar{\rho})^{1/4} m^{1/2}, \quad 19.$$

<sup>9</sup>The Euler equation (combined with mass conservation) can be reexpressed as  $\partial_t (\rho v_i) + \partial_j (\rho v_i v_j + \Sigma_{ij}) = -\rho \partial_i \Phi$ . In other words, the standard energy-momentum tensor components are:  $T^0_0 = -\rho$ ,  $T^0_i = \rho v_i$ , and  $T^i_j = \rho v_i v_j + \Sigma_{ij}$ . It can be shown that  $T^i_i = T_{ji} = (4m)^{-1} (\partial_i \psi \partial_j \psi^* + \partial_i \psi^* \partial_j \psi - \psi^* \partial_i \partial_j \psi - \psi \partial_i \partial_j \psi^*)$ . This  $T^i_i$  can be rewritten in a more familiar looking way by adding a tensor that is identically conserved:  $T^i_i \rightarrow (2m)^{-1} (\partial_i \psi \partial_j \psi^* + \partial_i \psi^* \partial_j \psi - \delta_{ij} [\psi \nabla^2 \psi^* / 2 + \psi^* \nabla^2 \psi / 2 + \vec{\nabla} \psi \cdot \vec{\nabla} \psi^*])$ . Note the Euler equation from Hui et al. (2017) has a factor of  $\rho^{-1}$  missing in front of the divergence of the stress tensor ( $\sigma_{ij}$  there differs from  $\Sigma_{ij}$  here by an overall sign).

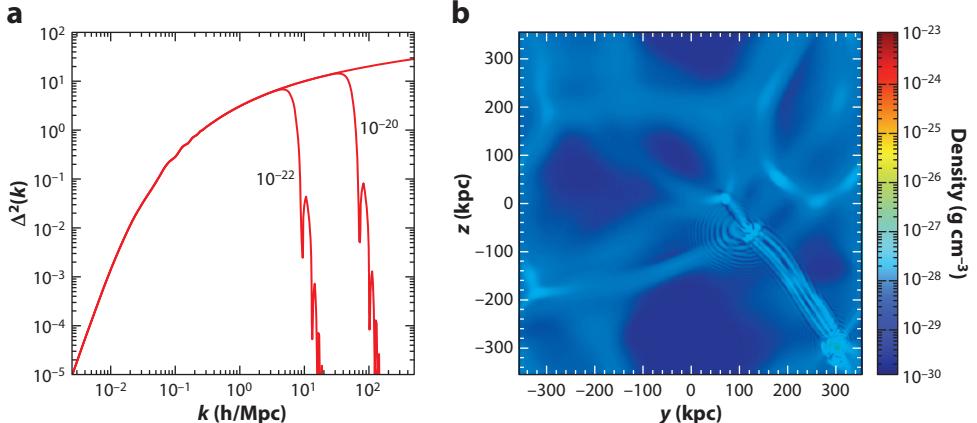
<sup>10</sup>The removal of  $\bar{\rho}$  as a source for the Poisson equation (the so-called Jeans swindle) can be justified in the cosmological context by considering perturbation theory around the Friedmann–Robertson–Walker background. Our expression is correct with  $\nabla$  interpreted as derivative with respect to proper distance. Likewise,  $k_J^{-1}$  given below is proper distance.

where we have gone to Fourier space and replaced  $\vec{\nabla} \rightarrow i\vec{k}$ . This gives  $k_J \sim 70 \text{ Mpc}^{-1}$  today for  $m \sim 10^{-22} \text{ eV}$ . On large length scales  $k < k_J$ , gravity dominates; on small length scales  $k > k_J$ , quantum pressure wins. The sign difference between the two terms makes clear that quantum pressure suppresses fluctuations on small scales. This is the prediction of linear perturbation theory—we see in Section 3.4 that the opposite happens in the nonlinear regime.

This reasoning tells us the linear power spectrum of wave dark matter should match that of particle dark matter (or conventional CDM) at low  $k$ 's but be suppressed at sufficiently high  $k$ 's. The precise transition scale differs from  $k_J$  given above—a proper computation must include the effect of radiation in the early Universe and account for the full history, from slow-roll to oscillations, outlined in Section 2. This was carried out by Hu et al. (2000), who gave

$$k_{1/2} = 4.5 \left( \frac{m}{10^{-22} \text{ eV}} \right)^{4/9} \text{ Mpc}^{-1} \quad 20.$$

as the (comoving) scale at which the linear power spectrum is suppressed by a factor of two and beyond which the power drops precipitously ( $\sim k^{-16}$ ). This is illustrated in **Figure 1a**. For more recent computations, see Cookmeyer et al. (2020) and Hložek et al. (2015, 2017). If the scalar potential  $V(\phi)$  is indeed of the form given in Equation 4, the computation should in principle account for the full shape of  $V(\phi)$  rather than approximating it as quadratic, especially if the primordial  $\phi$  value is comparable with  $f$ . This was investigated by Zhang & Chiueh (2017), Cedeño et al. (2017), and Arvanitaki et al. (2020), who found that the predicted linear power spectrum is largely



**Figure 1**

(a) The dimensionless linear mass power spectrum  $\Delta^2(k) \equiv 4\pi k^3 P(k)/(2\pi)^3$ , in which  $P(k)$  is the dimensional version, as a function of comoving momentum  $k$ . This is the linear power spectrum at redshift  $z = 0$ . The top curve corresponds to that of conventional cold dark matter. The other two are for wave dark matter with  $m = 10^{-20} \text{ eV}$  and  $10^{-22} \text{ eV}$ , respectively, exhibiting the suppression of power on small scales (high  $k$ 's). The transfer function is taken from Hu et al. (2000). (b) A  $z = 5$  snapshot of the dark matter density in a cosmological simulation of ultralight dark matter with  $m = 10^{-22} \text{ eV}$ . The snapshot is 700 kpc comoving on a side. The color scale reflects the density (in  $\text{g cm}^{-3}$ ). Wave interference fringes can be seen along filaments and in/around halos. Such interference patterns were first seen in simulations by Schive et al. (2014a). Panel *b* produced by Xinyu Li, from a simulation described by Li et al. (2019).

consistent with earlier work, unless the primordial  $\phi$  is extremely close to  $\pi f$ , i.e., the top of the potential.<sup>11</sup>

The linear perturbative computation described above is phrased in the fluid picture. A fluid perturbation theory computation up to third order in  $\delta$  and  $v$  was carried out by Li et al. (2019) to obtain the one-loop power spectrum. One could also consider perturbation theory in the wave formulation, expanding in small  $\delta\psi \equiv \psi - \bar{\psi}$ , where  $\bar{\psi}$  is the homogeneous contribution. However, it turns out that wave perturbation theory breaks down at higher redshifts compared to fluid perturbation theory (Li et al. 2019).<sup>12</sup>

### 3.2. Soliton or Boson Star

The Euler equation is useful for intuiting properties of certain nonlinear, bound objects, known as solitons or boson stars (Kaup 1968; Ruffini & Bonazzola 1969; Friedberg et al. 1987a,b; Seidel & Suen 1994; Guzmán & Urena-Lopez 2006). We are interested in objects in which quantum pressure balances gravitational attraction; i.e., the two terms on the right-hand side of Equation 16 cancel each other out:

$$\frac{GM}{R} \sim \frac{1}{m^2 R^2}, \quad 21.$$

where  $M$  is the total mass of the object and  $R$  is its radius, and we have replaced  $\nabla \sim 1/R$  and dropped a factor of 2. This implies the size of the soliton or boson star is inversely proportional to its mass:

$$\begin{aligned} R &\sim \frac{1}{GMm^2} \sim 100 \text{ pc} \frac{10^9 M_\odot}{M} \left( \frac{10^{-22} \text{ eV}}{m} \right)^2 \\ &\sim 300 \text{ km} \frac{10^{-10} M_\odot}{M} \left( \frac{10^{-6} \text{ eV}}{m} \right)^2 \sim 50 \text{ km} \frac{5 M_\odot}{M} \left( \frac{10^{-11} \text{ eV}}{m} \right)^2, \end{aligned} \quad 22.$$

where we give a few representative values of  $M$  and  $m$ .<sup>13</sup> The example of  $m \sim 10^{-22}$  eV corresponds to that of FDM—such a soliton can form in the centers of galaxies (Schive et al. 2014a, 2014b; see Section 3.5 below). The example of  $m \sim 10^{-6}$  eV corresponds to that of the QCD axion—such an axion star (often called an axion minicluster) could form in the aftermath of Peccei–Quinn symmetry breaking after inflation (Kolb & Tkachev 1993, 1996; Fairbairn et al. 2018; Eggemeier & Niemeyer 2019; Buschmann et al. 2020). The example of  $m \sim 10^{-11}$  eV could be an ALP—an object like this has been studied as a possible gravitational wave event progenitor (Helfer et al. 2017, Widdicombe et al. 2018).

<sup>11</sup>Computations of the linear power spectrum discussed above assume the fluctuations are adiabatic; i.e.,  $\phi$  fluctuations—like fluctuations in photons, baryons, and neutrinos—are all inherited from the curvature, or inflaton, fluctuation. The scalar  $\phi$  can in addition have its own isocurvature fluctuations (see Section 4).

<sup>12</sup>Wave perturbation theory requires not only the smallness of  $(\delta\psi + \delta\psi^*)/\bar{\psi}$  (which equals  $\delta$ ) but also the smallness of  $(\delta\psi - \delta\psi^*)/\bar{\psi}$  [it is related to the fluid velocity by  $\vec{v} = \vec{\nabla}(\delta\psi - \delta\psi^*)/(2im\bar{\psi})$ ]. In other words, wave perturbation theory assumes small  $\delta$  and  $mv/k$ , whereas fluid perturbation theory assumes small  $\delta$  and  $v$ . In large-scale structure, one is typically interested in situations in which  $m/k \gg 1$ . Thus, perturbation theory breaks down sooner in the wave formulation.

<sup>13</sup>This rough estimate is about a factor of four smaller than the exact relation (Chavanis 2011). We focus on spherical solitons. Filamentary and pancake analogs are explored by Desjacques et al. (2018), Alexander et al. (2019), and Mocz et al. (2019), and rotating solitons are discussed by Hertzberg & Schiappacasse (2018).

There is an upper limit to the mass of the soliton:  $GM/R \lesssim 1$  to avoid collapse to a black hole. Plugging in the expression for  $R$ , we deduce the maximum soliton mass (a Chandrasekhar mass of sorts):

$$M_{\max} \sim \frac{1}{Gm} \sim 10^{12} M_{\odot} \left( \frac{10^{-22} \text{ eV}}{m} \right) \sim 10^{-4} M_{\odot} \left( \frac{10^{-6} \text{ eV}}{m} \right) \sim 10 M_{\odot} \left( \frac{10^{-11} \text{ eV}}{m} \right). \quad 23.$$

Strictly speaking, as one approaches the maximum mass, one should use the relativistic Klein-Gordon description rather than the Schrödinger equation, but the above provides a reasonable estimate (Kaup 1968, Ruffini & Bonazzola 1969, Friedberg et al. 1987b).

Not all gravitationally bound objects are solitons, of course. The argument above accounts for the two terms on the right of the Euler equation (Equation 16). The velocity terms on the left could also play a role. In other words, a bound object could exist by balancing gravity against virialized motion instead; i.e.,  $v^2 \sim GM/R > 1/(m^2 R^2)$ . Most galaxies are expected to fall into this category, supported by virialized motion except possibly at the core where a soliton could condense (see Section 3.5).

The discussion so far ignores the possibility of self-interaction. For an axion, we expect an  $m^2 \phi^4/f^2$  contribution to the Lagrangian (Equation 9). It can be shown that the relevant quantities to compare are  $v^2$  (virialized motion),  $1/(m^2 R^2)$  (quantum pressure) balancing against  $GM/R$  (gravity), and  $M/(m^2 f^2 R^3)$  (attractive self-interaction of the axion). This can be deduced by comparing the gravitational contribution to energy density  $\rho \Phi$  with the self-interaction contribution  $m^2 \phi^4/f^2 \sim \rho^2/(m^2 f^2)$ , and using  $\Phi \sim GM/R$  and  $\rho \sim M/R^3$ . The attractive self-interaction is destabilizing, going as  $1/R^3$ : If it dominates over gravity, there is nothing that would stop  $R$  from getting smaller and making the self-interaction even stronger. Demanding that the  $M$ - $R$  relation in Equation 22 satisfies  $GM/R > M/(m^2 f^2 R^3)$  modifies the maximum soliton mass to (Lee & Koh 1996; Eby et al. 2016a, 2016b; Helfer et al. 2017)

$$\begin{aligned} M_{\max} &\sim \frac{f}{G^{1/2} m} \sim 10^{10} M_{\odot} \left( \frac{f}{10^{17} \text{ GeV}} \right) \left( \frac{10^{-22} \text{ eV}}{m} \right) \\ &\sim 10^{-10} M_{\odot} \left( \frac{f}{10^{13} \text{ GeV}} \right) \left( \frac{10^{-6} \text{ eV}}{m} \right) \sim M_{\odot} \left( \frac{f}{10^{18} \text{ GeV}} \right) \left( \frac{10^{-11} \text{ eV}}{m} \right). \end{aligned} \quad 24.$$

### 3.3. Numerical Simulations

Great strides have been made in numerical simulations of structure formation with wave dark matter (the Schrödinger–Poisson system), starting with the work of Schive et al. (2014a). There are by now several different algorithms, including spectral method and finite difference (Schive et al. 2014a; Schwabe et al. 2016; Mocz et al. 2017, 2019; X. Du et al. 2018; Edwards et al. 2018; Li et al. 2019; Schwabe et al. 2020), often with adaptive mesh refinement. One key challenge to solving the Schrödinger–Poisson system (Equations 12 and 13) is the high demand for resolution. In cosmological applications, one is often interested in predictions on large scales, say, length scale  $\lambda$ . To accurately describe bulk motion on such large scales, say, velocity  $v$ , one must include waves with the corresponding wavelength  $2\pi/(mv)$ . The trouble is that one is often in situations where  $2\pi/(mv) \ll \lambda$ . For instance, with  $m \sim 10^{-22}$  eV and a velocity of 100 km s<sup>1</sup>, the de Broglie wavelength  $2\pi/(mv) \sim 1.2$  kpc is a lot smaller than typical length scales of interest in large-scale structure  $\lambda > 1$  Mpc. A wave simulation, unlike an  $N$ -body simulation, thus must have high resolution even if one is only interested in large scales. This is why existing wave simulations are typically limited to small box sizes. A related challenge is the requisite time-step: Dimensional analysis applied to the Schrödinger equation tells us the time-step scales as  $m \times \text{resolution}^2$ ; i.e.,

the time-step must be less than the de Broglie wavelength divided by the typical velocity. Contrast this with the requirement for an  $N$ -body simulation—a time step of  $\lesssim \lambda v$  suffices. A recent  $\sim 10$ -Mpc box, de-Broglie-scale-resolved, wave simulation was described by May & Springel (2021).

An alternative is to simulate the fluid formulation, expressed in Equations 13, 15, and 16 (Mocz & Succi 2015, Veltmaat & Niemeyer 2016, Nori & Baldi 2018, Zhang et al. 2018b, Nori et al. 2019). With  $\rho$  and  $\vec{v}$  as variables (related to the amplitude and phase of  $\psi$ , respectively), there is no need to have high spatial resolution just to correctly capture the large-scale flows. The downside is that the fluid formulation is ill-defined at places where  $\rho = 0$ . This can be seen by looking at the form of the quantum pressure term in the Euler equation (Equation 16), or more simply, by noting that the phase of the wavefunction  $\psi$  (which determines  $\vec{v}$ ) becomes ill-defined at locations where  $\rho = m|\psi|^2$  vanishes. One might think occurrences of vanishing  $\rho$  must be rare and have a negligible impact; this turns out to be false (Li et al. 2019, Hui et al. 2021)—we have more to say about this in Section 3.4. A promising approach to overcome this and the resolution challenge is a hybrid scheme, in which the large-scale evolution proceeds according to the fluid formulation or an  $N$ -body code (the vanishing- $\rho$  issue does not arise on large scales), and the small-scale evolution follows the wave formulation (Veltmaat et al. 2018).

Recall that the Schrödinger equation originates as a nonrelativistic approximation to the Klein–Gordon equation. If one is interested in applications in which relativity plays a role, such as a soliton close to its maximum possible mass (Section 3.2) or the scalar field close to black holes or in the early Universe, a Klein–Gordon code (or more generally, a code to evolve a scalar with arbitrary potential) should be used. There are many examples in the literature: Felder & Tkachev (2008), Easter et al. (2009), Giblin et al. (2010), Amin et al. (2012), Helfer et al. (2017), Widdicombe et al. (2018), Buschmann et al. (2020), and Eggemeier & Niemeyer (2019).

Much of the recent progress in understanding halo substructure for wave dark matter comes from numerical simulations, often in the ultralight regime of  $m \sim 10^{-22}$  eV. Many of the qualitative features carry over to higher masses; the quantitative implications for observations/experiments are mass specific, of course, as we discuss below.

### 3.4. Wave Interference: Granules and Vortices

**Figure 1b** shows the dark matter density in a snapshot of a cosmological wave simulation (Li et al. 2019). A striking feature is the presence of interference fringes, a characteristic prediction of wave dark matter, first demonstrated in cosmological simulations by Schive et al. (2014a) and subsequently confirmed by many groups (Schive et al. 2014a; Schwabe et al. 2016; Veltmaat & Niemeyer 2016; Mocz et al. 2017, 2019; X. Du et al. 2018; Edwards et al. 2018; Nori & Baldi 2018; Veltmaat et al. 2018; Li et al. 2019; Schwabe et al. 2020). The interference patterns are particularly obvious in the nonlinear regime, along filaments and in/around collapsed halos. In these nonlinear objects, wave interference causes order one fluctuations in density: blobs of constructive interference of de Broglie size (sometimes called granules) interspersed between patches of destructive interference.

As a simple model of a galactic halo, consider a superposition of plane waves:

$$\psi(t, \vec{x}) = \sum_{\vec{k}} A_{\vec{k}} e^{iB_{\vec{k}}} e^{i\vec{k} \cdot \vec{x} - i\omega_k t}, \quad 25.$$

where  $A_{\vec{k}}$  and  $B_{\vec{k}}$  are the amplitude and phase, respectively, of each plane wave of momentum  $\vec{k}$ .<sup>14</sup> In a virialized halo, it is reasonable to expect, as a zero-order approximation, that the phases  $B_{\vec{k}}$ 's are

<sup>14</sup>Here,  $\omega_k = |\vec{k}|^2/(2m)$ . A more realistic model would superimpose eigenstates of a desired gravitational potential (Lin et al. 2018, Li et al. 2021), in which case  $\omega_k$  would be the energy of each eigenmode (labeled abstractly by  $k$ ), with  $e^{i\vec{k} \cdot \vec{x}}$  replaced by the corresponding eigenfunction.

randomly distributed. This is the analog of assuming random orbital phases for stars in a halo. We refer to this as the random phase halo model. The amplitudes  $A_{\vec{k}}$ 's should reflect the velocity (or momentum) dispersion within the halo. For instance, we can adopt  $A_{\vec{k}} \propto e^{-k^2/k_0^2}$  (where  $k = |\vec{k}|$ ), which resembles an isothermal distribution, with a de Broglie wavelength  $\propto 1/k_0$ . The density is

$$\rho = m|\psi|^2 = m \sum_{\vec{k}} A_{\vec{k}}^2 + m \sum_{\vec{k} \neq \vec{k}'} A_{\vec{k}} A_{\vec{k}'} e^{i(B_{\vec{k}} - B_{\vec{k}'})} e^{i(\vec{k} - \vec{k}') \cdot \vec{x} - i(\omega_k - \omega_{k'})t}. \quad 26.$$

The first term comes from squaring each Fourier mode and summing them. The second represents the contribution from interference between different Fourier modes.<sup>15</sup> It is the second term that is responsible for the appearance of interference fringes in numerical simulations such as those shown in **Figure 1**. The typical difference in momenta between different Fourier modes is of the order of  $k_0$ , which fixes the characteristic size of the interference fringes or granules, i.e., the de Broglie wavelength  $\sim 2\pi/k_0$ . The typical difference in energy between the modes is on the order of  $\sim k_0^2/(2m) \sim k_0 v/2$ , where  $v$  is the velocity dispersion. This determines the characteristic timescale over which the interference pattern changes, i.e., the de Broglie time:

$$\begin{aligned} t_{\text{dB}} &\equiv \frac{2\pi}{mv^2} = 1.9 \times 10^6 \text{ year} \left( \frac{10^{-22} \text{ eV}}{m} \right) \left( \frac{250 \text{ km s}^{-1}}{v} \right)^2 \\ &= 5.9 \times 10^{-3} \text{ s} \left( \frac{10^{-6} \text{ eV}}{m} \right) \left( \frac{250 \text{ km s}^{-1}}{v} \right)^2. \end{aligned} \quad 27.$$

There is some arbitrariness in the choice of the prefactor  $2\pi$ . Reasonable choices range within a factor of a few.

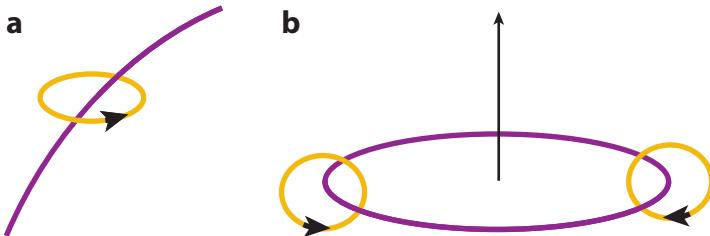
In other words, wave interference produces de-Broglie-scale, order unity density fluctuations that vary on timescales of  $t_{\text{dB}}$ . Such fluctuations can in principle take the density all the way to zero, i.e., complete destructive interference. What is interesting is that (a) such occurrences are not rare, and (b) the locations of complete destructive interference are vortices. This was explored by Chiueh et al. (2011) and Hui et al. (2021).<sup>16</sup> Below, we summarize the findings, following the line of reasoning of Hui et al. (2021).

In three spatial dimensions, the set of points at which the real part of the wavefunction vanishes generically forms a surface. This is also true for the imaginary part. Demanding both parts of the wavefunction vanish thus gives a line, where the two surfaces cross. **Figure 2a** depicts such a line of vanishing  $\psi$  (i.e., the amplitude of  $\psi$  is zero and the phase is ill-defined on the line). Consider a loop going around this line: For the wavefunction to be single-valued, the phase of the wavefunction must wind by integers of  $2\pi$ . Recall the fluid velocity is given by the gradient of the phase (Equation 14); integrating the velocity around a loop encircling the line of vanishing  $\psi$  gives

$$\text{circulation} \equiv \oint d\vec{x} \cdot \vec{v} = \frac{2\pi n}{m}, \quad 28.$$

<sup>15</sup>If we had built a more realistic model in which the plane waves are replaced by energy eigenstates (see footnote 14), the first term would be  $\vec{x}$  dependent but would remain time independent.

<sup>16</sup>More generally, vortices in dark matter were studied by Silverman & Mallett (2002), Brook & Coles (2009), Kain & Ling (2010), Rindler-Daller & Shapiro (2012), Zinner (2011), Banik & Sikivie (2013), Alexander & Cormack (2017), and Alexander et al. (2020). Most of the studies focused on a regime in which self-interaction dominates over quantum pressure. Here, we describe the opposite regime, relevant for weakly coupled dark matter with a long de Broglie wavelength, where gravity and quantum pressure completely describe the physics. Vortices have long been studied in other contexts, such as high-energy and condensed matter physics (Onsager 1949, Nielsen & Olesen 1973, Luscher 1981, Lund 1991, Fetter 2008).



**Figure 2**

Schematic illustration of vortices. (a) A vortex line, or segment thereof, at which the real and imaginary parts of the wavefunction vanish (purple line). The yellow loop with arrow indicates velocity circulation (or phase winding) around the vortex. (b) A vortex ring (purple line). The loops with arrows indicate velocity circulation. The arrow in the middle indicates the bulk motion of the ring.

where  $n$  is an integer. The line of vanishing  $\psi$  is therefore a vortex.<sup>17</sup> It is helpful to consider a Taylor expansion around a point on the vortex (let's take it to be the origin):

$$\psi(\vec{x}) \sim \vec{x} \cdot \vec{\nabla}\psi|_0, \quad 29.$$

assuming  $\vec{\nabla}\psi|_0$ , the derivative evaluated at  $x = 0$ , does not vanish. The winding number is  $n = \pm 1$  as long as  $\vec{\nabla}\psi|_0$  does not vanish. If it vanishes, one would have to consider the next higher-order term in the Taylor expansion, yielding higher winding. A vortex line, much like a magnetic field line, cannot end, and so one expects generically a vortex ring, depicted in Figure 2b. Furthermore, in addition to velocity circulation around the ring, the ring itself moves with a bulk velocity that scales inversely with its size. Analytic solutions illustrating this behavior (and more) have been provided by Bialynicki-Birula et al. (2000), and Hui et al. (2021).

Several features of vortices in wave dark matter are worth stressing. (a) One might think that these locations of chance complete destructive interference must be rare, but they are actually ubiquitous: On average there is about one vortex ring per de Broglie volume in a virialized halo. This has been verified analytically in the random phase halo model as well as in numerical wave simulations of halos that form from gravitational collapse.<sup>18</sup> Note that gravity plays an important role in the formation of vortices in the cosmology setting. In the early Universe, the density (and the wavefunction) is roughly homogeneous with very small fluctuations; this means nowhere does the wavefunction vanish. It is only after gravity amplifies the density fluctuations, to order unity or larger, that complete destructive interference is possible. (b) Vortex rings in a realistic halo are not nice round circles but rather deformed loops. Nonetheless, certain features are robust. Close to a vortex, the velocity scales as  $1/r$ , where  $r$  is the distance from the vortex (following from Equation 28), and the density scales as  $r^2$  (following from Equation 29).<sup>19</sup> Furthermore, a segment of a ring moves with a velocity that scales with the curvature, i.e., curvier means faster. (c) Vortex rings come in a whole range of sizes: The distribution is roughly flat below the de Broglie wavelength but is exponentially suppressed beyond that. (d) Vortex rings are transient, in

<sup>17</sup>Note that the vortex is distinct from the axion string. The relevant U(1) for an axion string is the Peccei-Quinn U(1), whereas that for a vortex is the U(1) associated with particle number conservation in the nonrelativistic limit. This raises the interesting question of how to view the vortex from the perspective of the full  $\phi$  theory. See discussions by Hui et al. (2021).

<sup>18</sup>In a numerical simulation, checking that the density is low is not enough to ascertain that one has a vortex (keep in mind the density almost never exactly vanishes numerically). A better diagnostic is to look for nonvanishing velocity circulation, or phase winding—this is also more robust against varying resolution.

<sup>19</sup>More generally, the density scales as  $r^{2|n|}$ , where  $n$  is the winding number. However, simulations suggest  $|n| = 1$  is the generic expectation: It is rare to have  $\psi$  and  $\vec{\nabla}\psi$  vanish at the same time.

the same sense that wave interference patterns are transient. The coherence time is roughly the de Broglie time (Equation 27). Vortex rings cannot appear or disappear in an arbitrary way, though. A vortex ring can appear by first nucleating as a point and then growing to some finite size. It can disappear only by shrinking back to a point (or merging with another ring). This behavior can be understood as a result of Kelvin's theorem: Recall that the fluid description is valid away from vortices; conservation of circulation tells us that vortices cannot be arbitrarily removed or created.

To summarize, wave interference substructures, of which vortices are a dramatic manifestation, are a unique signature of wave dark matter. It is worth stressing that though the wave nature of dark matter leads to a suppression of small-scale power in the linear regime (Section 3.1), it leads to the opposite effect in the nonlinear regime, by virtue of interference. We discuss the implications for observations and experiments in Section 4.

### 3.5. Dynamical Processes: Relaxation, Oscillation, Evaporation, Friction, and Heating

An interesting phenomenon in a wave dark matter halo is soliton condensation, which was first pointed out by Schive et al. (2014a,b). It is observed that virialized halos in a cosmological simulation tend to have a core that resembles the soliton discussed in Section 3.2, with a soliton mass that scales with the halo mass as<sup>20</sup>

$$M_{\text{soliton}} \sim 6.7 \times 10^7 M_{\odot} \frac{10^{-22} \text{ eV}}{m} \left( \frac{M_{\text{halo}}}{10^{10} M_{\odot}} \right)^{1/3}. \quad 30.$$

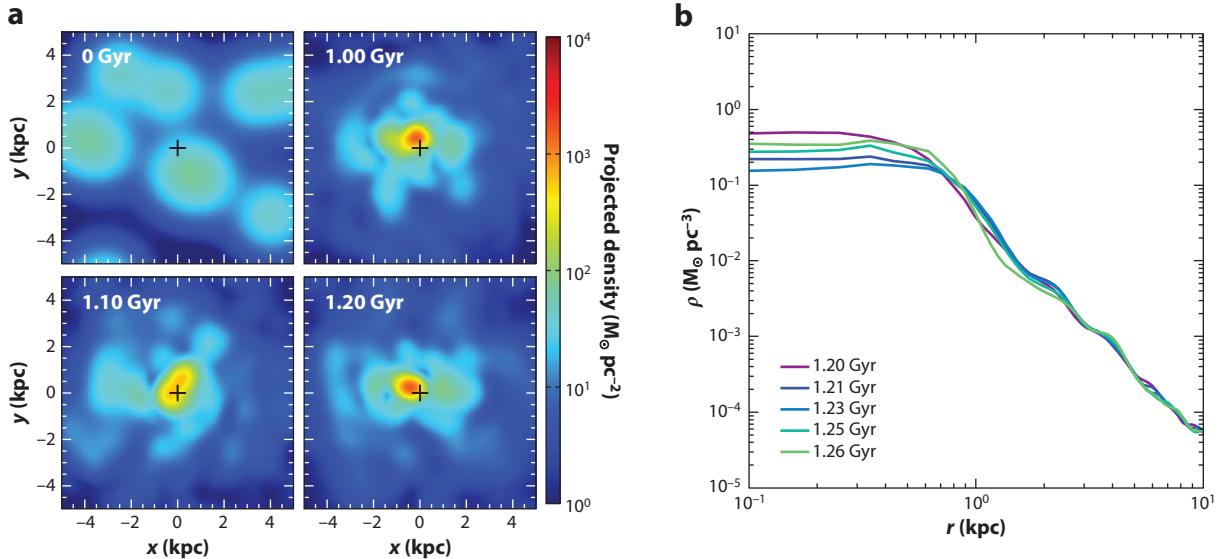
The condensation process was studied by solving the Landau kinetic equation (Levkov et al. 2018; see also Seidel & Suen 1994, Harrison et al. 2003, Guzmán & Urena-Lopez 2006, Schwabe et al. 2016). Here, we describe a heuristic derivation of the condensation, or relaxation, timescale (Hui et al. 2017). Consider the part of a halo interior to radius  $R$ , with velocity dispersion  $v$ . Suppose there is no soliton yet. Wave interference as described in Section 3.4 inevitably produces granules that are of de Broglie size  $\lambda_{\text{dB}}$ . In this region, we have  $\sim(2R/\lambda_{\text{dB}})^3$  such granules or quasi-particles. The relaxation time for such a gravitational system is roughly a tenth of the crossing time  $2R/v$  times the number of granules; i.e.,

$$t_{\text{relax}} \sim 0.1 \frac{2R}{v} \left( \frac{2R}{\lambda_{\text{dB}}} \right)^3 \sim 10^8 \text{ year} \left( \frac{R}{2 \text{ kpc}} \right)^4 \left( \frac{v}{100 \text{ km s}^{-1}} \right)^2 \left( \frac{m}{10^{-22} \text{ eV}} \right)^3 \\ \sim 10^8 \text{ year} \left( \frac{0.14 M_{\odot} \text{ pc}^{-3}}{\rho} \right)^2 \left( \frac{v}{100 \text{ km s}^{-1}} \right)^6 \left( \frac{m}{10^{-22} \text{ eV}} \right)^3. \quad 31.$$

In essence, we have adapted the standard relaxation time for a gravitational system (Binney & Tremaine 2008) by replacing the number of particles or stars by the number of de Broglie granules. The above estimate suggests the condensation of solitons quickly becomes inefficient for larger

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<sup>20</sup>It is worth emphasizing that this relation is well-tested only over a limited range of halo mass,  $\sim 10^9 - 10^{11} M_{\odot}$ , because of the difficulty in simulating large boxes (Section 3.3). The relation can be roughly understood as follows (Schive et al. 2014b). Recall that  $R_{\text{soliton}} \propto 1/M_{\text{soliton}}$  (Equation 22). Thus, the gravitational potential of the soliton is  $\sim GM_{\text{soliton}}/R_{\text{soliton}} \propto M_{\text{soliton}}^2$ . Equating this with the gravitational potential of the halo, which is  $\sim GM_{\text{halo}}/R_{\text{halo}}$ , and assuming  $M_{\text{halo}}/R_{\text{halo}}^3$  is constant, i.e.,  $R_{\text{halo}} \propto M_{\text{halo}}^{1/3}$ , the relation  $M_{\text{soliton}} \propto M_{\text{halo}}^{1/3}$  follows. That the gravitational potential of the soliton and of the halo roughly match can be interpreted as some sort of isothermal condition. It would be useful to check if the kinetic approach of Levkov et al. (2018) can reproduce this. See Bar et al. (2018) for further discussions.



**Figure 3**

(a) Snapshots of the formation of a halo. Clockwise from top-left: initial moment, 1 Gyr, 1.2 Gyr, and 1.1 Gyr. Each snapshot is 10 kpc on a side. Color coding denotes the projected density in  $M_{\odot} \text{ pc}^{-3}$ . The cross in the middle denotes the center of mass. Note how the soliton core wanders. (b) Spherically averaged density profile (density in  $M_{\odot} \text{ pc}^{-3}$  as a function of radius in kpc) at several different moments, from 1.2 Gyr to 1.26 Gyr. The soliton core exhibits persistent oscillations. Soliton oscillations and random walk were first observed in simulations by Veltmaat et al. (2018) and Schive et al. (2020). Figure adapted from Li et al. (2021).

values of  $m$ . It remains to be verified, though, whether this is indeed the relevant timescale for soliton formation in a cosmological setting in which halos undergo repeated mergers. For instance, in a numerical study of six halos by Veltmaat et al. (2018), all halos have substantial cores from the moment of halo formation, though two of them exhibit some core growth over time.

Detailed studies of simulations suggest the core of an FDM halo is not an exact soliton. Veltmaat et al. (2018) pointed out that the core object has persistent oscillations, and Schive et al. (2020) demonstrated that it undergoes random walks (see Figure 3). This is another manifestation of wave interference. Think of the halo gravitational potential as approximately constant (in time); the halo can be decomposed into a superposition of energy eigenstates (Lin et al. 2018). The ground state (i.e., the solitonic state) contributes substantially to the density around the halo center, but it is not the only state that does. Interference between the ground state and excited states approximately matches the core oscillations and random walk observed in simulations (Li et al. 2021; and N. Padmanabhan, in preparation).

It is well known that a subhalo embedded inside a larger parent halo can be tidally disrupted. The tidal radius is roughly where the average interior density of the subhalo matches that of the parent halo. Quantum pressure adds a new twist to this story: Even mass within the tidal radius of the subhalo is unstable to disruption. The evaporation timescale of a soliton inside a host halo was computed by Hui et al. (2017): A soliton would evaporate in  $\lesssim 10$  orbits if its density is  $\lesssim 60$  times the host density. This was verified in wave simulations by X. Du et al. (2018).

The wave nature of dark matter also has an impact on dynamical friction. Recall how dynamical friction works: A heavy object ploughs through a sea of dark matter particles; gravitational scattering creates an overdense tail of particles in its wake; the overdense tail gravitationally pulls on the heavy object, effecting friction. For wave dark matter, one expects a smoothing of the

overdense tail on the de Broglie scale. The dynamical friction is thus suppressed. A computation, neglecting self-gravity of the dark matter and assuming the unperturbed background is homogeneous is described by Hui et al. (2017; see also Lora et al. 2012): Though the frictional force is  $4\pi\rho(GM/v)^2 \{ \ln[2r/(GM/v^2)] - 1 \}$  in the particle limit, it is  $4\pi\rho(GM/v)^2[\ln(2rmv) - 1 + \gamma]$  in the wave limit.<sup>21</sup> Here,  $\rho$  is the background mass density,  $M$  is the mass of the heavy object (such as a globular cluster),  $v$  is the velocity of the heavy object,  $r$  is the size of the galactic halo or the orbital radius of  $M$  in the halo, and  $\gamma = 0.577\dots$  is the Euler–Mascheroni constant. The distinction between the particle limit (i.e., Chandrasekhar) and the wave limit comes down to comparing two length scales:  $GM/v^2$  (the impact parameter at which significant deflection occurs) versus the de Broglie scale  $\sim 1/(mv)$ . The wave limit applies when the former is less than the latter, i.e., if the following ratio is small:

$$\frac{GM/v^2}{(1/mv)} = 0.002 \left( \frac{M}{10^6 M_\odot} \right) \left( \frac{100 \text{ km s}^{-1}}{v} \right) \left( \frac{m}{10^{-22} \text{ eV}} \right). \quad 32.$$

Depending on the parameters of interest, dynamical friction can be suppressed significantly, if  $m$  is in the ultralight range. A computation of dynamical friction in more general fluid dark matter is carried out by Berezhiani et al. (2019). Investigations of dynamical friction in FDM in more realistic settings—inhomogeneous background, with de Broglie granules—have been conducted by Du et al. (2017), Bar-Or et al. (2019), and Lancaster et al. (2020).

We close this section with a discussion of one more dynamical effect from the wave nature of dark matter. Recall from Section 3.4 that the wave interference pattern of granules and vortices is transient, on the timescale of  $t_{\text{dB}}$  (Equation 27). The fluctuating gravitational potential leads to the heating and scattering of stars (Hui et al. 2017, Amorisco & Loeb 2018, Bar-Or et al. 2019, Church et al. 2019, Marsh & Niemeyer 2019, Schive et al. 2020). A rough estimate can be obtained as follows. Consider a star undergoing deflection by a de Broglie blob: The angle of (weak) deflection is  $\sim 2GM/(bv^2)$ , where  $M$  is the mass of the blob and  $b$  is the impact parameter. The deflection imparts a kick to the velocity of the star, perpendicular to the original direction of motion:  $\Delta v \sim 2GM/(bv)$ . Using  $M \sim 4\pi\rho(\lambda_{\text{dB}}/2)^3/3$  and  $b \sim \lambda_{\text{dB}}/2$ , one finds

$$\Delta v \sim 0.08 \text{ km s}^{-1} \left( \frac{\rho}{0.01 M_\odot \text{ pc}^{-3}} \right) \left( \frac{250 \text{ km s}^{-1}}{v} \right)^3 \left( \frac{10^{-22} \text{ eV}}{m} \right)^2. \quad 33.$$

(Note that an underdensity, such as that around a vortex ring, would effectively cause a deflection of the opposite sign compared to an overdensity. We are not keeping track of this sign. Note also that if we were more careful, we should have integrated over a range of impact parameters instead of setting  $b \sim \lambda_{\text{dB}}/2$ , yielding some Coulomb logarithm.) This is a stochastic kick, and its root-mean-square (rms) value accumulates in a root  $N$  fashion, where  $N$  is the number of de Broglie blobs that the star encounters, which is roughly  $Tv/\lambda_{\text{dB}}$ , where  $T$  is the time over which such encounters take place. Thus,

$$\text{rms } \Delta v \sim 4 \text{ km s}^{-1} \left( \frac{T}{5 \text{ Gyr}} \right)^{1/2} \left( \frac{\rho}{0.01 M_\odot \text{ pc}^{-3}} \right) \left( \frac{250 \text{ km s}^{-1}}{v} \right)^2 \left( \frac{10^{-22} \text{ eV}}{m} \right)^{3/2}. \quad 34.$$

See Bar-Or et al. (2019) and Church et al. (2019) for more careful analyses of such heating. We discuss the implications for tidal streams, galactic disks, and stellar clusters in Section 4.

<sup>21</sup>The result is derived by integrating momentum flux over a sphere surrounding  $M$ , as opposed to a cylinder like that in Chandrasekhar’s classic computation, hence there is a small difference in the Coulomb logarithm in the particle limit. Also,  $rmv \gg 1$  is assumed. See Hui et al. (2017) for details.

### 3.6. Compact Objects and Relativistic Effects: Black Hole Accretion, Superradiance, and Potential Oscillation

What happens to wave dark matter around compact objects, such as black holes? First of all, accretion onto black holes should occur. This includes accretion of both mass and angular momentum. Second, for a spinning black hole, the reverse can happen: Mass and angular momentum can be extracted out of a Kerr black hole, which is an effect known as superradiance.

To study these phenomena properly, because relativistic effects become relevant close to the horizon, one needs to revert to the Klein–Gordon description, i.e.,  $\phi$  obeying Equation 10. There is a long history of studying solutions to the Klein–Gordon equation in a Schwarzschild or Kerr background (Starobinskii 1973; Unruh 1976; Detweiler 1980; Konoplya & Zhidenko 2006; Dolan 2007; Arvanitaki et al. 2010, 2017; Arvanitaki & Dubovsky 2011; Barranco et al. 2012; Bezerra et al. 2014; Vieira et al. 2014). The treatments generally differ in the boundary conditions assumed: While the boundary condition at the horizon is always ingoing, that far away can be outgoing (for studying quasi-normal modes), asymptotically vanishing (for studying superradiance clouds), or infalling (for studying accretion), or it can be a combination of infalling and outgoing (for studying scattering).

For a black hole immersed in a wave dark matter halo, the infalling boundary condition is the most relevant. In particular, the stationary accretion flow around a black hole was investigated by Clough et al. (2019), Hui et al. (2019), and Bamber et al. (2020); i.e., the time-dependence of  $\phi$  is a linear combination of  $e^{\pm imt}$  at all radii. The Klein–Gordon equation in a Schwarzschild background takes the following form:

$$[\partial_t^2 - \partial_{r_*}^2 + U(r)](r\phi) = 0, \quad U(r) \equiv \left(1 - \frac{r_s}{r}\right) \left[ m^2 + \frac{\ell(\ell+1)}{r^2} + \frac{r_s}{r^3} \right], \quad 35.$$

where  $t$  and  $r$  are the time and radial coordinates of the Schwarzschild metric, respectively;  $r_s$  is the Schwarzschild radius; and  $r_*$  is the tortoise coordinate:  $r_* = r + r_s \log(r/r_s - 1)$ . We have assumed the angular dependence of  $\phi$  is given by a spherical harmonic of some  $\ell$ . For  $\phi \propto e^{\pm imt}$ , this resembles the Schrödinger equation with some potential. For  $\ell = 0$ , the radial profile of  $\phi$  goes roughly as follows: (a) for  $r_s^{-1} \lesssim m$ , we have  $\phi \sim r^{-3/4}$ , i.e., there is a pile-up of the scalar toward the horizon;<sup>22</sup> (b) for  $m \lesssim v_{\text{halo}} r_s^{-1}$ , where  $v_{\text{halo}}$  is the velocity dispersion of the ambient halo, the scalar profile is more or less flat; and (c) for  $m$  in between these two limits,  $\phi$  exhibits both particle behavior (the  $r^{-3/4}$  pile-up) and wave behavior in the form of standing waves.<sup>23</sup> The computation described above assumes the black hole dominates gravitationally: One can check that, for astrophysically relevant parameters, the pile-up of the scalar toward the horizon does not lead to significant gravitational backreaction. There is, however, the possibility that self-interaction (the quartic interaction for the axion) might be nonnegligible close to the horizon due to the pile-up. As one goes to larger distances from the black hole, the dark matter (and baryons) eventually dominates gravitationally. An interesting setting is the wave dark matter soliton at the center of a

<sup>22</sup>This is the particle limit in that the Compton wavelength is smaller than the horizon size. Note that here the relevant wavelength is Compton, not de Broglie. The  $r^{-3/4}$  behavior can be understood as follows: A stationary accretion flow should have  $r^2 \rho v = \text{constant}$ , where  $v$  is the radial velocity, and  $\rho$  is the dark matter density. Energy conservation for the dark matter particle means  $v^2 \sim 1/r$ . Thus,  $\rho \sim r^{-5/2}$ . Noting that  $\rho \sim \phi^2$  tells us  $\phi \sim r^{-3/4}$ . Such a dark matter spike around a black hole was discussed by Gondolo & Silk (2000) and Ullio et al. (2001).

<sup>23</sup>The stationary accretion flow of  $\phi$  onto the black hole can be thought of as some sort of hair. The classic no-scalar-hair theorem of Bekenstein (1972a,b) assumes  $\phi$  vanishes far away from the black hole, which is violated in this case. The boundary condition of  $e^{\pm imt}$  can be thought of as a generalization of the  $\phi \sim t$  boundary condition considered by Jacobson (1999) (see also Horbatsch & Burgess 2012, Wong et al. 2019).

galaxy that also hosts a supermassive black hole (Brax et al. 2020). Investigations of how the black hole modifies the soliton have been conducted by Chavanis (2019), Bar et al. (2019b), Davies & Mocz (2020), and Lee et al. (2020).

Even though the instantaneous gravitational backreaction of the scalar is small close to the black hole, the cumulative accreted mass could be significant. The accretion rate in the low  $m$  regime (for  $\ell = 0$ ) is

$$\dot{M}_{\text{BH}} = 4\pi r_s^2 \rho_{\text{halo}} \sim 4 \times 10^{-9} M_{\odot} \text{ year}^{-1} \left( \frac{M_{\text{BH}}}{10^9 M_{\odot}} \right)^2 \left( \frac{\rho_{\text{halo}}}{0.1 M_{\odot} \text{ pc}^{-3}} \right), \quad 36.$$

where  $M_{\text{BH}}$  is the mass of the black hole, and  $\rho_{\text{halo}}$  is the ambient dark matter halo density.<sup>24</sup> In the high  $m$  regime, the pile-up enhances this by a factor of  $\sim 1/v_{\text{halo}}^3$ . For  $v_{\text{halo}} \sim 10^{-3}$ , we see that  $\dot{M}_{\text{BH}}$  goes up to  $4 M_{\odot} \text{ year}^{-1}$  in the high  $m$  limit, though it should be kept in mind that this estimate assumes  $\ell = 0$ . [Note that  $r_s^{-1} = 6.7 \times 10^{-20} \text{ eV} (10^9 M_{\odot}/M_{\text{BH}})$ .]

Suppose one solves the Klein–Gordon equation with a different boundary condition far away from the black hole: that  $\phi$  vanishes. In that case, assuming the time dependence is given by  $e^{-i\omega t}$ , the allowed frequency  $\omega$  forms a discrete spectrum, much like the energy spectrum of a hydrogen atom. For a spinning black hole, some of these  $\omega$ ’s are complex with a positive imaginary part, signaling an instability, known as superradiance (Bardeen et al. 1972; Press & Teukolsky 1972; Zel’Dovich 1972; Starobinskii 1973; Damour et al. 1976; Dolan 2007; Arvanitaki et al. 2010, 2017; Arvanitaki & Dubovsky 2011; Endlich & Penco 2017). The superradiance condition is

$$\text{Re } \omega < \frac{am_J}{r_s r_+}, \quad 37.$$

where  $r_s = 2GM$ ,  $r_+ = (r_s/2) + \sqrt{(r_s/2)^2 - a^2}$  is the horizon,  $a$  is the black hole angular momentum per unit mass (the dimensionless spin is  $2a/r_s$ , between 0 and 1), and  $m_J$  is the angular momentum quantum number of the mode in question.<sup>25</sup> A superradiant mode extracts energy and angular momentum from the black hole. That this mode grows with time means the scalar need not be dark matter at all—even quantum fluctuations could provide the initial seed to grow a whole superradiance cloud around the black hole. In the process, the black hole loses mass and angular momentum (much of which occurs when the cloud is big). At some point, the black hole’s mass and spin are such that the mode in question is no longer unstable and, in fact, some of the lost energy and angular momentum flow back into the black hole, until another superradiant mode—one that grows more slowly, typically higher  $\ell$ —takes over (see, e.g., Ficarra et al. 2019). The implied net black hole spin-down is used to put constraints on the existence of light scalars, using black holes with spin measurements (for recent discussions, see, e.g., Stott & Marsh 2018, Davoudiasl & Denton 2019). Other phenomena associated with the black hole superradiance cloud include gravitational wave emission and run-away explosion when self-interaction becomes important (Arvanitaki & Dubovsky 2011, Yoshino & Kodama 2014, Hannuksela et al. 2019). There is also the possibility of sustained scalar emission due to self-interaction (Baryakhtar et al. 2020).

It is worth stressing that these constraints do not assume the scalar in question is the dark matter. An interesting question is how the constraints might be modified if the scalar is the dark

<sup>24</sup>This is simple to understand: In the low-mass regime, there is essentially no pile-up toward the horizon. Thus, the dark matter density at horizon is roughly the same as  $\rho_{\text{halo}}$ , the density far away. At the horizon, dark matter flows into the black hole at the speed of light, which is unity in our convention. Hence the expression for  $\dot{M}$ .

<sup>25</sup> $\text{Re } \omega$  is always of the order of the mass of the particle  $m$ , and  $\text{Im } \omega$  is maximized for the  $\ell = m_J = 1$  mode and  $mr_s/2 \sim 0.1\text{--}0.5$  depending on the value of  $a$ . It is a weak instability in the sense that  $\text{Im } \omega$  is at best about  $10^{-6}m$ . See Dolan (2007).

matter. For instance, there can be accretion of angular momentum from the ambient dark matter, much like the accretion of mass discussed earlier.<sup>26</sup> The cloud surrounding the black hole is thus a combination of superradiant unstable and stable modes. This was explored by Ficarra et al. (2019): If the initial seed cloud (of both unstable and stable modes) is large enough, the long-term evolution of the black hole mass and spin can be quite different from the case of a small initial seed.<sup>27</sup> This is particularly relevant if the scalar in question is the dark matter, and therefore is present around the black hole from the beginning. It would be worth quantifying how existing superradiance constraints might be modified in this case. There are also interesting investigations on how such a cloud interacts with a binary system (Baumann et al. 2019, Annunziatella et al. 2020, Zhang & Yang 2020).

We close this section with the discussion of one more relativistic effect, pointed out by Khmelnitsky & Rubakov (2014). The energy density associated with the oscillations of  $\phi$  (which can be interpreted as a collection of  $\phi$  particles) is  $\rho = (\dot{\phi}^2 + m^2\phi^2)/2$  (Equation 7). The corresponding pressure is  $P = (\dot{\phi}^2 - m^2\phi^2)/2$ . For  $\phi \sim \sin(mt)$  or  $\cos(mt)$ , we see that  $\rho$  is constant, whereas  $P$  oscillates with frequency  $2m$ . Einstein equations tell us this sources an oscillating gravitational potential. In Newtonian gauge, with the spatial part of the metric as  $g_{ij} = (1 - 2\Psi)\delta_{ij}$ , the gravitational potential  $\Psi$  has a constant piece that obeys the usual Poisson equation  $\nabla^2\Psi = 4\pi G\rho$ , and an oscillating part obeying  $-\ddot{\Psi} \sim 4\pi GP$ . Thus,  $\Psi$  oscillates with frequency  $2m$  and amplitude  $\pi G\rho/m^2$ . In other words, the oscillating part of  $\Psi$  is suppressed compared to the constant part by  $k^2/m^2$ . The typical (constant part of) gravitational potential is on the order of  $10^{-6}$  in the Milky Way; the oscillating part is then about  $10^{-12}$ . For  $m$  in the ultralight range, recalling  $m^{-1} \sim 0.2$  year ( $10^{-22}$  eV/m), pulsar timing arrays are well suited to search for this effect, as proposed by Khmelnitsky & Rubakov (2014). See further discussions in Section 4.4.

## 4. OBSERVATIONAL AND EXPERIMENTAL IMPLICATIONS AND CONSTRAINTS

In this section, we discuss the observational and experimental implications of the wave dynamics and phenomenology explained above. The discussion serves a dual function. One is to summarize current constraints—because of the wide scope, the treatment is more schematic than in previous sections but provides entry into the literature. The other is to point out the limitations of current constraints and how they might be improved, and to highlight promising new directions. Astrophysical observations are relevant mostly, though not exclusively, for the ultralight end of the spectrum. Axion detection experiments, by contrast, largely probe the heavier masses, though new experiments are rapidly expanding the mass range. Much of the discussion applies to any wave dark matter candidate whose dominant interaction is gravitational. Some of it—on axion detection experiments, for instance—applies specifically to axions with their expected nongravitational interactions (Equation 9).

Sections 4.2 and 4.3 focus on ultralight wave dark matter, i.e., FDM. **Table 1** summarizes some of the corresponding astrophysical constraints. Sections 4.1, 4.4, 4.5, and 4.6 cover more general wave dark matter, with Section 4.6 discussing axion detection experiments.

<sup>26</sup>There can also be accretion of baryons, which is discussed by, e.g., Barausse et al. (2014).

<sup>27</sup>It is worth stressing that, though the Klein–Gordon equation is linear in  $\phi$ , the evolution of the combined black-hole-scalar-cloud system is nonlinear. As the black hole mass and spin evolve due to accretion or extraction, the background geometry for the Klein–Gordon equation is modified, which affects the scalar evolution. This feedback loop has nonnegligible effects, even though at any given moment in time, the geometry is dominated by the black hole rather than the cloud.

**Table 1** Some constraints in the literature on fuzzy dark matter<sup>a</sup>

Method	Constraint	Sources of systematic uncertainties	References
Ly $\alpha$ forest	$m > 3 \times 10^{-21}$ eV	Ionizing background/temp. fluctuations	Iršič et al. 2017, Kobayashi et al. 2017, Armengaud et al. 2017
Density profile	$m > 10^{-21}$ eV	Baryonic feedback/black hole	Bar et al. 2018
Satellite mass	$m > 6 \times 10^{-22}$ eV	Tidal stripping	Safarzadeh & Spergel 2019
Satellite abundance	$m > 2.9 \times 10^{-21}$ eV	Subhalo mass function prediction	Nadler et al. 2020

<sup>a</sup>See text on the methodology and systematic uncertainties for each constraint.

#### 4.1. Early Universe Considerations

Within the inflation paradigm, the light scalar  $\phi$  associated with wave dark matter has inevitable quantum fluctuations that are stretched to large scales by an early period of accelerated expansion (Axenides et al. 1983, Linde 1985, Seckel & Turner 1985, Turner & Wilczek 1991). These are isocurvature fluctuations, distinct from the usual adiabatic fluctuations associated with the inflaton  $\phi$ , which is another light scalar. The relevant power spectra are (e.g., Baumann 2011, Marsh et al. 2013)

$$\Delta_\zeta^2 = \frac{1}{8\pi^2\epsilon} \frac{H_{\text{infl}}^2}{m_{\text{pl}}^2}, \quad \Delta_\phi^2 = \frac{1}{\pi^2} \frac{H_{\text{infl}}^2}{\phi_i^2}, \quad 38.$$

where  $\Delta_\zeta^2$  is the (adiabatic) curvature power spectrum,  $\Delta_\phi^2$  is the (isocurvature) density power spectrum for  $\phi$ ,  $H_{\text{infl}}$  is the Hubble scale during inflation,  $m_{\text{pl}} \equiv 1/\sqrt{8\pi G} \sim 2.4 \times 10^{18}$  GeV is the reduced Planck mass,  $\phi_i$  is the (axion) scalar field value during inflation, and  $\epsilon$  is the first slow-roll parameter.<sup>28</sup> Microwave background anisotropies bound  $\Delta_\phi^2/\Delta_\zeta^2 \lesssim 0.05$  (Hinshaw et al. 2013, Aghanim et al. 2020), implying  $8\epsilon(m_{\text{pl}}/\phi_i)^2 \lesssim 0.05$ . Consider, for instance,  $\phi_i \sim 10^{17}$  GeV (see Equation 8, where  $\phi_i \sim f$ ). In that case, observations require  $\epsilon \lesssim 10^{-5}$ .<sup>29</sup> Because  $\Delta_\zeta^2$  is observed to be about  $10^{-9}$ , this implies  $H_{\text{infl}}/m_{\text{pl}} \lesssim 10^{-6}$ . This is a low-inflation scale, suggesting a low level of gravitational waves or tensor modes (Lyth 1990). One can see this more directly by recalling that tensor modes suffer the same level of fluctuations as a spectator scalar like  $\phi$ :

$$\Delta_{\text{tensor}}^2 = \frac{2}{\pi^2} \frac{H_{\text{infl}}^2}{m_{\text{pl}}^2}, \quad r \equiv \frac{\Delta_{\text{tensor}}^2}{\Delta_\zeta^2} = 16\epsilon, \quad 39.$$

where  $\Delta_{\text{tensor}}^2$  resembles  $\Delta_\phi^2$ , with  $\phi_i$  replaced by  $m_{\text{pl}}$ , and a factor of 2 for the two polarizations. The tensor-to-scalar ratio,  $r$ , is thus constrained to be  $r \lesssim 0.1(\phi_i/m_{\text{pl}})^2$  by the isocurvature bound. For  $\phi_i \sim 10^{17}$  GeV, this means  $r \lesssim 2 \times 10^{-4}$ , making tensor modes challenging to observe with future microwave background experiments. Most axion models have lower  $\phi_i$ 's that would strengthen the bound. This is thus a general requirement: To satisfy the existing isocurvature bound, the inflation scale  $H_{\text{infl}}$  must be sufficiently low, implying a low primordial gravitational wave background. This

<sup>28</sup>The dimensionless power spectrum  $\Delta^2(k)$  is related to the dimensional power spectrum  $P(k)$  by  $\Delta^2 \equiv 4\pi k^3 P(k)/(2\pi)^3$ . We have suppressed a  $k$  dependent factor that depends on the spectral index  $n$ , i.e.,  $\Delta^2 \propto k^{n-1}$ . For single field slow roll inflation,  $n-1 = 2\eta - 6\epsilon$ , where  $\epsilon \equiv (\mathcal{V}_{,\phi} m_{\text{pl}})/\mathcal{V})^2/2 = -\dot{H}_{\text{infl}}/H_{\text{infl}}^2$  and  $\eta \equiv m_{\text{pl}}^2 \mathcal{V}_{,\phi\phi}/\mathcal{V}$  are the first and second slow roll parameters, respectively, with  $\mathcal{V}$  being the inflaton potential. The spectral tilt for  $\zeta$  is observed to be  $n \sim 0.97$  (Hinshaw et al. 2013, Aghanim et al. 2020).

<sup>29</sup>Given that the scalar spectral index is observed to be  $n-1 = 2\eta - 6\epsilon \sim 0.97$ . The smallness of  $\epsilon$  means the requisite inflation model is one in which  $\eta \gg \epsilon$ . For recent model building in this direction, see Schmitz & Yanagida (2018).

holds as long as the scalar dark matter derives its abundance from the misalignment mechanism, with the misalignment angle in place during inflation. A way to get around this is to consider models in which the scalar  $\phi$  becomes heavy during inflation (Higaki et al. 2014).

The requirement does not apply in cases in which the relic abundance is determined by other means. For instance, for the QCD axion, it could happen that the Peccei–Quinn symmetry is broken only after inflation (recall the axion as a Goldstone mode exists only after spontaneous breaking of the symmetry), in which case the relic abundance is determined by the decay of axion strings and domain walls (Kolb & Turner 1990, Buschmann et al. 2020, Gorgetto et al. 2021). There are also proposals for vector, as opposed to scalar, wave dark matter: Isocurvature vector perturbations are relatively harmless because they decay (Graham et al. 2016b, Kolb & Long 2021).

The above discussion includes only the gravitational interaction of scalar dark matter. Other early Universe effects are possible with nongravitational interactions. For instance, Stadnik & Flambaum (2015) and Sibiryakov et al. (2020) pointed out that if the scalar has a dilaton-like coupling to the standard model, Helium-4 abundance from big bang nucleosynthesis can be significantly altered.<sup>30</sup>

## 4.2. Linear Power Spectrum and Early Structure Formation

As discussed in Section 3.1, light scalar dark matter—produced out of a transition process from slow-roll to oscillations—has a primordial power spectrum suppressed on small scales (high  $k$ 's). For FDM, the suppression scale is around  $k \sim 5 \text{ Mpc}^{-1}$  (Equation 20). Observations of the Lyman-alpha forest are sensitive to power on such scales. The Ly $\alpha$  forest is the part of the spectrum of a distant object (usually a quasar) between Ly $\alpha$  and Ly $\beta$  in its rest frame. Intergalactic neutral hydrogen causes absorption, with measurable spatial fluctuations. With suitable modeling, the spatial fluctuations can be turned into statements about the dark matter power spectrum (Croft et al. 1998, Hui 1999, McDonald et al. 2005b, Palanque-Delabrouille et al. 2013). With this technique, a limit of  $m \gtrsim 3 \times 10^{-21} \text{ eV}$  was obtained by Iršič et al. (2017), Kobayashi et al. (2017), and Armengaud et al. (2017). Rogers & Peiris (2020) found a stronger bound of  $2 \times 10^{-20} \text{ eV}$ —among the differences in analysis are the scales accessed and the assumptions on the reionization history.

In this type of investigation, often the only effect of FDM accounted for is its impact on the primordial power spectrum. One might worry about the effect of quantum pressure on the subsequent dynamics, but this was shown to be a small effect at the scales and redshifts for the Ly $\alpha$  forest (Zhang et al. 2018a, Li et al. 2019, Nori et al. 2019). Another assumption is that the observed fluctuations in neutral hydrogen reflect fluctuations in the dark matter. This need not be true, because astrophysical fluctuations such as those in the ionizing background (Croft 2004, McDonald et al. 2005a, D'Aloisio et al. 2018) and the temperature–density relation (Hui & Gnedin 1997, Cen et al. 2009, Keating et al. 2018, Oñorbe et al. 2019, Wu et al. 2019), and from galactic winds (McDonald et al. 2005a, Viel et al. 2013), modulate the neutral hydrogen distribution. Measurements of the power spectrum growth from the forest suggest the astrophysical fluctuations are subdominant and that gravity is sufficient to account for the observed growth (McDonald et al. 2005b). Nonetheless, it is worth stressing for the bound on  $m$  that one must worry about

<sup>30</sup>Such a scalar coupling to the standard model must be close to being universal to satisfy stringent equivalence principle violation constraints (Wagner et al. 2012, Graham et al. 2016a). The pseudoscalar coupling to fermions (Equation 9) gives rise to a spin-dependent force that can also be probed experimentally (Terrano et al. 2015).

systematic effects at the few percent level.<sup>31</sup> The astrophysical fluctuations were accounted for in the following way in deriving constraints (Armengaud et al. 2017, Iršič et al. 2017, Kobayashi et al. 2017). Simulations with these astrophysical fluctuations are compared against those without; the scale and redshift dependence of the fractional difference in the predicted Ly $\alpha$  power spectrum is then fixed, whereas the amplitude of the difference is treated as a free parameter to be determined from the data. The question is to what extent simulations of the astrophysical fluctuations have enough variety to account for the range of possible scale and redshift dependence. The variety in question derives from the distribution of ionizing sources, the reionization history, and the strength and form of galactic feedback.<sup>32</sup>

Formation of the first nonlinear objects in the Universe is also sensitive to the small-scale power spectrum. Recall that in hierarchical structure formation, it is the small, less massive objects that form first. A suppression of small-scale power implies fewer nonlinear objects at high redshifts, delaying reionization (Barkana et al. 2001). The EDGES experiment (Bowman et al. 2018) announced the detection of an absorption feature around 78 MHz that may result from the hyperfine transition (21 cm) of hydrogen at redshift around 15–20. This suggests the spin temperature of the 21-cm line is coupled to the gas temperature at such high redshifts and points to early star formation that produces the requisite radiation to do so. This was used to place bounds on FDM  $m \gtrsim 5 \times 10^{-21}$  eV (Lidz & Hui 2018, Safarzadeh et al. 2018, Schneider 2018). A few considerations should be kept in mind. The EDGES detection remains to be confirmed (Hills et al. 2018). These bounds assume (a) star formation tracking halo formation, and (b) an upper limit on the fraction of halo baryons that turn into stars (0.05 in Lidz & Hui 2018). Another important assumption is that the halo mass function can be reliably predicted from the linear power spectrum by the standard Press–Schechter or Sheth–Tormen relations (Press & Schechter 1974, Sheth & Tormen 1999, Marsh & Silk 2014, Kulkarni & Ostriker 2020).<sup>33</sup> These relations have been checked for FDM models using only  $N$ -body, as opposed to wave, simulations; i.e., the fuzziness enters only through the primordial power spectrum (Schive et al. 2016). Typical wave simulations use too small a box size to give a reliable halo mass function. It is conceivable that wave interference phenomena might help make more smaller objects than expected from Press–Schechter-type arguments.

Looking toward the future, spectral distortion measurements of the microwave background hold the promise of measuring the linear power spectrum down to very small scales, comoving  $k$  as high as  $10^4 \text{ Mpc}^{-1}$  (Chluba et al. 2019a,b).<sup>34</sup> From Equation 20, this kind of experiment can thus probe a wave dark matter mass as high as  $\sim 10^{-15}$  eV.

<sup>31</sup>For instance, the Ly $\alpha$  absorption power spectrum for  $m = 10^{-21}$  eV FDM differs from that for conventional CDM at the few percent level (at  $z \sim 5$ , and smaller as one goes to lower redshifts), if one allows the intergalactic medium parameters (especially the temperature) to float to fit the data. If the latter parameters were held fixed, the two model predictions differ significantly, up to a factor of a few. But that is not the relevant comparison. Because the intergalactic medium parameters are unknown and need to be fit from the data, the relevant comparison is between FDM at its best fit and conventional dark matter at its best fit—they differ at the few percent level. Thanks are due to Rennan Barkana, Vid Iršič, and Matteo Viel for discussions on this point.

<sup>32</sup>The Ly $\alpha$  forest can also be used to constrain scenarios in which Peccei–Quinn symmetry breaking occurs after inflation. See Iršič et al. 2020.

<sup>33</sup>The idea is to map the mass of a halo to a comoving length scale. The number density of halos at that mass (i.e., the mass function) is then related to the linear power spectrum at the corresponding length scale.

<sup>34</sup>An experiment like PIXIE can probe excess power over the conventional CDM prediction. Checking if there is a power deficit, from wave dark matter for instance, would require something more ambitious, Super-PIXIE (Chluba et al. 2019a).

### 4.3. Galactic Dynamics and Structure: Density Profile, Stellar Scattering, Dynamical Friction, Subhalo Mass Function, and Interference Substructures

There is a wide variety of methods to constrain wave dark matter from galactic structure or dynamics, especially at the ultralight end of the spectrum. In this section, we discuss several examples.

**4.3.1. Density profile.** Wave simulations demonstrate that FDM halos generically have a solitonic core, and a Navarro-Frenk-White-like outer density profile (Schive et al. 2014b). There is substantial literature on comparing this prediction against observations. Investigations focusing on the inner density profile (i.e., within the purported soliton) of Milky Way dwarf satellites found reasonable agreement with  $m \sim 10^{-22}$ – $10^{-21}$  eV (Calabrese & Spergel 2016, Chen et al. 2017). A  $10^9$ - $M_\odot$  soliton at the center of the Milky Way was reported by De Martino et al. (2020), though there is substantial uncertainty because of the dominance of baryons (Li et al. 2020). Investigations bearing on how the soliton connects with the outer halo generally found tension with data, for  $m \lesssim 10^{-21}$  eV. Taking the soliton–halo relation (Equation 30) seriously, one expects an inner circular velocity that matches the outer asymptotic value (a reflection of the rough equality of the soliton potential and halo potential; see footnote 20), something not seen in observations of disk galaxies (Bar et al. 2018). See also Wasserman et al. (2019) and Zoutendijk et al. (2021) on constraints from an ultradiffuse galaxy and from an ultrafaint dwarf, respectively. Furthermore, dynamical measurements of Milky Way dwarf satellites, when used to fit for solitonic cores, predict halo masses that are too large, which is incompatible with their survival under dynamical friction, giving a bound of  $m > 6 \times 10^{-22}$  eV (Safarzadeh & Spergel 2019). It was also pointed out by Burkert (2020) that low-mass galaxies have a universal core surface density  $\sim 75 M_\odot \text{ pc}^{-2}$  while spanning a large range in core radius; this conflicts with the soliton scaling of  $M \propto 1/R$  (Equation 22), implying a surface density  $\propto 1/R^3$ . However, Pozo et al. (2020) pointed out that the stellar density profile of dwarfs matches well the mass density profile in FDM simulations.

Overall, it appears the FDM soliton does not in a straightforward way match galaxy cores seen in dynamical data when viewed in the larger context of the host halo. A few possible mitigating factors should be kept in mind. The relaxation time for forming a soliton scales as  $m^3$  (Equation 31), which can get quite long for the higher masses. Some of the galaxies investigated are in dense environments; tidal interactions could perturb them in significant ways that should be considered (see Section 3.5). Inference of galaxy density profiles from dynamical data is subject to uncertainty from the velocity anisotropy profile (see, e.g., Walker et al. 2009, Amorisco & Evans 2012), or possible noncircular motions (Oman et al. 2019). Baryons and central supermassive black holes could affect galaxy density profiles in nonnegligible ways. There has been a lot of work in this direction for conventional CDM, with some success and some remaining puzzles (e.g., Oman et al. 2015; see also Kaplinghat et al. 2020 on the self-interacting dark matter model). These considerations are likely relevant for testing FDM from density profiles (Bar et al. 2019a,b).

**4.3.2. Heating/scattering of stars.** Transient, de Broglie-sized substructures due to wave interference heat up stars in a galaxy (Section 3.5). Such heating of the Milky Way disk was investigated by Church et al. (2019), who put a bound  $m > 0.6 \times 10^{-22}$  eV to avoid overheating. Stellar streams from tidally disrupted globular clusters can be heated up in a similar way, leading to thickening. A bound of  $m > 1.5 \times 10^{-22}$  eV was placed by Amorisco & Loeb (2018) based on this argument. The stellar cluster at the center of the ultrafaint dwarf Eridanus II was used to place constraints on  $m$  (El-Zant et al. 2019, Marsh & Niemeyer 2019). Solitons in wave simulations are observed to have oscillations (Veltmaat et al. 2018). The oscillation timescale would be shorter than the dynamical timescale of the stellar cluster for  $m \gtrsim 10^{-21}$  eV, leading to heating and disruption of the stellar

cluster for  $m$  up to  $10^{-20}$  eV.<sup>35</sup> The observation of soliton oscillations was based on simulations of isolated halos, whereas Eridanus II is a Milky Way satellite subject to tidal forces. Recently, a simulation including an external tidal field was described by Schive et al. (2020). They showed that tidal disruption of the outer halo surrounding the soliton leads to suppressed heating of a stellar cluster in the soliton.<sup>36</sup> Analytic arguments suggest the same (Li et al. 2021).

**4.3.3. Dynamical friction.** The wave nature of dark matter can lead to a suppression of dynamical friction, as explained in Section 3.5. It was argued by Hui et al. (2017) that a FDM mass of  $m \sim 10^{-22}$  eV helps explain the survival of globular clusters against orbital decay in the halo of Fornax (Tremaine 1976, Oh et al. 2000). See Lancaster et al. (2020) for a numerical exploration of this phenomenon, and Bar-Or et al. (2019) on how the suppression of dynamical friction is tempered by diffusion. It is worth noting that within the conventional CDM model, a possible solution to this dynamical friction problem is to invoke core stalling (Goerdt et al. 2006, Read et al. 2006, Inoue 2011, Cole et al. 2012). Dynamical data with higher precision, and on more systems, would be very helpful.

**4.3.4. Subhalo mass function.** FDM, with its suppressed power on small scales, predicts fewer low-mass halos compared to conventional CDM. The same is expected to be true for subhalos of a parent galaxy, such as the Milky Way. Several different ways to probe the subhalo mass function have been discussed in the literature. One way is to infer the subhalo mass function from the observed luminosity function of Milky Way satellites, using abundance matching. This was carried out by Nadler et al. (2020), who obtained the bound  $m > 2.9 \times 10^{-21}$  eV. Another method is to use stellar streams from tidally disrupted globular clusters or satellites in our Galaxy (Ibata et al. 2002, Johnston et al. 2002). Observed perturbations of streams were used to place constraints on the subhalo mass function, which were then turned into constraints on warm dark matter (Banik et al. 2019) and FDM (Schutz 2020), obtaining  $m > 2.1 \times 10^{-21}$  eV. Yet another method is to use flux anomaly in strongly lensed systems to probe subhalos in the lensing galaxies (Dalal & Kochanek 2002). This was used by Gilman et al. (2020) to constrain warm dark matter and Schutz (2020) to limit FDM, obtaining  $m > 2.1 \times 10^{-21}$  eV. A natural question for these investigations is to what extent the subhalo mass function for FDM is accurately known. It is typically computed using the Press-Schechter-type formalism, meaning the effect of FDM enters only through the initial power spectrum (i.e., its suppression on small scales). Dynamical effects due to wave interference could influence the subsequent evolution and, thus, the subhalo mass function. It would be useful to quantify it with wave simulations (see discussion at the end of Section 4.2). Furthermore, wave interference granules—not virialized subhalos—could by themselves give rise to these signals, such as the scattering of stellar streams (Dalal et al. 2021). Their effects should be considered.

**4.3.5. Probing interference substructures.** One generic prediction of wave dark matter is the existence of interference substructures in halos. These are de Broglie scale, order unity density fluctuations. The fluctuation can take the density all the way to zero (complete destructive interference, i.e., vortices; see Section 3.4). There are different ways to probe these interference

<sup>35</sup>For  $m \lesssim 10^{-21}$  eV, the long soliton oscillation time [ $\sim 1/(mv^2)$ ] means the impact on the stellar cluster is adiabatic, i.e., no heating. For  $m \gtrsim 10^{-20}$ , Marsh & Niemeyer (2019) derived constraints not from heating by soliton oscillation but from heating by de Broglie granules.

<sup>36</sup>It was pointed out by Schive et al. (2020) that the soliton in general undergoes random walks as well as oscillates. Tidal stripping of the outer halo appears to suppress excitations associated with such processes.

substructures. One is through the heating and scattering of stars, already discussed in Section 4.3.2. The other is through gravitational lensing by the substructures. For instance, a de Broglie-sized blob in our own Galaxy passing over the line of sight to some distant object would cause the apparent position of that object to shift (Weiner 2019, Hui et al. 2021, Mishra-Sharma et al. 2020, Mondino et al. 2020). The effect is small—Mishra-Sharma et al. (2020) proposed the correlated shifts of many distant objects could be used to look for small signals. Another context in which a gravitational lensing signal can be searched for is cases of strong lensing. The lensing flux anomaly refers to the phenomenon that strongly magnified images of a distant source have flux ratios that are discordant with expectations from a smooth lensing halo (Mao & Schneider 1998, Metcalf & Madau 2001, Chiba 2002, Dalal & Kochanek 2002, Hezaveh et al. 2016a, Alexander et al. 2020, Dai et al. 2020). For instance, two images close to a critical line (corresponding to a fold caustic) are expected to have the same magnification, barring substructures on scales smaller than the image separation. Interference substructures can cause a  $\sim 10\%$  difference in cases of high magnification of  $\sim 100$  (Chan et al. 2020, Hui et al. 2021). Because subhalos also give rise to such a flux anomaly, to distinguish between FDM and conventional CDM, a measurement of the anomaly as a function of image separation would be helpful. The anomaly power spectrum of FDM would have a feature around the de Broglie scale.

#### 4.4. Probes Using Compact Objects: Superradiance, Solitons, Potential Oscillation, and Stellar Cooling

There are several ways to probe the existence of light scalar particles using compact objects. They make different assumptions about the nature of the particles.

**4.4.1. Superradiance.** Superradiance constraints on the existence of light scalars, or light bosons more generally—not necessarily dark matter—were summarized by Stott & Marsh (2018). The idea is to use the measured spin of black holes to put limits on scalars that could drain away their angular momentum, if their Compton wavelength roughly matches the horizon size (see Section 3.6). The boson mass probed this way covers a wide range, from  $\sim 10^{-13}$ – $10^{-12}$  eV for black holes at tens of solar mass to  $\sim 10^{-18}$ – $10^{-21}$  eV for supermassive black holes. It was pointed out by Davoudiasl & Denton (2019) that the spin constraint on the M87 supermassive black hole, reported by the Event Horizon Telescope (EHT) collaboration (The Event Horiz. Telesc. Collab. et al. 2019), disfavors ultralight bosons around  $10^{-21}$  eV. It is worth noting that the EHT constraint comes not from measurement of the famous shadow but from modeling of the jet coming out of the galactic nucleus.

The existing superradiance constraints were obtained by assuming the superradiance cloud grows from a small initial seed of superradiance-unstable modes (produced by quantum fluctuations, for instance). As pointed out by Ficarra et al. (2019), the existence of additional superradiance-stable modes could significantly modify the long-term evolution of the cloud, and therefore the mass and spin of the black hole (see footnote 27). Such stable modes are naturally present if the light boson in question were the dark matter. Dark matter mass and angular momentum accretion onto the black hole inevitably occurs (Clough et al. 2019, Hui et al. 2019, Bamber et al. 2020). It would be useful to revisit the superradiance constraints for cases where the light boson is the dark matter. It is also worth noting that enhanced interactions of the axion could lead to relaxation of the superradiance constraints (Mathur et al. 2020).

**4.4.2. Boson stars.** Light boson dark matter can be probed astrophysically in a different way, by the boson stars or solitons that could form in the early Universe. Using the

Chandrasekhar-like maximum mass as a guide (Equations 23 and 24), the interesting boson star mass could range from  $10^{-10} M_{\odot}$  to  $10^{10} M_{\odot}$ , and for dark matter mass from  $10^{-6}$  eV to  $10^{-22}$  eV. Gravitational lensing could be used to detect or constrain a population of such objects (Kolb & Tkachev 1996, Fairbairn et al. 2018). They could also contribute to merger events seen by gravitational wave experiments if they are sufficiently compact (Macedo et al. 2013, Palenzuela et al. 2017, Clough et al. 2018, Helfer et al. 2019). Collisions could also trigger resonant photon production (Hertzberg et al. 2020, Levkov et al. 2020, Amin & Mou 2021). The computation of the early Universe production of boson stars, specifically axion stars, was pioneered by Kolb & Tkachev (1993). Termed axion miniclusters, they form due to large fluctuations from the breaking of the Peccei–Quinn symmetry after inflation. The mass function of boson stars subsequently evolves, due to mergers and condensation processes (Fairbairn et al. 2018, Eggemeier & Niemeyer 2019). Further computations to firm up the prediction of the eventual mass distribution of boson stars would be helpful.

**4.4.3. Gravitational potential oscillations.** An oscillating scalar produces an oscillating gravitational potential at frequency  $2m$ , as pointed out by Khmelnitsky & Rubakov (2014). This effect can be searched for in pulsar timing array data, which has a frequency coverage that probes  $m \sim 10^{-24}$ – $10^{-22}$  eV. The oscillating potential scales as  $\rho/m^2$  (see Section 3.6) so the constraints are stronger at smaller  $m$ ’s. A bound of  $\rho < 6 \text{ GeV cm}^{-3}$  for  $m \leq 10^{-23}$  eV was obtained by Porayko et al. (2018) from the Parkes Pulsar Timing Array data. A bound of  $\rho < 2 \text{ GeV cm}^{-3}$  for  $m \sim 10^{-23}$  eV was obtained by Kato & Soda (2020) from the NANOGrav (North American Nanohertz Observatory for Gravitational Waves) data. These are proofs of concept, because the local dark matter density is already known to be  $\rho \sim 0.4 \text{ GeV cm}^{-3}$  (Bovy & Tremaine 2012, McKee et al. 2015, Sivertsson et al. 2018). As a probe of wave dark matter, this method is interesting because it directly probes the scalar field oscillations at frequency  $m$  and has very different systematics from other astrophysical probes. The Solar System ephemeris turns out to be an important source of systematic error. Forecasts of future improvements, with the planned Square Kilometer Array, are discussed by Porayko et al. (2018). To place meaningful limits on  $m \sim 10^{-22}$  eV, it is important to have high cadence in addition to long integration time.

**4.4.4. Stellar axion emission.** To close this subsection on compact objects, we mention one classic probe: axion bounds from the cooling of stars. The axion couples to photons, gluons, and fermions in the standard model (Equation 9). The interaction strength is weak, but deep in the interior of stars, there can be enough axion production to affect stellar structure and evolution. (The weak interaction strength also makes it relatively easy for the axion to escape from the star.) This has been applied to the Sun (Schlattl et al. 1999), red giants (Raffelt & Dearborn 1987), supernova 1987A (Ellis & Olive 1987, Mayle et al. 1988, Raffelt & Seckel 1988, Turner 1988), and neutron star mergers (Dietrich & Clough 2019). (For 1987A, the axion constraint comes from its effect on the neutrino burst duration. For ways to evade such supernova or stellar cooling bounds, see Bar et al. 2020 and DeRocco et al. 2020.) There are also experiments built specifically to detect solar axions such as CAST (CERN Axion Solar Telescope; Anastassopoulos et al. 2017). Phrased in terms of the axion decay constant  $f$  (larger  $f$  means weaker coupling; see Equation 9), the strongest constraint from these considerations is about  $f \gtrsim 10^9$  GeV. Note that these constraints on the axion assume only its existence, not its viability as a dark matter candidate. A comprehensive recent review is provided by Raffelt (2008). There are also proposals to detect axion dark matter from the production of photons in strong magnetic fields around neutron stars (Bai & Hamada 2018, Hook et al. 2018, Foster et al. 2020).

## 4.5. Photon Propagation in Axion Background

The axion coupling to  $\vec{E} \cdot \vec{B}$  (Equation 9) affects the propagation of photons in the Universe if dark matter is indeed made up of axions. To be concrete, suppose the Lagrangian for the photon consists of

$$\mathcal{L} = -\frac{1}{4}F_{\mu\nu}F^{\mu\nu} + \frac{1}{4}g_\gamma\phi F_{\mu\nu}\tilde{F}^{\mu\nu}, \quad 40.$$

where  $F_{\mu\nu}$  is the photon field strength and  $\tilde{F}^{\mu\nu} = \epsilon^{\mu\nu\alpha\beta}F_{\alpha\beta}/2$ . The coupling constant  $g_\gamma$  plays the role of  $\sim 1/f$  in Equation 9. The modified Maxwell equations, setting  $\vec{E}$  and  $\vec{B}$  proportional to  $e^{-i\omega t + i\vec{k} \cdot \vec{x}}$ , imply a dispersion relation of the form (Harari & Sikivie 1992)

$$\omega = |\vec{k}| \pm \frac{1}{2}g_\gamma(\partial_t\phi + \hat{k} \cdot \vec{\nabla}\phi) \quad 41.$$

for the two circular polarizations ( $\pm$ ). This is obtained assuming the Wentzel–Kramers–Brillouin (WKB) limit (i.e.,  $\partial^2\phi \ll \omega\partial\phi$ ), and small  $g_\gamma$ . The fact that the two circular polarizations have different dispersion relations means a linearly polarized photon rotates in polarization as it propagates. One can phrase this in terms of the phase difference between the two circular polarizations:

$$\Delta S = g_\gamma \int dt \frac{D\phi}{Dt}, \quad 42.$$

where  $D/Dt$  is a total time derivative:  $\partial_t + \hat{k} \cdot \vec{\nabla}$ ; i.e., the phase for the respective polarization is  $S = -|\vec{k}|t + \vec{k} \cdot \vec{x} \pm \Delta S/2$ . There have been several attempts or proposals to search for this birefringence effect in astronomical data, for instance, the polarization of radio galaxies (Carroll et al. 1990, Harari & Sikivie 1992, Carroll & Field 1997, Nodland & Ralston 1997) and the microwave background (Harari & Sikivie 1992, Lue et al. 1999, Liu & Ng 2017, Fedderke et al. 2019, Minami & Komatsu 2020, Fung et al. 2021; see also Agrawal et al. 2020 for a proposal to look for axion strings in the microwave background polarization data). Recently, Ivanov et al. (2019) proposed and searched for a polarization signal that oscillates in time in observations of jets in active galaxies (see also Caputo et al. 2019, Fedderke et al. 2019, Liu et al. 2020). The frequency  $m$  oscillations in  $\phi$  cause the linear polarization angle to oscillate, which can be searched for in data. A limit of  $g_\gamma \lesssim 10^{-12} \text{ GeV}^{-1}$  was obtained for  $m \sim 5 \times 10^{-23} - 1.2 \times 10^{-21} \text{ eV}$ . Note that the birefringence signal does not depend on the distance over which the photon travels; it depends only on the values of  $\phi$  at the source and at the observer. A source in a high dark matter density environment (therefore large  $\phi$ ), such as at the center of a galaxy, is therefore a promising target.

The fact that rotation of the linear polarization angle is independent of propagation distance means one could also search for this effect in the laboratory, where high precision measurements are possible (e.g., Liu et al. 2019, DeRocco & Hook 2018, Martynov & Miao 2020, Blas et al. 2020). This brings us naturally to the subject of the next section. We close by mentioning that the same coupling of the axion to photons (Equation 40) gives rise to a different effect that can be searched for: the conversion of photons into axions in an environment with magnetic fields (Raffelt & Stodolsky 1988, Mirizzi et al. 2008). This effect does not require the axions to be dark matter.

## 4.6. Experimental Detection of Axions

The experimental detection of axions is a large subject we cannot hope to do justice to here. For recent comprehensive reviews see, e.g., Graham et al. (2015), Irastorza & Redondo (2018), and Sikivie (2020). We instead focus on aspects of the detection that have to do with the wave

nature of axion dark matter. This subsection is less about summarizing current constraints and more about discussing ways to probe or take advantage of the wave dynamics and interference substructures.<sup>37</sup> There are several papers on this subject. Novel observables for the detection of the axion as a field (or wave) rather than as a particle were discussed by Graham & Rajendran (2013). Stochastic properties of the axion field were computed by Derevianko (2018) and Foster et al. (2018). Implications for the design and interpretation of experiments were discussed by them, and by Roberts et al. (2017), Savalle et al. (2019), Centers et al. (2019), Hui et al. (2021), and Foster et al. (2021). The discussion here follows that of Hui et al. (2021).

A good place to start is to remind ourselves of the relation between the axion,  $\phi$ , and the wavefunction,  $\psi$ :

$$\phi(t, \vec{x}) = \frac{1}{\sqrt{2m}} [\psi(t, \vec{x})e^{-imt} + \psi^*(t, \vec{x})e^{imt}]. \quad 43.$$

Axion detection experiments measure  $\phi$  or its derivatives via its coupling to photons ( $\mathcal{L} \sim g_\gamma \phi F\tilde{F}$ ) and fermions such as quarks or leptons ( $\mathcal{L} \sim g_\psi \partial_\mu \phi \bar{\Psi} \gamma^\mu \gamma_5 \Psi$ ).<sup>38</sup> Writing  $\phi$  in terms of  $\psi$  reminds us there are two timescales of interest: One is the fast Compton timescale  $\sim m^{-1}$  of  $\phi$  oscillations; the other is the slow de Broglie timescale  $\sim (mv^2)^{-1}$  of  $\psi$  fluctuations due to wave interference ( $v$  is the velocity dispersion of dark matter; see discussion around Equation 27):

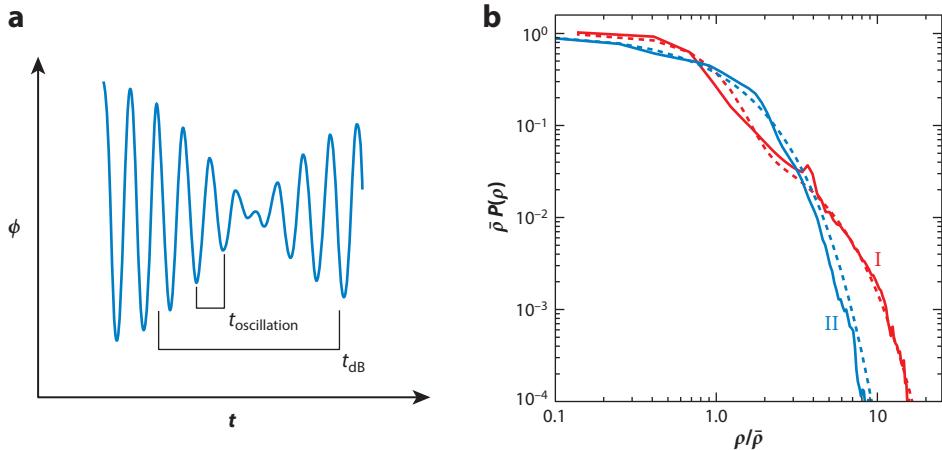
$$\begin{aligned} t_{\text{osc.}} &\equiv \frac{2\pi}{m} = 1.3 \text{ year} \left( \frac{10^{-22} \text{ eV}}{m} \right) = 4.1 \times 10^{-9} \text{ s} \left( \frac{10^{-6} \text{ eV}}{m} \right), \\ t_{\text{dB}} &\equiv \frac{2\pi}{mv^2} = 1.9 \times 10^6 \text{ year} \left( \frac{10^{-22} \text{ eV}}{m} \right) \left( \frac{250 \text{ km s}^{-1}}{v} \right)^2 \\ &= 5.9 \times 10^{-3} \text{ s} \left( \frac{10^{-6} \text{ eV}}{m} \right) \left( \frac{250 \text{ km s}^{-1}}{v} \right)^2. \end{aligned} \quad 44.$$

The time variation of  $\phi$  at a fixed location is depicted in **Figure 4a**. In addition,  $\phi$  fluctuates spatially because  $\psi$  fluctuates on the de Broglie length scale  $\lambda_{\text{dB}}$  (Equation 1 and **Figure 1**). In other words, because the halo is composed of a superposition of waves of largely random phases, the wavefunction  $\psi$  is essentially a stochastic field, which imprints  $\sim t_{\text{dB}}$  temporal modulations and  $\sim \lambda_{\text{dB}}$  spatial fluctuations on the axion  $\phi$ . Existing experiments are sensitive to a wide range of axion masses, from  $m \sim 10^{-22}$  to  $10^{-3}$  eV, though with significant gaps (Graham et al. 2015, Irastorza & Redondo 2018, Sikivie 2020). In many cases, timescales from  $t_{\text{osc.}}$  to  $t_{\text{dB}}$  and beyond are accessible to experiments.

A simple starting point for thinking about the stochastic fluctuations is the random phase halo model, spelled out in Equation 25:  $\psi$  consists of a set of plane waves each with an amplitude  $A_{\vec{k}}$  that depends on momentum  $\vec{k}$  and a random phase. A simple distribution of momentum would be  $A_{\vec{k}} \propto e^{-k^2/k_0^2}$ , essentially an isothermal one, though other distributions are possible. In the random

<sup>37</sup>In this subsection, we pick a few experiments to illustrate how the wave nature of axions is relevant to detection. There is a tremendous diversity in the variety of axion experiments. Some aim to detect dark matter; some probe the existence of an axion regardless of whether it is dark matter. See Graham et al. (2015), Irastorza & Redondo (2018), and Sikivie (2020).

<sup>38</sup>The coupling constants  $g_\gamma$  and  $g_\psi$  play the role of  $1/f$  in Equation 9. There is also the coupling to gluons, which is related to an oscillating electric dipole moment for nucleons (Graham & Rajendran 2013).



**Figure 4**

(a) A schematic illustration of the time dependence of the scalar  $\phi$  at some fixed location. It has short timescale  $t_{\text{osc}} = 2\pi/m$  oscillations (around  $\phi = 0$ ), and long timescale  $t_{\text{dB}} = 2\pi/(mv^2)$  modulations. In practice,  $t_{\text{dB}} \gg t_{\text{osc}}$ . (b) The one-point probability distribution of density in two wave dark matter halos. Here,  $P(\rho)d\rho$  gives the probability that the density  $\rho$  takes the values within the interval  $d\rho$ , and  $\bar{\rho}$  is the (local) mean density. The solid lines are measured from numerical wave simulations of two halos that form from mergers of smaller seed halos and gravitational collapse. The blue line (II) is for a case in which the halo is well mixed, and the red line (I) is for a case in which the halo retains some memory of the initial conditions. The blue dotted line shows the analytic prediction from the random phase halo model,  $\bar{\rho}P(\rho) = e^{-\rho/\bar{\rho}}$ , which describes case II well. The red dotted line is an approximate fit to case I:  $\bar{\rho}P(\rho) = 0.9 e^{-1.06(\rho/\bar{\rho})^2} + 0.1 e^{-0.42(\rho/\bar{\rho})}$ . Figure adapted from Hui et al. (2021).

phase model,  $\psi$  is a Gaussian random field obeying

$$\langle \psi(t_1, \vec{x}_1) \psi^*(t_2, \vec{x}_2) \rangle = \sum_{\vec{k}} A_{\vec{k}}^2 e^{i\vec{k} \cdot (\vec{x}_1 - \vec{x}_2) - i\omega_k(t_1 - t_2)}, \quad \langle \psi(t_1, \vec{x}_1) \psi(t_2, \vec{x}_2) \rangle = 0. \quad 45.$$

(Note how the random phase for each plane wave is sufficient to guarantee that the complex  $\psi$  is Gaussian random, even if  $A_{\vec{k}}$  is nonstochastic. Note also, in choosing for instance  $A_{\vec{k}} \propto e^{-k^2/k_0^2}$ , we are working in the average rest frame of the axions. To boost to a frame—the lab frame—that is moving at velocity  $\vec{v}$  with respect to the average rest frame, one can use  $\psi_{\text{lab}}(t, \vec{x}) = e^{-im\vec{v} \cdot \vec{x} - im|\vec{v}|^2 t/2} \psi(t, \vec{x} + \vec{v}t)$ . Beware that a quantity like  $\langle \psi_{\text{lab}}^* \partial_i \psi_{\text{lab}} \rangle$  at coincident space-time points does not vanish in general even if  $\langle \psi^* \partial_i \psi \rangle$  does.) The higher point correlation functions obey Wick's theorem, expressible as products of the two-point function. From this, all statistical properties of the axion  $\phi$  follow, such as:

$$\langle \phi(t_1, \vec{x}_1) \phi(t_2, \vec{x}_2) \rangle = \frac{1}{2m} (\langle \psi(t_1, \vec{x}_1) \psi^*(t_2, \vec{x}_2) \rangle e^{-im(t_1 - t_2)} + \text{c.c.}), \quad 46.$$

where c.c. represents complex conjugate. The Gaussian random nature of  $\psi$  tells us the one-point probability distribution is Gaussian, specifically a two-dimensional one because  $\psi$  has real and imaginary parts, i.e., the Gaussian probability density  $\exp[-|\psi|^2/(2\Gamma^2)]$ , where  $\Gamma^2 \equiv \sum_{\vec{k}} A_{\vec{k}}^2/2$ , should come with the measure  $d\text{Re}\psi \, d\text{Im}\psi = 2\pi|\psi|d|\psi|$ . In other words,

$$d|\psi| \frac{|\psi|}{\Gamma^2} \exp\left(-\frac{|\psi|^2}{2\Gamma^2}\right) \quad 47.$$

gives the probability that  $|\psi|$  takes the values within the interval  $d|\psi|$  (Centers et al. 2019). It can be checked that this is properly normalized. Recalling the density is  $\rho = m|\psi|^2$ , so average density

is  $\bar{\rho} = m\langle|\psi|^2\rangle = m^2\langle\phi^2\rangle = 2m\Gamma^2$ , the one-point distribution of density is thus<sup>39</sup>

$$\frac{d\rho}{\bar{\rho}} e^{-\rho/\bar{\rho}}. \quad 48.$$

There is a nonnegligible probability for the density to fluctuate to low values, indeed all the way to zero (i.e., at sites of complete destructive interference or vortices). **Figure 4b** shows a comparison of this analytic prediction with results from numerical simulations of two halos that form from mergers and gravitational collapse, taken from Hui et al. (2021). The analytic prediction works reasonably well, especially in the case (II) in which the halo is well mixed. It works less well in the case (I) in which some memory of the initial conditions persists—the halo has coherent substructures in the form of subhalos. See also Veltmaat et al. (2018) for correlation function measurements from numerical simulations.

The stochastic nature of the axion field  $\phi$  and its derivatives has rich implications for axion detection. For instance, given the average local density  $\bar{\rho}$  ( $\sim 0.4 \text{ GeV cm}^{-3}$ ), an axion experiment would sample from the whole distribution of  $\rho$ 's depicted in **Figure 4**, if timescales longer than the de Broglie time  $t_{\text{dB}}$  were accessible. In particular, there would be a nonnegligible probability of sampling  $\rho < \bar{\rho}$ . As pointed out by Centers et al. (2019), experimental constraints on the axion couplings, such as  $g_\gamma$  or  $g_\Psi$ , should take this into account. The full implications remain to be explored—depending on the experiment of interest, the relevant correlation function can be obtained by taking suitable derivatives of Equation 46.

Furthermore, the stochastic nature of  $\phi$  suggests it would be useful to measure correlation functions. For instance, the signal for ADMX (Axion Dark Matter Experiment; N. Du et al. 2018) is often expressed in terms of the power output in a microwave cavity, which is proportional to  $\phi^2$ , or  $\phi^2$  averaged over the rapid, frequency  $m$  oscillations. (The idea was proposed by Sikivie 1983; it involves looking for photons produced by axions in the presence of a magnetic field.) One can consider the following correlation function in time (coincident location):

$$\langle\phi(t_1)^2\phi(t_2)^2\rangle - \langle\phi^2\rangle^2 = \frac{1}{m^2}|\langle\psi(t_1)\psi^*(t_2)\rangle|^2 = \frac{\bar{\rho}^2}{m^4} \left[1 + \frac{k_0^4(t_1 - t_2)^2}{16m^2}\right]^{-3/2}, \quad 49.$$

where we have implicitly averaged  $\phi^2(t)$  over the rapid oscillations and assumed the random phase model. Here,  $k_0$  is the rms (3D) momentum times  $2/\sqrt{3}$ , following from the distribution  $A_k^2 \propto e^{-2k^2/k_0^2}$ . This correlation function can be measured in a microwave cavity experiment. The characteristic power-law decay at large time separation might be helpful in pulling signal out of noisy data. Some experiments measure  $\dot{\phi}$  by searching for a time varying magnetic flux produced by the oscillating axion in the presence of an external magnetic field, such as ABRA-CADABRA (A Broadband/Resonant Approach to Cosmic Axion Detection with an Amplifying B-field Ring Apparatus; Kahn et al. 2016, Ouellet et al. 2019). Others are sensitive to  $\vec{\nabla}\phi$ , such as CASPER (Collaboration for Astronomy Signal Processing and Electronics Research; Graham & Rajendran 2013, Budker et al. 2014) or co-magnetometry or spin pendulum experiments (Stadnik & Flambaum 2014, Abel et al. 2017, Terrano et al. 2019). The idea is to measure the spin precession around the direction picked out by  $\vec{\nabla}\phi$ , using the axion–fermion coupling (Equation 9). Correlation functions thereof can be obtained by differentiating Equation 46.

<sup>39</sup>This distribution can be derived directly from  $\phi$  without going through  $\psi$ , but it is important to remember that  $\rho = (\dot{\phi}^2 + m^2\phi^2)/2$  is determined not by  $\phi$  alone but also by its time derivative. Spatial gradient energy also contributes to  $\rho$  but is subdominant in the nonrelativistic limit.

More generally, with a network of detectors, one can measure the correlation function in space–time:

$$\langle \phi(t_1, \vec{x}_1)^2 \phi(t_2, \vec{x}_2)^2 \rangle - \langle \phi^2 \rangle^2 = \frac{\bar{\rho}^2}{m^4} \left[ 1 + \frac{k_0^4(t_1 - t_2)^2}{16m^2} \right]^{-3/2} \exp \left[ -\frac{4k_0^2 m^2 |\vec{x}_1 - \vec{x}_2|^2}{16m^2 + k_0^4(t_1 - t_2)^2} \right], \quad 50.$$

where again we have implicitly averaged over the rapid oscillations. The difference in dependence on time-separation versus space-separation originates from the fact  $\omega_k$ , the frequency for a Fourier mode, goes as  $k^2$  rather than  $k$ . The idea of using a network of detectors, much like an interferometry array in radio astronomy, has been discussed by Pustelny et al. (2013) for GNOME (Global Network of Optical Magnetometers for Exotic) and by Derevianko (2018), Foster et al. (2018), Roberts et al. (2017), Savalle et al. (2019), Centers et al. (2019), Hui et al. (2021), and Foster et al. (2021). Experiments that measure the rotation of photon polarization in an axion background naturally measure  $\phi$  at points separated in time and/or space (DeRocco & Hook 2018, Liu et al. 2019, Martynov & Miao 2020).

It is worth pointing out that different experiments respond differently to the passing of a vortex. As discussed in Section 3.4, at the location of a vortex,  $\psi$  vanishes but its gradient generically does not. This implies experiments that probe  $\phi$  or  $\dot{\phi}$  have a vanishing signal, whereas those that probe  $\vec{\nabla}\phi$  have a nonvanishing one. (In the nonrelativistic limit,  $\dot{\phi}$  and  $\phi$  are practically equivalent; i.e.,  $\phi \sim \psi e^{-imt} + \text{c.c.}$  while  $\dot{\phi} \sim -im\psi e^{-imt} + \text{c.c.}$ ) Perhaps more interesting is how the generic existence of vortices (one vortex ring per de Broglie volume) points to interesting structures in the phase of the axion oscillations. Plugging  $\psi = \sqrt{\rho/m} e^{i\theta}$  into Equation 43, the axion field  $\phi$  can be expressed as

$$\phi(t, \vec{x}) = m^{-1} \sqrt{2\rho(t, \vec{x})} \cos [mt - \theta(t, \vec{x})]. \quad 51.$$

Dark matter detection, for good reasons, generally focuses on measuring the amplitude of the axion oscillations, which tells us about the density of dark matter  $\rho$ . The arguments in Section 3.4 tell us wave interference generically produces nontrivial structures in the oscillation phase  $\theta(t, \vec{x})$ , i.e., winding around vortices. It would be useful to explore how such winding could be measured and how it might be exploited to enhance detection sensitivity. Doing so likely requires a network of detectors, possibly combining different detection techniques that get at different derivatives of  $\phi$  (Hui et al. 2021).

## 5. DISCUSSION: THEORY EXPLORATION, NUMERICAL SIMULATIONS, ASTROPHYSICAL PROBES, AND EXPERIMENTAL DETECTION

We have reviewed the particle physics motivations for considering wave dark matter and the observational and experimental implications, with the axion as the prime example. We close with a list of open questions and directions for further research.

### 5.1. Theory Exploration

The dark matter sector could well be as rich as the visible sector, with different kinds of particles. This has a certain plausibility in string theory, which generically predicts a variety of axions. Most of them would be too massive to be a suitable dark matter candidate. But if one of them is light enough to be dark matter, perhaps there may be more (Arvanitaki et al. 2010, Bachlechner et al. 2019, Luu et al. 2020). And if these light axions are coupled, how is the relic abundance computation modified? What is the impact on galactic substructures if there is a mixture of wave and particle dark matter or a mixture of wave dark matter of different masses (Schwabe et al. 2020)?

If the axion as a field exists during inflation, it has inevitable isocurvature fluctuations—if the energy scale of inflation is high enough to saturate the existing isocurvature bound, what are the implications for structure formation (Section 4.1)?

## 5.2. Numerical Simulations

There is a great need for more and better simulations of wave dark matter structure formation. Some of the existing constraints at the ultralight end of the spectrum ( $10^{-22}$ – $10^{-20}$  eV, FDM) rely on the halo or subhalo mass function that has not been checked using wave simulations (Section 4.3). Current estimates of the halo and subhalo mass function account for the wave nature of dark matter primarily through its impact on the initial condition, i.e., the primordial power spectrum (Section 4.2). It is important to quantify how the wave dynamics affects the subsequent evolution. Further simulations would also be useful for interpreting constraints from galaxy density profiles (by including the effects of baryons and tidal forces) and constraints from the Ly $\alpha$  forest (by exploring the variety of fluctuations from the ionizing background, reionization history and galactic winds). There is also room for improvement in the numerical algorithm: It is challenging to carry out wave simulations in large boxes with the requisite de-Broglie-scale resolution (Section 3.3). The hybrid scheme of Veltmaat et al. (2018) is one promising approach. In addition, there is a need for more simulations of the early Universe. If the Peccei–Quinn symmetry is broken after inflation, large fluctuations are expected to lead to axion star formation (Kolb & Tkachev 1993). An accurate mass function of such objects, accounting for the effect of subsequent mergers (Eggemeier & Niemeyer 2019), would be very useful. The axion in question can span a large range in mass and need not be ultralight (Sections 3.2 and 4.4).

## 5.3. Astrophysical Probes

A striking prediction of wave dark matter is the interference substructures inside a halo. These are order unity density fluctuations on the scale of the de Broglie wavelength. The density can even vanish, where complete destructive interference occurs. These are locations of vortices—a unique wave phenomenon (Section 3.4). Such interference patterns are distinct from subhalos as a form of halo substructure. Some observational signatures, for ultralight masses, have been worked out, such as the scattering of stars and gravitational lensing (Section 4.3). Recent measurements of the density power spectrum along globular cluster tidal streams GD-1 and Palomar 5, from *Gaia* and Pan-STARRS data, suggest consistency with scattering by subhalos in conventional CDM (Bovy et al. 2017; Banik et al. 2019, 2021) (for more background on the streams and the data, see Grillmair & Dionatos 2006, Ibata et al. 2016, Prusti et al. 2016, and Chambers et al. 2019). Are the same measurements consistent with FDM? To answer this question, one must account for scattering by both the subhalo contents (Schutz 2020) and the interference substructures (Dalal et al. 2021). In addition, it is important to clarify to what extent the tidal stream density fluctuations can be attributed to the tidal disruption process itself (Kuepper et al. 2010, Ibata et al. 2020). More measurements spanning different orbital radii would be helpful in differentiating between models: Scattering by interference substructures is expected to be more important at small radii relative to scattering by subhalos (Dalal et al. 2021). It is also worth noting there are other statistics that might have different sensitivity to the mass and compactness of subhalos (e.g., Bonaca et al. 2018). Improvement in stellar stream data is expected from further *Gaia* data releases and the upcoming *Vera C. Rubin Observatory* (Ivezic et al. 2019).

Anomalous flux ratios between gravitationally lensed images have been used to constrain substructures in galaxy lenses (Hezaveh et al. 2016b, Gilman et al. 2019, Dai et al. 2020, Hsueh et al. 2020; see Section 4.3). Typically, these constraints are obtained by fitting the data with a

parameterized model of subhalos, which is then checked against the prediction of conventional CDM. For FDM, two issues should be addressed. One is a proper wave computation of the subhalo mass function, discussed earlier. The other is the inclusion of wave interference substructures as an additional source of flux anomaly (Chan et al. 2020, Hui et al. 2021). This is a promising technique given the expected improvement in lensing data, e.g., from ALMA (Atacama Large Millimeter/submillimeter Array; Vlahakis et al. 2015, Hezaveh et al. 2016b).

Observations of the high-redshift ( $z > 5$ ) Universe have the potential to probe the linear power spectrum on small scales, and therefore constrain FDM, as discussed in Section 4.2. Promising future data include those from the *James Webb Space Telescope* (Gardner et al. 2006, Hirano et al. 2018) and 21-cm experiments (DeBoer et al. 2017, Bowman et al. 2018, Weltman et al. 2020). To take full advantage of these data, the FDM predictions for early structure formation should be refined using wave simulations in larger boxes (Mocz et al. 2019, May & Springel 2021).

Another area in which more data are needed is the study of dynamical friction. The Fornax dwarf galaxy is the main example where there is possibly a dynamical friction problem—that its globular clusters survive in its halo despite efficient dynamical friction (Tremaine 1976, Oh et al. 2000). One resolution is to invoke FDM to weaken dynamical friction, though it appears core stalling might also do the job (see Sections 3.5 and 4.3). Data on more such systems would be instructive.

## 5.4. Detection Experiments

The interference substructures are a robust prediction of wave dark matter, regardless of the dark matter mass. Away from the ultralight end of the spectrum, the corresponding de Broglie wavelength is small, making the interference substructures challenging to observe astrophysically. But the substructures remain relevant for axion detection experiments that are sensitive to much smaller scales. The axion field is effectively stochastic, in a halo made out of a superposition of waves with random phases. At minimum, this stochastic nature should be accounted for in deriving constraints. Furthermore, the stochastic nature motivates the measurement of correlation functions of the axion field. The correlation can involve both time and space separations, further motivating the idea of a network of detectors, like in radio interferometry. An underexplored area is the information contained in the phase of the axion oscillations (Equation 51). That vortices generically exist tells us there are nontrivial structures in the phase, such as winding. An interesting question is whether searching for such structures might help extract signal out of noisy data (Section 4.6).

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## Errata

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