



# ATLAS NOTE

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### Search for supersymmetry at $\sqrt{s} = 8$ TeV in final states with jets, missing transverse momentum and one isolated lepton

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#### Abstract

This note presents a search for supersymmetry by the ATLAS experiment at the LHC in proton-proton collisions at a center-of-mass energy  $\sqrt{s} = 8$  TeV in a final state with at least four jets, missing transverse momentum and one isolated electron or muon. The search is based on data collected during the first half of 2012, which corresponds to an integrated luminosity of  $5.8 \text{ fb}^{-1}$ . No excess above the Standard Model expectation is observed and limits are set on the visible cross section of new physics within the kinematic requirements of the search. The results are also interpreted as limits on the parameters of a minimal supergravity model.

# 1 Introduction

Supersymmetry (SUSY) has been proposed as a theory that could describe physical phenomena beyond the Standard Model (SM) [1–9]. If strongly interacting supersymmetric particles are present at the TeV-scale, they should be accessible at the Large Hadron Collider [10]. In the minimal supersymmetric extension of the Standard Model [11–15] such particles decay into jets, leptons and the lightest supersymmetric particle (LSP) which can be weakly interacting and escape detection, leading to missing transverse momentum ( $\mathbf{p}_T^{\text{miss}}$  and its magnitude  $E_T^{\text{miss}}$ ) in the final state. Significant  $E_T^{\text{miss}}$  can also arise in scenarios where the LSP decays to final states containing neutrinos, or in scenarios where neutrinos are created somewhere in the SUSY decay cascade.

This note presents a search with the ATLAS detector for SUSY in a final state containing jets, one isolated lepton (electron or muon) and  $E_T^{\text{miss}}$ . Previous searches in this channel have been conducted by both the CMS [16] and ATLAS [17] collaborations using the full 2011 dataset at a center-of-mass energy of 7 TeV. In this previous ATLAS search, a combination of 3- and 4-jet signal regions was used to analyse the  $4.7 \text{ fb}^{-1}$  of integrated luminosity. In this note, the analysis is performed with 2012 data at a center-of-mass energy of 8 TeV with an integrated luminosity of  $5.8 \text{ fb}^{-1}$  and uses a signal region with at least four high transverse momentum jets.

## 2 The ATLAS Detector

The ATLAS detector [18, 19] consists of a tracking system (inner detector, or ID) surrounded by a thin superconducting solenoid providing a 2 T magnetic field, electromagnetic and hadronic calorimeters and a muon spectrometer (MS). The ID consists of pixel and silicon microstrip detectors, surrounded by the transition radiation tracker (TRT). The electromagnetic calorimeter is a lead liquid-argon (LAr) detector. Hadron calorimetry is based on two different detector technologies, with scintillator-tiles or LAr as active media, and with either steel, copper, or tungsten as the absorber material. The MS is based on three large superconducting toroids arranged with an eight-fold azimuthal coil symmetry around the calorimeters, and a system of three stations of chambers for the trigger and for precise measurements. The nominal  $pp$  interaction point at the center of the detector is defined as the origin of a right-handed coordinate system. The positive  $x$ -axis is defined by the direction from the interaction point to the center of the LHC ring, with the positive  $y$ -axis pointing upwards, while the beam direction defines the  $z$ -axis. The azimuthal angle  $\phi$  is measured around the beam axis and the polar angle  $\theta$  is the angle from the  $z$ -axis. The pseudorapidity is defined as  $\eta = -\ln \tan(\theta/2)$ .

## 3 SUSY Signal Modeling and Simulated Event Samples

Simulated event samples are used for estimating the signal acceptance, the detector efficiency, and to aid in the estimation of SM background contributions. As a benchmark SUSY scenario, the model considered here is the MSUGRA/CMSSM [20, 21] with  $\tan\beta = 10$ ,  $A_0 = 0$  and  $\mu > 0$ . The MSUGRA/CMSSM signal samples are generated with Herwig++ [22]; SUSY-HIT [23] interfaced to SOFTSUSY [24] and SDECAY [25] is used to calculate the sparticle mass spectra and decay tables, and to guarantee consistent electroweak symmetry breaking in the MSUGRA/CMSSM models. Signal cross sections are calculated at next-to-leading order in the strong coupling constant, adding the resummation of soft gluon emission at next-to-leading-logarithmic accuracy (NLO+NLL) [26–30]. The nominal cross section and the uncertainty are taken from an envelope of cross section predictions using different PDF sets and factorisation and renormalisation scales, as described in Ref. [31].

The simulated event samples for the SM backgrounds are summarized in Table 1. The ALPGEN samples are generated with the MLM matching scheme. Parton shower and fragmentation processes

Physics process	Generator	Cross section (nb)	Calculation	
$t\bar{t}$	MC@NLO 4.06 [32]	0.238	NLO+NLL	[33]
$W(\rightarrow \ell\nu) + \text{jets}$	ALPGEN 2.14 [34]	12.19	NNLO	[35]
$Z/\gamma^*(\rightarrow \ell\ell) + \text{jets}$	ALPGEN 2.14 [34]	1.15	NNLO	[35]
Single-top ( $t$ -chan)	AcerMC [36]	0.0095	NLO	[37]
Single-top ( $s$ -chan)	MC@NLO 4.06 [32]	0.0006	NLO	[38]
Single-top ( $Wt$ -chan)	MC@NLO 4.06 [32]	0.0224	NLO	[39]
$WW$	HERWIG 6.5.20 [40]	0.0547	NLO	[41]
$WZ$ ( $66 < M_Z < 116$ GeV)	HERWIG 6.5.20 [40]	0.0333	NLO	[41]
$ZZ$ ( $M_Z > 60$ GeV)	HERWIG 6.5.20 [40]	0.0112	NLO	[41]

Table 1: Simulated background event samples used in this analysis, and the production cross sections. The ALPGEN samples are generated with  $0 \leq N_{\text{parton}} \leq 5$  in the matrix element. The  $W$ +jets and  $Z/\gamma^*$ +jets samples are normalized using the inclusive cross sections multiplied by the relevant branching ratio; the values shown in the table are for a single lepton flavor. The single-top cross sections are also listed for a single lepton flavor. Details of PDF sets and underlying event tunes are given in the text.

are simulated for the ALPGEN and MC@NLO samples using HERWIG [40] with JIMMY [42] for underlying event simulation. The PDFs used in this analysis are: CTEQ6L1 [43] for the ALPGEN and HERWIG samples and CT10 [44] for MC@NLO. The ATLAS AUET2 underlying event tunes are used [45]. The theoretical cross sections for  $W$ +jets and  $Z$ +jets are calculated with DYNNLO [46] with the MSTW2008NNLO [47] PDF set. For the diboson cross sections, MCFM [41] with the MSTW2008NLO PDFs is used. The  $t\bar{t}$  cross section is calculated with HATHOR 1.2 [33] using MSTW2008NNLO PDFs.

The detector simulation [48] is performed using GEANT4 [49]. All simulated samples are generated with a range of simulated minimum-bias interactions overlaid on the hard-scattering event to account for the multiple  $pp$  interactions in the same bunch crossing (pile-up). The overlay also treats the impact of pile-up on bunch crossings other than the one in which the event occurred. Simulated samples are corrected by taking into account the observed differences between the data and simulation for the lepton trigger and reconstruction efficiencies, and for the efficiency and misidentification rate of the algorithm used to identify jets arising from  $b$ -quarks ( $b$ -tagging).

## 4 Object Reconstruction

In this Section, we describe the final-state object reconstruction and selection requirements. The event selection requirements will be described in detail in Section 6.

### 4.1 Jet selection

Jets are reconstructed from three-dimensional calorimeter energy clusters using the anti- $k_t$  algorithm [50, 51] with a radius parameter  $R = 0.4$ . Jets arising from detector noise, cosmic rays or other non-collision sources are rejected [52]. To take into account the differences in calorimeter response between electrons/photons and hadrons, each cluster is classified, prior to the jet reconstruction, as coming from an electromagnetic or hadronic shower on the basis of its shape [19]. The jet energy is then corrected at cluster level by weighting electromagnetic and hadronic energy deposits with correction factors derived from Monte Carlo simulation. A further calibration, relating the response of the calorimeter to true jet

energy [52, 53], is then applied to the jet energy. Signal jets are required to have  $p_T > 25$  GeV and  $|\eta| < 2.5$ .

The primary vertex [54] is required to be consistent with the beamspot envelope and to have at least five tracks; when more than one such vertex is found, the vertex with the largest summed  $|p_T|^2$  of the associated tracks is chosen.

Jets arising from  $b$ -quarks are identified using information about track impact parameters and reconstructed secondary vertices; the  $b$ -tagging algorithm MV1 is based on a neural network using the output weights of IP3D, SV1 and JetFitterCombNN (defined in [55]) as inputs. The  $b$ -tagging requirements are set at an operating point which has an inclusive  $b$ -tag efficiency of 60% in a simulated sample of  $t\bar{t}$  events, for which the algorithm provides a rejection factor of approximately 600 for light-quark and gluon jets and a rejection of approximately 8 for charm jets [56].

## 4.2 Lepton selection

Electrons are reconstructed from clusters in the electromagnetic calorimeter matched to a track in the ID [57]. For the final selection of signal events, “signal” electrons are required to pass a variant of the “tight” selection of [57], which has been modified in 2012 to reduce the impact of pile-up [58]. Signal electrons must have  $p_T > 25$  GeV,  $|\eta| < 2.47$  and a distance to the closest jet  $\Delta R = \sqrt{(\Delta\eta)^2 + (\Delta\phi)^2}$  to be  $\Delta R < 0.2$  or  $\Delta R > 0.4$ . Electrons with  $\Delta R < 0.2$  are kept, and the jet is discarded in order to avoid double counting, as every electron also gets reconstructed as a jet. The jets used in this  $\Delta R$  requirement have  $p_T > 20$  GeV and  $|\eta| < 2.8$ . Signal electrons are required to pass further criteria on the electron isolation: the scalar sum of the  $p_T$  of tracks within a cone of radius  $\Delta R = 0.2$  around the electron (excluding the electron itself) is required to be less than 10% of the electron  $p_T$ .

Muons are identified either as a combined track in the MS and ID systems, or as an ID track matching with a MS segment [59, 60]. Requirements on the quality of the ID track are identical to those in Ref. [61]. Muons in the final selection (“signal” muons) are required to have  $p_T > 25$  GeV,  $|\eta| < 2.4$  and  $\Delta R > 0.4$  with respect to the closest jet where the requirements on the jets are the same as in the electron case. Further isolation criteria are imposed: the scalar sum of the  $p_T$  of tracks within a cone of radius  $\Delta R = 0.2$  around the muon candidate (excluding the muon itself) is required to be less than 1.8 GeV.

## 4.3 $E_T^{\text{miss}}$ calculation

The missing transverse momentum calculation uses calorimeter clusters calibrated according to the reconstructed physics object to which they are associated. The association is performed with well-identified objects (electrons, jets and muons). The remaining non-associated energy deposits are also taken into account using an energy-flow algorithm [62].

## 5 Trigger and Data Collection

The data used in this analysis were collected in the first half of 2012 during which the instantaneous luminosity of the LHC reached  $6.76 \times 10^{33} \text{ cm}^{-2} \text{ s}^{-1}$ . The average number of expected interactions per beam crossing ranged from approximately 6 to 32. After the application of beam, detector, and data-quality requirements, the total integrated luminosity is  $5.8 \text{ fb}^{-1}$  with a relative uncertainty of 3.6%, obtained with techniques similar to those described in [63].

In order to collect the data in the electron channel, a combined electron+ $E_T^{\text{miss}}$  trigger, with thresholds at 24 GeV for the electron and 35 GeV for  $E_T^{\text{miss}}$ , was used, in combination with an electron-only trigger with a  $p_T$  threshold at 60 GeV. The  $E_T^{\text{miss}}$  trigger uses a local hadronic calibration for the clusters and is fully efficient for  $E_T^{\text{miss}} > 80$  GeV. The electron trigger selects events containing one or more electron

candidates, based on the presence of a cluster in the electromagnetic calorimeter, with a shower shape consistent with that of an electron. For signal electrons satisfying  $p_T > 25$  GeV, the trigger efficiency varies with the electron  $p_T$  from 70% to 100%.

In the muon channel, a combined muon+jet+ $E_T^{\text{miss}}$  trigger is used, with thresholds at 24 GeV for the muon, 65 GeV for the jet and 40 GeV for  $E_T^{\text{miss}}$ . The muon trigger selects events containing one or more muon candidates based on the hit patterns in the MS and ID. The jet requirement in the trigger is fully efficient for offline jets with  $p_T > 80$  GeV. For signal muons satisfying  $p_T > 25$  GeV and  $E_T^{\text{miss}} > 95$  GeV, the trigger efficiency is in the plateau region; the plateau efficiency is around 70% in the barrel region and around 90% in the endcaps.

## 6 Event Selection

Two variables, derived from the kinematic properties of the reconstructed objects, are used in the event selection. The transverse mass ( $m_T$ ) of the lepton ( $\ell$ ) and  $\mathbf{p}_T^{\text{miss}}$  is defined as

$$m_T = \sqrt{2p_T^\ell E_T^{\text{miss}}(1 - \cos(\Delta\phi(\vec{\ell}, \mathbf{p}_T^{\text{miss}})))}$$

The inclusive effective mass ( $m_{\text{eff}}^{\text{inc}}$ ) is the scalar sum of the  $p_T$  of the lepton, the jets and  $E_T^{\text{miss}}$ :

$$m_{\text{eff}}^{\text{inc}} = p_T^\ell + \sum_{i=1}^{N_{\text{jet}}} p_{T,i} + E_T^{\text{miss}}$$

where the index  $i$  runs over all the signal jets with  $p_T > 40$  GeV in the event. It is also useful to define  $H_T = m_{\text{eff}}^{\text{inc}} - E_T^{\text{miss}}$ . The inclusive effective mass is correlated with the overall mass scale of the hard-scattering and provides good discrimination against SM background, without being too sensitive to the details of the SUSY decay cascade. A sum over the 4 leading- $p_T$  jets is used to compute  $m_{\text{eff}}$ , used in the ratio  $E_T^{\text{miss}}/m_{\text{eff}}$ . The latter is similar to the  $E_T^{\text{miss}}$  significance in that it reflects the change in the  $E_T^{\text{miss}}$  resolution as a function of the calorimeter activity in the event; the definition selected here improves the rejection of background from mismeasured jets.

This analysis is based on a signal region requiring at least four hard jets, one lepton (electron or muon) and  $E_T^{\text{miss}}$ . The number of signal leptons (electron or muon) is required to be exactly one. Events containing additional leptons are rejected in this analysis. For this veto, the identification selections are relaxed: for the electron, the “medium” quality requirement is used, the  $p_T$  requirement is lowered to 10 GeV and the track isolation is not applied; for the muons, the  $p_T$  threshold is lowered to 10 GeV and the track isolation requirement is removed. At least 4 jets with  $p_T > 80$  GeV are required. In addition, the following requirements are made:  $m_T > 100$  GeV,  $E_T^{\text{miss}} > 250$  GeV,  $E_T^{\text{miss}}/m_{\text{eff}} > 0.2$  and  $m_{\text{eff}}^{\text{inc}} > 800$  GeV. This signal region was initially defined for the 7 TeV search [17], where it was found to be the best performing single signal region. The selection criteria are summarized in Table 2.

## 7 Background Estimation

The main sources of SM background in the signal region are  $t\bar{t}$  production where both  $W$  decay leptonically and one lepton is lost or is a hadronically decaying tau, semi-leptonic  $t\bar{t}$ , and associated production of jets and leptonically decaying  $W/Z$  bosons. The other background processes considered are single-top, dibosons and misidentified leptons from fully hadronic multijet events. Dedicated samples for  $t\bar{t}+W/Z$  production have not been considered since this background has been found to be negligible in 7 TeV searches in this final state.

signal region	
$N_{\text{lep}}$	1
$p_T^\ell$ (GeV)	> 25
$p_T^{\ell_2}$ (GeV)	< 10
$N_{\text{jet}}$	$\geq 4$
$p_T^{\text{jet}}$ (GeV)	> 80, 80, 80, 80
$E_T^{\text{miss}}$ (GeV)	> 250
$m_T$ (GeV)	> 100
$E_T^{\text{miss}}/m_{\text{eff}}$	> 0.2
$m_{\text{eff}}^{\text{inc}}$ (GeV)	> 800

Table 2: Overview of the selection criteria for the signal region.

The major backgrounds ( $t\bar{t}$  and  $W/Z+\text{jets}$ ) are estimated using control regions defined to be enriched in SM events from the process of interest. The normalization of the simulation is adjusted simultaneously in all the control regions using a binned fit described in Section 9, and the simulation is used to extrapolate the results into the signal region.

The multijet background is estimated entirely from the data by a matrix method described below, where one or more of the lepton identification criteria are inverted (with all other signal event selection criteria applied) and the resulting yield is multiplied by the probability for a jet to be mis-identified as an isolated lepton. All other (smaller) backgrounds are estimated entirely from the simulation, using the most accurate theoretical cross sections available.

## 7.1 $W+\text{jets}$ and $t\bar{t}$ Control Regions

The  $W+\text{jets}$  and  $t\bar{t}$  processes are isolated in control regions defined by the following requirements. The jet, lepton and  $m_T$  requirements are the same as in the signal region. However, the  $E_T^{\text{miss}}$  is required to lie between 100 and 180 GeV and  $m_{\text{eff}}^{\text{inc}}$ , within the range 500-1300 GeV. The  $W+\text{jets}$  and  $t\bar{t}$  control regions are distinguished by requirements on the number of  $b$ -tagged jets. For the  $W+\text{jets}$  control region, events are rejected if any of the 4 highest  $p_T$  jets is  $b$ -tagged; the rejected events then define the  $t\bar{t}$  control region. Table 3 summarizes the control regions definitions, while Figure 1 shows the  $E_T^{\text{miss}}$  distribution in events after requiring 1 lepton and four jets. Figure 2 left (right) shows the composition of the  $W+\text{jets}$  ( $t\bar{t}$ ) control regions before the  $m_{\text{eff}}^{\text{inc}}$  selection is applied. Numerical results on the composition of the control regions are presented in Table 4 of Section 9. It can be seen in these plots and table that an overall normalization factor is needed to correct for the  $W+\text{jets}$  contribution; this is expected due to an observed mismodeling of  $m_T$  in the  $W+\text{jets}$  MC and the normalization is corrected through the usual fitting procedure described in Section 9.

## 7.2 Multijet Background

Multijet events become a background when a jet is misidentified as an isolated lepton or when a real lepton appears as a decay product of hadrons in jets but is sufficiently isolated, for example from  $b$ - or  $c$ - jets. Such lepton-like objects are collectively referred to as misidentified leptons in this note. The multijet background in the signal region, and in the  $W+\text{jets}$  and  $t\bar{t}$  control regions where it is more significant, is estimated from the data following a matrix method similar to that employed in Ref. [17].

In this method, the multijet process is enhanced in a control sample with all the SUSY signal or control region criteria applied but where the lepton isolation criteria are not imposed and the shower

	$W + \text{jets}$ control region	$t\bar{t}$ control region
$N_{\text{lep}}$	1	1
$p_T^{\ell_1}$ (GeV)	$> 25$	$> 25$
$p_T^{\ell_2}$ (GeV)	$< 10$	$< 10$
$N_{\text{jet}}$	$\geq 4$	$\geq 4$
$p_T^{\text{jet}}$ (GeV)	$> 80, 80, 80, 80$	$> 80, 80, 80, 80$
$N_{b\text{-tag}}$	0	$\geq 1$
$E_T^{\text{miss}}$ (GeV)	$\in [100, 180]$	$\in [100, 180]$
$m_T$ (GeV)	$> 100$	$> 100$
$m_{\text{eff}}^{\text{inc}}$ (GeV)	$\in [500, 1300]$	$\in [500, 1300]$

Table 3: Definitions of the  $W + \text{jets}$  and  $t\bar{t}$  control regions.

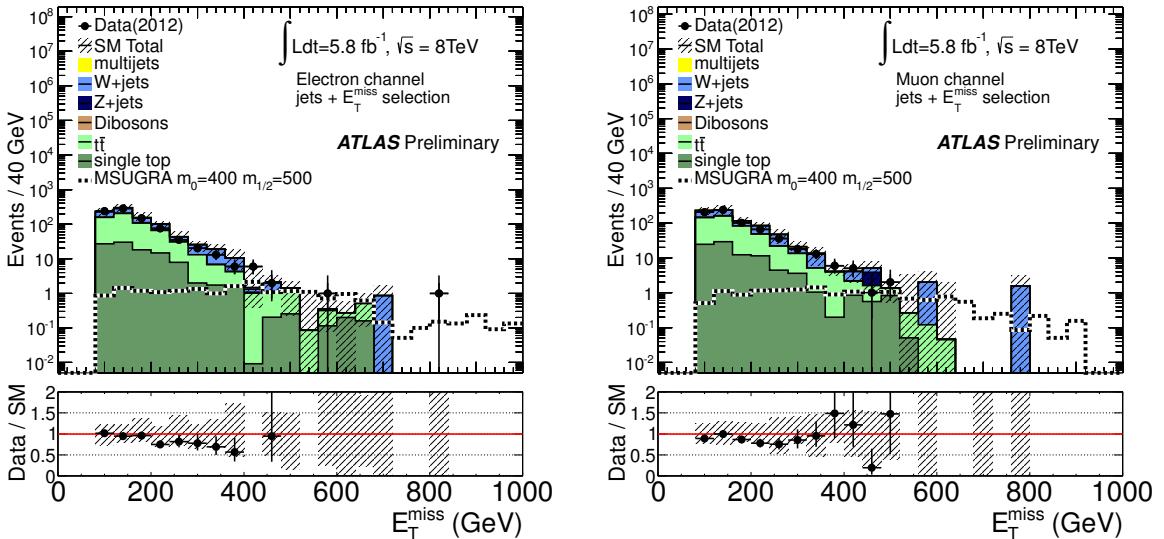


Figure 1: Left (right):  $E_T^{\text{miss}}$  distribution after selecting events with one electron (muon) and at least four jets. The “Data/SM” plots show the ratio between data and the summed Standard Model expectation. The expectation for multijets is derived from the data. The remaining Standard Model expectation is derived from simulation only, normalized to the theoretical cross sections. The uncertainty band on the Standard Model expectation shown here combines the statistical uncertainty on the simulated event samples with the systematic uncertainties on the jet energy scale and resolution,  $b$ -tagging, and data-driven multijet background. For illustration, the expected signal distributions of the MSUGRA/CMSSM model point  $m_0 = 400$  GeV,  $m_{1/2} = 500$  GeV are also shown.

shape requirements on electrons have been slightly relaxed. If  $N_{\text{pass}}$  and  $N_{\text{fail}}$  are the number of events found passing or failing the final lepton selection criteria in this control sample, then the number of events with a misidentified lepton in the SUSY signal or control region is given by:

$$N_{\text{pass}}^{\text{misid}} = \frac{N_{\text{fail}} - (1/\epsilon^{\text{real}} - 1)N_{\text{pass}}}{1/\epsilon^{\text{misid}} - 1/\epsilon^{\text{real}}}$$

where  $\epsilon^{\text{real}}$  is the identification efficiency for real leptons and  $\epsilon^{\text{misid}}$  is the misidentification efficiency for

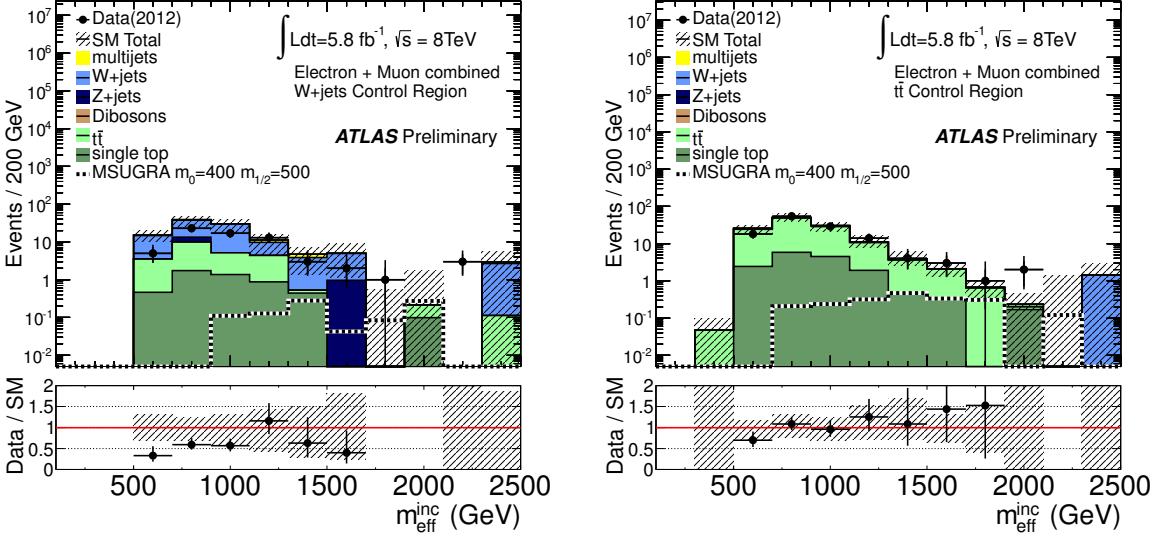


Figure 2: Left (right):  $m_{\text{eff}}^{\text{inc}}$  distribution in the  $W$ -jets ( $t\bar{t}$ ) control region in data and simulation before applying the  $m_{\text{eff}}^{\text{inc}}$  selection. The muon and electron channels are combined. The “Data/SM” plots show the ratio between data and the summed Standard Model expectation. The expectation for multijets is derived from the data. The remaining Standard Model expectation is derived from simulation only, normalized to the theoretical cross sections. The uncertainty band on the Standard Model expectation shown here combines the statistical uncertainty on the simulated event samples with the systematic uncertainties on the jet energy scale and resolution,  $b$ -tagging, and data-driven multijet background. For illustration, the expected signal distributions of the MSUGRA/CMSSM model point  $m_0 = 400$  GeV,  $m_{1/2} = 500$  GeV are also shown.

misidentified leptons.

The identification efficiency,  $\epsilon^{\text{real}}$ , is obtained from data, using  $Z \rightarrow \ell^+ \ell^-$  events. The lepton misidentification efficiency,  $\epsilon^{\text{misid}}$ , is estimated as follows. For electrons (muons) with  $p_T > 25$  GeV, it is estimated with events containing at least one electron (muon) satisfying the relaxed criteria, at least one signal jet with  $p_T > 25$  (60) GeV and  $E_T^{\text{miss}} < 30$  GeV. For these estimations, prescaled single lepton triggers are used in combination with unprescaled dilepton triggers. In addition, for the electron case,  $m_T < 40$  GeV is required and the events are split in two samples depending on whether or not they have at least one  $b$ -tagged jet, in order to allow  $\epsilon^{\text{misid}}$  to vary as a function of the misidentified electron source (heavy-flavour decay or misidentified jets).

### 7.3 Other Backgrounds

The background from single-top and diboson production is estimated from simulation. The background from cosmic ray muons overlapping a hard-scattering event was estimated using a data-driven approach [17] and found to be negligible.

## 8 Systematic Uncertainties on the Background

Systematic uncertainties have an impact on the expected event yields in the control regions and on the extrapolation factors used to derive the background yields in the signal region. The following detector-related systematic uncertainties are taken into account.

The jet energy scale (JES) uncertainty has been measured using a combination of test beam, simulation and in-situ measurements from  $pp$  collisions as described in Ref. [52, 53] and depends on  $p_T$ ,  $\eta$  and the presence of close-by jets. The jet energy resolution (JER) uncertainty has also been estimated using in-situ measurements [64]. Additional contributions to the JES and JER uncertainties arising from the high luminosity and pile-up in 2012 data are taken into account. Uncertainties on the lepton identification, momentum/energy scale and resolution are estimated from samples of  $Z \rightarrow \ell^+ \ell^-$ ,  $J/\psi \rightarrow \ell^+ \ell^-$  and  $W^\pm \rightarrow \ell^\pm \nu$  decays [57, 59, 60]. These jet and lepton uncertainties are propagated to the  $E_T^{\text{miss}}$  calculation which also includes an uncertainty coming from the energy deposits which are not associated to a jet or a lepton [62]. Uncertainties associated with the  $b$ -tagging efficiency are derived from data samples of jets containing muons [56] and the ones associated to the mistagging rates, from inclusive jet samples [65].

The theoretical uncertainties related to the dominant backgrounds ( $W+\text{jets}$  and  $t\bar{t}$ ) are estimated using ALPGEN [34] samples with varying input parameters. The factorization and renormalization scales are varied by a factor of two, up and down with respect to the nominal setting. This variation mainly changes the overall cross section, but as the simulation is normalized to data in control regions, a change in the overall cross section does not impact the results. In the matching process implemented in the ALPGEN generator, an additional scale arises, namely the  $\alpha_s$  scale; this matching scale is also varied by a factor of two with respect to its nominal value. The effect of this variation is to change the relative normalization of the various  $N_{\text{parton}}$  bins of the ALPGEN samples. The function which determines the factorization scale is also varied. Finally, the jet  $p_T$  threshold used in the MLM matching is varied from its default value of 15 GeV to 10 GeV or 20 GeV.

## 9 Background Fit

The background in the signal region is estimated with a fit based on the profile likelihood method [66]. The inputs to the fit are as follows:

1. The number of events observed in the  $W+\text{jets}$  and  $t\bar{t}$  control regions, and the corresponding number of events expected from simulation, separated in four bins of  $m_{\text{eff}}^{\text{inc}}$ , ranging from 500 GeV to 1300 GeV. The electron and muon final states are treated independently. The inputs are shown in Fig. 2.
2. The transfer factors (obtained from the simulation) which relate the number of predicted  $W+\text{jets}$  ( $t\bar{t}$ ) events in the  $W+\text{jets}$  ( $t\bar{t}$ ) control region to the one predicted in the signal region.
3. The number of multijet events in each region obtained with the data-driven method.
4. The number of events predicted by the simulation in each region for the single-top and diboson backgrounds.

The number of events in each of these 16 bins is described using a Poisson probability density function. There are two free parameters considered: an overall normalization scale for the  $W/Z+\text{jets}$  background and another one for the  $t\bar{t}$  background. The other background sources are allowed to vary in the fit within their respective uncertainties. The statistical and systematic uncertainties (see Section 8) on the expected values are included in the fit as nuisance parameters which are typically constrained by a Gaussian probability density function with a width corresponding to the size of the uncertainty considered; correlations between these parameters are taken into account. The product of the various probability density functions forms the likelihood which the fit maximises by adjusting the free and nuisance parameters. The fit does not further constrain the uncertainties except for a moderate constraint on the JES uncertainty for high- $p_T$  jets.

The background fit is cross-checked in a validation region located between the control and the signal regions. The data in the validation region is not itself used as a constraint by the fit, but is used to compare

	$t\bar{t}$ control region		$W+jets$ control region	
	Electron	Muon	Electron	Muon
Observed events	64	51	25	33
Fitted background events	$64.2 \pm 6.3$	$50.2 \pm 5.4$	$26.6 \pm 4.5$	$32.3 \pm 5.1$
Fitted $t\bar{t}$ events	$54.1 \pm 6.7$	$44.5 \pm 5.6$	$7.8 \pm 2.0$	$9.4 \pm 2.1$
Fitted $W/Z+jets$ events	$1.3 \pm 1.2$	$0.0 \pm 1.8$	$14.9 \pm 4.3$	$19.6 \pm 5.2$
Fitted other background events	$8.3 \pm 1.9$	$5.1 \pm 1.9$	$1.3 \pm 0.7$	$2.7 \pm 0.7$
Fitted multijet events	$0.5 \pm 1.5$	$0.5 \pm 0.7$	$2.6 \pm 3.0$	$0.6 \pm 0.8$
MC expected SM events	66.5	51.6	48.3	48.1
MC expected $t\bar{t}$ events	55.1	44.7	9.5	9.0
MC expected $W/Z+jets$ events	2.6	0.0	33.6	35.5
MC expected other background events	8.4	6.4	1.7	2.7
Data-driven multijet events	0.4	0.5	3.5	0.9

Table 4: Background fit results for electron and muon channels in the  $t\bar{t}$  and  $W+jets$  control regions, for an integrated luminosity of  $5.8 \text{ fb}^{-1}$ . Nominal MC expectations (normalised to MC cross-sections) are given for comparison. The errors shown are the statistical plus systematic uncertainties.

the results of the fit to statistically independent observations. The validation region is defined in the same way as the signal region, except for the requirement on  $E_T^{\text{miss}}$ , which must lie between 180 and 250 GeV, and for a lower threshold on the third and fourth jet  $p_T$ , with  $p_T > 40 \text{ GeV}$ .

The results of the fit, interpreted for the control and validation regions, are shown in Tables 4 and 5. Reasonable agreement is seen between the predicted and observed values in all regions within uncertainties. The background uncertainties are dominated by the statistical uncertainty of the simulated samples and the JES uncertainties.

## 10 Results and Interpretation

The distribution of  $m_{\text{eff}}^{\text{inc}}$  in the signal region after applying all the selections is shown in Figure 3. The results of the fit are shown in Table 6: the number of events seen in the signal regions is consistent with the Standard Model expectations. Note that the apparent difference between the electron and muon channels for the number of predicted  $W/Z+jets$  events in the signal region stems from large statistical uncertainties of the simulated samples in this region.

Model-independent limits on the visible cross section (i.e. the cross section evaluated inside the signal region) are derived from the number of observed and predicted events in the signal region, where the predicted events are extrapolated to the signal region from the background fit results. Limits on the number of non-SM events in the signal region, derived using the  $CL_s$  prescription [67], are divided by the integrated luminosity to obtain the limits on the visible cross section.

Given the absence of a significant excess above the Standard Model background expectations, limits can also be placed on specific models of physics beyond the Standard Model. In this case, the fit is modified in the following way:

1. there is an extra free parameter for a possible non-SM signal strength which is constrained to be non-negative,
2. the number of events observed in the signal region is now also considered as an input to the fit.

	Validation region	
	Electron	Muon
Observed events	32	30
Fitted background events	$40.0 \pm 6.9$	$40.2 \pm 8.2$
Fitted $t\bar{t}$ events	$27.3 \pm 6.0$	$21.8 \pm 4.9$
Fitted $W/Z+jets$ events	$5.7 \pm 2.2$	$13.5 \pm 5.7$
Fitted other background events	$5.5 \pm 1.3$	$4.9 \pm 2.0$
Fitted multijet events	$1.5 \pm 1.9$	$0.0 \pm 0.0$
MC expected SM events	42.8	45.8
MC expected $t\bar{t}$ events	25.2	20.1
MC expected $W/Z+jets$ events	10.2	20.2
MC expected other background events	5.8	5.5
Data-driven multijet events	1.5	0.0

Table 5: Background fit results for the electron and muon channels in the validation region, for an integrated luminosity of  $5.8 \text{ fb}^{-1}$ . Nominal MC expectations (normalised to MC cross-sections) are given for comparison. The errors shown are the statistical plus systematic uncertainties.

	Signal region	
	Electron	Muon
Observed events	10	4
Fitted background events	$9.0 \pm 2.8$	$7.7 \pm 3.2$
Fitted $t\bar{t}$ events	$6.0 \pm 2.2$	$2.6 \pm 1.9$
Fitted $W/Z+jets$ events	$1.5 \pm 0.7$	$4.2 \pm 2.3$
Fitted other background events	$1.0 \pm 0.7$	$0.9 \pm 0.3$
Fitted multijet events	$0.4 \pm 0.6$	$0.0 \pm 0.0$
MC expected SM events	9.5	11.5
MC expected $t\bar{t}$ events	5.7	4.6
MC expected $W/Z+jets$ events	2.4	6.0
MC expected other background events	1.0	0.8
Data-driven multijet events	0.4	0.0

Table 6: Background fit results for the electron and muon channels in the signal region, for an integrated luminosity of  $5.8 \text{ fb}^{-1}$ . Nominal MC expectations (normalised to MC cross-sections) are given for comparison. The errors shown are the statistical plus systematic uncertainties.

	$\langle\epsilon\sigma\rangle_{\text{obs}}^{95} [\text{fb}]$	$S_{\text{obs}}^{95}$	$S_{\text{exp}}^{95}$	$CL_B$
Electron	1.69	9.9	$9.3^{+3.3}_{-2.6}$	0.59
Muon	1.09	6.4	$8.3^{+3.4}_{-2.3}$	0.19

Table 7: 95% CL upper limits on the visible cross-section ( $\langle\epsilon\sigma\rangle_{\text{obs}}^{95}$ ) and on the observed ( $S_{\text{obs}}^{95}$ ) and expected ( $S_{\text{exp}}^{95}$ ) number of signal events. The last column indicates the  $CL_B$  value, i.e. the confidence level observed for the background-only hypothesis.

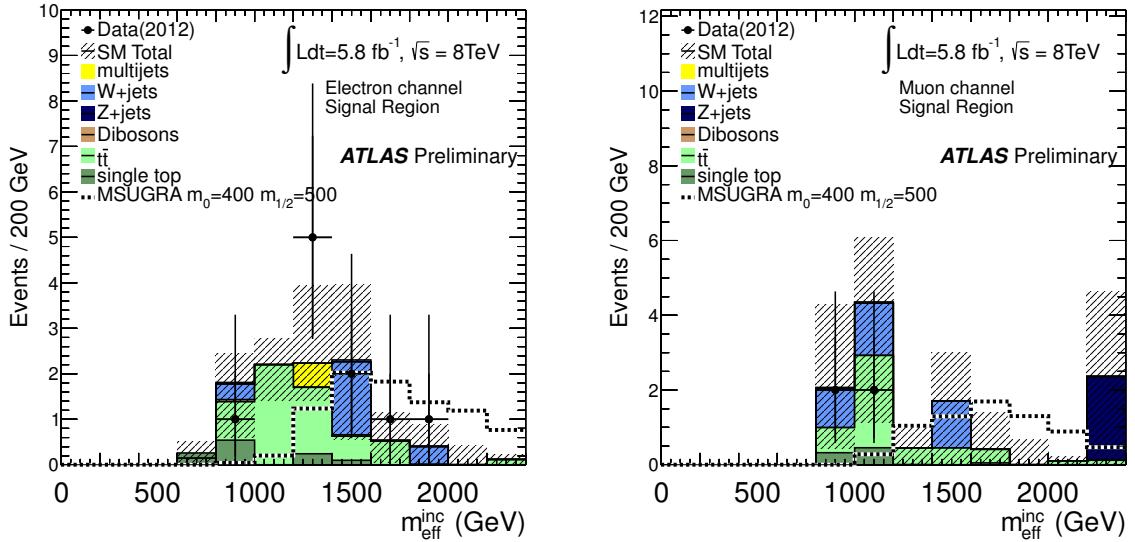


Figure 3:  $m_{\text{eff}}^{\text{inc}}$  distribution in the signal region in data and simulation for the electron (left) and muon (right) channels. The expectation for multijets is derived from the data. The remaining Standard Model expectation is derived from simulation only, normalized to the theoretical cross sections. The uncertainty band on the Standard Model expectation shown here combines the statistical uncertainty on the simulated event samples with the systematic uncertainties on the jet energy scale and resolution,  $b$ -tagging, and data-driven multijet background. For illustration, the expected signal distributions of the MSUGRA/CMSSM model point  $m_0 = 400$  GeV,  $m_{1/2} = 500$  GeV are also shown.

The likelihood is also modified to take into account the model  $m_{\text{eff}}^{\text{inc}}$  shape information by dividing the signal region into four bins (from 800 GeV to 1600 GeV, with the last bin being inclusive for higher  $m_{\text{eff}}^{\text{inc}}$  values) and including bin-by-bin expectations. Furthermore, the expected contamination of the control regions by the signal is included in the fit. The statistically independent electron and muon channels are combined to place limits in the MSUGRA/CMSSM model described in Section 3. Systematic uncertainties on the SUSY signal expectations stemming from detector effects are included in the fit in the same way as for the backgrounds. Systematic uncertainties on the SUSY cross section due to the choice of renormalization and factorization scale and PDF uncertainties are calculated following the procedure described in [31] and are taken into account; typical uncertainties in the region where limits are placed are approximately 20-30%. The limit in the  $m_{1/2}$  vs  $m_0$  MSUGRA/CMSSM plane are shown in Figure 4.

## 11 Conclusion

A search with the ATLAS detector for SUSY in final states containing at least four hard jets, one isolated lepton (electron or muon) and  $E_{\text{T}}^{\text{miss}}$  is presented in this note. This analysis uses  $5.8 \text{ fb}^{-1}$  of data collected at a center-of-mass energy of 8 TeV. No excess above the Standard Model background expectation is observed and limits are set. In the MSUGRA/CMSSM model, results in this signal region alone exclude squark and gluino masses of 1.24 TeV (for equal squark and gluino masses) while the limit on the gluino mass at high  $m_0$  is approximately 900 GeV; the mass limit is thus increased with respect to the previous analysis [17] by about 50 GeV for squarks and gluinos of equal mass and by about 150 GeV for the gluino mass at high  $m_0$ .

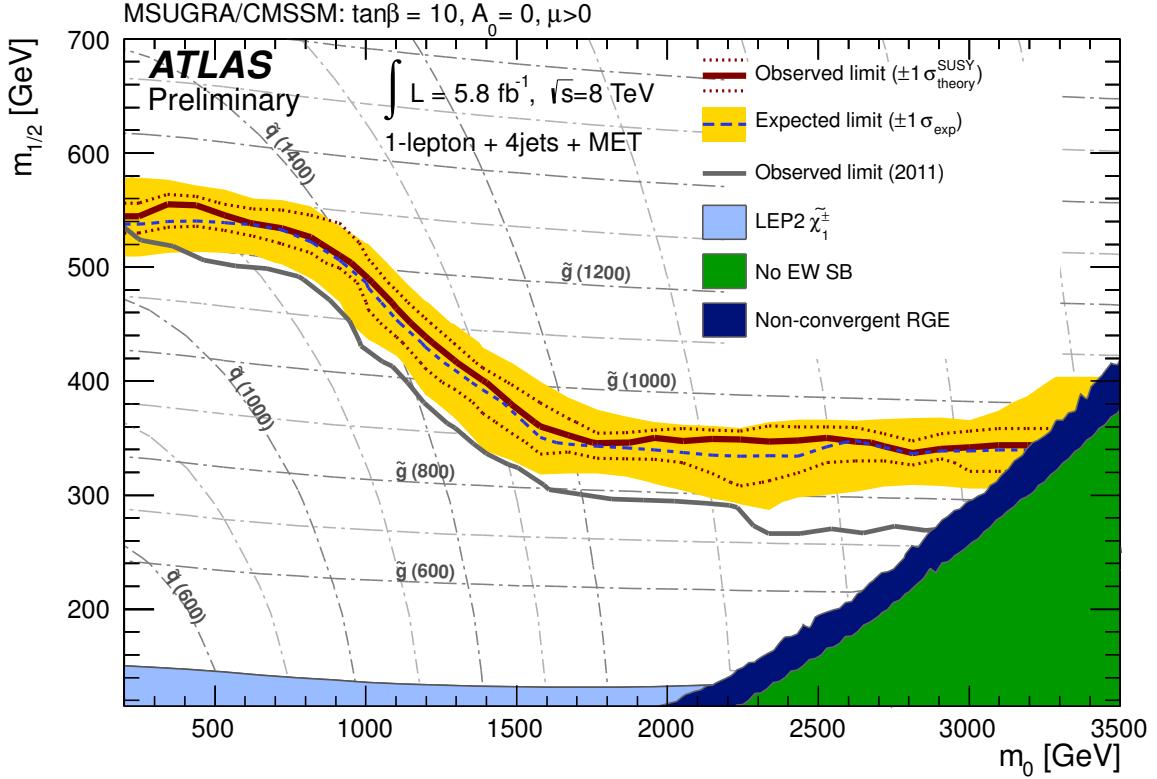


Figure 4: 95% CL exclusion limit presented in the  $m_0$ – $m_{1/2}$  MSUGRA/CMSSM plane with  $\tan\beta = 10$ ,  $A_0 = 0$  and  $\mu > 0$ . The blue dashed line shows the expected limits at 95% CL, with the light (yellow) bands indicating the  $\pm 1\sigma$  variation on the median expected limit due to the experimental uncertainties. The observed nominal limit is indicated by a solid dark red line with the dark red dotted lines being obtained by varying the signal cross section by the scale and PDF uncertainties. The observed limit set by the previous ATLAS analysis [17] using 7 TeV data is shown as a solid grey line. Some regions are theoretically excluded because there is no electroweak symmetry breaking (green area) or because the numerical algorithms solving the renormalization group equations do not converge (dark blue area).

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## A Additional figures

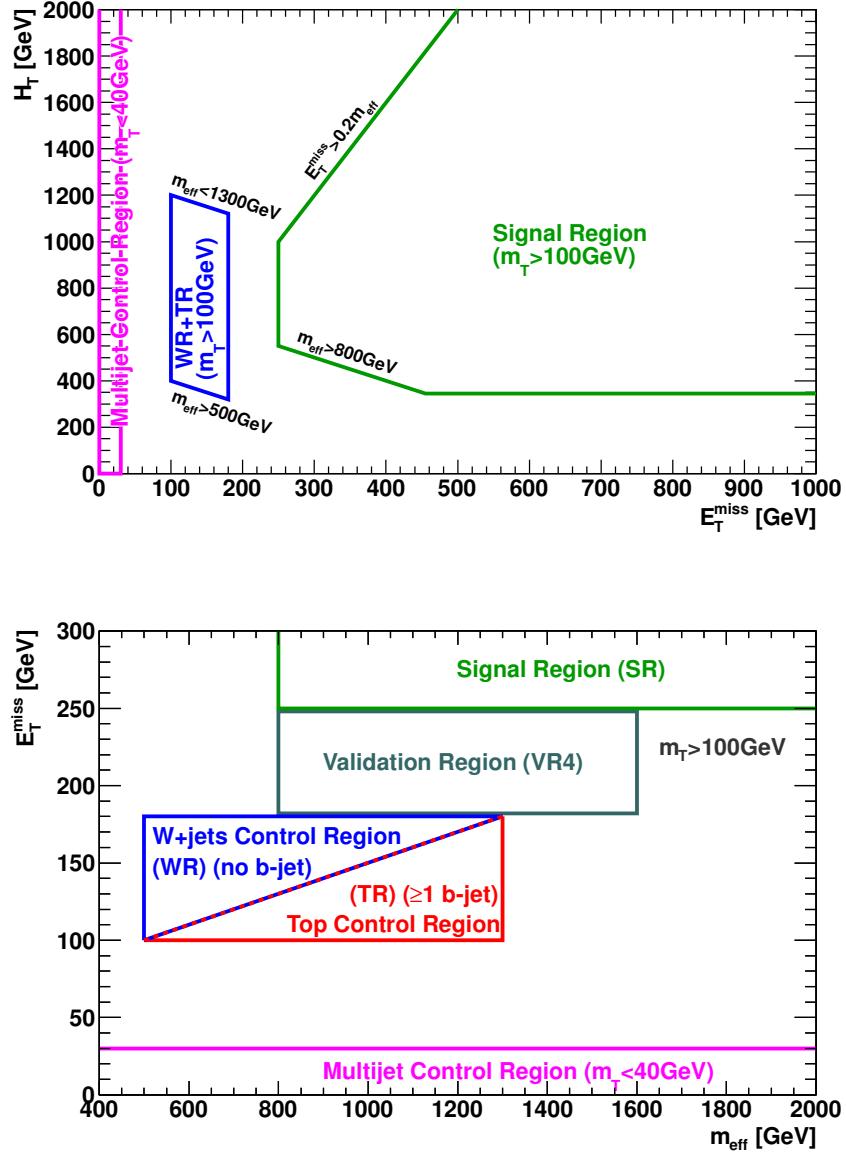


Figure 5: Top: Graphical illustration of the signal region for this analysis in the  $H_T$  versus  $E_T^{\text{miss}}$  plane. The control regions used are also shown. Bottom: Control and validation regions in the  $E_T^{\text{miss}}$  versus  $m_{\text{eff}}^{\text{inc}}$  plane, together with the region used for the multijet fake estimate.