



The ϕ meson with finite momentum in a dense medium

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ABSTRACT

The dispersion relation of the ϕ meson in nuclear matter is studied in a QCD sum rule approach. In a dense medium, longitudinal and transverse modes of vector particles can have independently modified dispersion relations due to broken Lorentz invariance. Employing the full set of independent operators and corresponding Wilson coefficients up to operator dimension-6, the ϕ meson QCD sum rules are analyzed with changing densities and momenta. The non-trivial momentum dependence of the ϕ meson mass is found to have opposite signs for the longitudinal and transverse modes. Specifically, the mass is reduced by 5 MeV for the longitudinal mode, while its increase amounts to 7 MeV for the transverse mode, both at a momentum scale of 1 GeV. In an experiment which does not distinguish between longitudinal and transverse polarizations, this could in principle be seen as two separated peaks at large momenta. Taking however broadening effects into account, the momentum dependence will most likely be seen as a small but positive effective mass shift and an increased effective width for non-zero momenta.

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1. Introduction

The study of light vector mesons in a dense medium can provide important insights to our understanding of the origin of hadron masses, which is closely related to the breaking of chiral symmetry in vacuum. Vector mesons are especially well suited for experimental measurements of in-medium effects, as they can decay into dileptons, which do not feel the strong interaction and are therefore less distorted by the presence of the nuclear medium compared to hadronic decay products. Initially, the ρ meson mass shift in nuclear matter was considered to be a suitable probe for the restoration of chiral symmetry at finite density. With the help of QCD sum rules, it was (within certain approximations) possible to relate this mass shift to the reduction of the chiral condensate $\langle\bar{q}q\rangle$ (q here stands for u or d quarks) at finite density [1]. Such a mass shift was later reported in Ref. [2] from measurements of dilepton spectra in 12 GeV pA reactions at the E325 experiment at KEK. It was, however, also realized that QCD sum rules in the ρ meson channel can be satisfied equally well by both mass shifted and broadened ρ meson peaks [3,4], the latter being obtained for instance in hadronic effective theory calculations [5,6].

The experimental findings of Ref. [7] point to similar conclusions of a broadened ρ meson without any mass shift.

With the difficulty of drawing any definite conclusions about the behavior of the ρ meson at finite density and its relationship to chiral symmetry, attention has turned to mesons with smaller widths such as the ω and the ϕ . About the ω , a lot of experimental work has been done in recent years. See for instance Ref. [8] for a review. On the theoretical side, a suggestion was put forward by one of the present authors that the finite density behavior of the ω together with the axial-vector meson $f_1(1285)$ could serve as an indicator of the restoration of chiral symmetry in nuclear matter [9].

In this work, we will however focus on the ϕ meson and its modification at finite density. Its behavior in nuclear matter has been studied over the years (see, for instance, Refs. [1,10–14] for early theoretical works), but has recently received renewed interest especially due to new experimental results [15–21] and near-future experiments, such as E16 at J-PARC [22]. While the first QCD sum rule calculations [1,11] predicted a decreasing ϕ meson mass with increasing density, works based on hadronic effective field theories rather suggested a strong broadening, leading to an about an order of magnitude larger width than in vacuum [10,12]. These theoretical calculations have been revised in recent years, taking into account novel analysis methods and improved knowledge of QCD condensates for QCD sum rules [23], and incorporating new experimental constraints on hadronic interactions and considering

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higher order terms in effective theory calculations [24–29]. The qualitative conclusions of all these theoretical works have, however, remained the same.

On the experimental side, the result of the E325 experiment at KEK [16] deserves special attention, as it is so far the only one that was able to simultaneously constrain both the mass shift and width of the ϕ meson at normal nuclear matter density. By measuring the dilepton spectra in 12 GeV pA reactions with carbon and copper targets, the KEK-PS E325 Collaboration reported a negative mass shift of 35 MeV and a width increased by a factor of 3.6 compared to its vacuum value. It is furthermore worth mentioning the most recent experimental result of the HADES collaboration in Ref. [21], where in π^-A reactions with $A = C$ and $A = W$ and an incident pion momentum of 1.7 GeV, the ϕ was observed to receive a significantly stronger absorption for the larger W target compared to C. This result provides further evidence for a strong broadening effect of the ϕ meson in nuclear matter, similar to earlier experiments by the LEPS Collaboration [15], the CLAS Collaboration [18] and at COSY-ANKE [19].

In this paper, we will specifically study the momentum dependence of the ϕ meson energy (e.g. its dispersion relation) at finite density. While the dispersion relation of any particle in vacuum is fixed by Lorentz symmetry, this is no longer the case in a medium, which serves as a special frame of reference. This can hence lead to a modified dispersion relation at finite density. The ϕ meson is in this context presently of particular interest, as its dispersion relation will be studied at the J-PARC E16 experiment, which will start running in 2020 [22].

The main goal of this work is to determine the non-trivial dispersion relation of the ϕ meson and to make predictions for the E16 experiment at J-PARC. We furthermore study the longitudinal and transverse polarizations of the ϕ , which are equal in vacuum, but can behave differently in nuclear matter. For this purpose, we make use of the QCD sum rule method, for which the effect of broken Lorentz invariance is encoded as expectation values of non-scalar QCD operators (see Refs. [30,31]). These expectation values always vanish in vacuum, but become finite in a hot or/and dense medium. After a separate QCD sum rule study of the longitudinal and transverse modes, we will discuss what effects the modified dispersion relations may have on future experimental dilepton measurements.

This paper is organized as follows. In Section 2, we give a brief description of the formalism of QCD sum rules, which is followed by a discussion of the used input parameters in Section 3. Section 4 is devoted to the detailed results obtained in this study and to a discussion of potential consequences for experimental measurements. The paper is summarized and concluded in Section 5.

2. QCD sum rules with finite three-momentum

For the purpose of studying the ϕ meson in nuclear matter, let us first consider the correlation function of the vector current with strange quarks $j_\mu(x) = \bar{s}(x)\gamma_\mu s(x)$,

$$\Pi_{\mu\nu}(\omega, \vec{q}) = i \int d^4x e^{iq\cdot x} \langle T\{j_\mu(x)j_\nu(0)\} \rangle_{\rho_N}, \quad (1)$$

where $\langle \cdot \rangle_{\rho_N}$ represents the expectation value taken with respect to the nuclear matter ground state with density ρ_N . The nuclear medium is assumed to be at rest. In vacuum or in the $|\vec{q}| \rightarrow 0$ limit there is only one invariant function in this correlation function, i.e. $\Pi(\omega^2) = -\frac{1}{3\omega^2} \Pi_\mu^\mu$. For finite \vec{q} in nuclear matter, however, longitudinal and transverse polarization states are distinguishable and their distinct components are expressed by

$$\Pi_L(\omega^2, \vec{q}^2) = \frac{1}{\vec{q}^2} \Pi_{00}, \quad (2)$$

$$\Pi_T(\omega^2, \vec{q}^2) = -\frac{1}{2} \left(\frac{1}{\vec{q}^2} \Pi_\mu^\mu + \Pi_L \right). \quad (3)$$

In the deep space-like q^2 region (e.g. $\omega \rightarrow i\infty$ with $|\vec{q}|$ held fixed [32]), these are calculable using the operator product expansion (OPE),

$$\Pi^{OPE}(\omega^2, \vec{q}^2) = \sum_n C_n(\omega^2, \vec{q}^2) \langle O_n \rangle_{\rho_N}. \quad (4)$$

In the time-like q^2 region, the imaginary part of the correlation function is expressed by the spectral function (at zero temperature),

$$\rho(\omega^2, \vec{q}^2) = \frac{1}{\pi} \text{Im} \Pi(\omega^2, \vec{q}^2). \quad (5)$$

For discussing the \vec{q} dependence, it is convenient to substitute ω^2 by $Q^2 = -q^2$ and to re-express the OPE in terms of Q^2 and \vec{q}^2 , i.e. $C_n(\omega^2, \vec{q}^2) \rightarrow C_n(Q^2, \vec{q}^2)$. After this substitution, it becomes more apparent that the \vec{q} dependence can be divided into two types: (i) trivial and (ii) non-trivial momentum dependence. The trivial dependence comes from the \vec{q}^2 absorbed in Q^2 , while the non-trivial one comes from terms in which \vec{q}^2 cannot be absorbed in Q^2 . Naturally, OPE terms which contain only the Q^2 dependence do not violate Lorentz symmetry and keep the ordinary form of the dispersion relation: $\omega^2 = m_\phi^2 + \vec{q}^2$. This happens for scalar operators and their Wilson coefficients. On the other hand, a non-trivial \vec{q} dependence appears in Wilson coefficients of non-scalar operators and play an important role in medium. They cause the ϕ meson to have not only a modified dispersion relation, $\omega^2 = m_\phi^2(\vec{q}^2) + \vec{q}^2$, but also to have different longitudinal and transverse polarization modes.

With the above change of variables, the remaining \vec{q}^2 now represents only the non-trivial momentum dependence. The same substitution is also applicable to the spectral function side. Throughout the rest of this paper, we redefine it as $\rho(\omega^2, \vec{q}^2) \rightarrow \rho(q^2, \vec{q}^2)$ and employ the following simple “pole + continuum” ansatz,

$$\rho(q^2, \vec{q}^2) \approx \frac{f(\vec{q}^2)}{4\pi^2} \delta(q^2 - m_\phi^2(\vec{q}^2)) + \frac{1}{4\pi^2} \left(1 + \frac{\alpha_s}{\pi} \right) \theta(q^2 - s_0(\vec{q}^2)). \quad (6)$$

Here, all non-trivial momentum dependence is assumed to be encoded in the three spectral parameters, $m_\phi(\vec{q}^2)$, $f(\vec{q}^2)$, and $s_0(\vec{q}^2)$, which denote the mass of the ϕ , the coupling strength between the ϕ and $j_\mu|0\rangle$, and the threshold parameter of the continuum, respectively. Let us give a few comments about the validity of the above ansatz. The δ -function in the first term incorporates both trivial (hence, Lorentz invariant) momentum dependence, which is encoded in q^2 and the non-trivial momentum dependence, encoded in the momentum dependence of $m_\phi(\vec{q}^2)$ and $f(\vec{q}^2)$. As mentioned in the introduction, the ϕ meson is expected to get broadened in nuclear matter, which is ignored in Eq. (6). According to hadronic effective theory calculations and experimental measurements of the dilepton spectrum and the transparency ratio, the width increases roughly to values in the range of $\Gamma_\phi = 15 - 100$ MeV at normal nuclear matter density, which is at least an order of magnitude smaller than the ϕ meson mass. In contrast to the ρ meson, the ϕ can therefore be identified as a single peak even in nuclear matter, which justifies to approximate it as a δ -function. The second term describes the large energy limit of the spectral function, which should satisfy Lorentz symmetry and therefore does not explicitly depend on momentum. The continuum threshold, however, which approximately corresponds to the location of the first excited state or to a physical threshold, can depend on

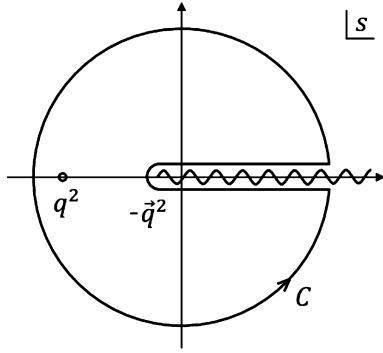


Fig. 1. The contour C on the complex plane of s , used in Eq. (7) to derive the dispersion relation of Eq. (8).

\vec{q}^2 . Again, the form of $\theta(q^2 - s_0(\vec{q}^2))$ ensures that both trivial and non-trivial momentum dependence is properly taken into account.

Using the variables q^2 and \vec{q}^2 , the standard dispersion relation which connects the spectral function to the OPE side can be derived by considering the contour integral illustrated in Fig. 1. Specifically, making use of the Cauchy theorem and the analyticity of $\Pi(q^2, \vec{q}^2)$, we have

$$\Pi(q^2, \vec{q}^2) = \frac{1}{2\pi i} \oint_C ds \frac{\Pi(s, \vec{q}^2)}{s - q^2}. \quad (7)$$

The integral along the outer circle of C can be assumed to vanish once its radius is taken to infinity (this assumption can for all practical cases be satisfied by introducing subtraction terms). Only the contour sections along the real axis of s hence remain, which combined give rise to the imaginary part of $\Pi(q^2, \vec{q}^2)$. One thus gets

$$\Pi^{OPE}(Q^2, \vec{q}^2) = \int_{-\vec{q}^2}^{\infty} ds \frac{\rho(s, \vec{q}^2)}{s + Q^2}. \quad (8)$$

We note that the above derivation is in essence the same as the one outlined in Ref. [31].

Eq. (8) is analogous to the vacuum dispersion relation except for the additional \vec{q} dependence. Therefore, we can apply the same analysis method as we do in the vacuum case. In other words, we can employ QCD sum rules for studying the ϕ meson in vacuum, at rest in medium, and in medium with finite 3-momentum within the same framework with changing density and 3-momentum. Note that this simplification is not applicable to baryons or charged mesons.

The conventional QCD sum rule analysis, which will be followed here, is based on the Borel transform. Given a general function $h(Q^2)$, it is defined as,

$$\tilde{h}(M^2) \equiv \lim_{\substack{Q^2/n \rightarrow M^2, \\ n, Q^2 \rightarrow \infty}} \frac{(Q^2)^n}{(n-1)!} \left(-\frac{d}{dQ^2} \right)^n h(Q^2). \quad (9)$$

where M is called Borel mass. The analysis is performed through the following processes. For a given ρ_N and $|\vec{q}|$, we can express the two spectral parameters, m_ϕ and f , as functions of M^2 and s_0 ,

$$m_\phi(M^2, s_0) = \sqrt{M^2 - \frac{\Pi'(M^2, s_0)}{\Pi(M^2, s_0)}}, \quad (10)$$

$$f(M^2, s_0) = 4\pi^2 M^2 \Pi(M^2, s_0) e^{m_\phi(M^2, s_0)/M^2}. \quad (11)$$

Here, $\bar{\Pi}(M^2, s_0) \equiv \tilde{\Pi}^{OPE}(M^2, \vec{q}^2) - \frac{1}{M^2} \int_{s_0}^{\infty} ds e^{-s/M^2} \rho(s, \vec{q}^2)$ and $\bar{\Pi}'(M^2, s_0) \equiv \partial \bar{\Pi}(M^2, s_0) / \partial (1/M^2)$. These functions are relevant only inside a so-called Borel window (M_{min}, M_{max}) , which is determined by the conditions that the pole contribution is larger than the continuum by 50% and that the dimension-6 contribution of the OPE series is smaller than 10% of the total series. Specifically, we have

$$M_{max} : \frac{\int_{-\vec{q}^2}^{s_0} ds e^{-s/M^2} \rho(s, \vec{q}^2)}{M^2 \bar{\Pi}^{OPE}(M^2, \vec{q}^2)} > 0.5, \quad (12)$$

$$M_{min} : \frac{\tilde{\Pi}_{\text{dim6}}^{OPE}(M^2, \vec{q}^2)}{\tilde{\Pi}^{OPE}(M^2, \vec{q}^2)} < 0.1. \quad (13)$$

We furthermore define average values of m_ϕ and f inside this window as follows:

$$\bar{m}_\phi(s_0) = \int_{M_{min}}^{M_{max}} dM \frac{m_\phi(M^2, s_0)}{M_{max} - M_{min}}, \quad (14)$$

$$\bar{f}(s_0) = \int_{M_{min}}^{M_{max}} dM \frac{f(M^2, s_0)}{M_{max} - M_{min}}. \quad (15)$$

The next step is to find a threshold value \bar{s}_0 that makes the curve $m_\phi(M^2, s_0)$ the flattest. This is achieved by finding the minimum of χ_m^2 , defined as

$$\chi_m^2(s_0) = \int_{M_{min}}^{M_{max}} dM \frac{(m_\phi(M^2, s_0) - \bar{m}_\phi(s_0))^2}{M_{max} - M_{min}}. \quad (16)$$

Once \bar{s}_0 is determined, the other spectral parameters are obtained by their average values at this threshold value i.e. $\bar{m}_\phi(\bar{s}_0)$ and $\bar{f}_\phi(\bar{s}_0)$. The above process is repeated for all ρ_N and $|\vec{q}|$ values to be investigated.

3. Input parameters: numerical values and uncertainties

The complete set of operators relevant to the OPE of the vector channel up to dimension-6, discussed in Ref. [33], is given by,

Scalar Operators

$$\bar{s}s, \quad (17)$$

$$G_0 \equiv \frac{\alpha_s}{\pi} G_{\mu\nu}^a G_{\mu\nu}^a, \quad (18)$$

$$\bar{s}js \equiv g \bar{s} \gamma_\mu (D_\nu G_{\mu\nu}) s, \quad (19)$$

$$j^2 \equiv g^2 (D_\mu G_{\alpha\mu}^a) (D_\nu G_{\alpha\nu}^a), \quad (20)$$

$$j_5^2 \equiv g^2 \bar{s}t^a \gamma_5 \gamma_\mu s \bar{s}t^a \gamma_5 \gamma_\mu s, \quad (21)$$

Non-Scalar(Twist) Operators

$$A_{\alpha\beta} \equiv g \mathcal{S} \mathcal{T} \bar{s} (D_\mu G_{\alpha\mu}) \gamma_\beta s, \quad (22)$$

$$B_{\alpha\beta} \equiv g \mathcal{S} \mathcal{T} \bar{s} \{i D_\alpha, \tilde{G}_{\beta\mu}\} \gamma_5 \gamma_\mu s, \quad (23)$$

$$C_{\alpha\beta} \equiv m_s \mathcal{S} \mathcal{T} \bar{s} D_\alpha D_\beta s, \quad (24)$$

$$F_{\alpha\beta} \equiv \mathcal{S} \mathcal{T} \bar{s} \gamma_\alpha i D_\beta s, \quad (25)$$

$$H_{\alpha\beta} \equiv g^2 \mathcal{S} \mathcal{T} \bar{s} t^a \gamma_5 \gamma_\alpha \bar{s} s t^a \gamma_5 \gamma_\beta s, \quad (26)$$

$$K_{\alpha\beta\gamma\delta} \equiv \mathcal{S} \mathcal{T} \bar{s} \gamma_\alpha D_\beta D_\gamma D_\delta s, \quad (27)$$

$$G_{2\alpha\beta} \equiv \frac{\alpha_s}{\pi} \mathcal{S} \mathcal{T} G_{\alpha\mu}^a G_{\beta\mu}^a, \quad (28)$$

$$X_{\alpha\beta} \equiv \frac{\alpha_s}{\pi} \mathcal{ST} G_{\mu\nu}^a D_\beta D_\alpha G_{\mu\nu}^a, \quad (29)$$

$$Y_{\alpha\beta} \equiv \frac{\alpha_s}{\pi} \mathcal{ST} G_{\alpha\mu}^a D_\mu D_\nu G_{\beta\nu}^a, \quad (30)$$

$$Z_{\alpha\beta} \equiv \frac{\alpha_s}{\pi} \mathcal{ST} G_{\alpha\mu}^a D_\beta D_\nu G_{\mu\nu}^a, \quad (31)$$

$$G_{4\alpha\beta\gamma\delta} \equiv \frac{\alpha_s}{\pi} \mathcal{ST} G_{\alpha\mu}^a D_\delta D_\gamma G_{\beta\mu}^a. \quad (32)$$

\mathcal{ST} here stands for the operation that makes the Lorentz indices symmetric and traceless. Non-scalar operators can also be categorized according to their twist (= dimension - spin).

The expectation values of most of the above operators are not well known. Therefore, we often have to rely on assumptions and approximations which can give no more than order of magnitude estimates. In this section, we will succinctly discuss these techniques used to evaluate the various condensates appearing in this work. The final values and uncertainties of all the input parameters are summarized in Table 1.

We will throughout this work make use of the linear density approximation, which can give a qualitatively good description to the condensates at the level of the normal nuclear matter density ρ_0 . This approximation can be expressed as,

$$\langle \mathcal{O} \rangle_{\rho_N} \approx \langle \mathcal{O} \rangle_0 + \rho_N \langle \mathcal{O} \rangle_N, \quad (33)$$

where $\langle \mathcal{O} \rangle_0 = \langle 0 | \mathcal{O} | 0 \rangle$ and $\langle \mathcal{O} \rangle_N = \langle N(0) | \mathcal{O} | N(0) \rangle$, respectively. Here, $|N(\vec{q})\rangle$ is a one-nucleon state normalized as $\langle N(\vec{q}) | N(\vec{q}') \rangle = (2\pi)^3 \delta^{(3)}(\vec{q} - \vec{q}')$. Lorentz indices of the nucleon matrix elements will be projected onto the nucleon four-momentum $p_\mu = (M_N, 0, 0, 0)$. Therefore, throughout this paper, non-scalar condensates whose Lorentz indices are omitted, should be understood to be defined as $\langle \mathcal{O}_{\mu_1 \dots \mu_2} \rangle_N \equiv \mathcal{O} \cdot \mathcal{ST}(p_{\mu_1} \dots p_{\mu_2})$.

Under the above basic assumption, the condensates of the relevant operators are estimated as discussed below.

1. Scalar matrix elements

The matrix elements of scalar operators have been frequently discussed in the literature (see for instance Ref. [34] and the references cited therein). We thus here only give the final expressions, which read

$$\langle \bar{s}s \rangle_{\rho_N} = 0.8 \langle \bar{q}q \rangle_0 + \rho_N \frac{\sigma_{sN}}{2m_s}, \quad (34)$$

$$\langle G_0 \rangle_{\rho_N} = \langle G_0 \rangle_0 + \rho_N \frac{8}{9} (\sigma_{\pi N} + \sigma_{sN} - M_N), \quad (35)$$

where $\sigma_{\pi N} = 2m_q \langle \bar{q}q \rangle_N$ and $\sigma_{sN} = m_s \langle \bar{s}s \rangle_N$. The ratio $\langle \bar{s}s \rangle_0 / \langle \bar{q}q \rangle_0 \approx 0.8$ is taken from an old QCDSR analysis [35]. For the scalar four-quark condensates, we have

$$\langle j^2 \rangle_{\rho_N} = (4\pi\alpha_s)^2 \sum \langle (\bar{q}\gamma_\mu t^a q)^2 \rangle_{\rho_N} \simeq 0, \quad (36)$$

$$\langle j_5^2 \rangle_{\rho_N} = \frac{16}{36} 4\pi\alpha_s \langle \bar{s}s \rangle_{\rho_N}^2, \quad (37)$$

$$\langle \bar{s}js \rangle_{\rho_N} = -\frac{16}{36} 4\pi\alpha_s \langle \bar{s}s \rangle_{\rho_N}^2. \quad (38)$$

The condensate on the first line is ignored here because it is proportional to α_s^2 by use of the equation of motion. The others are estimated using the vacuum saturation approximation, which is assumed to hold at finite density in the same way as in vacuum.

2. Twist-2 matrix elements

Matrix elements of twist-2 operators can be related to the moments of parton distribution functions measured in DIS experiments [36].

$$F = \frac{1}{2M_N} A_2^s, \quad (39)$$

$$K = \frac{1}{2M_N} A_4^s, \quad (40)$$

$$G_2 = -\frac{\alpha_s}{\pi} \frac{A_2^g}{M_N}, \quad (41)$$

$$G_4 = \frac{\alpha_s}{\pi} \frac{A_4^g}{M_N}, \quad (42)$$

where $A_n^{s/g}$ is the n -th moment of the strange quark/gluon distribution function of the nucleon. The values of A_2^s , A_4^s , A_2^g , and A_4^g are listed in Table 1. They are extracted from the parton distributions provided in Ref. [37] (see Ref. [34] for more details).

3. Quark twist-4 matrix elements

The A , B , C , and H condensates were recently estimated in Ref. [38], making use of the general assumption $\langle \bar{s}\Gamma\mathcal{O}s \rangle_N \simeq \langle \bar{q}\Gamma\mathcal{O}q \rangle_N \frac{A_2^s}{A_2^u}$. Here, we just show the final expressions, and refer the interested reader to Ref. [38].

$$A = \frac{1}{2M_N} K_u^2 \frac{A_2^s}{A_2^u}, \quad (43)$$

$$B = \frac{1}{2M_N} K_u^g \frac{A_2^s}{A_2^u}, \quad (44)$$

$$C = -m_s e_2^s \frac{A_2^s}{A_2^u}, \quad (45)$$

$$H = \frac{1}{2M_N} (K_u^1 - \frac{1}{2} K_{ud}^1) \left(\frac{A_2^s}{A_2^u} \right)^2. \quad (46)$$

e_2^s is the second moment of the twist-3 strange quark distribution function and K_u^1 , K_u^2 , K_u^g , and K_{ud}^1 denote matrix elements of up or down quark twist-4 operators. Six different sets of K_u^1 , K_u^2 , and K_u^g are given in Ref. [39]. For their numerical values, we simply take the average over all the six sets for each parameter. Their uncertainty is estimated as half of the difference between maximum and minimum of all sets.

4. Gluon twist-4 matrix elements

The X , Y , and Z condensates are generally not well known. To have a rough idea on their numerical values and systematic uncertainties, we average two independent estimation methods and define the uncertainty as half of the difference between them. Specifically, we have

$$(X_1, Y_1, Z_1) = \left(\frac{1}{2M_N} \frac{0.32}{8.1} M_N M_N^0, 0, \frac{3}{2} \frac{\alpha_s}{\pi} \frac{K_u^g}{2M_N} \right), \quad (47)$$

$$(X_2, Y_2, Z_2) = \left(\frac{-\langle G_0 \rangle_N}{4}, \frac{3G_2 M_N^2 + \langle G_0 \rangle_N}{48}, 3Y_2 \right), \quad (48)$$

where M_N^0 denotes nucleon mass in the chiral limit [40]. The first estimate, (X_1, Y_1, Z_1) , is taken from Ref. [40]. The second one, (X_2, Y_2, Z_2) , is based on the method proposed in Ref. [30],

$$\begin{aligned} \langle D_\mu G_{\rho\sigma} \dots \rangle_N &\approx -i P_\mu^g \langle G_{\rho\sigma} \dots \rangle_N \\ &\approx -i \frac{1}{2} p_\mu \langle G_{\rho\sigma} \dots \rangle_N. \end{aligned} \quad (49)$$

Here, P_μ^g denotes the average momentum of the gluon in the nucleon, which is assumed to carry half of the nucleon momentum p_μ . In case of Z , however, the two estimates give quite similar values, so we just pick the first estimate because its uncertainty (which is related to K_u^g) is about 20 times larger than that of the second.

Table 1

Input parameters, given at a renormalization scale of 1 GeV.

Input parameter	Value (uncertainty)	Reference
α_s	0.472(0.024) ^a	[41]
m_s	0.1242(0.0011) GeV ^b	[42]
ρ_0	0.17 fm ⁻³	
M_N	(0.93827 + 0.93957)/2 GeV	[41]
M_N^0	0.75 GeV	[40]
$\langle \bar{q}q \rangle_0$	$-(0.272(0.005))^3/1.35$ GeV ^{3c}	[42]
$\langle G_0 \rangle_0$	0.012(0.004) GeV ⁴	[43,44]
$\sigma_{\pi N}$	0.0397(0.0036) GeV	[42]
σ_{sN}	0.0529(0.007) GeV	[42]
A_2^u	0.784(0.017)	
A_2^s	0.053(0.013)	
A_2^g	0.367(0.023)	
A_4^s	0.00121(0.00044)	[34]
A_4^g	0.0208(0.0023)	
e_2^s	0.00115(0.00318)	
K_u^1	0(0.173) GeV ²	
K_u^2	-0.057(0.26) GeV ²	
K_u^g	-0.411(0.173) GeV ²	[39]
K_{ud}^1	-0.083 GeV ²	
X	0.1014(0.0866) GeV ⁴	
Y	-0.0094(0.0094) GeV ⁴	

^a Eq. (9.4) in [41] with $\Lambda_{MS}^{(3)} = (332 \pm 17)$ MeV is used at the renormalization point $\mu=1$ GeV.

^b Multiplied by 1.35 to rescale to $\mu = 1$ GeV [41].

^c Divided by 1.35 because $m_q \langle \bar{q}q \rangle$ is an RG invariant.

4. Results

4.1. Spectral parameters (m_ϕ , f , s_0)

The main result of this work is the computed momentum dependence of the three spectral parameters [$m_\phi(\vec{q}^2)$, $f(\vec{q}^2)$, $s_0(\vec{q}^2)$] at normal nuclear matter density. In Fig. 2 we plot these parameters up to $|\vec{q}| = 2.0$ GeV together with their vacuum values. The parameters exhibit a common behavior at finite density. First, all of them are shifted negatively at zero momentum. This is caused primarily by the modification of the dimension-4 scalar condensates, shown in Eqs. (34) and (35), the magnitude of the shift being especially sensitive to the value of the strange sigma term σ_{sN} [23]. The transverse mode parameters then increase, while the longitudinal ones decrease with growing $|\vec{q}|$. The results shown in Fig. 2 have rather large uncertainties coming from the errors of m_s , α_s , $\langle \bar{s}s \rangle_0$ and $\langle G_0 \rangle_0$, which determine the vacuum spectral parameters, and the modification of $\langle \bar{s}s \rangle_{\rho_N}$ and $\langle G_0 \rangle_{\rho_N}$ at finite ρ_N . These uncertainties, however, only lead to an overall shift at zero momentum. Because our main interest is the momentum dependence of m_ϕ which is governed by the non-scalar condensates, we investigated the total uncertainty of $\Delta m_\phi(\vec{q}^2) = m_\phi(\vec{q}^2) - m_\phi(0)$ for which such an effect is reduced. The error of all contributing parameters (here generally denoted as δa_i) is given in Table 1. The total uncertainty is estimated as $\sqrt{\sum_i (\Delta m_\phi|_{a_i \rightarrow a_i + \delta a_i} - \Delta m_\phi)^2}$. For completeness, uncertainties of the other spectral parameters are computed in the same way and the results are shown as bands in Fig. 2. As can be observed there, the tendency of the momentum dependence is maintained even when taking into account the full error ranges of the various input parameters.

Both longitudinal and transverse modes exhibit an essentially quadratic behavior with respect to momentum \vec{q} . Therefore, it is worthwhile to recast and parametrize the m_ϕ -plot of Fig. 2 into the following simple formula:

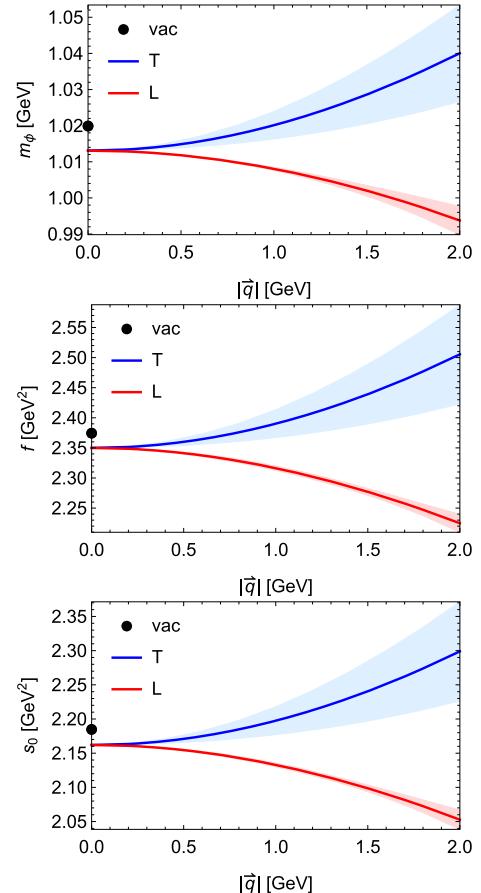


Fig. 2. Plot of m_ϕ , f , and s_0 as a function of $|\vec{q}|$ at normal nuclear matter density. The black dots denote the vacuum results. Blue (red) lines show the results for the transverse (longitudinal) mode.

$$\frac{m_\phi^{L/T}(\rho_N, \vec{q})}{m_\phi^{\text{vac}}} = 1 + \left(a + b^{L/T} |\vec{q}|^2 \right) \frac{\rho_N}{\rho_0}. \quad (50)$$

From our result at zero momentum, we have $m_\phi^{\text{vac}} = 1.020$ GeV and $a = -0.0067$, which strongly depends on the chosen value of σ_{sN} (see Ref. [23] for a detailed discussion). A fit to the dispersion relation curves then gives $b^T = 0.0067 \pm 0.0034$ GeV⁻² and $b^L = -0.0048 \pm 0.0008$ GeV⁻². Here, we note that the full momentum dependence of each OPE term is taken into account in our calculation. Therefore, $|\vec{q}|$ can in principle be increased until the OPE convergence breaks down. We have checked that Eq. (50) is valid up to $|\vec{q}| \simeq 3.0$ GeV, above which the sum rule analysis results start to deviate from the quadratic behavior. Moreover, for $|\vec{q}| \gtrsim 4.5$ GeV, a valid M_{\min} can no longer be defined according to the condition of Eq. (13).

Furthermore, we investigated which condensates primarily determine the 3-momentum dependence of $\Delta m_\phi(\vec{q}^2)$ for both polarization modes. For this purpose, we simply set each condensate to zero and compute how $\Delta m_\phi(\vec{q}^2)$ changes. As a result, we found that only the two dimension-4 twist-2 condensates, i.e. F and G_2 , significantly change the momentum dependence as illustrated in Fig. 3. It can be seen in this figure that, for the longitudinal mode, the F term has practically no effect while the negative mass shift is almost completely caused by the G_2 term. The behavior of the transverse mode, on the other hand, results from a large positive contribution from the F term, which is reduced somewhat by the G_2 term. All other condensates only have minor effects below $|\vec{q}| = 2$ GeV.

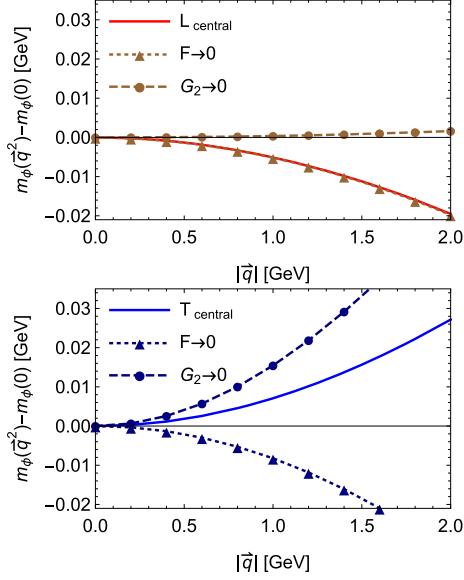


Fig. 3. The effects of the non-scalar condensates F and G_2 on $\Delta m_\phi(\vec{q}^2)$. The upper (lower) plot shows the behavior of the longitudinal (transverse) mode.

4.2. Unpolarized spectra with finite widths

In most experiments, dilepton measurements have so far not distinguished the two polarization modes. Thus, in general, the sum of both modes will be measured together with the vacuum peak in unpolarized spectra.¹ Moreover, even though we have assumed the ϕ meson width to be zero in our analysis, this is not the case in reality (see for instance Refs. [24,26]). Thus, to have a more realistic idea about the behavior of the dilepton spectrum at normal nuclear matter density and non-zero momentum, we artificially introduce a width using the relativistic Breit-Wigner form,

$$\rho_B(s, \vec{q}^2) = \frac{f(\vec{q}^2)}{4\pi^2} \frac{\sqrt{s}\Gamma/\pi}{(s - m_\phi^2(\vec{q}^2))^2 + s\Gamma^2}, \quad (51)$$

where the values of $m_\phi(\vec{q}^2)$ and $f(\vec{q}^2)$ are taken from our results. The in-medium width of the ϕ meson is presently still not well known. We hence for illustration have tested three different values, $\Gamma=15$, 40, and 65 MeV. Among these, $\Gamma=15$ MeV represents the one reported by the E325 experiment in Ref. [16]. The other two are representative for values obtained in hadronic effective theories [24–29] and other experimental measurements [15,18,19,21]. The polarization-averaged peak, $\frac{1}{3}(\rho_B^L(s, \vec{q}^2) + 2\rho_B^T(s, \vec{q}^2))$ with these width values are shown in Fig. 4 together with the vacuum peak ($\Gamma_{\text{vac}}=4.26$ MeV) for selected momenta.

We then fit the polarization-averaged peak using a single Breit-Wigner peak. The effective mass and width extracted from the fit are plotted as a function of $|\vec{q}|$ in Fig. 5. They are shown as long as transverse and longitudinal peaks are separated less than their width. As can be observed there, the mass shifts of the two modes partially cancel each other. Because there are two transverse and only one longitudinal mode, the average mass however tends to the transverse side and is thus positive. Furthermore, as the two components move away from each other, this leads to an increasing width with increasing momentum. Meanwhile, we found that

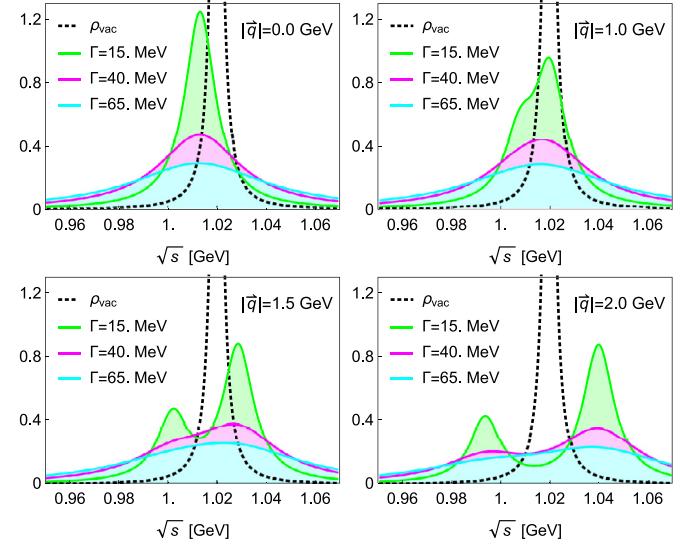


Fig. 4. The polarization-averaged ϕ meson peak with $\Gamma=15$, 40, and 65 MeV at normal nuclear matter density. The vacuum peak is shown as a black dotted line for comparison.

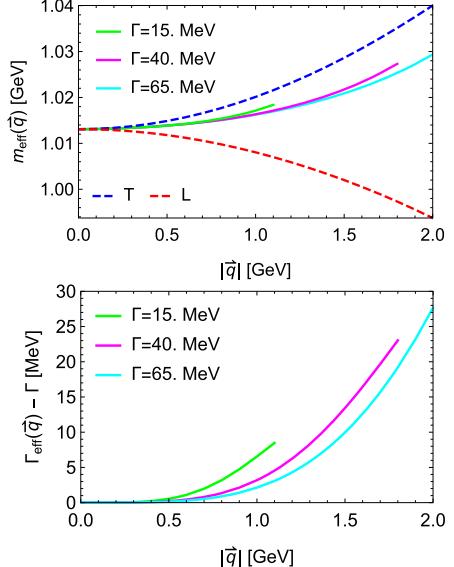


Fig. 5. Effective mass (upper plot) and width increase (lower plot) of the single peak fit, shown as a function of $|\vec{q}|$. In the upper plot, the central values of the transverse (longitudinal) masses are shown as blue (red) dashed lines for comparison.

generally the momentum dependence of the averaged peak is reduced as the in-medium width increases.

4.3. Discussion

Let us discuss potential implications of the obtained results for future dilepton measurements in the ϕ meson mass region. As can be seen in Fig. 4, due to the opposite behavior of the longitudinal and transverse modes, the (angle averaged) dilepton spectrum can develop a double peak structure. Although larger in-medium widths make it more difficult to observe such a double peak, it could in principle be seen at sufficiently large momenta. Care is however needed when applying this result to experimental dilepton spectra, as ϕ mesons with large momenta likely travel outside of the nucleus before they decay into dileptons, which are therefore not strongly affected by the dense medium. Indeed, in the E325 experiment a finite density effect was only observed for

¹ In an actual experiment such as that reported in Ref. [16], ϕ mesons are produced in nuclei with a finite size. A large fraction of them decay into dileptons only after they have moved outside of the dense nucleon region. Such dileptons will only contribute as vacuum peaks to the observed spectrum.

dileptons with $\beta\gamma$ ($= |\vec{q}|/m$) values smaller than 1.25 [16]. We therefore expect the average increase of the mass (green, pink and light blue curves of the upper plot in Fig. 5) and the increase of the width (lower plot in Fig. 5) to be the most easily and likely detectable finite momentum effects.

We, however, stress that for independently measured longitudinal and transverse spectra, the mass shifts are larger. It would hence be interesting to measure not only the angle averaged dileptons but also their angular distributions, which would make it possible to disentangle the two contributions and to potentially observe the splitting between the two modes [45,46]. Specifically, the angular distribution of the positive (or negative) lepton in the rest frame of the dilepton pair is, after choosing a suitable polarization axis and averaging over the azimuthal angle, obtained as [46]

$$\frac{dN}{d(\cos\theta)} \propto \Sigma_T(1 + \cos^2\theta) + \Sigma_L(1 - \cos^2\theta) \propto 1 + \lambda \cos^2\theta, \quad (52)$$

with

$$\lambda = \frac{\Sigma_T - \Sigma_L}{\Sigma_T + \Sigma_L}. \quad (53)$$

Here, θ is the angle between the momentum of the lepton and the chosen polarization axis, while Σ_T (Σ_L) are the contributions from the transversely (longitudinally) polarized vector mesons (or other dilepton sources). See, for instance, Ref. [47] for a discussion of commonly used polarization axes and a measurement of λ from J/ψ 's at 920 GeV pA collisions at the HERA-B experiment.

5. Summary and conclusions

In this paper, we have analyzed the strange quark vector channel at finite density and momentum in a QCD sum rule approach. This was done with the goal of studying the dispersion relation of the longitudinal and transverse modes of the ϕ meson in nuclear medium. A linear combination of them will be measured at the E16 experiment at J-PARC. We found that both longitudinal and transverse dispersion relations are modified significantly compared with their vacuum form. At a momentum scale of 1 GeV, the longitudinal ϕ meson mass is reduced by 5 MeV, while its transverse counterpart is increased by 7 MeV. With a simultaneously increasing width, it is however unlikely that the two modes will be seen as separate peaks. Instead, their effect might only be detectable as an increasing effective mass and width with increasing momentum. Assuming the width to be fixed at 15 MeV in nuclear matter, we estimate the mass shift of the combined (one longitudinal and two transverse modes) ϕ meson peak at a momentum of 1 GeV to be about 4 MeV, while the width can be expected to increase by about 7 MeV at the same momentum. It remains to be seen whether e.g. the E16 experiment will have a sufficient resolution to detect these effects.

Declaration of competing interest

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

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Appendix A

In this appendix, we give the principle formulas needed for the numerical analyses of this paper. They can be obtained by substituting the OPE results given in Ref. [33] into Eqs. (2) and (3). For non-scalar operators, $\hat{\mathcal{O}}$ denotes $\mathcal{O} \times (M_N)^s$ where s is spin of the operator.

$$\begin{aligned} \Pi_L(Q^2, \vec{q}^2) &= -\frac{3m_s^2}{2\pi^2 Q^2} - \frac{1 + \alpha_s/\pi}{4\pi^2} \ln \frac{Q^2}{\mu^2} + \left(2 - \frac{8m_s^2}{3Q^2}\right) \frac{m_s \langle \bar{s}s \rangle}{Q^4} \\ &+ \left(\frac{1}{12} + \frac{m_s^2}{9Q^2}\right) \frac{\langle G^2 \rangle}{Q^4} + \left(\frac{1}{81} + \frac{2}{27} \ln \frac{Q^2}{\mu^2}\right) \frac{\langle j^2 \rangle}{Q^6} - \frac{2\langle j_5^2 \rangle}{Q^6} \\ &- \frac{4\langle \bar{s}js \rangle}{9Q^6} + \left(2 - \frac{3m_s^2}{Q^2}\right) \frac{\hat{F}}{Q^4} - \frac{10\hat{K}}{3Q^6} + \left(\frac{3}{4} - \frac{11m_s^2}{12Q^2}\right. \\ &\left. - \left(\frac{1}{3} + \frac{4m_s^2}{3Q^2}\right) \ln \frac{Q^2}{\mu^2}\right) \frac{\hat{G}_2}{Q^4} + \left(\frac{205}{216} - \frac{11}{36} \ln \frac{Q^2}{\mu^2}\right) \frac{\hat{G}_4}{Q^6} \\ &+ \frac{\hat{A}}{2Q^6} - \frac{5\hat{B}}{2Q^6} + \frac{7\hat{C}}{Q^6} + \frac{2\hat{H}}{Q^6} + \left(\frac{1}{6} + \frac{5}{24} \ln \frac{Q^2}{\mu^2}\right) \frac{\hat{X}}{Q^6} \\ &- \left(\frac{49}{144} + \frac{1}{8} \ln \frac{Q^2}{\mu^2}\right) \frac{\hat{Y}}{Q^6} + \left(\frac{11}{16} + \frac{5}{8} \ln \frac{Q^2}{\mu^2}\right) \frac{\hat{Z}}{Q^6} \\ &+ \frac{\vec{q}^2}{Q^2} \left\{ \frac{\hat{A}}{Q^6} + \frac{3\hat{B}}{Q^6} - \frac{18\hat{C}}{Q^6} - \frac{6m_s^2\hat{F}}{Q^6} + \frac{4\hat{K}}{Q^6} - \left(\frac{2}{3} + \frac{11m_s^2}{6Q^2}\right. \right. \\ &\left. \left. - \frac{4m_s^2 \ln \frac{Q^2}{\mu^2}}{Q^2}\right) \frac{\hat{G}_2}{Q^4} - \left(\frac{373}{180} - \frac{11}{30} \ln \frac{Q^2}{\mu^2}\right) \frac{\hat{G}_4}{Q^6} - \left(\frac{4}{15}\right. \right. \\ &\left. \left. + \frac{1}{4} \ln \frac{Q^2}{\mu^2}\right) \frac{\hat{X}}{Q^6} + \left(\frac{43}{360} + \frac{5}{12} \ln \frac{Q^2}{\mu^2}\right) \frac{\hat{Y}}{Q^6} - \left(\frac{91}{120}\right. \right. \\ &\left. \left. + \frac{3}{4} \ln \frac{Q^2}{\mu^2}\right) \frac{\hat{Z}}{Q^6} \right\} + \frac{\vec{q}^4}{Q^4} \left\{ \frac{16}{15} \frac{\hat{G}_4}{Q^6} \right\}, \end{aligned} \quad (54)$$

$$\begin{aligned} \Pi_T(Q^2, \vec{q}^2) &= \Pi_L(Q^2, 0) + \frac{\vec{q}^2}{Q^2} \left\{ -\left(4 - \frac{9m_s^2}{Q^2}\right) \frac{\hat{F}}{Q^4} + \frac{18\hat{K}}{Q^6} - \left(\frac{7}{6} - \frac{11m_s^2}{4Q^2}\right. \right. \\ &\left. \left. - \left(\frac{2}{3} + \frac{2m_s^2}{3Q^2}\right) \ln \frac{Q^2}{\mu^2}\right) \frac{\hat{G}_2}{Q^4} - \left(\frac{559}{120} - \frac{33}{20} \ln \frac{Q^2}{\mu^2}\right) \frac{\hat{G}_4}{Q^6} \right. \\ &\left. - \frac{3\hat{A}}{2Q^6} + \frac{7\hat{B}}{2Q^6} - \frac{5\hat{C}}{Q^6} - \frac{4\hat{H}}{Q^6} - \left(\frac{1}{5} + \frac{7}{24} \ln \frac{Q^2}{\mu^2}\right) \frac{\hat{X}}{Q^6} \right. \\ &\left. + \left(\frac{149}{240} + \frac{1}{24} \ln \frac{Q^2}{\mu^2}\right) \frac{\hat{Y}}{Q^6} - \left(\frac{239}{240} + \frac{7}{8} \ln \frac{Q^2}{\mu^2}\right) \frac{\hat{Z}}{Q^6} \right\} \\ &+ \frac{\vec{q}^4}{Q^4} \left\{ \left(\frac{181}{45} - \frac{22}{15} \ln \frac{Q^2}{\mu^2}\right) \frac{\hat{G}_4}{Q^6} - \frac{16\hat{K}}{Q^6} \right\}. \end{aligned} \quad (55)$$

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