



# ATLAS NOTE

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## **Search for supersymmetry at $\sqrt{s} = 7$ TeV in final states with large jet multiplicity, missing transverse momentum and one isolated lepton with the ATLAS detector**

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### **Abstract**

This note presents an inclusive search for supersymmetry (SUSY) by the ATLAS experiment at the LHC in proton-proton collisions at a center-of-mass energy  $\sqrt{s} = 7$  TeV in final states with seven or more jets, missing transverse momentum and one isolated electron or muon. The search is based on data from the full 2011 data-taking period, corresponding to an integrated luminosity of  $4.7 \text{ fb}^{-1}$ . Observations are consistent with Standard Model expectations, and limits are set on a number of SUSY models: MSUGRA/CMSSM, simplified models and a model with bilinear R-parity violating couplings embedded inside MSUGRA/CMSSM.



# 1 Introduction

Supersymmetry (SUSY) [1–9] is a candidate for physics beyond the Standard Model (SM). If strongly interacting supersymmetric particles are present at the TeV scale, they may be copiously produced in 7 TeV proton-proton collisions at the Large Hadron Collider [10]. In the minimal supersymmetric extension of the Standard Model (MSSM) [11–15] such particles decay into jets, leptons and the lightest supersymmetric particle (LSP). Jets arise in the decays of squarks and gluinos, while leptons can arise in decays involving charginos or neutralinos. A long-lived, weakly interacting LSP will escape detection, leading to missing transverse momentum ( $\vec{p}_T^{\text{miss}}$  and its magnitude  $E_T^{\text{miss}}$ ) in the final state. Significant  $E_T^{\text{miss}}$  can also arise in scenarios where the LSP decays to final states containing neutrinos, or in scenarios where neutrinos are created somewhere in the SUSY decay cascade.

This note presents an inclusive search with the ATLAS detector for SUSY in final states containing seven or more jets, one isolated lepton (electron or muon) and  $E_T^{\text{miss}}$ . The analysis is an extension to higher jet multiplicity of the 3- and 4-jet channels described in Ref. [16]. The jet multiplicity can be enhanced in SUSY decay cascades with multiple steps or in models where the dominant gluino decay mode is to a  $t\bar{t}$  pair plus the LSP, leading to final states with four top quarks. As in Ref. [16] a simultaneous fit to the yield in multiple signal regions and to the shapes of distributions within those signal regions is employed. Background uncertainties are constrained by fitting to the jet multiplicity distribution in background control regions. Searches for new phenomena in channels with high jet multiplicity and  $E_T^{\text{miss}}$  (vetoing on leptons) have been reported by the ATLAS Collaboration [17, 18].

## 2 The ATLAS Detector

The ATLAS detector [19, 20] consists of a tracking system (inner detector, ID) surrounded by a thin superconducting solenoid providing a 2 T magnetic field, electromagnetic and hadronic calorimeters and a muon spectrometer (MS). The ID consists of pixel and silicon microstrip detectors, surrounded by a straw-tube tracker with transition radiation detection (transition radiation tracker, TRT). The electromagnetic calorimeter is a lead liquid-argon (LAr) detector. Hadronic calorimetry is based on two different detector technologies, with scintillator-tiles or LAr as active media, and with either steel, copper, or tungsten as the absorber material. The MS is based on three large superconducting toroid systems arranged with an eight-fold azimuthal coil symmetry around the calorimeters, and three stations of chambers for the trigger and for precise position measurements. The nominal  $pp$  interaction point at the center of the detector is defined as the origin of a right-handed coordinate system. The positive  $x$ -axis is defined by the direction from the interaction point to the center of the LHC ring, with the positive  $y$ -axis pointing upwards, while the beam direction defines the  $z$ -axis. The azimuthal angle  $\phi$  is measured around the beam axis and the polar angle  $\theta$  is the angle from the  $z$ -axis. The pseudorapidity is defined as  $\eta = -\ln \tan(\theta/2)$ . Transverse quantities, such as the transverse momentum,  $p_T$ , are defined in the  $(x-y)$  plane.

## 3 SUSY Signal Modeling and Simulated Event Samples

The benchmark SUSY models considered are MSUGRA / CMSSM [31, 32], a number of simplified models [33, 34] and a model that allows for bilinear R-parity violating terms in the superpotential [35].

The MSUGRA / CMSSM model is characterized by five parameters: the universal scalar and gaugino mass parameters  $m_0$  and  $m_{1/2}$ , a universal trilinear coupling parameter  $A_0$ , the ratio of the vacuum expectation values of the two Higgs doublets  $\tan\beta$ , and the sign of the Higgsino mass parameter  $\mu$ . In this analysis, the values of  $m_0$  and  $m_{1/2}$  are scanned, and the other parameters are fixed as follows:  $\tan\beta = 10$ ,  $A_0 = 0$  and  $\mu$  is taken to be positive.

Physics process	Generator	Cross section (pb)	Calculation accuracy
$t\bar{t}$	ALPGEN 2.13 [21]	166.8	NLO+NLL [22]
$W(\rightarrow \ell\nu) + \text{jets}$	ALPGEN 2.13 [21]	10460	NNLO [23]
$W(\rightarrow \ell\nu) + b\bar{b} + \text{jets}$	ALPGEN 2.13 [21]	130	LO×K
$W(\rightarrow \ell\nu) + c\bar{c} + \text{jets}$	ALPGEN 2.13 [21]	360	LO×K
$W(\rightarrow \ell\nu) + c + \text{jets}$	ALPGEN 2.13 [21]	1100	LO×K
$Z/\gamma^*(\rightarrow \ell\ell) + \text{jets} (m_{\ell\ell} > 40 \text{ GeV})$	ALPGEN 2.13 [21]	1070	NNLO [23]
$Z/\gamma^*(\rightarrow \ell\ell) + \text{jets} (10 \text{ GeV} < m_{\ell\ell} < 40 \text{ GeV})$	ALPGEN 2.13 [21]	3970	NNLO [23]
$Z/\gamma^*(\rightarrow \ell\ell) + b\bar{b} + \text{jets} (m_{\ell\ell} > 40 \text{ GeV})$	ALPGEN 2.13 [21]	10.3	LO
Single-top ( $t$ -chan)	AcerMC 3.8 [24]	7.0	NLO
Single-top ( $s$ -chan)	MC@NLO 4.01 [25]	0.5	NLO
Single-top ( $Wt$ -chan)	MC@NLO 4.01 [25]	15.7	NLO
$WW$	HERWIG 6.5.20 [26]	44.9	NLO [27]
$WZ/\gamma^* (m_{Z/\gamma^*} > 60 \text{ GeV})$	HERWIG 6.5.20 [26]	18.5	NLO [27]
$Z/\gamma^*Z/\gamma^* (m_{Z/\gamma^*} > 60 \text{ GeV})$	HERWIG 6.5.20 [26]	5.96	NLO [27]
$t\bar{t}+W$	MADGRAPH5 [28]	0.169	NLO [29]
$t\bar{t}+Z$	MADGRAPH5 [28]	0.120	LO×K [30]

Table 1: Simulated background event samples used in this analysis, with the corresponding production cross sections. The notation LO×K indicates that the process is calculated at leading-order and corrected by a factor derived from the ratio of higher order to LO cross sections for a closely related process. The  $t\bar{t}$ ,  $W$ + light-jets and  $Z$ + light-jets samples are normalized using the inclusive cross sections; the values shown for the  $W$ + light-jets and  $Z$ + light-jets samples are for a single lepton flavor. The single-top cross sections are listed for a single lepton flavor in the  $s$ - and  $t$ -channels. Further details are given in the text.

Several simplified models are considered in this paper. In the “two-step” model, SUSY production proceeds via  $pp \rightarrow \tilde{g}\tilde{g}$ . The gluino then decays via  $\tilde{g} \rightarrow q\bar{q}'\tilde{\chi}_1^\pm \rightarrow q\bar{q}'W^{(*)\pm}\tilde{\chi}_2^0 \rightarrow q\bar{q}'W^{(*)\pm}Z^{(*)}\tilde{\chi}_1^0$ . This signature occurs in the MSSM in a parameter region where additional decay modes, not contained in the simplified model, may lead to a significant reduction of the cross section times branching fraction of the  $WZ$  signature. The  $\tilde{\chi}_1^\pm$  mass is set halfway between the gluino and LSP masses while the  $\tilde{\chi}_2^0$  mass is set halfway between the chargino and LSP masses. In the “gluino-mediated-stop” model, SUSY production proceeds similarly via  $pp \rightarrow \tilde{g}\tilde{g}$ . The gluino then decays via  $\tilde{g} \rightarrow t\bar{t}\tilde{\chi}_1^0$  through an off-shell stop. In all the simplified models, the superpartners that have not been mentioned are decoupled by setting their masses to multi-TeV values.

In the bilinear R-parity violating model, the R-parity violating couplings are embedded in a MSUGRA / CMSSM SUSY production model. For a chosen set of MSUGRA parameters, the bilinear R-parity violating parameters are determined under the tree-level dominance scenario [36] by fitting them to the neutrino oscillation data as described in Ref. [37]. The neutralino LSP is unstable and decays within the detector through decay modes that include neutrinos [38]. Such decays along with the presence of neutrinos in SUSY decay chains such as  $\tilde{\chi}^\pm \rightarrow \ell^\pm \nu \tilde{\chi}^0$  lead to significant  $E_T^{\text{miss}}$ .

Simulated event samples are used for estimating the signal acceptance, the detector efficiency, and for estimating many of the backgrounds (in most cases in association with data-driven techniques). The MSUGRA / CMSSM signal samples are generated with Herwig++ 2.5.2 [39] and MRST2007LO\* [40] parton distribution functions (PDFs); ISAJET 7.80 [41] is used to generate the physical particle masses. The simplified models are generated with one extra jet in the matrix element (in order to model the effects of initial- and final-state radiation) using MADGRAPH5 [28], interfaced to PYTHIA [42], with the

CTEQ6L1 [43] PDF set; MLM matching [44] is done with a scale parameter that is set to one-fourth of the mass of the lightest sparticle in the hard-scattering matrix element. Signal cross sections are calculated in the MSSM at next-to-leading order in the strong coupling constant, including the resummation of soft gluon emission at next-to-leading-logarithmic accuracy (NLO+NLL) [45–49]. The nominal cross section and the uncertainty are taken from an envelope of cross section predictions using different PDF sets and factorization and renormalization scales, as described in Ref. [50].

The simulated event samples for the SM backgrounds are summarized in Table 1. The ALPGEN and MADGRAPH samples are produced with the MLM matching scheme. The ALPGEN samples are generated with a number of partons  $0 \leq N_{\text{parton}} \leq 5$  in the matrix element, except for  $W$ +light-flavored jets which are generated with up to 6 partons. The  $t\bar{t}$ ,  $W$ +light-jets and  $Z$ +light-jets samples are normalized using the inclusive cross sections; the values shown in the table for the  $W$ +light-jets and  $Z$ +light-jets samples are for a single lepton flavor. The  $Wb\bar{b}$ ,  $Wc\bar{c}$  and  $Wc$  cross sections shown are the leading-order values from ALPGEN multiplied by a K-factor of 1.2, based on the K-factor for light-flavored jets. For the final result, these reference cross sections for the  $W/Z$ + heavy-flavor-jets samples are multiplied by additional scale factors derived as described in Ref. [51]. The overlap between the heavy-flavored and light-flavored  $W/Z$ +jets samples, arising from the production of heavy-flavor quarks in the parton shower of light-flavor events, is removed. The cross section for  $Z$ +jets with  $10 \text{ GeV} < m_{\ell\ell} < 40 \text{ GeV}$  is obtained by assuming the same K-factor as for  $m_{\ell\ell} > 40 \text{ GeV}$ . The single-top cross sections are taken from MC@NLO.

The theoretical cross sections for  $W$ +jets and  $Z$ +jets are calculated with FEWZ [23] with the MSTW 2008NNLO [52] PDF set. For the diboson cross sections, MCFM [27] with the MSTW2008NLO PDFs is used. The  $t\bar{t}$  cross section is calculated with HATHOR 1.2 [22] using MSTW2008NNLO PDFs. The  $t\bar{t}+W$  cross section is taken from Ref. [29]. The  $t\bar{t}+Z$  cross section is the leading-order value multiplied by a K-factor deduced from the NLO calculation at  $\sqrt{s} = 14 \text{ TeV}$  [30].

Parton shower and fragmentation processes are simulated for the ALPGEN and MC@NLO samples using HERWIG [26] with JIMMY [53] for underlying event modeling; PYTHIA is used for the AcerMC single-top sample and  $t\bar{t}+W/Z$ . The PDFs used in this analysis are: CTEQ6L1 for the ALPGEN and MADGRAPH samples, CT10 [54] for MC@NLO, and MRSTMC (LO\*\*) [55] for HERWIG. The underlying event tunes are the ATLAS AUET2B\_LO\*\* tunes [56].

The detector simulation [57] is performed using GEANT4 [58]. All samples are produced with a range of simulated minimum-bias interactions overlaid on the hard-scattering event to account for multiple  $pp$  interactions in the same beam crossing (pile-up). The overlay also treats the impact of pile-up from beam crossings other than the one in which the event occurred. Corrections are applied to the simulated samples to account for differences between data and simulation for the lepton trigger and reconstruction efficiencies, momentum scale and resolution, and for the efficiency and mis-tag rates for  $b$ -quark tagging.

## 4 Trigger and Data Collection

The data used in this analysis were collected from March through October 2011 during which the instantaneous luminosity of the LHC reached  $3.65 \times 10^{33} \text{ cm}^{-2} \text{ s}^{-1}$ . The average number of interactions per beam crossing ranged from approximately 4 to 16 during the run, with an average of 10. After the application of beam, detector, and data-quality requirements, the total integrated luminosity is  $4.7 \text{ fb}^{-1}$ . The uncertainty on the luminosity is determined to be 3.9% [59, 60].

Three types of triggers were used to collect the data: electron, muon and  $E_{\text{T}}^{\text{miss}}$ . The electron trigger selects events containing one or more electron candidates, based on the presence of a cluster in the electromagnetic calorimeter, with a shower shape consistent with that of an electron. The transverse energy threshold at the trigger level was either 20 GeV or 22 GeV, depending on the instantaneous

luminosity. For “signal” electrons (defined in Section 5), the trigger efficiency is in the plateau region and ranges between 95% and 97%. In order to recover some of the efficiency for high- $p_T$  electrons during running periods with the highest instantaneous luminosities, events were also collected with an electron trigger with looser shower shape requirements but with a  $p_T$  threshold of 45 GeV.

The muon trigger selects events containing one or more muon candidates based on tracks identified in the MS and ID. The muon trigger  $p_T$  threshold was 18 GeV. During running periods with the highest instantaneous luminosities, the trigger requirements on the number of MS hits were tightened; in order to recover some of the resulting loss in efficiency, events were also collected with a muon trigger that maintained the looser requirement on the number of hit chambers but which required in addition a jet with  $p_T$  greater than 10 GeV. This jet requirement is fully efficient for jets with offline calibrated  $p_T$  greater than approximately 50 GeV. The muon triggers reach their efficiency plateaus below a signal muon  $p_T$  threshold of 20 GeV. The plateau efficiency ranges from about 70% for  $|\eta| < 1.05$  to 88% for  $1.05 < |\eta| < 2.4$ .

The  $E_T^{\text{miss}}$  trigger bases the bulk of its rejection on the vector sum of transverse energies deposited in projective trigger towers (each with a size of approximately  $\Delta\eta \times \Delta\phi \sim 0.1 \times 0.1$  for  $|\eta| < 2.5$  and larger and less regular in the more forward regions). A more refined calculation based on the vector sum of all calorimeter cells above threshold is made at a later stage in the trigger processing. The trigger required  $E_T^{\text{miss}} > 60$  GeV, and is fully efficient for the signal region defined by offline calibrated  $E_T^{\text{miss}} > 180$  GeV. The  $E_T^{\text{miss}}$  trigger is used only for selecting events for the background validation tests described in Sec. 9.1.

## 5 Object Reconstruction and Selection

The reconstructed primary vertex [61] is required to be consistent with the beam spot envelope and to have at least five associated tracks; when more than one such vertex is found, the vertex with the largest summed  $|p_T|^2$  of the associated tracks is chosen.

Electrons are reconstructed from energy clusters in the electromagnetic calorimeter matched to a track in the ID [62]. Pre-selected electrons are required to have  $|\eta| < 2.47$  and pass a variant of the “medium” selection defined in Ref. [62] that differs mainly in having a tighter track-cluster matching in  $\eta$ , stricter pixel hit requirements, additional requirements in the TRT, and tighter shower-shape requirements for  $|\eta| > 2.01$ . These requirements provide background rejection close to the “tight” selection of Ref. [62] with only a few percent loss in efficiency with respect to “medium”. Pre-selected electrons are further required to have  $p_T > 10$  GeV. No explicit requirements are made on the distance of closest approach to the event primary vertex; however, the electron reconstruction restricts the distance in the transverse plane to approximately less than 2mm.

Muons are identified either as a combined track in the MS and ID systems, or as an ID track matched with a MS segment [63,64]. Requirements on the quality of the ID track are identical to those in Ref. [65]. Pre-selected muons are required to have  $|\eta| < 2.4$  and a  $p_T > 10$  GeV.

Jets are reconstructed using the anti- $k_t$  algorithm [66, 67] with a distance parameter  $R = 0.4$ . Jets arising from detector noise, cosmic rays or other non-collision sources are rejected [68]. To account for the differences between the calorimeter response to electrons and hadrons,  $p_T$ - and  $\eta$ -dependent factors, derived from simulated events and validated with test beam and collision data, are applied to each jet to provide an average energy scale correction [68] back to particle level. Pre-selected jets are required to have  $p_T > 20$  GeV and  $|\eta| < 4.5$ . Since electrons are also reconstructed as jets, pre-selected jets which overlap with pre-selected electrons within a distance  $\Delta R = \sqrt{(\Delta\eta)^2 + (\Delta\phi)^2} = 0.2$  are discarded.

For the final selection of signal events, “signal” electrons are required to pass a variant of the “tight” selection of Ref. [62], providing 1–2% gain in efficiency and slightly better background rejection. Signal electrons must have  $p_T > 25$  GeV,  $|\eta| < 2.47$  and a distance to the closest jet  $\Delta R > 0.4$ . Signal electrons



are required to satisfy isolation criteria: the scalar sum of the  $p_T$  of tracks within a cone of radius  $\Delta R = 0.2$  around the electron (excluding the electron itself) is required to be less than 10% of the electron  $p_T$ .

Muons in the final selection (“signal” muons) are required to have  $p_T > 20$  GeV,  $|\eta| < 2.4$  and  $\Delta R > 0.4$  with respect to the closest jet. Further isolation criteria (over and above the isolation implied by the requirement that the muon be separated from the nearest jet by  $\Delta R > 0.4$ ) are imposed: the scalar sum of the  $p_T$  of tracks within a cone of radius  $\Delta R = 0.2$  around the muon candidate (excluding the muon itself) is required to be less than 1.8 GeV. The distance of closest approach to the event primary vertex in the transverse plane ( $d_0$ ) and in the  $z$  direction ( $z_0$ ) are required to be less than 2mm and 5mm, respectively.

Signal jets are required to have  $p_T > 25$  GeV and  $|\eta| < 2.5$ . In addition, they are required to be associated with the hard-scattering process, by demanding that at least 75% of the scalar sum of the  $p_T$  of all tracks associated with the jet come from tracks associated with the primary vertex of the event. Jets with no associated tracks are rejected. The above requirements are applied to cope with the pile-up conditions of the 2011 data-taking, in particular the later part of the run.

The missing transverse momentum is computed as the negative of the vector sum of the  $p_T$  of all pre-selected electrons, pre-selected muons and pre-selected jets (after removing those overlapping with pre-selected electrons), and all calorimeter clusters with  $|\eta| < 4.9$  that are not associated with any of the above-mentioned objects.

For approximately 20% of the 2011 data-taking period, an electronics failure created a region in the electromagnetic calorimeter, located at  $0 < \eta < 1.4$  and  $-0.8 < \phi < -0.6$ , where the signal read-out was compromised. Events with an electron in this region are vetoed for the entire dataset, leading to an acceptance loss of less than 1% for signal events in the signal region. For jets, the amount of transverse energy ( $E_T$ ) lost in the dead region can be estimated from the energy deposited in the neighboring calorimeter cells. If this lost  $E_T$  projected along the  $E_T^{\text{miss}}$  direction amounts to more than 10 GeV and constitutes more than 10% of the  $E_T^{\text{miss}}$ , the event is rejected. The effect of the electronics failure is described in the detector simulation, and the loss of signal acceptance from this requirement is negligible.

Jets arising from  $b$ -quarks are identified using information about track impact parameters and reconstructed secondary vertices [69]; the  $b$ -tagging algorithm is based on a neural network using the output weights of the JetFitter+IP3D, IP3D, and SV1 algorithms (defined in Ref. [70, 71]) as input. The  $b$ -tagging requirements are set at an operating point corresponding to an average efficiency of 60% for  $b$ -jets in simulated  $t\bar{t}$  events, for which the algorithm provides a rejection factor of approximately 200–400 for light-quark and gluon jets (depending on the  $p_T$  of the jet) and a rejection of approximately 7–10 for charm jets.

## 6 Event Selection

Two variables, derived from the kinematic properties of the reconstructed objects, are used in the event selection. The transverse mass ( $m_T$ ) computed from the momentum of the lepton ( $\ell$ ) and the missing transverse momentum ( $\vec{p}_T^{\text{miss}}$ ), defined as

$$m_T = \sqrt{2p_T^\ell E_T^{\text{miss}}(1 - \cos(\Delta\phi(\vec{\ell}, \vec{p}_T^{\text{miss}})))},$$

is useful in rejecting events containing a single  $W$  boson. The inclusive effective mass ( $m_{\text{eff}}^{\text{inc}}$ ) is the scalar sum of the  $p_T$  of the leptons, the jets and  $E_T^{\text{miss}}$ :

$$m_{\text{eff}}^{\text{inc}} = \sum_{i=1}^{N_{\text{lep}}} p_{T,i}^\ell + \sum_{j=1}^{N_{\text{jet}}} p_{T,j} + E_T^{\text{miss}}$$

	Signal region	3-jet $W$ CR	3-jet $t\bar{t}$ CR	7-jet $W$ CR	7-jet $t\bar{t}$ CR	Z+jets CR	dileptonic $t\bar{t}$ CR
Trigger	Single electron or muon (+jet)						
$N_{\text{lep}}$	1	1	1	1	1	$\geq 2$	$\geq 2$
$p_T^\ell$	$> 25$ (20)	$> 25$ (20)	$> 25$ (20)	$> 25$ (20)	$> 25$ (20)	$> 25$ (20)	$> 25$ (20)
$p_T^{\ell_2}$	$< 10$	$< 10$	$< 10$	$< 10$	$< 10$	$> 10$	$> 10$
$N_{\text{jet}}$	$\geq 7$	$\geq 3$	$\geq 3$	$\geq 7$	$\geq 7$	$\geq 2$	$\geq 2$
$p_T^{\text{jet}}$	$> 80 \dots 25$	$> 80, 25, 25$	$> 80, 25, 25$	$> 80 \dots 25$	$> 80 \dots 25$	$> 80, 50$ or 50,50,50,50	$> 80, 50$ or 50,50,50,50
$N_{b\text{-jet}}$	—	0	$\geq 1$	0	$\geq 1$	—	$\geq 1$
$E_T^{\text{miss}}$	$> 180$	40 to 150	40 to 150	40 to 120	40 to 120	$< 50$	30 to 80
$m_T$	$> 120$	40 to 80	40 to 80	40 to 80	40 to 80	—	—
$m_{\text{eff}}^{\text{inc}}$	$> 750$	$> 500$	$> 500$	$> 400$	$> 400$	—	—
$m_{\ell\ell}$	—	—	—	—	—	[81,101]	$< 81$ or $> 101$

Table 2: Overview of the selection criteria for the signal and control regions (CR) in this analysis. The  $p_T$  selections for leptons are given for electrons (muons). All mass and momentum requirements are in units of GeV.

where the index  $i$  runs over all the signal leptons and  $j$  runs over all the signal jets in the event. The inclusive effective mass is correlated with the overall mass scale of the hard-scattering process and provides good discrimination against the SM background, without being too sensitive to the details of the SUSY decay cascade.

The signal region has been optimized on the two-step simplified models. The number of signal leptons with  $p_T > 25$  (20) GeV for electrons (muons) is required to be exactly one. Events containing additional signal leptons (but with the  $p_T$  threshold lowered to 10 GeV) are rejected. Events containing a muon that failed the requirement on  $d_0$  or  $z_0$  are also rejected. The number of signal jets is required to be  $\geq 7$ , with a leading jet satisfying  $p_T > 80$  GeV and the other jets having  $p_T > 25$  GeV. In addition, the following conditions are imposed:  $m_T > 120$  GeV,  $E_T^{\text{miss}} > 180$  GeV, and  $m_{\text{eff}}^{\text{inc}} > 750$  GeV. The selection criteria are summarized in Table 2.

## 7 Background Estimation

The dominant source of background in this analysis is the production of semi- and fully-leptonic  $t\bar{t}$  events, and the production of  $W$ +jets where the  $W$  decays leptonically. Other background processes which are considered are multijets, single-top, dibosons and  $t\bar{t}$  plus vector boson.

The major backgrounds are estimated by isolating each process in a control region, normalizing the simulation to data in that control region, and then using the simulation to extrapolate the background expectations into the signal region. The multijet background is estimated entirely from the data by a matrix method described below. All other (smaller) backgrounds are estimated entirely from the simulation, using the most accurate theoretical cross sections available (Table 1). To account for the cross-contamination of physics processes across control regions, the final estimate of the background is obtained with a simultaneous, combined fit to all control regions, as described in Sec. 9.

Several correction factors are applied to the simulation. As described in Sec. 7.2, the  $p_T$  of the  $Z$  boson is reweighted based on a comparison of data with simulation in an event sample enriched in  $Z$ +jets events. The same correction factor is applied to  $W$  boson production and improves the agreement between

data and simulation in the  $E_T^{\text{miss}}$  distribution. Other correction factors are derived during the combined fit. The relative normalization of the ALPGEN samples ( $W$ +jets,  $Z$ +jets and  $t\bar{t}$ ) with different numbers of partons ( $N_{\text{parton}}$ ) in the matrix element is adjusted by comparing the jet multiplicity distribution in data and simulation in the 3-jet control regions. A common set of corrections is obtained for the  $W$ +jets and  $Z$ +jets samples, and a separate set of common corrections is obtained for  $t\bar{t}$  decays.

## 7.1 $W/Z$ +jets and $t\bar{t}$ Control Regions

The  $W$ +jets and  $t\bar{t}$  processes are isolated in control regions defined by the following requirements. In the 3-jet control regions, three or more signal jets are required, with a leading jet  $p_T > 80$  GeV. The lepton requirements are the same as in the signal region. The  $E_T^{\text{miss}}$  is required to be between 40 and 150 GeV while the transverse mass is required to be between 40 and 80 GeV. The  $m_{\text{eff}}^{\text{inc}}$  requirement is relaxed to  $m_{\text{eff}}^{\text{inc}} > 500$  GeV. In order to gauge the sensitivity of the analysis to the choice of control region, an alternate set of control regions with seven or more signal jets is defined with a leading jet  $p_T > 80$  GeV. The  $E_T^{\text{miss}}$  is required to be between 40 and 120 GeV. The  $m_{\text{eff}}^{\text{inc}}$  requirement is relaxed to  $m_{\text{eff}}^{\text{inc}} > 400$  GeV. All other requirements are the same as in the 3-jet control regions. The  $W$ +jets and  $t\bar{t}$  control regions are distinguished by requirements on the number of  $b$ -tagged jets. For the  $W$ +jets control region, events are rejected if any of the three highest  $p_T$  jets is  $b$ -tagged; the rejected events then define the  $t\bar{t}$  control region.

Control regions for  $Z$ +jets and dileptonic  $t\bar{t}$  are also defined in order to provide additional constraints when fitting the normalization factors for the  $W$ +jets and  $t\bar{t}$   $N_{\text{parton}}$  bins (described in Section 9). A  $Z$ +jets control region is defined by requiring two or more signal leptons of opposite charge and same flavor (where the  $p_T$  requirement on the second lepton is lowered to 10 GeV), a dilepton mass consistent with that of the  $Z$  boson,  $E_T^{\text{miss}} < 50$  GeV, and two or more signal jets with the leading jet above 80 GeV, and sub-leading jets above 50 GeV. In events with four or more jets, the leading jet threshold is lowered to 50 GeV. A dileptonic  $t\bar{t}$  control region is defined with the same requirements as the  $Z$ +jets control region, except that leptons of different flavor are allowed, and the dilepton mass is required to be inconsistent with that of the  $Z$  boson. The  $E_T^{\text{miss}}$  requirement is changed to  $30 \text{ GeV} < E_T^{\text{miss}} < 80 \text{ GeV}$ , and one or more jets is required to be  $b$ -tagged. Table 2 summarizes the control region definitions.

## 7.2 Reweighting of $W$ +jets and $Z$ +jets Simulated Samples

As in Ref. [16], the samples of simulated  $W$ +jets and  $Z$ +jets events are reweighted as a function of the generated  $p_T$  of the vector boson. A common set of corrections to the  $p_T$  of the vector boson, applied to both  $W$ +jets and  $Z$ +jets samples, is found to improve the agreement between data and simulation for a number of variables ( $E_T^{\text{miss}}$ ,  $m_{\text{eff}}^{\text{inc}}$ , and jet  $p_T$ ).

The  $p_T^Z$  distribution is measured in data by selecting a sample with two oppositely-charged, same-flavor leptons with an invariant mass between 80 GeV and 100 GeV,  $\geq 3$  signal jets with  $p_T > 25$  GeV, and  $m_{\text{eff}}^{\text{inc}} > 400$  GeV. The  $p_T^Z$  distribution in five bins of reconstructed  $p_T$  is compared to the ALPGEN simulation in five bins of generated  $p_T$  ( $p_T^{Z,\text{gen}}$ ), with the first four bins ranging from 0 to 200 GeV and the last bin integrated above 200 GeV; the ratio of the two distributions is taken as the  $p_T^{Z,\text{gen}}$ -dependent weight factor. The simulation used here uses the cross sections as stated in Table 1. Only the systematic uncertainty from the jet energy scale is considered (in addition to statistical uncertainties) when computing the uncertainty on the weighting factors.

## 7.3 Multijet Background

Multijet events become a background when a jet is misidentified as an isolated lepton or when a real lepton appears as a decay product of hadrons in jets, for example from  $b$ - or  $c$ -jets, and is sufficiently iso-



lated. In the following, such lepton-like objects are collectively referred to as misidentified leptons from now on. The multijet background in the signal region, and in the  $W$ +jets and  $t\bar{t}$  control regions, where it is more significant, is estimated from the data following a matrix method similar to that employed in Ref. [65].

The multijet background from all sources (but separated by lepton flavor) is determined collectively. In the single-lepton channels, the multijet process is enhanced in a control sample with all the SUSY signal region criteria applied except that the lepton isolation criteria are not imposed and the shower shape requirements on electrons are relaxed. Defining  $N_{\text{pass}}$  and  $N_{\text{fail}}$  as the number of leptons in such a loose sample passing or failing the final lepton selection criteria, and defining  $N_{\text{real}}$  and  $N_{\text{misid.}}$  as the number of real and the number of misidentified leptons, the following equations hold:

$$N_{\text{pass}} = \epsilon_{\text{real}} N_{\text{real}} + \epsilon_{\text{misid.}} N_{\text{misid.}},$$

$$N_{\text{fail}} = (1 - \epsilon_{\text{real}}) N_{\text{real}} + (1 - \epsilon_{\text{misid.}}) N_{\text{misid.}},$$

where  $\epsilon_{\text{real}}$  is the relative identification efficiency for real leptons, and  $\epsilon_{\text{misid.}}$  is the misidentification efficiency for misidentified leptons. Solving the equations leads to:

$$N_{\text{misid.}}^{\text{pass}} = \epsilon_{\text{misid.}} N_{\text{misid.}} = \frac{N_{\text{fail}} - (1/\epsilon_{\text{real}} - 1) N_{\text{pass}}}{1/\epsilon_{\text{misid.}} - 1/\epsilon_{\text{real}}}.$$

The efficiency  $\epsilon_{\text{real}}$  is measured from data samples of  $Z \rightarrow \ell\ell$  decays. The lepton misidentification efficiency is obtained using events containing at least one electron (muon) satisfying the relaxed criteria, and at least one signal jet with  $p_T > 30$  (60) GeV. In addition for the electron case,  $E_T^{\text{miss}} < 30$  GeV is required. For the muon case, the event is required to contain exactly one muon with  $|d_0|/\sigma_{d_0} > 5$  where  $\sigma_{d_0}$  is the uncertainty on the transverse impact parameter measured with respect to the primary vertex. The electron misidentification efficiency is evaluated separately for samples enhanced (depleted) in heavy-flavor contributions by requiring (vetoing) a  $b$ -tagged jet in the event.

## 7.4 Other Backgrounds

The backgrounds from  $Z$ +jets, single-top, diboson and  $t\bar{t}$ + vector boson production is estimated almost purely from simulation. The background from cosmic-ray muons overlapping a hard-scattering event is estimated from a control sample with large  $z_0$ . Extrapolating to the signal region  $|z_0| < 5$  mm, the contribution is found to be negligible.

## 8 Systematic Uncertainties

Systematic uncertainties have an impact on the expected background and signal event yields in the control and signal regions. These uncertainties are treated as nuisance parameters in a profile likelihood fit described in Sec. 9. The following systematic uncertainties on the reconstructed objects are taken into account. The jet energy scale (JES) uncertainty has been determined from a combination of test beam, simulation and in-situ measurements from 2010  $pp$  collision data [68]. Additional contributions from the higher luminosity and pile-up in 2011 are taken into account. Uncertainties on the lepton identification, momentum/energy scale and resolution are estimated from samples of  $Z \rightarrow \ell^+\ell^-$ ,  $J/\psi \rightarrow \ell^+\ell^-$  and  $W^\pm \rightarrow \ell^\pm \nu$  decays in data [62–64]. The uncertainties on the jet and lepton energies are propagated to the  $E_T^{\text{miss}}$ ; an additional  $E_T^{\text{miss}}$  uncertainty arising from energy deposits not associated with any reconstructed objects is also included [72]. Uncertainties on the  $b$ -tagging efficiency are derived from an analysis of data samples tagged with muons associated with jets [69] and from an analysis of data [73] following the “System8” method [74]. Uncertainties on the light-flavor mis-tag rate are derived by examining tracks

with negative impact parameter [75] while charm mis-tag uncertainties are obtained from data samples tagged by reconstructing  $D^*$  mesons [76].

Uncertainties in the matrix method for the determination of the multijet background include the statistical uncertainty in the number of events available in the various control samples, the difference in misidentification efficiency for electrons from heavy- versus light-flavored jets, the dependence of the misidentification efficiency on the jet multiplicity, and the uncertainty in the subtraction of other backgrounds from the samples used to estimate the misidentification efficiency.

Uncertainties from the identification efficiency for jets associated with the primary vertex and from the overlay of pile-up in simulated events are both found to be negligible.

Theoretical modeling uncertainties in the simulation include the following contributions. In previous versions of the analysis, renormalization and factorization scale uncertainties were estimated by varying the corresponding parameters in the ALPGEN generator by a factor of two, up and down from their nominal settings. Since these variations affect mostly the overall normalization of the cross sections for the samples with different values of  $N_{\text{parton}}$ , they are replaced here by a normalization of the individual light-parton bins to the data (see Sec. 9). Additional generator uncertainties arise from the parameter that describes the jet  $p_T$  threshold used in the matching ( $p_{T,\text{min}}$ ). This uncertainty is assessed by changing its default value from 15 GeV to 30 GeV; the difference is assigned as both a positive and negative uncertainty. Uncertainties arising from initial- and final-state radiation are taken into account by the variation of the MLM matching parameter in multi-leg generators as well as by studying dedicated PYTHIA tunes with increased or decreased radiation [77]. Fragmentation/hadronization uncertainties are estimated by comparing HERWIG with PYTHIA. In order to vary the heavy-flavor fraction, the cross sections for  $Wb\bar{b}$ +jets and  $Wc\bar{c}$ +jets in Table 1 are scaled by  $1.63 \pm 0.76$ , while  $Wc$ +jets is scaled by  $1.11 \pm 0.35$ , based on correction factors derived from data [51]. The uncertainty on  $Zb\bar{b}$ +jets is taken to be  $\pm 100\%$ . The uncertainties on the cross sections for  $t\bar{t}+W$  and  $t\bar{t}+Z$  are taken from the NLO calculations in Refs. [29, 30].

The  $W$ +jets simulation is generated with up to 6 additional partons in the matrix element. To assess the uncertainty on the background prediction arising from the missing additional legs in the matrix element, the jet multiplicity distribution from the  $W$ +jets simulation is compared to data in a region identical to the signal region except that the requirement on the number of jets is relaxed to be  $\geq 3$  and the transverse mass requirement,  $40 \text{ GeV} < m_T < 100 \text{ GeV}$ , selects for  $W$ +jets events. A veto on  $b$ -jets is applied to further enhance the  $W$ +jets content of this sample. The ratio of events in the  $N$ th to the  $(N+1)$ th bin (where  $N$  refers to the number of jets) is measured in data in this control region. This ratio is applied to simulated  $W$ +jets events, starting at various values of jet multiplicity, and extrapolating to the signal region. Comparing the results to the nominal expectation from the  $W$ +jets simulation, the largest difference is seen when applying the ratio from the 6-jet bin to the 7-jet bin. The difference of 180% from unity in the ratio is taken as the uncertainty (hereafter called the “multiparton” uncertainty) in the  $W$ +jets background in the signal region.

The uncertainty in the signal cross section is taken from an envelope of cross section predictions using different PDF sets (including the  $\alpha_s$  uncertainty) and factorization and renormalization scales, as described in Ref. [50]. For the simplified models, uncertainties in the modeling of initial-state radiation play a significant role for low gluino masses and for small mass differences in the decay cascade. These uncertainties are estimated by varying generator tunes in the simulation as well as by generator-level studies of  $\tilde{g}\tilde{g}$  and production with an additional ISR jet generated in the matrix element with MADGRAPH5 [28].

The impact of these systematic uncertainties on the background yields and signal estimates are evaluated via an overall fit, described in Sec. 9 and 10.

## 9 Background Fit

The background in the signal region is estimated with a fit based on the profile likelihood method [78]. The inputs to the fit are as follows:

1. The observed numbers of events in the  $Z$ +jets, dileptonic  $t\bar{t}$ , 3-jet  $W$ +jets and 3-jet  $t\bar{t}$  control regions, and the numbers expected from simulation. These are separated into 7 jet-multiplicity bins, ranging from 3 to 9 jets for the 3-jet  $W$ +jets and  $t\bar{t}$  control regions. Eight jet-multiplicity bins, ranging from 2 to 9 jets are used for the  $Z$ +jets and dileptonic  $t\bar{t}$  control regions.
2. Transfer factors (TF), derived from simulation, are multiplicative factors that propagate the event counts for  $W$ +jets and  $t\bar{t}$  backgrounds from one control region to another, or from one control region to the signal region.
3. The number of multijet background events in all control and signal regions, as derived from the data.
4. Expectations from simulation for the number of events from the minor backgrounds (single-top, diboson,  $t\bar{t}$ +boson) in all control and signal regions.

The event count in each bin of the control region is treated with a Poisson probability density function. There are 30 control region bins in total. Each lepton flavor is treated separately in the likelihood function. The statistical and systematic uncertainties on the expected yields are included in the probability density function as nuisance parameters, constrained to be Gaussian with a width given by the size of the uncertainty. Approximately 150 nuisance parameters are included in the fit. Correlations in the nuisance parameters from bin to bin are taken into account where necessary. The Poisson probability density functions also include free parameters, for example to scale the expected contributions from the major backgrounds; these are described in more detail below. A likelihood is formed as the product of these probability density functions and the constraints on the nuisance parameters. The free parameters and nuisance parameters are adjusted to maximize the likelihood. The fit constrains the nuisance parameters for the jet energy scale and the uncertainty in the ALPGEN MLM-matching parameter ( $p_{T,\min}$ ) from the shape information provided in the control regions.

The free parameters considered in the fit are as follows:

1.  $t\bar{t}$  background: Each  $t\bar{t}$  sample, divided into  $N_{\text{parton}}$  bins (from 0 to 3, with the last being inclusive), is scaled by a free parameter. For each  $N_{\text{parton}}$  bin, a common parameter is used for semi-leptonic and dileptonic  $t\bar{t}$  samples.
2.  $W$ +jets background: Each  $W$ +jets sample, again divided into  $N_{\text{parton}}$  bins from 2 to 5 is scaled by a free parameter. The  $N_{\text{parton}} = 6$  bin for  $W$ +light-flavored jets shares its fit parameter with  $N_{\text{parton}} = 5$ . The vector boson plus heavy-flavor samples share the same relative normalization parameters as the light-flavor samples. Only  $N_{\text{parton}}$  bins between two and five are allowed to float, as the lower multiplicity bins contain only a small number of events due to the jet and effective mass requirements.

The backgrounds from multijets and the sub-dominant backgrounds from single-top, diboson, and  $t\bar{t}$ +boson production are allowed to float in the fit within their respective uncertainties.

Notable nuisance parameters in the fit are:

1. The uncertainty in the ALPGEN MLM-matching parameter  $p_{T,\min}$  manifests itself in the relative normalization of the ALPGEN  $N_{\text{parton}}$  samples and in the jet  $p_T$  spectra within each sample. The change in the event counts in the array of all control regions, resulting from this shift in the relative

	<b>W+jets</b>	$t\bar{t}$	<b>Low <math>E_T^{\text{miss}}</math> High <math>m_T</math></b>	<b>High <math>E_T^{\text{miss}}</math> Low <math>m_T</math></b>	<b>Low lepton <math>p_T</math> High <math>m_T</math></b>	<b>Low lepton <math>p_T</math> Low <math>m_T</math></b>
Trigger	Single electron or muon (+jet)				Missing $E_T$	Missing $E_T$
$N_{\text{lep}}$	1	1	1	1	1	1
$p_T^\ell$ (GeV)	> 25 (20)	> 25 (20)	> 25 (20)	> 25 (20)	10 to 20	10 to 20
$p_T^{\ell_2}$ (GeV)	< 10	< 10	< 10	< 10	< 10	< 10
$N_{\text{jet}}$	$\geq 7$	$\geq 7$	$\geq 7$	$\geq 7$	$\geq 7$	$\geq 7$
$p_T^{\text{jet}}$ (GeV)	> 80...25	> 80...25	> 80...25	> 80...25	> 80...25	> 80...25
$N_{\text{jet}} (b\text{-tagged})$	0	$\geq 1$	—	—	—	—
$E_T^{\text{miss}}$ (GeV)	120 to 180	120 to 180	40 to 180	> 180	> 180	> 180
$m_T$ (GeV)	40 to 80	40 to 80	> 120	40 to 120	> 120	40 to 120
$m_{\text{eff}}^{\text{inc}}$ (GeV)	> 400	> 400	> 750	> 750	> 750	> 750

Table 3: Overview of the selection criteria for the validation regions (VR) in this analysis. The  $p_T$  selections for leptons are given for electrons (muons).

normalization, is mapped to one parameter for both W+jets and Z+jets and a separate parameter for  $t\bar{t}$ .

2. The uncertainty in the W+jets background due to the multiparton uncertainty is assigned to one nuisance parameter.
3. The uncertainty in the normalization of the  $N_{\text{parton}} = 0, 1$  bins for W+jets, due to uncertainties in renormalization and factorization scales, is treated by one nuisance parameter.
4. The overall normalization of the vector boson plus heavy flavor samples is assigned a nuisance parameter reflecting the uncertainty in the cross section.
5. The uncertainty from the fit of the  $p_T^Z$  distribution is treated by assigning one nuisance parameter for each bin in true  $p_T$ . Four equal-width bins are used from 0 to 200 GeV, and one bin for  $p_T > 200$  GeV.
6. The uncertainty due to the jet energy scale is considered in three jet  $p_T$  bins (25–40 GeV, 40–100 GeV and > 100 GeV). The resulting uncertainty in the event counts in the array of all control regions is mapped to one nuisance parameter for each of the three jet  $p_T$  bins. The usage of three jet  $p_T$  bins prevents the fit from artificially over-constraining the jet energy scale.

## 9.1 Background Fit Validation

The background fit is cross-checked in a number of validation regions, situated between the control and signal regions, where the results of the background fit can be compared to observation. These validation regions are not used to constrain the fit.

1. The W+jets validation region is identical to the 7-jet W+jets control region except that the  $E_T^{\text{miss}}$  requirement is changed to  $120 \text{ GeV} < E_T^{\text{miss}} < 180 \text{ GeV}$ .
2. Similarly the  $t\bar{t}$  validation region is identical to the 7-jet  $t\bar{t}$  control region except that the  $E_T^{\text{miss}}$  requirement is changed to  $120 \text{ GeV} < E_T^{\text{miss}} < 180 \text{ GeV}$ .

3. The high transverse mass, low  $E_T^{\text{miss}}$  validation region is identical to the signal region except that the  $E_T^{\text{miss}}$  requirement is changed to  $40 \text{ GeV} < E_T^{\text{miss}} < 180 \text{ GeV}$ . This region tests the validity of the background yields from dileptonic  $t\bar{t}$  events.
4. The high  $E_T^{\text{miss}}$ , low transverse mass validation region is identical to the signal region except that the requirement on the transverse mass is changed to  $40 \text{ GeV} < m_T < 120 \text{ GeV}$ .
5. The high transverse mass, low lepton  $p_T$  validation region is identical to the signal region except that the lepton  $p_T$  requirement is changed to  $10 \text{ GeV} < p_T^\ell < 20 \text{ GeV}$ . The events in this region are collected with the  $E_T^{\text{miss}}$  trigger.
6. The low transverse mass, low lepton  $p_T$  validation region defined by  $40 \text{ GeV} < m_T < 120 \text{ GeV}$  and  $10 \text{ GeV} < p_T^\ell < 20 \text{ GeV}$ . All other requirements are identical to the signal region. The events in this control region are collected with the  $E_T^{\text{miss}}$  trigger.

The definitions of the validation regions are summarized in Table 3.

The results of the fit to the control regions, as well as the comparison of observed versus predicted event counts in the validation regions, are summarized in Fig. 1. The difference between the observed and predicted event counts is normalized by the total (statistical and systematic) uncertainty on the prediction. The agreement between predicted and observed yields is reasonable. Figure 2 shows the  $m_{\text{eff}}^{\text{inc}}$  distribution in the  $t\bar{t}$  validation region after the fit.

As a crosscheck of the modeling of the backgrounds at high jet multiplicity, the background fit is performed to the 7-jet control regions rather than the 3-jet regions. Since the ability to distinguish the relative normalization of the ALPGEN  $N_{\text{parton}}$  bins is lost, these nuisance parameters are not constrained by the fit. The difference seen in the top background predictions, amounting to 16% (53%) in the electron (muon) channel, is assigned as an additional systematic uncertainty; the difference in the uncertainty between the electron and muon channels is consistent with a statistical fluctuation in the low number of events in the 7-jet control region.

## 10 Results and Interpretation

The predicted background in the signal regions and the observed numbers of events are shown in Table 4. No significant discrepancy is seen between the observed yields and SM expectations. The deviation in the muon (electron) channel has a  $p$ -value of 0.019 (0.13), amounting to approximately a  $2.1\sigma$  ( $1.1\sigma$ ) effect. Combining the two channels, the deviation has again a  $p$ -value of 0.019.

The dominant background uncertainty is the multiparton uncertainty. The limited number of events in the background simulation samples in the signal region also contribute significantly. For the signal prediction, the dominant uncertainties at the highest excluded SUSY masses arise from the PDFs (30–40%) and the JES (10–20%); the former reflect the uncertainty in the gluon distribution at high values of  $x$ . In the simplified models with small mass differences typical uncertainties from ISR variations are approximately 30%.

The distributions of jet multiplicity and transverse mass after all selection requirements (except those on jet multiplicity and transverse mass, respectively) after are shown in Figures 3 and 4. The SM expectation shown is the sum of the fitted values for each of the SM background components.

For excluding specific models of new physics, the fit in the signal region proceeds in the same way except that in this case the signal contamination in control regions is treated by providing transfer factors from the signal regions to the control regions as further input to the fit. In addition, the likelihood fit makes use of the  $m_{\text{eff}}^{\text{inc}}$  shape information in the signal region as a further discriminant. The likelihood is extended to include bin-by-bin  $m_{\text{eff}}^{\text{inc}}$  information by dividing the signal region into four bins in  $m_{\text{eff}}^{\text{inc}}$ . Examples of these distributions (as input to the fit) are shown in Fig. 5.



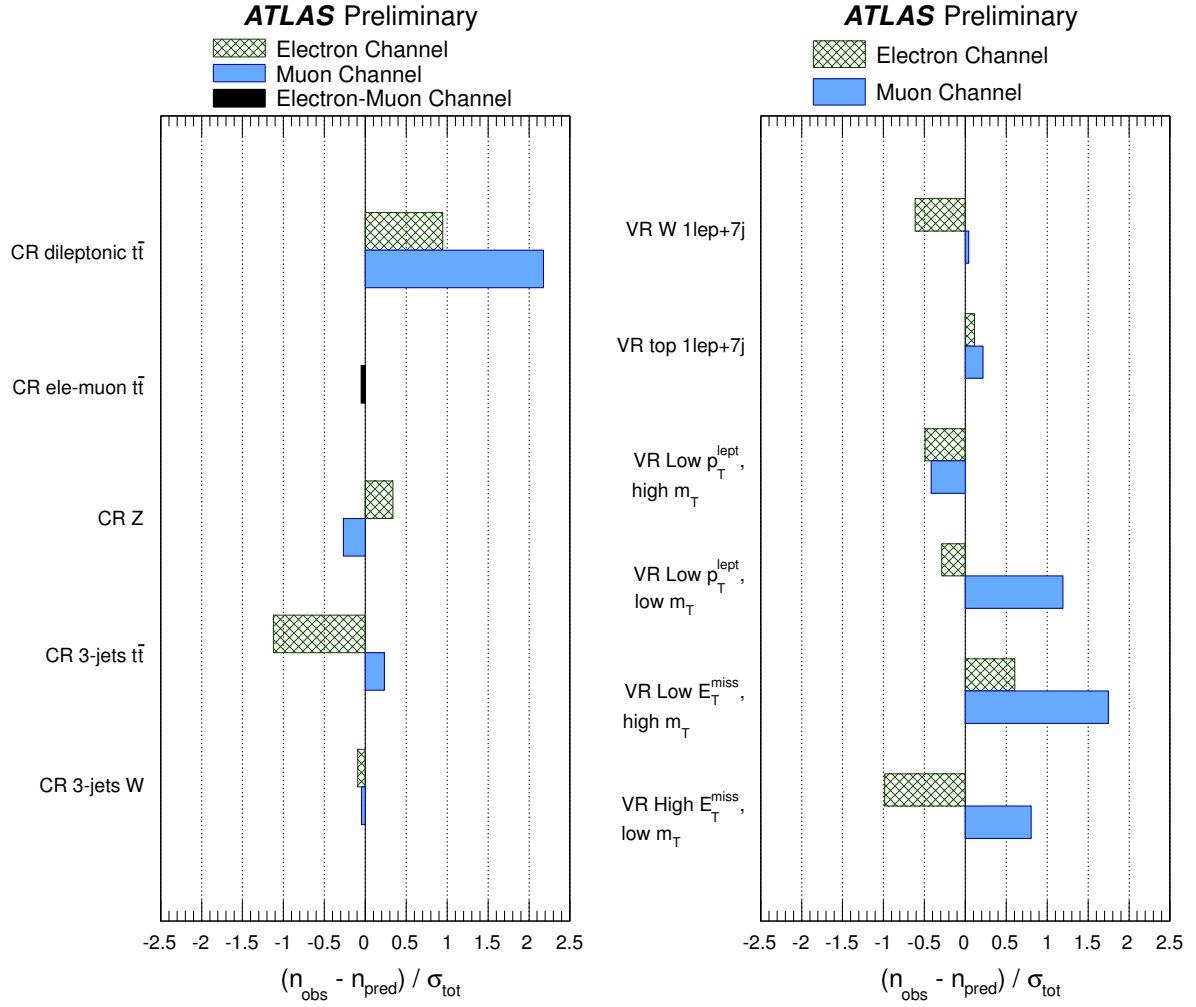


Figure 1: Summary of the fit results in the control regions (left) and validation regions (right). The difference between the observed and predicted number of events, divided by the total (statistical and systematic) uncertainty on the prediction, is shown for each control and validation region.

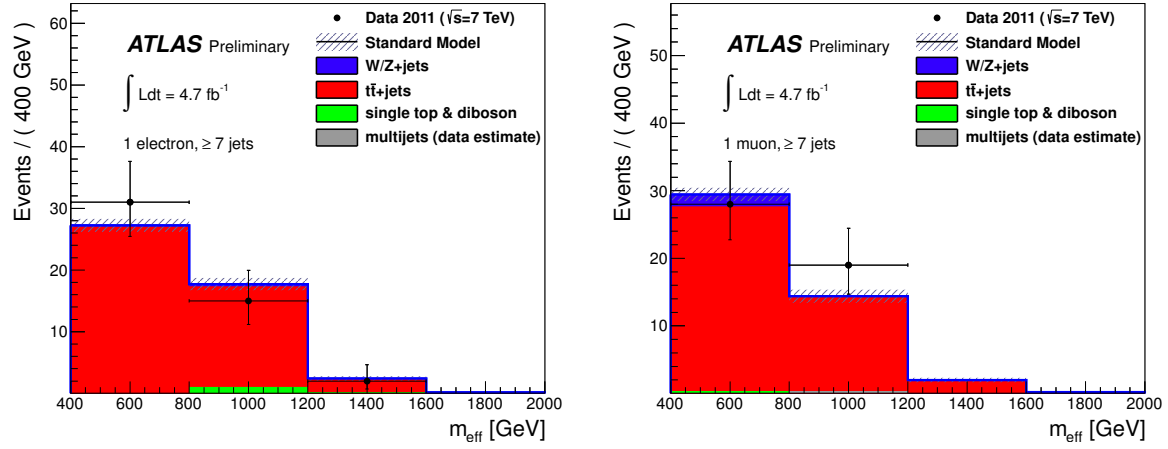


Figure 2: Distribution of  $m_{\text{eff}}^{\text{inc}}$  in the  $t\bar{t}$  validation region for the electron (left) and muon (right) channels. The SM expectation shown here is the output of the final fit. The uncertainty band around the SM expectation combines all statistical and systematic uncertainties except for the multiparton uncertainty.

Number of events	Electron	Muon
Observed	7	7
Fitted background	$4.3 \pm 1.2$	$2.2 \pm 1.1$
Fitted top	$3.1 \pm 0.7$	$1.9 \pm 1.0$
Fitted W/Z+jets	$0.3 \pm 0.5$	$0.3 \pm 0.5$
Fitted other background	$0.2 \pm 0.2$	$< 0.05$
Fitted multijet	$0.6 \pm 0.7$	$< 0.05$
MC expectation SM	$6.0 \pm 2.4$	$3.7 \pm 2.4$
MC expectation top	$4.5 \pm 1.8$	$3.4 \pm 2.2$
MC expectation W/Z+jets	$0.3 \pm 0.4$	$0.3 \pm 0.4$
MC expectation other background	$0.5 \pm 0.7$	$0.0 \pm 0.2$
Data-driven multijet	$0.6 \pm 0.7$	$< 0.05$

Table 4: The observed numbers of events in the electron and muon signal regions, and the background expectations from the fit. The inputs to the fit are also shown; these consist of the data-driven multijet background estimate and the nominal expectations from simulation (MC), normalized to theoretical cross sections. The errors shown are the statistical plus systematic uncertainties on the mean of the Poisson function describing the background probability density.

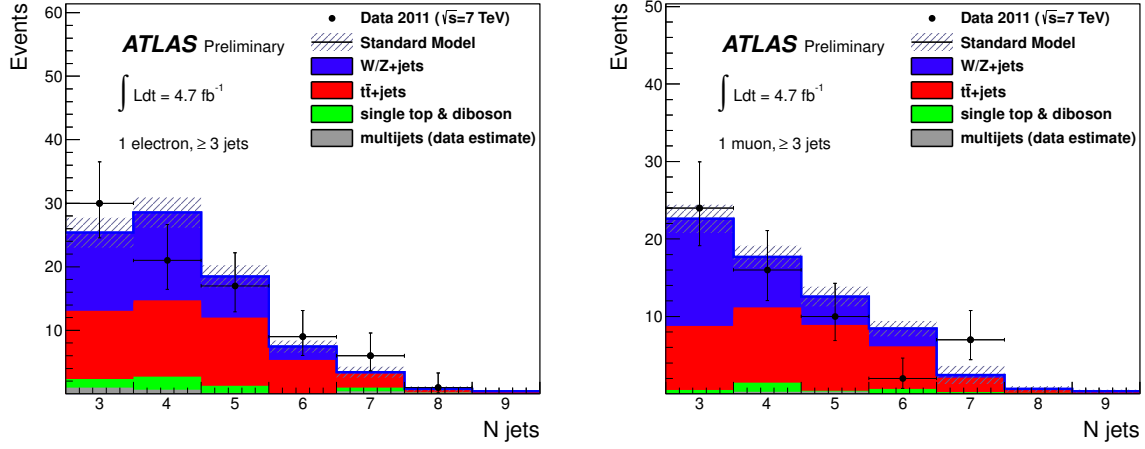


Figure 3: Distribution of the jet multiplicity in the electron (left) and muon (right) channels after all selection requirements except for that on the jet multiplicity. The last bin includes all overflows. The SM expectation shown here is the sum of the fitted values for each of the SM background components. The uncertainty band around the SM expectation combines all statistical and systematic uncertainties except for the multiparton uncertainty, which is included only for  $N_{\text{jet}} \geq 7$ .

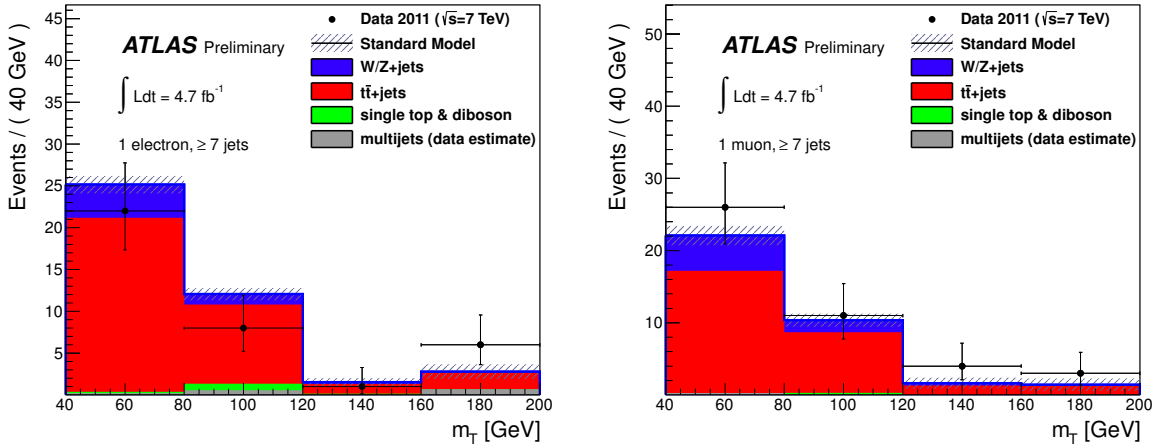


Figure 4: Distribution of the transverse mass in the electron (left) and muon (right) signal regions after all selection requirements except for that on the transverse mass. The last bin includes all overflows. The SM expectation shown here is the sum of the fitted values for each of the SM background components. The uncertainty band around the SM expectation combines all statistical and systematic uncertainties except for the multiparton uncertainty, which is included only for  $m_T > 120$  GeV.

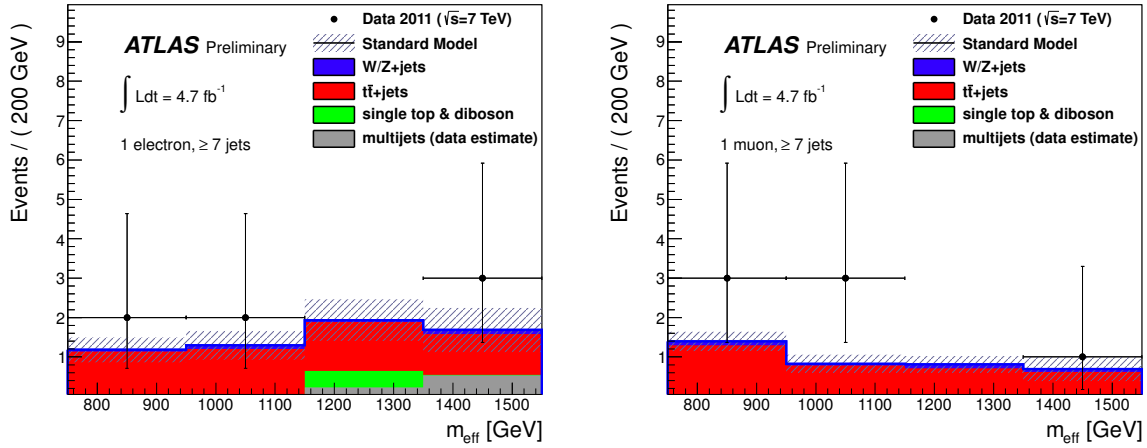


Figure 5: Distribution of  $m_{\text{eff}}^{\text{inc}}$  in the electron (left) and muon (right) signal regions after all selection requirements. The last  $m_{\text{eff}}^{\text{inc}}$  bin includes all overflows. The SM expectation shown here is the input to the final fit, and is entirely derived from simulation, normalized to the theoretical cross sections. The uncertainty band around the SM expectation combines the statistical uncertainty on the simulated event samples with the systematic uncertainties on the jet energy scale and resolution, lepton efficiency and resolution, luminosity, multijet background and  $b$ -tagging.

The statistically independent electron and muon channels are combined to set limits in the MSUGRA / CMSSM model, using the  $CL_s$  [79] prescription. The limit in the plane of  $m_{1/2}$  versus  $m_0$  in the MSUGRA / CMSSM model is shown in Fig. 6. The uncertainty band around the expected limit includes all uncertainties except theoretical uncertainties on the signal while the band on the observed limit indicates the sensitivity to the theoretical uncertainties on the signal. In a large part of the plane, the exclusion limits are comparable to those achieved in Ref. [16, 18, 80] as shown in Fig. 7. Along the line of equal masses between squarks and gluinos in the MSUGRA / CMSSM model, masses below approximately 1150 GeV are excluded at 95% CL.

The exclusion limit in the two-step simplified model is shown in Fig. 8. Gluinos with mass below about 740 GeV are excluded at 95% CL in this model for low LSP masses. The exclusion limit in the one-step simplified model with  $\tilde{g} \rightarrow t\bar{t}\tilde{\chi}_1^0$  is shown in Fig. 9. In this model gluinos with mass below about 750 GeV are excluded at 95% CL for low LSP masses, falling to about 700 GeV for a LSP mass of about 200 GeV.

Finally, the exclusion limits in the bilinear R-parity violating model are shown in Fig. 10; a significant improvement in the limit over the previous ATLAS result [65] can be seen. For  $m_{1/2} \lesssim 250$  GeV the increasing LSP lifetime results in a loss of acceptance from the requirements on the muon impact parameter. This region is not considered in the present analysis.

## 11 Conclusion

A search with the ATLAS detector for SUSY in final states containing seven or more jets, one isolated lepton (electron or muon) and  $E_T^{\text{miss}}$  has been presented. Data from the full 2011 data-taking period, corresponding to an integrated luminosity of  $4.7 \text{ fb}^{-1}$ , have been analyzed. Observations are consistent with SM expectations and exclusion limits have been placed on the MSUGRA / CMSSM model as well as for a number of simplified models and a bilinear R-parity violating model. In the MSUGRA / CMSSM model, squark and gluino masses below approximately 1150 GeV are excluded at 95% CL (for

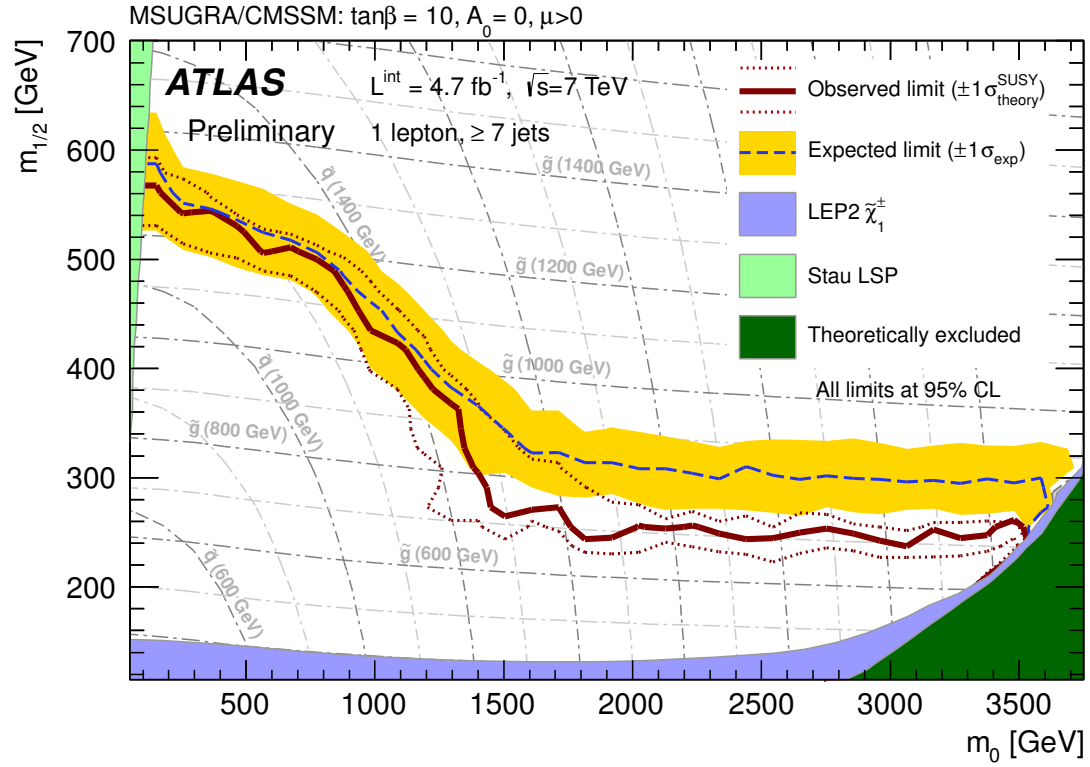


Figure 6: Expected and observed 95% CL exclusion limits in the MSUGRA / CMSSM model with  $\tan\beta = 10, A_0 = 0$  and the sign of  $\mu$  taken to be positive. The results are obtained by combining the electron and muon channels. The band around the median expected limit shows the  $\pm 1\sigma$  variations, including all uncertainties except theoretical uncertainties on the signal prediction while the band on the observed limit indicates the sensitivity to the theoretical uncertainties on the signal. The dashed grid shows contours of constant squark (curved lines) and gluino (nearly horizontal lines) masses. The region excluded by the LEP experiments [81] is also shown.



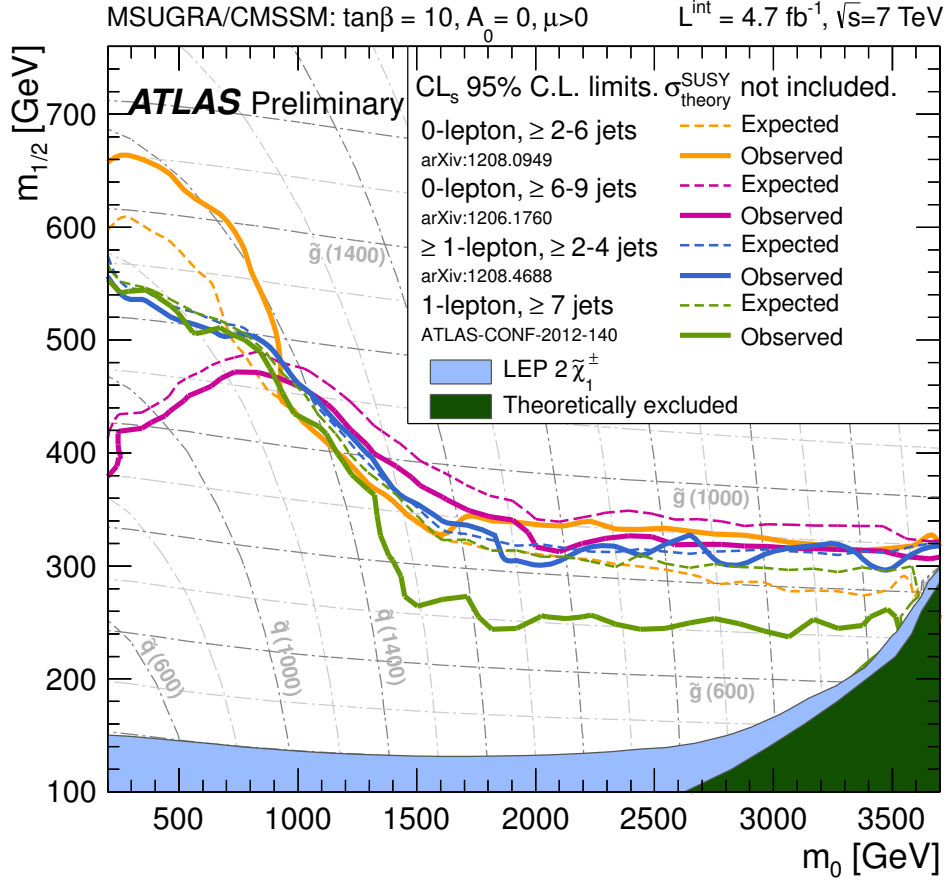


Figure 7: Expected and observed 95% CL exclusion limits in the MSUGRA / CMSSM model, showing the results from four recent ATLAS searches: jets +  $E_T^{\text{miss}}$  [80], jets +  $E_T^{\text{miss}}$  with large jet multiplicity [18], leptons + jets +  $E_T^{\text{miss}}$  [16], and this analysis.

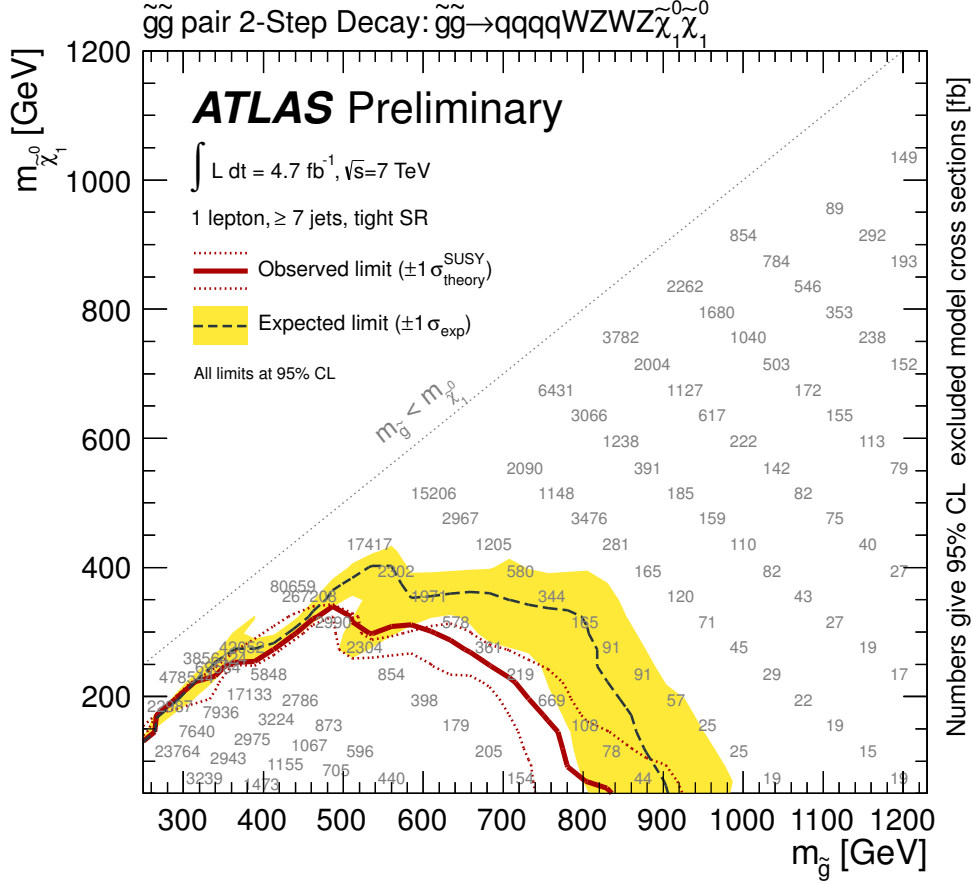


Figure 8: Excluded cross sections at 95% confidence level for the two-step simplified models with gluino pair production, followed by  $\tilde{g} \rightarrow q\bar{q}'\tilde{\chi}_1^\pm \rightarrow q\bar{q}'W^{(*)\pm}\tilde{\chi}_2^0 \rightarrow q\bar{q}'W^{(*)\pm}Z^{(*)}\tilde{\chi}_1^0$ . The band around the median expected limit shows the  $\pm 1\sigma$  variations on the median expected limit, including all uncertainties except theoretical uncertainties on the signal. The dotted lines around the observed limit indicate the sensitivity to  $\pm 1\sigma$  variations on these theoretical uncertainties. The numbers indicate the excluded cross section in fb.

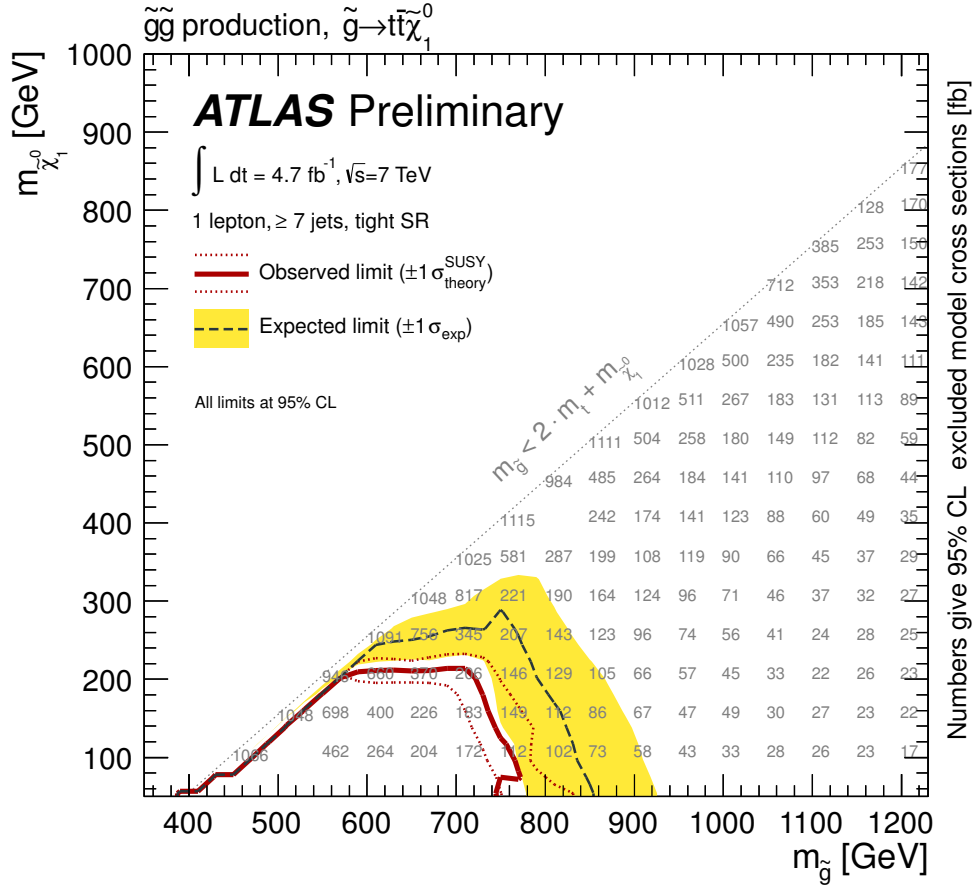


Figure 9: Excluded cross sections at 95% confidence level for the two-step simplified models with gluino pair production, followed by  $\tilde{g} \rightarrow t\bar{t}\tilde{\chi}_1^0$ . The band around the median expected limit shows the  $\pm 1\sigma$  variations on the median expected limit, including all uncertainties except theoretical uncertainties on the signal. The dotted lines around the observed limit indicate the sensitivity to  $\pm 1\sigma$  variations on these theoretical uncertainties. The numbers indicate the excluded cross section in fb.

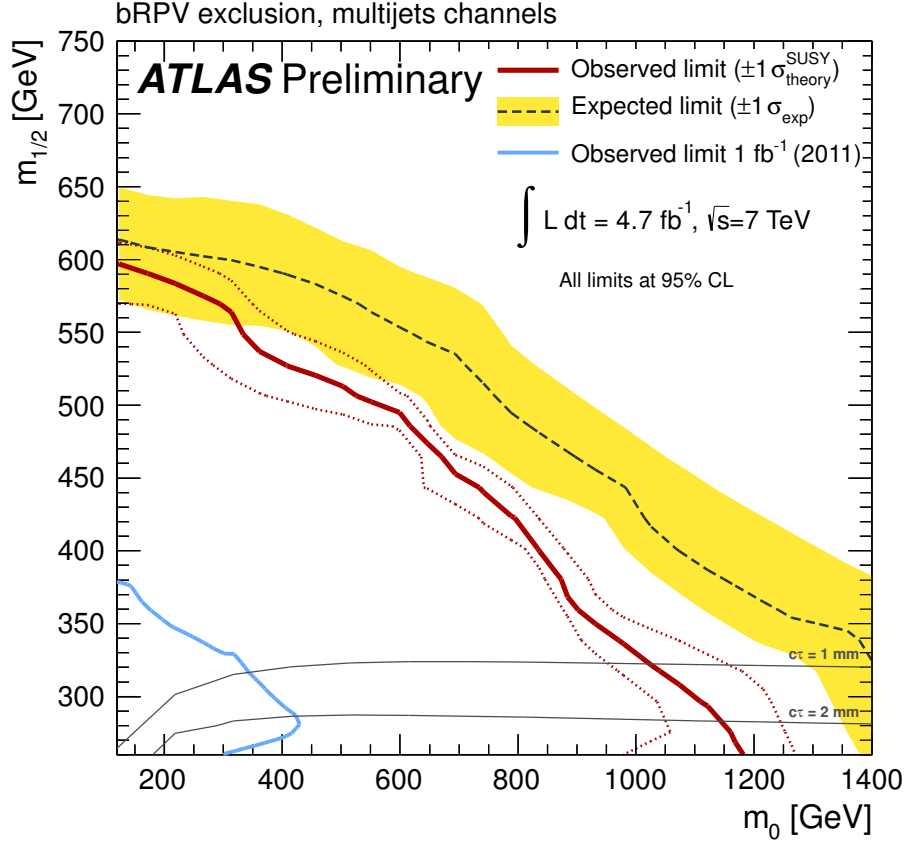


Figure 10: Expected and observed 95% CL exclusion limits in the bilinear R-parity violating model. The results are obtained by combining the electron and muon channels. The band around the median expected limit shows the  $\pm 1\sigma$  variations on the median expected limit, including all uncertainties except theoretical uncertainties on the signal. The dotted lines around the observed limit indicate the sensitivity to  $\pm 1\sigma$  variations on these theoretical uncertainties. The thin solid black contours show the LSP lifetime. The result from the previous ATLAS search [65] for this model is also shown.

equal squark and gluino masses). These are comparable to previous ATLAS limits but it is notable that a single high jet multiplicity signal region has an expected coverage comparable to the combination of several low jet multiplicity regions in Ref. [16]. In the two-step simplified model and the model with pair produced gluinos decaying to four top quarks (plus two LSP's), gluinos with mass below 740 GeV and 750 GeV, respectively, are excluded for low LSP masses. Limits in the bilinear R-parity violating model greatly extend previous results [65] from ATLAS.

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