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# In-medium effects in $\phi$ -meson production in heavy ion collisions from subthreshold to relativistic energies

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Summary. — We investigate the hidden strange  $\phi$  meson production in heavy-ion collisions from subthreshold ( $E_{kin} \sim 1$  A GeV) to relativistic ( $E_{kin} \sim 21$  A TeV) energies as well as its coupling to the open strange mesons (kaons, antikaons) and their productions. Our study is based on the off-shell microscopic Parton-Hadron-String Dynamics (PHSD) transport approach. Implementing novel meson-baryon and meson-hyperon production channels for  $\phi$  mesons, calculated within a T-matrix coupled channel approach based on the extended SU(6) chiral effective Lagrangian model, along with the collisional broadening of the  $\phi$ -meson in-medium spectral function, we find a substantial enhancement of  $\phi$  meson production in heavy-ion collisions, especially at sub- and near-thresholds. This allows to describe the experimentally observed strong enhancement of the  $\phi/K^-$  ratio at low energies without including hypothetical decays of heavy baryonic resonances to  $\phi$  as in alternative approaches.

# 1. – Introduction

Understanding strangeness production in heavy-ion collisions has been a challenging subject in heavy-ion physics for several decades. Strangeness, being a newly generated flavor, emerges because the colliding nuclei initially consist of only "up" and "down" quarks. Given the initial system's strangeness neutrality, the production of s and  $\bar{s}$ 

quarks occur in pairs, eventually manifesting in (anti-)strange mesons and baryons, or combining in mesons containing "hidden" strangeness, like the  $\phi$  meson.

The comparison of experimental data of KaoS, FOPI, HADES collaborations for A+A collisions at beam energies of 1-2 A GeV for different observables (as multiplicities, rapidity and  $p_T$ -spectra as well as directed  $(v_1)$  and elliptic flow  $(v_2)$ ) with theoretical transport calculations [1,2]) showed the traces of in-medium modifications of the properties of (anti-)kaons as well as a sensitivity to the equation-of-state (EoS) of nuclear matter [1].

The HADES collaboration recently disclosed that  $\phi$  mesons are produced in notable quantities in Au+Au collisions at subthreshold energies, with the ratio of hidden strangeness to open strangeness reaching approximately 0.5 [3]. This finding aligns with earlier observations from the FOPI collaboration [4,5], which noted an enhanced  $\phi$  production in Ni+Ni and Al+Al collisions at 1.93 A GeV. The ratio of hidden strangeness to open strangeness decreases to 0.2 with increasing beam energy, as measured by the STAR collaboration [6]. Furthermore, at high energies, the dependence of this ratio on collision energy is relatively mild, as indicated by studies from various collaborations including NA49 and E917 [7-11].

In this contribution we briefly recall the main findings from our recent study [12] which aims to demonstrate that the observed 'enhanced' multiplicity of  $\phi$  mesons and the  $\phi/K^-$  ratio near the threshold can be elucidated by incorporating a collisional broadening effect into the spectral function of the  $\phi$  meson. Additionally, the investigation takes into consideration additional multi-step reactions involving meson-baryon and meson-hyperon interactions for the production of  $\phi$  mesons, as predicted by the SU(6) extension of the meson-baryon chiral Lagrangian. This is accomplished within a unitary coupled channel *T*-matrix framework.

### 2. – Off-shell PHSD approach

Our study is based on the Parton-Hadron-String Dynamics (PHSD) [13-15] which is a microscopic covariant transport approach for the dynamical description of strongly interacting hadronic and partonic matter created in heavy-ion collisions. It is based on a solution of the Cassing-Juchem generalised off-shell transport equations for test particles [16] derived from the first-order gradient expansion of Kadanoff-Baym equations - cf. [17]. The PHSD differs from the semi-classical BUU model, since it propagates Green's functions (in phase-space representation) which contain information not only on the occupation probability (in terms of the phase-space distribution functions), but also on the properties of hadronic and partonic degrees-of-freedom via their spectral functions.

PHSD offers a comprehensive description of relativistic heavy-ion collisions, starting from the initial hard nucleon-nucleon interactions with subsequent string formation and decay, leading to the formation of a strongly interacting quark-gluon plasma (QGP), followed by hadronization and interactions within the expanding hadronic phase. This extends the earlier Hadron-String-Dynamics (HSD) transport approach [18] by incorporating in-medium effects such as collisional broadening of vector meson spectral functions and modifications of strange degrees of freedom, consistent with G-matrix calculations [19,26]. Furthermore, PHSD implements detailed balance for  $2 \leftrightarrow 3$  reactions, particularly in the primary channels of strangeness production/absorption involving baryons  $(B = N, \Delta, Y)$  and pions [26], as well as for multi-meson fusion reactions  $2 \leftrightarrow n$  leading to the formation of  $B + \overline{B}$  pairs.

#### 3. – In-medium modification of $\phi$ -meson properties

In order to explore the influence of in-medium effects on the vector-meson spectral function we introduce the collisional broadening by

(1) 
$$\Gamma^*_{\phi}(M, |\vec{p}|, \rho) = \Gamma_{\phi}(M) + \Gamma_{coll}(M, |\vec{p}|, \rho),$$

where M is the mass,  $\Gamma_{\phi}(M)$  the total width of the vacuum spectral function of the  $\phi$  meson, and

(2) 
$$\Gamma_{\phi}(M) \simeq \Gamma_{\phi \to \rho \pi(3\pi)}^{exp} \frac{\Gamma_{\phi \to \rho \pi(3\pi)}(M)}{\Gamma_{\phi \to \rho \pi(3\pi)}(M_0)} + \Gamma_{\phi \to K\bar{K}}^{exp} \left(\frac{M_0}{M}\right)^2 \left(\frac{q}{q_0}\right)^3 \theta(M - 2m_K),$$

where  $M_0$  is the vacuum pole mass of the vector meson spectral function and  $\Gamma_i^{exp}$  is the experimental decay width of channel *i*. *q* and  $q_0$  are respectively the momenta of (anti)kaon for *M* and  $M_0$  of  $\phi$  mass in  $\phi$  rest frame. Here  $\Gamma_{\phi \to \rho \pi(3\pi)}(M)$  is the decay width of  $\phi \to \rho \pi(3\pi)$ , calculated in the effective chiral lagrangian with parity anomalous terms [21].  $\Gamma_{coll}$  the collisional width approximated as

(3) 
$$\Gamma_{coll}(M, |\vec{p}|, \rho) = \gamma \ \rho < v \ \sigma_{VN}^{tot} > \approx \ \alpha_{coll} \ \frac{\rho}{\rho_0}.$$

Here v is the velocity of the  $\phi$  meson in the rest frame of the nucleon current,  $\gamma^2 = 1/(1-v^2)$ ,  $\rho$  the nuclear density,  $\rho_0 = 0.168 \text{ fm}^{-3}$  (normal nuclear density) and  $\sigma_{VN}^{tot}$  the meson-nucleon total cross section in vacuum. In order to simplify the calculations of  $\Gamma_{coll}(\rho)$  we use the linear density approximation [20] with a coefficient  $\alpha_{coll}$  which is taken to be 25 MeV [12].



Fig. 1. – The spectral functions of  $\phi$  mesons in the 'collisional broadening' scenario for nuclear densities of  $0,1,2,3 \times \rho_0$ 

For the spectral function of a vector meson of mass M at baryon density  $\rho$  we take a Breit-Wigner form:

(4) 
$$A_V(M,\rho) = C_1 \cdot \frac{2}{\pi} \frac{M^2 \Gamma_V^*(M,\rho)}{(M^2 - M_0^{*2}(\rho))^2 + (M \Gamma_V^*(M,\rho))^2},$$

where  $C_1$  is fixed by the normalization condition for arbitrary  $\rho$ :

(5) 
$$\int_{M_{min}}^{M_{lim}} A_V(M,\rho) dM = 1,$$

with  $M_{lim} = 2$  GeV being chosen as an upper limit for the numerical integration. The lower limit for the vacuum spectral function is given by the two-pion decay,  $M_{min} = 2m_{\pi}$ for a  $\rho$  meson and the three-pion decay,  $M_{min} = 3m_{\pi}$  for a  $\phi$  meson decay, whereas for the in-medium collisional broadening case by  $M_{min} = 2m_e \rightarrow 0$  with  $m_e$  denoting the electron mass.  $M_0^*$  is the pole mass of the vector meson spectral function with  $M_0^*(\rho = 0) = M_0$  in vacuum. The resulting spectral function of  $\phi$  mesons is displayed in fig. 1 for the case of the 'collisional broadening' scenario for densities of 0,1,2, and 3 times  $\rho_0$ . Note that the hadronic width of  $\phi$  mesons vanishes below the three-pion mass in vacuum. With increasing nuclear density the elastic and inelastic interactions of the vector mesons shift strength towards low invariant masses.

# 4. $-\phi$ production/absorption within a SU(6) based T-matrix approach

The s-wave scattering amplitude of meson and baryon from the SU(6) chiral effective Lagrangian is written as [22],

(6) 
$$V_{ij}^{SIJ} = \varepsilon_{ij}^{SIJ} \frac{2\sqrt{s} - M_i - M_j}{4f_i f_j} \sqrt{\frac{E_i + M_i}{2M_i}} \sqrt{\frac{E_j + M_j}{2M_j}},$$

where i(j) indicates the initial (final) meson-baryon scattering states,  $M_{i(j)}$  and  $E_{i(j)}$  are, respectively, mass and center-of-mass energy of the baryon,  $f_{i(j)}$  the decay constant of the meson in the i(j) state, and  $\varepsilon_{ij}^{SIJ}$  the degeneracy coefficient, corresponding to the scattering channel with S, I, and J being total strangeness, isospin and angular momentum of the collision, respectively [23, 24]. The T-matrix approach can be formulated on the basis of the Born scattering amplitude  $V_{ik}^{SIJ}$ ,

(7) 
$$T_{ij}^{SIJ} = V_{ij}^{SIJ} + V_{ik}^{SIJ} G_{kk}^{SIJ} T_{kj}^{SIJ},$$

where k is the intermediate meson-baryon state and the sum is performed over all possible states.  $G_{kk}^{SIJ}$  is the product of the meson and baryon propagators of the state k [25], which is renormalized such that  $G_{kk}^{SIJ}(s = m_N^2 + m_\pi^2) = 0$  with  $m_N$  and  $m_\pi$  being nucleon and pion masses, respectively. The channels considered in this study for  $\phi$ meson production are  $\eta N$ ,  $K\Lambda$ ,  $K\Sigma$ ,  $\rho N$ ,  $K\Sigma^*$ ,  $\rho\Delta$ ,  $K^*\Lambda$ ,  $K^*\Sigma$ ,  $K^*\Sigma^* \to \phi N$  for I = 1/2 and  $K\Sigma$ ,  $\rho N$ ,  $\eta\Delta$ ,  $K\Sigma^*$ ,  $\rho\Delta$ ,  $K^*\Sigma^* \to \phi\Delta$  for I = 3/2, including their inverse reactions by detailed balance.

Figure 2 depicts the  $\phi$  production cross sections of the *mB* channels for  $\phi N$  (left) and  $\phi \Delta$  (right), computed within the T-matrix approach. For simplicity, all resonances



Fig. 2. – Cross sections of the mB channels for  $\phi N$  production (left) and for  $\phi \Delta$  production (right), calculated in the T-matrix approach. All resonances here are taken with their pole mass.

are considered with their pole mass. It's evident that these cross sections exhibit a pronounced flavor dependence. Channels involving initial strange mesons and hyperons dominate due to the OZI-rule. However, in heavy-ion collisions, the relative contribution of different mB channels is dictated by the abundances of secondary hadrons participating in  $\phi$  production. Since  $\eta$  mesons are more abundant than  $K^*$ , their scattering with nucleons is more probable than  $K^*$  scattering with strange baryons  $\Lambda, \Sigma, \Sigma^*$ , even if the  $\eta N$  cross section is smaller than that for  $K^*Y$ .

#### 5. $-\phi$ meson production in heavy-ion collisions

The in-medium effects on open strange mesons, including a repulsive potential for kaons and density- and temperature-dependent complex self-energies for antikaons derived from the G-matrix approach, result in significant modifications to the yield and spectra of (anti-)kaons, as previously explored in our research [26]. These effects also influence the final observables of  $\phi$  mesons. Specifically, they lead to a reduction in the reconstructed  $\phi$  mesons due to a decrease in the number of  $K^+$  and an increase in the rescattering of  $K^-$  induced by the in-medium effects.

Figure 3 shows the PHSD results for the rapidity distribution of reconstructed  $\phi$  mesons from the decay into  $K^+K^-$  pairs, compared with the experimental data from the HADES and STAR collaborations. The short dashed orange lines show the PHSD results without including the novel mB channels from the T-matrix approach and without any in-medium modifications of  $\phi$  and  $K, \bar{K}$  mesons. The dash-dotted green lines show the results without in-medium modifications of  $\phi$  and  $K, \bar{K}$  mesons [26]. The dashed red lines indicate the  $\phi$  rapidity distributions with  $\phi$  collisional broadening, but without in-medium effects for  $K, \bar{K}$  mesons. The solid blue lines show the results with collisional broadening for  $\phi$  mesons and with in-medium modifications of  $K, \bar{K}$  mesons. The number of  $\phi$  mesons, reconstructed from  $K^+K^-$  pairs, is divided by the branching ratio  $Br(\phi \to K^+K^-)$ . We note that the rescattering of the  $K^+$  or  $K^-$  in the medium reduces the reconstructed  $\phi$  meson to 60-70% in Au+Au reactions at energies between  $E_{kin} = 1.23$  A GeV and  $\sqrt{s_{NN}} = 3$  GeV.

In fig. 4 for 4 rapidity bins the  $m_T$  spectra of reconstructed  $\phi$  mesons in 0-10 % (left) and 10-40 % (right) central Au+Au collisions at  $\sqrt{s_{\rm NN}} = 3$  GeV in comparison with experimental data from the STAR collaboration [6]. The PHSD calculations approximately reproduce the slope of the  $m_T$  spectra of  $\phi$  mesons. One can see again that the influence of in-medium effects for  $K, \bar{K}$  mesons is relatively small as explained above.



Fig. 3. – Rapidity distribution of reconstructed  $\phi$  mesons in 0-40 % central Au+Au collisions at  $E_{kin} = 1.23$  A GeV, in 0-30 % central Ag+Ag collisions at  $E_{kin} = 1.58$  A GeV and 0-10 % and 10-40 % central Au+Au collisions at  $\sqrt{s_{\rm NN}} = 3$  GeV, compared with experimental data from the HADES and STAR collaborations [3,6]. Each colored line is explained in the text.



Fig. 4.  $-m_T$  spectra of reconstructed  $\phi$  mesons in 0-10 % (left) and 10-40 % (right) central Au+Au collisions at  $\sqrt{s_{\rm NN}} = 3$  GeV in comparison with experimental data from the STAR collaboration [6]. The number of  $\phi$  mesons, reconstructed from  $K^+K^-$  pairs, is divided by the branching ratio  $Br(\phi \to K^+K^-)$ . The calculations are done with the collisional broadening of  $\phi$  mesons and presented for 4 rapidity bins  $0 \le y \le 0.2$ ,  $0.2 \le y \le 0.4$  scaled by a factor 1/3 for better visualization,  $0.4 \le y \le 0.6$  scaled by a factor 1/9 and  $0.6 \le y \le 0.8$  scaled by a factor 1/27. The dashed lines show the results without in-medium effects for  $K, \bar{K}$  mesons, while the solid lines that with in-medium modifications of  $K, \bar{K}$  mesons.



Fig. 5. – The PHSD results for the ratio  $\phi/K^-$  at midrapidity  $(|y| \leq 0.3)$  as a function of the collision energy for four different scenarios: with and without novel mB channels for the  $\phi$  meson production from the T-matrix approach and with and without the collisional broadening of the  $\phi$  meson width and in-medium effects on (anti-)kaons (cf. the legend). The solid symbols show the compilation of the experimental data from refs. [5-11].

Figure 5 shows the  $\phi/K^-$  ratio as a function of the collision energy from  $E_{kin} = 1.23$ A GeV to  $\sqrt{s_{\rm NN}} = 200$  GeV. The PHSD results are presented for four different scenarios as in fig. 3. An inclusion of the in-medium effects for  $K, \bar{K}$  leads to a strong enhancement of the  $K^-$  yield [26] and, as a result, to a reduction of the  $\phi/K^-$  ratio.

# 6. – Summary

In this study, we explored the production of hidden strangeness, focusing on the generation of  $\phi$  mesons in heavy-ion collision from subthreshold to relativistic energies. We employ the microscopic off-shell PHSD transport approach, which is based on the off-shell Kadanoff-Baym equations, which allows for a consistent transport of the  $\phi$  meson in the dense matter which is created in heavy ion reactions.

We observed that introducing a collisional broadening effect to the spectral function of  $\phi$  mesons resulted in a notable increase in their production, particularly evident at subthreshold energies. Additionally, our investigation revealed that the incorporation of novel  $mB \rightarrow \phi B$  channels, arising from the SU(6) chiral Lagrangian within the T-matrix framework, significantly augmented the production of  $\phi$  mesons in heavy-ion collisions.

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