

XENON1T excess in local Z_2 DM models with light dark sectorSeungwon Baek^a, Jongkuk Kim^{b,*}, P. Ko^b^a Department of Physics, Korea University, Seoul 02841, Republic of Korea^b School of Physics, KIAS, Seoul 02455, Republic of Korea

ARTICLE INFO

Article history:

Received 20 August 2020

Accepted 5 October 2020

Available online 6 October 2020

Editor: J. Hisano

ABSTRACT

Recently XENON1T Collaboration announced that they observed some excess in the electron recoil energy around a 2–3 keV. We show that this excess can be interpreted as exothermic scattering of excited dark matter on atomic electron through dark photon exchange. We consider DM models with local dark $U(1)$ gauge symmetry that is spontaneously broken into its Z_2 subgroup by Krauss-Wilczek mechanism. In order to explain the XENON1T excess with the correct DM thermal relic density within freeze-out scenario, all the particles in the dark sector should be light enough, namely $\sim O(100)$ MeV for scalar DM and $\sim O(1-10)$ MeV for fermion DM cases. And even lighter dark Higgs ϕ plays an important role in the DM relic density calculation: $XX^\dagger \rightarrow Z'\phi$ for scalar DM (X) and $\chi\bar{\chi} \rightarrow \phi\phi$ for fermion DM (χ) assuming $m_{Z'} > m_\chi$. Both of them are in the p -wave annihilation, and one can easily evade stringent bounds from Planck data on CMB on the s -wave annihilations, assuming other dangerous s -wave annihilations are kinematically forbidden.

© 2020 The Author(s). Published by Elsevier B.V. This is an open access article under the CC BY license (<http://creativecommons.org/licenses/by/4.0/>). Funded by SCOAP³.

1. Introduction

Recently XENON1T Collaboration reported that they found electron recoil excess around 2–3 keV with 3.5σ significance analyzing data for an exposure of 0.65 ton-year [1]. There is an issue on the tritium contamination to be resolved. This energy region is sensitive to solar axion search, but the interpretation of this excess in terms of solar axion is in conflict with astrophysical bounds on the axion coupling to electron. XENON1T Collaboration also interprets the excess in the context of magnetic moment of solar neutrino and absorption of light bosonic dark matter [1]. After the announcement of XENON1T Collaboration, there appeared a number of papers that address various issues related with this excess [2–50].

In this paper, we interpret this electron recoil excess in terms of exothermic DM scattering on atomic electron bound to Xe in the inelastic DM models. We shall consider both complex scalar [51] and Dirac fermion DM models [52] with local $U(1)$ dark gauge symmetry which is spontaneously broken into its Z_2 subgroup by Krauss-Wilczek mechanism [53]. In this framework, the mass difference (δ) between the DM and the excited DM (XDM) is generated by dark Higgs mechanism, and there is no explicit violation of local gauge symmetry related with the presence of dark pho-

ton. On the other hand, in much literature, the mass difference δ is often introduced by hand in terms of dim-2 (3) operators for scalar (fermion) DM. Then local gauge symmetry is broken explicitly and softly. Introducing dark gauge boson (or dark photon) in such a case would be theoretically inconsistent, since the current dark gauge fields couple is not a conserved current. There will appear some channels where high energy behavior of the scattering amplitudes violates perturbative unitarity, in a similar way with the $W_L W_L \rightarrow W_L W_L$ scattering violates unitarity if W boson mass is put in by hand. One of the present authors pointed out this issue in fermionic DM model (see Appendix A of Ref. [52]).

Local Z_2 scalar [51] and fermion DM models [52] have been studied by authors for the $O(100)$ GeV – $O(1)$ TeV WIMP scenarios. In this paper we explore the same models for light DM mass $\lesssim O(1)$ GeV in order to evade the strong bounds from direct detections experiments searching for signals of DM scattering on various nuclei. In particular we will emphasize that the DM thermal relic density and the XENON1T electron recoil excess with a few keV could be simultaneously accommodated if dark Higgs boson is light enough that $DM + XDM \rightarrow Z'^* \rightarrow Z'\phi$ is kinematically open. This channel will play an important role when $m_{DM} < m_{Z'}$, as we shall demonstrate in the following. In order to explain the XENON1T excess in terms of $XDM + e_{\text{atomic}} \rightarrow DM + e_{\text{free}}$ with a kinetic mixing, both dark photon and (X)DM mass should be sub-GeV, more specifically $\sim O(100)$ MeV, in order to avoid the stringent bounds on the kinetic mixing parameter. For such a light DM, one has to consider the DM annihilation should be mainly in

* Corresponding author.

E-mail addresses: sbaek1560@gmail.com (S. Baek), jongkuk.kim927@gmail.com (J. Kim), pko@kias.re.kr (P. Ko).

p -wave, and not in s -wave, in order to avoid strong constraints from CMB (see [54,55] and references therein).

For this purpose it is crucial to have dark Higgs (ϕ), since they can play key roles in the p -wave annihilations of DM at freeze-out epoch:

$$XX^\dagger \rightarrow Z'^* \rightarrow Z'\phi,$$

$$\chi\bar{\chi} \rightarrow \phi\phi,$$

where X and χ are complex scalar and Dirac fermion DM, respectively. At freeze-out epoch, the mass gap is too small ($\Delta m \ll T$) and we can consider DM as complex scalar or Dirac fermion. In the present Universe, we have $T \ll \Delta m$ and so we have to work in the two component DM picture for XENON1T electron recoil. It can not be emphasized enough that these channels would not be possible without dark Higgs ϕ , and it would be difficult to make the DM pair annihilation be dominated by the p -wave annihilation.

2. Models for (excited) DM

2.1. Scalar DM model

The dark sector has a gauged $U(1)_X$ symmetry. There are two scalar particles in the dark sector: X and ϕ with $U(1)_X$ charges 1 and 2, respectively. They are neutral under the SM gauge group. After ϕ gets VEV, $\langle\phi\rangle = v_\phi/\sqrt{2}$, the gauge symmetry is spontaneously broken down to discrete Z_2 . The Z_2 -odd X becomes the DM candidate. The model Lagrangian is in the form [51]

$$\begin{aligned} \mathcal{L} = & \mathcal{L}_{\text{SM}} - \frac{1}{4} \hat{X}_{\mu\nu} \hat{X}^{\mu\nu} - \frac{1}{2} \sin\epsilon \hat{X}_{\mu\nu} \hat{B}^{\mu\nu} + D^\mu \phi^\dagger D_\mu \phi \\ & + D^\mu X^\dagger D_\mu X - m_X^2 X^\dagger X + m_\phi^2 \phi^\dagger \phi \\ & - \lambda_\phi (\phi^\dagger \phi)^2 - \lambda_X (X^\dagger X)^2 - \lambda_{\phi X} X^\dagger X \phi^\dagger \phi \\ & - \lambda_{\phi H} \phi^\dagger \phi H^\dagger H - \lambda_{HX} X^\dagger X H^\dagger H \\ & - \mu (X^2 \phi^\dagger + \text{H.c.}), \end{aligned} \quad (1)$$

where $\hat{X}_{\mu\nu}$ ($B_{\mu\nu}$) is the field strength tensors of $U(1)_X$ ($U(1)_Y$) gauge boson in the interaction basis.

We decompose the X as

$$X = \frac{1}{\sqrt{2}} (X_R + iX_I), \quad (2)$$

and H and ϕ as

$$H = \begin{pmatrix} 0 \\ \frac{1}{\sqrt{2}}(v_H + h_H) \end{pmatrix}, \quad \phi = \frac{1}{\sqrt{2}}(v_\phi + h_\phi), \quad (3)$$

in the unitary gauge.

The dark photon mass is given by

$$m_{Z'}^2 \simeq (2g_X v_\phi)^2, \quad (4)$$

where we neglected the corrections from the kinetic mixing, which is second order in ϵ parameter. The masses of X_R and X_I are obtained to be

$$\begin{aligned} m_R^2 &= m_X^2 + \frac{1}{2} \lambda_{HX} v_H^2 + \frac{1}{2} \lambda_{\phi X} v_\phi^2 + \frac{\mu}{\sqrt{2}} v_\phi, \\ m_I^2 &= m_X^2 + \frac{1}{2} \lambda_{HX} v_H^2 + \frac{1}{2} \lambda_{\phi X} v_\phi^2 - \frac{\mu}{\sqrt{2}} v_\phi, \end{aligned} \quad (5)$$

and the mass difference, $\delta \equiv m_R - m_I \simeq \mu v_\phi / \sqrt{2} m_X$. Since the original $U(1)_X$ symmetry is restored by taking $\mu = 0$, small μ does

not give rise to fine-tuning problem. The mass spectrum of the scalar Higgs sector can be calculated by diagonalising the mass-squared matrix

$$\begin{pmatrix} 2\lambda_H v_H^2 & \lambda_{\phi H} v_H v_\phi \\ \lambda_{\phi H} v_H v_\phi & 2\lambda_\phi v_\phi^2 \end{pmatrix}, \quad (6)$$

which is obtained in the (h_H, h_ϕ) basis. We denote the mixing angle to be α_H and the mass eigenstates to be (H_1, H_2) , where H_1 is the SM Higgs-like state and $H_2 (\equiv \phi)$ is mostly dark Higgs boson. Since we work in the small α_H in this paper, the VEV of ϕ is approximated to be, $v_\phi \simeq m_{H_2} / \sqrt{2\lambda_\phi}$, while $\alpha_H \simeq \lambda_{\phi H} v_\phi / 2\lambda_H v_H$.

The mass eigenstates Z_μ and Z'_μ of the neutral gauge bosons can be obtained using the procedure shown in Ref. [56]. In the linear order approximation in ϵ we can write the covariant derivative as

$$\begin{aligned} D_\mu \simeq & \partial_\mu + ieQ_{\text{em}}A_\mu + i(g_Z(T^3 - Q_{\text{em}}S_W^2) + \epsilon g_X Q_X S_W)Z_\mu \\ & + i(g_X Q_X - \epsilon e Q_{\text{em}}C_W)Z'_\mu, \end{aligned} \quad (7)$$

where Q_X is the electric ($U(1)_X$) charge and A_μ is the photon field. We note that Z' couples to the electric charge but not to the weak isospin component T^3 . For example, Z' does not couple to neutrinos at this order of ϵ .

To evade the bound from the DM scattering off the nuclei we are considering sub GeV scale DM. To calculate the relic abundance of the DM we take the X as the physical state with mass m_X instead of X_I and X_R , i.e. $m_X \simeq m_R \simeq m_I$, because the mass difference δ is much smaller than the freezeout temperature $T_f \sim m_X/10$ [9]. For this light DM the CMB constraint rules out the s -wave annihilation of the DM pair. So the contribution to the DM annihilation should start from p -wave. We suppress the $XX^\dagger \rightarrow Z'Z'$ by choosing $m_{Z'} > m_X$. We also make $XX^\dagger \rightarrow H_2 H_2$ subdominant. To achieve this we suppress the direct coupling of the DM to ϕ and H by taking small $\lambda_{\phi X}$ and λ_{HX} . We also take small $\lambda_{\phi H}$ to evade the bound from the Higgs invisible decay. However, the coupling $\lambda_{\phi H}$ should not be too small to make the DM in thermal contact with the SM plasma. Too small $\lambda_{\phi H}$ also makes the H_2 lifetime too long, causing cosmological problems. For example, H_2 with mass ~ 1 GeV decays dominantly into a muon (or strange quark) pair through mixing $\lambda_{\phi H}$, whose decay width is given by

$$\begin{aligned} \Gamma(H_2 \rightarrow \mu^+ \mu^-) & \simeq \frac{\alpha_H^2}{8\pi} m_{H_2} \left(\frac{m_\mu}{v_H} \right)^2 \left(1 - \frac{4m_\mu^2}{m_{H_2}^2} \right)^{3/2} \\ & \approx \left(1.1 \times 10^{16} \text{ sec}^{-1} \right) \alpha_H^2 \left(\frac{m_{H_2}}{1 \text{ GeV}} \right). \end{aligned} \quad (8)$$

We require that H_2 lives shorter than 1 sec to evade the constraints from Big Bang nucleosynthesis (BBN) and the mixing angle is small. For $m_{H_2} = 1$ GeV it is translated into $\alpha_H > 9.5 \times 10^{-9}$. When the muon channel is kinematically forbidden but $m_{H_2} > 2m_e$, it decays into electron-positron pair. The decay rate is obtained by replacing m_μ by m_e in (8). For example, for $m_{H_2} = 10$ MeV, we need $\alpha_H > 2.0 \times 10^{-5}$. When $m_{H_2} < 2m_e$, the scalar particle decays into two photons. In this paper we consider $m_{H_2} \gtrsim 2m_e$. The small mixing parameters are also technically natural by extending the Poincaré symmetry [57,58].

The Z' can also decay into charged SM particles through mixing parameter ϵ . The decay width for $Z' \rightarrow e^+ e^-$ is given by

$$\begin{aligned} \Gamma(Z' \rightarrow e^+ e^-) &= \frac{Q_f^2 \epsilon^2 e^2 c_W^2}{12\pi} m_{Z'} \left(1 + \frac{2m_e^2}{m_{Z'}^2} \right) \left(1 - \frac{4m_e^2}{m_{Z'}^2} \right)^{1/2} \\ &\approx 1.87 \times 10^{-11} \text{ GeV} \left(\frac{\epsilon}{10^{-4}} \right)^2 \left(\frac{m_{Z'}}{1 \text{ GeV}} \right)^2. \end{aligned} \quad (9)$$

Its lifetime is much shorter than 1 sec in the parameter space of our interest.

The light ϕ and/or Z' may contribute to the effective neutrino number N_{eff} , which is another possible constraint in the model. Since the mediators Z' and ϕ decay before 1 sec, there is no relativistic extra degree of freedom which mimics the neutrino at the recombination era ($T_{\text{CMB}} \approx 4$ eV). Another potential source for ΔN_{eff} is light mediator with mass below 1 MeV. It mainly decays into $e^\pm$ or γ not into ν , making the difference between the temperatures T_γ and T_ν larger than the one given by the standard cosmology by imparting its entropy only to γ [59]. This also causes $\Delta N_{\text{eff}} \neq 0$. We evade this problem by taking their masses greater than 1 MeV.

In this restricted region of parameter space the main channel for the relic density is $XX^\dagger \rightarrow Z'^* \rightarrow Z'\phi$ (see the top panel in Fig. 1).¹ The leading contribution to the cross section is from p -wave with the result

$$\sigma v \simeq \frac{g_X^4 v^2}{384\pi m_X^4 (4m_X^2 - m_{Z'}^2)^2} \left(16m_X^4 + m_{Z'}^4 + m_\phi^4 + 40m_X^2 m_{Z'}^2 - 8m_X^2 m_\phi^2 - 2m_{Z'}^2 m_\phi^2 \right) \times \left[\left\{ 4m_X^2 - (m_{Z'} + m_\phi)^2 \right\} \left\{ 4m_X^2 - (m_{Z'} - m_\phi)^2 \right\} \right]^{1/2} + \mathcal{O}(v^4), \quad (10)$$

where $m_\phi \equiv \sqrt{2\lambda_\phi} v_\phi \simeq m_{H_2}$. The resulting dark matter density is obtained by [60]

$$\Omega_X h^2 = \frac{2 \times 8.77 \times 10^{-11} \text{ GeV}^{-2} x_f}{g_*^{1/2} (a + 3b/x_f)}, \quad (11)$$

where a and b are defined by $\sigma v = a + bv^2$, and the additional factor 2 comes from the fact that X is a complex scalar instead of real scalar. For example, with $m_X = 1$ GeV, $m_{Z'} = 1.2$ GeV, $m_\phi = 0.2$ GeV, $\lambda_\phi = 4.5 \times 10^{-5}$, $g_* \approx 10$, and $x_f \approx 10$, we can explain the current DM relic abundance: $\Omega_X h^2 \approx 0.12$. Other p -wave contributions include $XX^\dagger \rightarrow Z'^* \rightarrow f\bar{f}$ where f is an SM fermion. But these contributions are suppressed by ϵ^2 compared to the above annihilation, and we neglect them. The SM Z boson contributions are further suppressed by both small mixing angle ϵ^2 and small mass ratio $m_{Z'}/m_Z^4$.

To calculate the inelastic down-scattering cross section for the XENON1T anomaly, instead of X and $X^\dagger$ we now consider two real scalars X_R, X_I with mass difference δ . With the kinetic mixing term given in (1) we get the dark-gauge interactions with the DM and the electron [56]

$$\mathcal{L} \supset g_X Z'^\mu (X_R \partial_\mu X_I - X_I \partial_\mu X_R) - \epsilon e c_W Z'_\mu \bar{e} \gamma^\mu e, \quad (12)$$

where c_W is the cosine of the Weinberg angle, Z and Z' are mass eigenstates, and we assumed that $\epsilon (\sim 10^{-4})$ is small. The cross section for the inelastic scattering $X_R e \rightarrow X_I e$ for $m_X \gg m_e$ and small momentum transfer is given by

$$\sigma_e = \frac{16\pi \epsilon^2 \alpha_{\text{em}} \alpha_X c_W^2 m_e^2}{m_{Z'}^4}, \quad (13)$$

where $\alpha_{\text{em}} \simeq 1/137$ is the fine structure constant and $\alpha_X \equiv g_X^2/4\pi$. This can be used to predict the differential cross section of the dark matter scattering off the xenon atom for the DM velocity v , which reads

$$\frac{d\sigma v}{dE_R} = \frac{\sigma_e}{2m_e v} \int_{q_-}^{q_+} a_0^2 q dq K(E_R, q), \quad (14)$$

where E_R is the recoil energy, q is the momentum transfer, $K(E_R, q)$ is the atomic excitation factor. From energy conservation we obtain the relation [9],

$$E_R = \delta + vq \cos \theta - \frac{q^2}{2m_R}, \quad (15)$$

where θ is the angle between the incoming X_R and the momentum transfer $\mathbf{q} = \mathbf{p}'_e - \mathbf{p}_e$. The integration limits are [9],

$$q_\pm \simeq m_R v \pm \sqrt{m_R^2 v^2 - 2m_R(E_R - \delta)}, \quad \text{for } E_R \geq \delta, \\ q_\pm \simeq \pm m_R v \pm \sqrt{m_R^2 v^2 - 2m_R(E_R - \delta)}, \quad \text{for } E_R \leq \delta. \quad (16)$$

Then we can obtain the differential event rate for the inelastic scattering of DM with electrons in the xenon atoms given by

$$\frac{dR}{dE_R} = n_T n_R \frac{d\sigma v}{dE_R}, \quad (17)$$

where $n_T \approx 4 \times 10^{27}/\text{ton}$ is the number density of xenon atoms and $n_R \approx 0.15 \text{ GeV}/m_R/\text{cm}^3$ is the number density of the heavier DM component X_R , assuming $n_R = n_I$. Integrating over E_R , we get the event rate

$$R \approx 3.69 \times 10^9 \epsilon^2 g_X^2 \left(\frac{1 \text{ GeV}}{m_R} \right) \left(\frac{1 \text{ GeV}}{m_{Z'}} \right)^4 / \text{ton/year}. \quad (18)$$

Since X_R is a dark matter component in our model with the same abundance with X_I , its lifetime should be much longer than the age of the universe. It can decay via $X_R \rightarrow X_I \gamma \gamma \gamma$ as shown in [9]. Its decay into three-body final state, $X_R \rightarrow X_I \nu \bar{\nu}$, is also possible in our model. The relevant interactions are

$$\mathcal{L} \supset \epsilon g_X s_W Z'^\mu (X_R \partial_\mu X_I - X_I \partial_\mu X_R) - \frac{g_Z}{2} Z'_\mu \bar{\nu}_L \gamma^\mu \nu_L. \quad (19)$$

The decay width is given by

$$\Gamma \simeq \frac{\epsilon^2 \alpha_X s_W^2}{5\sqrt{2}\pi^2} \frac{G_F \delta^5}{m_{Z'}^2} \simeq 1.9 \times 10^{-49} \text{ GeV} \\ \times \left(\frac{\epsilon}{10^{-4}} \right)^2 \left(\frac{\alpha_X}{0.078} \right) \left(\frac{\delta}{2 \text{ keV}} \right)^5. \quad (20)$$

Although this channel is much more effective than $X_R \rightarrow X_I \gamma \gamma \gamma$ considered in [9], the lifetime of X_R is still much longer than the age of the universe.

In the bottom panel of Fig. 1, we show the allowed region in the $(m_{Z'}, \epsilon)$ plane where we can explain the XENON1T excess with correct thermal relic density of DM within the standard freeze-out scenario. For illustration, we chose the DM mass to be $m_R = 0.1$ GeV, and varied the dark Higgs mass $m_\phi = 20, 40, 60, 80$ MeV denoted with different colors. The sharp drops on the right allowed region are from the kinematic boundary, $m_{Z'} + m_\phi < 2m_R$. It is nontrivial that we could explain the XENON1T excess with inelastic DM models with spontaneously broken $U(1)_X \rightarrow Z_2$ gauge symmetry. In particular it is important to include light dark Higgs for this explanation. It would be straightforward to scan over all the parameters to get the whole allowed region.

¹ From now on we call H_2 simply also as ϕ .

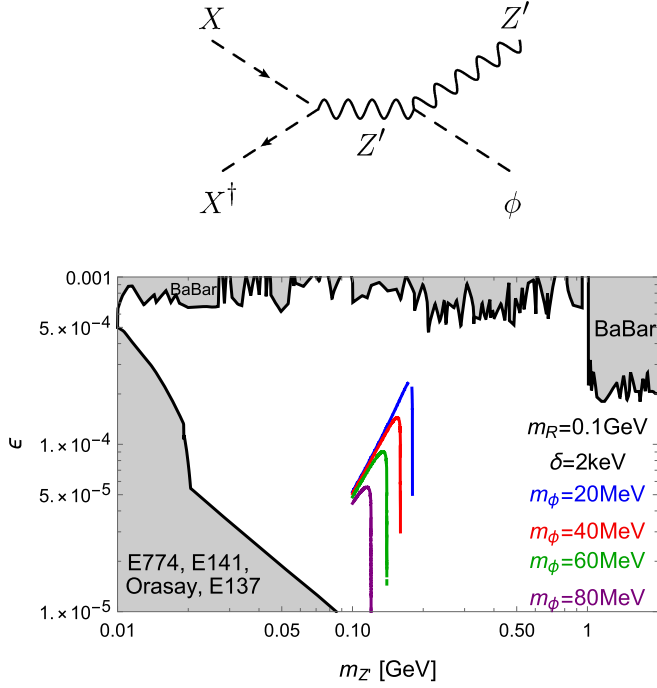


Fig. 1. (top) Feynman diagrams relevant for thermal relic density of DM: $XX^\dagger \rightarrow Z'\phi$ and (bottom) the region in the $(m_{Z'}, \epsilon)$ plane that is allowed for the XENON1T electron recoil excess and the correct thermal relic density for scalar DM case for $\delta = 2$ keV: (a) $m_{DM} = 0.1$ GeV. Different colors represent $m_\phi = 20, 40, 60, 80$ MeV. The gray areas are excluded by various experiments, from BaBar [61], E774 [62], E141 [63], Orasay [64], and E137 [65], assuming $Z' \rightarrow X_R X_L$ is kinematically forbidden.

2.2. Fermion DM model

We start from a dark $U(1)$ model, with a Dirac fermion dark matter (DM) χ appointed with a nonzero dark $U(1)$ charge Q_χ and dark photon. We also introduce a complex dark Higgs field ϕ , which takes a nonzero vacuum expectation value, generating nonzero mass for the dark photon. We shall consider a special case where ϕ breaks the dark $U(1)$ symmetry into a dark Z_2 symmetry with a judicious choice of its dark charge Q_ϕ .

Then the gauge invariant and renormalizable Lagrangian for this system is given by

$$\begin{aligned} \mathcal{L} = & -\frac{1}{4}\hat{X}^{\mu\nu}\hat{X}_{\mu\nu} - \frac{1}{2}\sin\epsilon\hat{X}_{\mu\nu}B^{\mu\nu} + \bar{\chi}(i\not{D} - m_\chi)\chi \\ & + D_\mu\phi^\dagger D^\mu\phi - \mu^2\phi^\dagger\phi - \lambda_\phi|\phi|^4 \\ & - \frac{1}{\sqrt{2}}\left(y\phi^\dagger\bar{\chi}^c\chi + \text{h.c.}\right) - \lambda_{\phi H}\phi^\dagger\phi H^\dagger H \end{aligned} \quad (21)$$

where $\hat{X}_{\mu\nu} = \partial_\mu\hat{X}_\nu - \partial_\nu\hat{X}_\mu$. $D_\mu = \partial_\mu + ig_X Q_\chi \hat{X}_\mu$ is the covariant derivative, where g_X is the dark coupling constant, and Q_χ denotes the dark charge of ϕ and χ : $Q_\phi = 2$, $Q_\chi = 1$, respectively. Then $U(1)_X$ dark gauge symmetry is spontaneously broken into its Z_2 subgroup, and the Dirac DM χ is split into two Majorana DM χ_R and χ_L defined as

$$\chi = \frac{1}{\sqrt{2}}(\chi_R + i\chi_L), \quad (22)$$

$$\chi^c = \frac{1}{\sqrt{2}}(\chi_R - i\chi_L), \quad (23)$$

$$\chi_R^c = \chi_R, \quad \chi_L^c = \chi_L, \quad (24)$$

with

$$m_{R,L} = m_\chi \pm yv_\phi = m_\chi \pm \frac{1}{2}\delta. \quad (25)$$

We assume $y > 0$ so that $\delta \equiv m_R - m_L = 2yv_\phi > 0$. Then the above Lagrangian is written as

$$\begin{aligned} \mathcal{L} = & \frac{1}{2} \sum_{i=R,L} \bar{\chi}_i (i\not{D} - m_i) \chi_i \\ & - i\frac{g_X}{2}(Z'_\mu + \epsilon s_W Z_\mu)(\bar{\chi}_R\gamma^\mu\chi_L - \bar{\chi}_L\gamma^\mu\chi_R) \\ & - \frac{1}{2}yh_\phi(\bar{\chi}_R\chi_R - \bar{\chi}_L\chi_L), \end{aligned} \quad (26)$$

where h_ϕ is neutral CP-even component of ϕ as defined in (3).

When we calculate the DM relic density, we can assume the mass difference is small compared to the DM mass as in the case of the scalar DM, i.e. $m_\chi \simeq m_R \simeq m_L$. For the fermionic DM the annihilation processes into the scalar pair, $\chi\bar{\chi} \rightarrow \phi\phi$, are p -wave. To evade the CMB constraint we suppress the s -wave annihilation by assuming $2m_\chi < 2m_{Z'}, m_{Z'} + m_\phi$. The calculation of the annihilation process (the top panel of Fig. 2) yields

$$\begin{aligned} \sigma v = & \frac{y^2 v^2 \sqrt{m_\chi^2 - m_\phi^2}}{96\pi m_\chi} \left[\frac{27\lambda_\phi^2 v_\phi^2}{(4m_\chi^2 - m_\phi^2)^2} \right. \\ & \left. + \frac{4y^2 m_\chi^2 (9m_\chi^4 - 8m_\chi^2 m_\phi^2 + 2m_\phi^4)}{(2m_\chi^2 - m_\phi^2)^4} \right] + \mathcal{O}(v^4), \end{aligned} \quad (27)$$

where $m_\phi \equiv \sqrt{2\lambda_\phi}v_\phi \simeq m_{H_2}$. The current DM relic abundance is obtained by (11). Since the annihilation cross section for the fermion DM case is proportional to $y^2 \propto (\delta/v_\phi)^2$ and $\delta \sim 2$ keV, the v_ϕ should be not too large. If we ignore m_ϕ in the above equation, we find that $m_\chi \sim \mathcal{O}(1-10)$ MeV will be required to get the correct thermal relic density, and dark Higgs ϕ should be even lighter. Therefore dark sector particles in this case should be lighter than the scalar DM case. Later for illustration, we will consider $m_\chi \sim \mathcal{O}(10)$ MeV and $m_\phi \sim \mathcal{O}(1)$ MeV to get the correct DM relic density and explain the XENON1T excess.

Now let's consider the inelastic scattering of DM with the electron in the xenon atom to explain the XENON1T anomaly. The scattering occurs through the interactions

$$\mathcal{L} \supset -i\frac{g_X}{2}Z'_\mu(\bar{\chi}_R\gamma^\mu\chi_L - \bar{\chi}_L\gamma^\mu\chi_R) - \epsilon e c_W Z'_\mu \bar{e}\gamma^\mu e. \quad (28)$$

It turns out that in the limit $m_\chi \gg m_e$, σ_e has exactly the same form with (13) of the scalar DM case.

We require the χ_R to be long-lived so that it is also a main component of the dark matter. It decays mainly via the SM Z -mediating $\chi_R \rightarrow \chi_L \nu \bar{\nu}$, using the interactions

$$\mathcal{L} \supset -\frac{i}{2}\epsilon s_W g_X Z_\mu(\bar{\chi}_R\gamma^\mu\chi_L - \bar{\chi}_L\gamma^\mu\chi_R) - \frac{1}{2}g_Z Z_\mu \bar{\nu}_L\gamma^\mu\nu_L. \quad (29)$$

The expression for the decay with, $\Gamma(\chi_R \rightarrow \chi_L \nu \bar{\nu})$, also agrees exactly with (20). As shown in (20), the lifetime of χ_R is much longer than the age of the universe, which guarantees the χ_R is as good a dark matter as χ_L .

In the bottom panel of Fig. 2, we show the allowed region in the $(m_{Z'}, \epsilon)$ plane where we can explain the XENON1T excess with correct DM thermal relic density within the standard freeze-out scenario. For illustration, we choose the fermion DM mass to be $m_\chi = m_R = 10$ MeV, and varied the dark Higgs mass $m_\phi = 2, 4, 6, 8$ MeV denoted with different colors. Note that the kinetic mixing $\epsilon \sim 10^{-7\pm 1}$, which is much smaller than the scalar DM case. We have checked if the gauge coupling g_X and the quartic coupling of dark Higgs (λ_ϕ) remain in the perturbative regime.

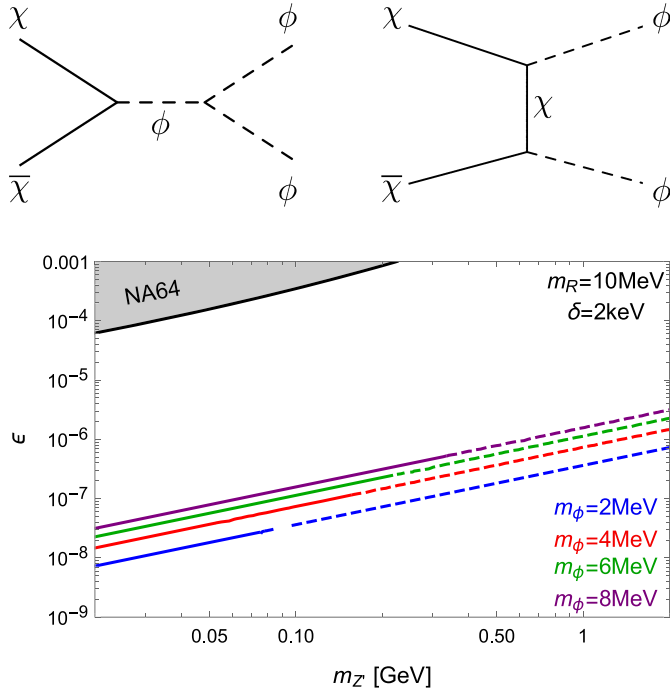


Fig. 2. (top) Feynman diagrams for $\chi\bar{\chi} \rightarrow \phi\phi$. (bottom) The region in the (m_Z, ϵ) plane that is allowed for the XENON1T electron recoil excess and the correct thermal relic density for fermion DM case for $\delta = 2$ keV and the fermion DM mass to be $m_R = 10$ MeV. Different colors represent $m_\phi = 2, 4, 6, 8$ MeV. The gray areas are excluded by various experiments, assuming $Z' \rightarrow \chi_R \chi_L$ is kinematically allowed, and the experimental constraint is weaker in the ϵ we are interested in, compared with the scalar DM case in Fig. 1 (right). We also show the current experimental bounds by NA64 [66].

The solid (dashed) lines denote the region where g_X satisfy (violate) perturbativity condition, depending $\alpha_X < 1$ or not. Within this allowed region, λ_ϕ remain perturbative. Again it is nontrivial that we could explain the XENON1T excess with inelastic fermion DM models with spontaneously broken $U(1)_\chi \rightarrow Z_2$ gauge symmetry. In particular it is important to include light dark Higgs for this explanation as in the scalar DM case.

3. Conclusion

In this paper, we showed that the electron recoil excess reported by XENON1T Collaboration could be accounted for by exothermic DM scattering on atomic electron in Xe, with sub-GeV light DM: $m_\chi \sim \mathcal{O}(100)$ MeV for the scalar and $m_\chi \sim \mathcal{O}(10)$ MeV for the fermion DM, and dark Higgs ϕ being even lighter than DM particle for both cases. Dark photon should be heavier than DM in order that we can forbid the DM pair annihilation into the $Z'Z'$ channels. This scenario could be described by DM models with dark $U(1)$ gauge symmetry broken into its Z_2 subgroup by Krauss-Wilczek mechanism. And dark photon Z' and dark Higgs ϕ in such dark gauge models play important roles in DM phenomenology. In particular in the calculation of thermal relic density, new channels involving a dark Higgs can open $XX^\dagger \rightarrow \phi Z'$ and $\chi\bar{\chi} \rightarrow \phi\phi$, which are p -wave annihilations. Then one could evade the stringent constraints from CMB for such light DM. Other dangerous s -channel annihilations can be kinematically forbidden by suitable choice of parameters. Thus the exothermic scattering in inelastic Z_2 DM models within standard freeze-out scenario can explain the XENON1T excess without modifying early universe cosmology. We emphasize again that the existence of dark Higgs ϕ is crucial for us to get the desired DM phenomenology to explain the XENON1T excess with the correct thermal relic density in case of both scalar and fermion DM models within the standard freeze-out scenario.

Note added

While we were preparing this manuscript, there appeared a few papers which explain the XENON1T excess in terms of scalar or fermion exothermic DM [9,11,21,29,30,42]. Our paper is different from these previous works in that we consider dark $U(1)$ gauge symmetry broken to its Z_2 subgroup by dark Higgs mechanism, and include the light dark Higgs in the calculations of thermal relic density for the two component DM in the standard freeze-out scenario: $XX^\dagger \rightarrow Z'\phi$ for scalar DM and $\chi\bar{\chi} \rightarrow \phi\phi$. Other s -wave channels are kinematically forbidden by suitable choice of mass parameters. This possibility of dark Higgs in the final state in the (co)annihilation channels is not included in other works. By including these new channels, we could achieve the correct thermal relic density and the desired heavier DM fluxes on the Xe targets simultaneously, without conflict with strong constraints on light DM annihilations from CMB. And the models considered in this paper are renormalizable and DM stability is guaranteed by underlying $U(1)$ dark gauge symmetry and its unbroken Z_2 subgroup.

Declaration of competing interest

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

Acknowledgements

The work is supported in part by KIAS Individual Grants, Grant No. PG021403 (PK) and Grant No. PG074201 (JK) at Korea Institute for Advanced Study, and by National Research Foundation of Korea (NRF) Grant No. NRF-2018R1A2A3075605 (SB) and No. NRF-2019R1A2C3005009 (PK), funded by the Korea government (MSIT).

References

- [1] XENON Collaboration, E. Aprile, et al., Observation of excess electronic recoil events in XENON1T, arXiv:2006.09721 [hep-ex].
- [2] F. Takahashi, M. Yamada, W. Yin, XENON1T anomaly from anomaly-free ALP dark matter and its implications for stellar cooling anomaly, arXiv:2006.10035 [hep-ph].
- [3] C.A. O'Hare, A. Caputo, A.J. Millar, E. Vitagliano, Axion helioscopes as solar magnetometers, arXiv:2006.10415 [astro-ph.CO].
- [4] K. Kannike, M. Raidal, H. Veermäe, A. Strumia, D. Teresi, Dark matter and the XENON1T electron recoil excess, arXiv:2006.10735 [hep-ph].
- [5] G. Alonso-Álvarez, F. Ertas, J. Jaeckel, F. Kahlhoefer, L. Thormaehlen, Hidden photon dark matter in the light of XENON1T and stellar cooling, arXiv:2006.11243 [hep-ph].
- [6] B. Fornal, P. Sandick, J. Shu, M. Su, Y. Zhao, Boosted dark matter interpretation of the XENON1T excess, arXiv:2006.11264 [hep-ph].
- [7] Dorian Warren Praia d. Amaral, D.G. Cerdeno, P. Foldenauer, E. Reid, Solar neutrino probes of the muon anomalous magnetic moment in the gauged $U(1)_{L_\mu - L_\tau}$, arXiv:2006.11225 [hep-ph].
- [8] C. Boehm, D.G. Cerdeno, M. Fairbairn, P.A. Machado, A.C. Vincent, Light new physics in XENON1T, arXiv:2006.11250 [hep-ph].
- [9] K. Harigaya, Y. Nakai, M. Suzuki, Inelastic dark matter electron scattering and the XENON1T excess, arXiv:2006.11938 [hep-ph].
- [10] A. Bally, S. Jana, A. Trautner, Neutrino self-interactions and XENON1T electron recoil excess, arXiv:2006.11919 [hep-ph].
- [11] L. Su, W. Wang, L. Wu, J.M. Yang, B. Zhu, XENON1T anomaly: inelastic cosmic ray boosted dark matter, arXiv:2006.11837 [hep-ph].
- [12] M. Du, J. Liang, Z. Liu, V.Q. Tran, Y. Xue, On-shell mediator dark matter models and the XENON1T anomaly, arXiv:2006.11949 [hep-ph].
- [13] L. Di Luzio, M. Fedele, M. Giannotti, F. Mescia, E. Nardi, Solar axions cannot explain the XENON1T excess, arXiv:2006.12487 [hep-ph].
- [14] U.K. Dey, T.N. Maity, T.S. Ray, Prospects of Migdal effect in the explanation of XENON1T electron recoil excess, arXiv:2006.12529 [hep-ph].
- [15] Y. Chen, J. Shu, X. Xue, G. Yuan, Q. Yuan, Sun heated MeV-scale dark matter and the XENON1T electron recoil excess, arXiv:2006.12447 [hep-ph].
- [16] N.F. Bell, J.B. Dent, B. Dutta, S. Ghosh, J. Kumar, J.L. Newstead, Explaining the XENON1T excess with Luminous Dark Matter, arXiv:2006.12461 [hep-ph].
- [17] D. Aristizabal Sierra, V. De Romeri, L. Flores, D. Papoulias, Light vector mediators facing XENON1T data, arXiv:2006.12457 [hep-ph].

- [18] J. Buch, M.A. Buen-Abad, J. Fan, J.S.C. Leung, Galactic origin of relativistic bosons and XENON1T excess, arXiv:2006.12488 [hep-ph].
- [19] G. Choi, M. Suzuki, T.T. Yanagida, XENON1T anomaly and its implication for decaying warm dark matter, arXiv:2006.12348 [hep-ph].
- [20] G. Paz, A.A. Petrov, M. Tammaro, J. Zupan, Shining dark matter in XENON1T, arXiv:2006.12462 [hep-ph].
- [21] H.M. Lee, Exothermic dark matter for XENON1T excess, arXiv:2006.13183 [hep-ph].
- [22] Q.-H. Cao, R. Ding, Q.-F. Xiang, Exploring for sub-MeV boosted dark matter from xenon electron direct detection, arXiv:2006.12767 [hep-ph].
- [23] A.E. Robinson, XENON1T observes tritium, arXiv:2006.13278 [hep-ex].
- [24] A.N. Khan, Can nonstandard neutrino interactions explain the XENON1T spectral excess?, arXiv:2006.12887 [hep-ph].
- [25] R. Primulando, J. Julio, P. Uttayarat, Collider constraints on a dark matter interpretation of the XENON1T excess, arXiv:2006.13161 [hep-ph].
- [26] K. Nakayama, Y. Tang, Gravitational production of hidden photon dark matter in light of the XENON1T excess, arXiv:2006.13159 [hep-ph].
- [27] G.B. Gelmini, V. Takhistov, E. Vitagliano, Scalar direct detection: in-medium effects, arXiv:2006.13909 [hep-ph].
- [28] Y. Jho, J.-C. Park, S.C. Park, P.-Y. Tseng, Gauged lepton number and cosmic-ray boosted dark matter for the XENON1T excess, arXiv:2006.13910 [hep-ph].
- [29] J. Bramante, N. Song, Electric but not eclectic: thermal relic dark matter for the XENON1T excess, arXiv:2006.14089 [hep-ph].
- [30] M. Baryakhtar, A. Berlin, H. Liu, N. Weiner, Electromagnetic signals of inelastic dark matter scattering, arXiv:2006.13918 [hep-ph].
- [31] H. An, M. Pospelov, J. Pradler, A. Ritz, New limits on dark photons from solar emission and keV scale dark matter, arXiv:2006.13929 [hep-ph].
- [32] L. Zu, G.-W. Yuan, L. Feng, Y.-Z. Fan, Mirror dark matter and electronic recoil events in XENON1T, arXiv:2006.14577 [hep-ph].
- [33] C. Gao, J. Liu, L.-T. Wang, X.-P. Wang, W. Xue, Y.-M. Zhong, Re-examining the solar axion explanation for the XENON1T excess, arXiv:2006.14598 [hep-ph].
- [34] R. Budnik, H. Kim, O. Matsedonskyi, G. Perez, Y. Soreq, Probing the relaxed relaxation and Higgs-portal with S1 & S2, arXiv:2006.14568 [hep-ph].
- [35] M. Lindner, Y. Mambrini, T.B. de Melo, F.S. Queiroz, XENON1T anomaly: a light Z' , arXiv:2006.14590 [hep-ph].
- [36] I.M. Bloch, A. Caputo, R. Essig, D. Redigolo, M. Sholapurkar, T. Volansky, Exploring new physics with O(keV) electron recoils in direct detection experiments, arXiv:2006.14521 [hep-ph].
- [37] M. Chala, A. Titov, One-loop running of dimension-six Higgs-neutrino operators and implications of a large neutrino dipole moment, arXiv:2006.14596 [hep-ph].
- [38] W. DeRocco, P.W. Graham, S. Rajendran, Exploring the robustness of stellar cooling constraints on light particles, arXiv:2006.15112 [hep-ph].
- [39] J.B. Dent, B. Dutta, J.L. Newstead, A. Thompson, Inverse Primakoff scattering as a probe of solar axions at liquid xenon direct detection experiments, arXiv:2006.15118 [hep-ph].
- [40] D. McKeen, M. Pospelov, N. Raj, Hydrogen portal to exotic radioactivity, arXiv:2006.15140 [hep-ph].
- [41] P. Coloma, P. Huber, J.M. Link, Telling solar neutrinos from solar axions when you can't shut off the Sun, arXiv:2006.15767 [hep-ph].
- [42] H. An, D. Yang, Direct detection of freeze-in inelastic dark matter, arXiv:2006.15672 [hep-ph].
- [43] L. Delle Rose, G. Hütsi, C. Marzo, L. Marzola, Impact of loop-induced processes on the boosted dark matter interpretation of the XENON1T excess, arXiv:2006.16078 [hep-ph].
- [44] C. Dessert, J.W. Foster, Y. Kahn, B.R. Safdi, Systematics in the XENON1T data: the 15-keV anti-axion, arXiv:2006.16220 [hep-ph].
- [45] B. Bhattacharjee, R. Sengupta, XENON1T excess: some possible backgrounds, arXiv:2006.16172 [hep-ph].
- [46] S.-F. Ge, P. Pasquini, J. Sheng, Solar neutrino scattering with electron into massive sterile neutrino, arXiv:2006.16069 [hep-ph].
- [47] W. Chao, Y. Gao, M.J. Jin, Pseudo-Dirac dark matter in XENON1T, arXiv:2006.16145 [hep-ph].
- [48] Y. Gao, T. Li, Lepton number violating electron recoils at XENON1T by the $U(1)_{B-L}$ model with non-standard interactions, arXiv:2006.16192 [hep-ph].
- [49] P. Ko, Y. Tang, Semi-annihilating Z_3 dark matter for XENON1T excess, arXiv:2006.15822 [hep-ph].
- [50] A. Hryczuk, K. Jodłowski, Self-interacting dark matter from late decays and the H_0 tension, arXiv:2006.16139 [hep-ph].
- [51] S. Baek, P. Ko, W.-I. Park, Local Z_2 scalar dark matter model confronting galactic GeV-scale γ -ray, Phys. Lett. B 747 (2015) 255–259, arXiv:1407.6588 [hep-ph].
- [52] P. Ko, T. Matsui, Y.-L. Tang, Dark matter bound state formation in fermionic Z_2 DM model with light dark photon and dark Higgs boson, arXiv:1910.04311 [hep-ph].
- [53] L.M. Krauss, F. Wilczek, Discrete gauge symmetry in continuum theories, Phys. Rev. Lett. 62 (1989) 1221.
- [54] T.R. Slatyer, Indirect dark matter signatures in the cosmic dark ages. I. Generalizing the bound on s-wave dark matter annihilation from Planck results, Phys. Rev. D 93 (2) (2016) 023527, arXiv:1506.03811 [hep-ph].
- [55] R.K. Leane, T.R. Slatyer, J.F. Beacom, K.C. Ng, GeV-scale thermal WIMPs: not even slightly ruled out, Phys. Rev. D 98 (2) (2018) 023016, arXiv:1805.10305 [hep-ph].
- [56] K. Babu, C.F. Kolda, J. March-Russell, Implications of generalized $Z - Z'$ mixing, Phys. Rev. D 57 (1998) 6788–6792, arXiv:hep-ph/9710441.
- [57] R. Foot, A. Kobakhidze, K.L. McDonald, R.R. Volkas, Poincaré protection for a natural electroweak scale, Phys. Rev. D 89 (11) (2014) 115018, arXiv:1310.0223 [hep-ph].
- [58] S. Baek, Dirac neutrino from the breaking of Peccei-Quinn symmetry, Phys. Lett. B 805 (2020) 135415, arXiv:1911.04210 [hep-ph].
- [59] S. Matsumoto, Y.-L.S. Tsai, P.-Y. Tseng, Light fermionic WIMP dark matter with light scalar mediator, J. High Energy Phys. 07 (2019) 050, arXiv:1811.03292 [hep-ph].
- [60] K. Griest, D. Seckel, Three exceptions in the calculation of relic abundances, Phys. Rev. D 43 (1991) 3191–3203.
- [61] BaBar Collaboration, J. Lees, et al., Search for a dark photon in e^+e^- collisions at BaBar, Phys. Rev. Lett. 113 (20) (2014) 201801, arXiv:1406.2980 [hep-ex].
- [62] A. Bross, M. Crisler, S.H. Pordes, J. Volk, S. Errede, J. Wrbanek, A search for shortlived particles produced in an electron beam dump, Phys. Rev. Lett. 67 (1991) 2942–2945.
- [63] E. Riordan, et al., A search for short lived axions in an electron beam dump experiment, Phys. Rev. Lett. 59 (1987) 755.
- [64] M. Davier, H. Nguyen Ngoc, An unambiguous search for a light Higgs boson, Phys. Lett. B 229 (1989) 150–155.
- [65] B. Batell, R. Essig, Z. Surujon, Strong constraints on sub-GeV dark sectors from SLAC beam dump E137, Phys. Rev. Lett. 113 (17) (2014) 171802, arXiv:1406.2698 [hep-ph].
- [66] D. Banerjee, et al., Dark matter search in missing energy events with NA64, Phys. Rev. Lett. 123 (12) (2019) 121801, arXiv:1906.00176 [hep-ex].