

C 11 Unifying AH Elementary-Particle Forces Including Gravity

H. TERAZAWA

Institute for Nuclear Study, University of Tokyo, Tanashi, Tokyo 188

It is a final goal in physics to unify all the four basic forces, strong, weak, electromagnetic, and gravitational. I have found it hard to make one story out of many different models and diverse interests existing at this stage of the game in this field. The following is the best I can do.

In this talk, I would like to review some of the contributed papers allocated to this Session other than what Pati,¹ Palla,² and Freund³ will give us talks on. Let me first discuss unified gauge theories of strong, weak, and electromagnetic interactions. There are two standard models: the model of Pati and Salam⁴ in which leptons have the fourth color and the model of Georgi and Glashow⁵ in which a simple group SU(5) is assumed for grand unification. Since Fritzsch⁶ has given in Session C10 and Pati will give us here some features of these "orthodoxies," I shall pick up only new ideas contributed to this Session.

In the original Georgi-Glashow model,⁵ leptons and quarks are assigned to a fundamental quintet and an antisymmetric decuplet of SU(5):

$$\begin{pmatrix} d_1 \\ d_2 \\ d_3 \\ l^+ \\ \bar{\nu} \end{pmatrix} R \quad \text{and} \quad \frac{1}{\sqrt{2}} \begin{pmatrix} 0 & \bar{u}_3 & -\bar{u}_2 & -u'_1 & -d_1 \\ -\bar{u}_3 & 0 & \bar{u}_1 & -u'_2 & -d_2 \\ \bar{u}_2 & -\bar{u}_1 & 0 & -u'_3 & -d_3 \\ u'_1 & u'_2 & u'_3 & 0 & -l^+ \\ d_1 & d_2 & d_3 & l^+ & 0 \end{pmatrix} L.$$

It is then very tempting to enlarge the gauge group so that these fifteen leptons and quarks may be put in a same multiplet. Such an extension of the Georgi-Glashow model to a grand unified model of SU(6) gauge group has been made by Inoue, Kakuto, Nakano, Abud, Buccella, Ruegg, Savoy, Lee, Weinberg, and Yoshimura.⁷ In the contributed paper, "Unified SU(6) Gauge Theory of the Strong, Weak, and Electromagnetic Interactions" by S. K. Yun,⁸ the eight leptons, $(e^-, E^-, \nu; E^0)$ and $(\nu_L, M^-, \nu^A; M^0)$, and the eight quarks, $(t, U \& g, Ci)$ with charge $2/3$ and (d, b, s, h) with charge $-1/3$, form two left-handed 15-plets and two left-handed singlets:

$$\frac{1}{\sqrt{2}} \begin{pmatrix} 0 & \bar{t}_3 & -\bar{t}_2 & -u_1 & -d_1 & -b_1 \\ -\bar{t}_3 & 0 & \bar{t}_1 & -u_2 & -d_2 & -b_2 \\ \bar{t}_2 & -\bar{t}_1 & 0 & -u_3 & -d_3 & -b_3 \\ u_1 & u_2 & u_3 & 0 & e^+ & -E^+ \\ d_1 & d_2 & d_3 & -e^+ & 0 & \bar{E}^0 \\ b_1 & b_2 & b_3 & E^+ & -\bar{E}^0 & 0 \end{pmatrix} L, \quad (\bar{\nu}_e)_L \text{ and } (\bar{\nu}_\mu)_L.$$

They also form two right-handed 15-plets and two right-handed singlets with suitable combinations of leptons and quarks. Assuming two 35-plet Higgs scalars, the one breaking SU(6) down to $SU(3)_c \times SU(3)V \times U(1)$ and the other breaking $811(3)^A$, as well as a 15-plet Higgs scalar and also a singlet one, he has derived two mass relations for leptons and quarks:

$$\begin{aligned} \frac{m_d}{m_b} &= \frac{m_s}{m_h} \quad \text{and} \quad m_d + m_s + m_b + m_h \\ &= m_e + m_\mu + m_{E^-} + m_{M^-}, \end{aligned}$$

the latter of which is weaker than the original one of Georgi and Glashow, $m_e = m_\mu$, $m_{E^-} = m_{M^-}$ etc., in a model with a single quintet Higgs scalar. From these relations, he has predicted

$$m_b = 3.5^{+1.3}_{-0.4} \text{ GeV and } m_h = 4.6^{+1.7}_{-0.5} \text{ GeV.}$$

The quantization of electric charge of elementary particles is one of the most satisfactory features in grand unified gauge theories. In order for electric charge to be quantized, it is not, however, necessary that the strong gauge group $G_c = \text{SU}(3)_c$ and the weak and electromagnetic gauge group $G_w x G_A$ (where G_A is an Abelian factor) be unified into a simple or semisimple group. What is necessary is either that the group $G_w X G_A$ is unified by a semisimple flavor gauge group G_f or that the group $G_w x G_c$ is unified by a semi-simple strong gauge group G_s . In the contributed paper, "Embedding Weak-hypercharge in Strong Gauge Group" by Inoue, Kakuto, Komatsu, and Nakano,³ the latter possibility has been investigated in great detail. The first example of their models is the case where $G_s = \text{SU}(4)$.⁴ Since $\text{SU}(3)_c$ should be electrically neutral, the charge operator Q is written as

$$Q = Q_w + Q_s \quad \text{with} \quad Q_s = 2\sqrt{6} x F_{15},$$

where F_{15} is a generator of $\text{SU}(4)$ and x is a parameter to be determined. Due to the color constraint that fermion representations should contain only **1**, **3**, and **3*** of color $\text{SU}(3)_c$, allowed candidates are **1**, **4**, **6**, and **4*** of $\text{SU}(4)$. They are decomposed into representations of subgroup $\text{SU}(3) \times \text{U}(1)$ in the notation (d_c, Q) as

$$\begin{aligned} \mathbf{1} &= (\mathbf{1}, 0), \quad \mathbf{4} = (\mathbf{3}, x) + (\mathbf{1}, -3x), \\ \text{and } \mathbf{6} &= (\mathbf{3}, -2x) + (\mathbf{3}^*, 2x). \end{aligned}$$

The relation between the gauge coupling constants of $\text{SU}(3) \times \text{U}(1)$ is given by

$$g_c = 2\sqrt{6} x g'.$$

They take two choices: $\text{GV} = \text{SU}(2)$ or $\text{SU}(2)_L \times \text{SU}(2)_R$. In the case of $\text{GV} = \text{SU}(2)$,

$$Q_w = h$$

where h is a $\text{SU}(2)$ generator. They have found that one of the most interesting models is given by

$$x = \frac{1}{6}, \quad I(f_1) = 0, \quad I(f_4) = \frac{1}{2}, \quad \text{and} \quad I(f_6) = 0.$$

The leptons and quarks are assigned as

$$(f_4^{I=1/2})_L = \begin{pmatrix} u \\ d \\ e \end{pmatrix}_L + \begin{pmatrix} \nu_e \\ e \end{pmatrix}_L,$$

$$(f_4^{I=1/2})_R = \begin{pmatrix} u \\ b \\ e \end{pmatrix}_R + \begin{pmatrix} N \\ e \end{pmatrix}_R,$$

$$(f_6^{I=0})_R = d_R + b_R^*, \quad \text{and} \quad (f_1^{I=0})_L = N_L.$$

Following the renormalization group analysis of Georgi, Quinn, and Weinberg,⁵ they obtain

$$\ln \left(\frac{m_Y}{\mu} \right) = \frac{2\pi}{11} \left[\frac{3}{2} \alpha^{-1} (1 - \sin^2 \theta_w) - \alpha_c^{-1} \right].$$

This indicates that m_Y , the mass of super-heavy gauge bosons, must be of order $10^{33} \sim 10^{40}$ GeV, far above the Planck mass. In this way, they have studied every combination of $\text{SU}(4)$, $\text{Sp}(3)$, $\text{Sp}(4)$, $\text{SO}(7)$, and $\text{SO}(8)$ for the strong gauge group, and $\text{SU}(2)$ and $\text{SU}(2)_L \times \text{SU}(2)_R$ for the weak gauge group. One of their conclusions is that, in this approach, the constraint relations between the gauge couplings, the weak mixing angle and the mass scale of symmetry breaking owing to the renormalization effect are not so severe compared with those in the grand unified models. The mass scale, however, becomes far above the Planck mass in some cases, or becomes too small to ensure the proton stability in some other cases.

In grand unified gauge theories, there is only one gauge coupling constant. The Higgs potential and the Yukawa coupling between fermions and Higgs scalars are, however, arbitrary so that one can choose whatever hierarchy of spontaneous symmetry breakdown one wants by adjusting Higgs representations and their parameters. In the contributed paper, "Eigenvalue Conditions and Asymptotic Freedom of SO(7V) Gauge Theories" by Chang and Perez-Mercader,¹⁰ it is demonstrated that this is not true if the theory is required to be asymptotically free.¹¹ In order to make the theory asymptotically free, they have imposed "eigenvalue conditions"¹² on all the coupling constants other than the gauge coupling. The new features of their grand unified scheme are 1) The number of "carbon copies" of the basic fermion family (w, d, v, e, \dots) is limited. 2) Each fermion multiplet of $\text{SO}(N)$ unifies the light fermions (w, d, v, e, \dots) with superheavy fermions (I, D, \dots). The superheavy fermions have masses which are of the order of the superheavy gauge boson masses. 3) Even though $\text{SO}(12)^{13}$ contains $\text{SU}(4) \times \text{SU}(2) \times \text{SU}(2)_R$, the structure of the vacuum indicates a breakdown of manifest left-right invariance, already at super-high energies. For $\text{SO}(7V)$ gauge theories, the renormalization group equations¹⁴ for the

gauge coupling g , the Yukawa coupling h , and the quartic Higgs couplings X and A are given by

$$\begin{aligned} 16\pi^2 \frac{dg}{dt} &= -g^3 \left[\frac{22}{3}(N-2) - \frac{2n_F n_D}{3} \right. \\ &\quad \left. - \frac{n_B}{3}(N-2) \right], \\ 16\pi^2 \frac{dh}{dt} &= h^3 \left[2n_F n_D + \frac{N(N-1)}{2} + (N-4)^2 \right. \\ &\quad \left. - N \right] - 6g^2 h(N-2) - \frac{3}{4}g^2 h[(N-4)^2 - N], \\ 16\pi^2 \frac{d\lambda}{dt} &= \lambda^2 [4N(N-1) + 64] + \lambda A(16N-8) \\ &\quad + 12A^2 - 24(N-2)g^2 \lambda + 18g^4 - 6n_F n_D h^4 \\ &\quad + 8n_F n_D \lambda h^2, \end{aligned}$$

and

$$\begin{aligned} 16\pi^2 \frac{dA}{dt} &= A^2(8N-4) + 96\lambda A - 24(N-2)g^2 A \\ &\quad + 6(N-8)g^4 - 24n_F n_D h^4 + 8n_F n_D \lambda h^2, \end{aligned}$$

where n_F and n_D are the number of identical fermion and the dimension of spinor representation, respectively.

They assume a special solution of the type $h(t) = \bar{h}g(t)$, $\lambda(t) = \bar{\lambda}g^2(t)$, and $A(t) = \bar{A}g^2(t)$.

Then, the coupled set of differential equations is reduced to a coupled set of algebraic equations for the constants A , X , and \bar{A} , which can be solved. For example, in the SO(12) model with $n_F = 7$, the solutions are

$$\begin{aligned} A &= 0.1171 \quad g \quad X = -0.1212g \\ \text{and} \quad 1 &= 0.6166 \quad g \end{aligned}$$

Since the parameters of Higgs potential are fixed, the structure of the vacuum after spontaneous symmetry breakdown is also fixed. It is $U(2) \times SO(10)$ in this case. They emphasize that, in this kind of asymptotically free grand unified theory, the hierarchy of symmetry breaking is dictated by the theory. There is no longer room to declare a range of quartic self-couplings so as to choose one vacuum *vs* another.

The baryon number non-conservation is one of the most intriguing features common to grand unified gauge theories. In the contributed paper, "Unified Gauge Theories and the Baryon Number of the Universe," Yoshi-mura¹⁵ has made a very interesting suggestion that the dominance of matter over antimatter

in the present universe is a consequence of baryon number non-conserving reactions in the very early fireball. Grand unified gauge theories provide a basis for such a conjecture. He has made a computation in a specific $SU(5)$ model of the Georgi-Glashow type¹⁶ and within the standard big-bang cosmology,¹⁶ and found a small ratio of baryon to photon number density in rough agreement with observation. Let me quickly sketch his idea in the following. The time development of the baryon number density $N_B(t)$ in a hot universe is given by

$$\frac{dN_B(t)}{dt} = -3 \frac{\dot{R}}{R} N_B + \sum_{a,b} (dN_B) \langle \sigma v \rangle N_a N_b$$

where R is the cosmic scale factor, $\langle \sigma v \rangle$ is the thermal average of the cross-section for $a+b \rightarrow$ anything times the relative velocity of a and b , dN_B is the change of baryon number in this process, and N_a is the number density of a . In the universe (the temperature T) dominated by highly relativistic particles, the following relation holds:¹⁶

$$R/R = -T/T = (7cpG/3y)^2$$

where p is the energy density

$$\rho = d_F \pi^2 T^4 / 15.$$

The effective number of degrees of freedom d_F is 1/2 or 7/16 for each boson or fermion species, respectively. From these relations, he obtains the rate equation

$$\frac{dF_B}{dt} = \frac{(WG, d) - v^* (3 \lambda, \lambda)}{45} ; \quad \lambda \rightarrow j$$

where $\hat{\sigma} = X(Jn_B X \sigma v)$, $F_B = N_B/T$ and $F_r = N_r/T$ with the photon number density N_r . Next, he claims that to obtain a nonvanishing baryon number one must break the microscopic detailed balance because otherwise the inverse reaction would cancel the baryon number gained. This necessity of simultaneous violation of the baryon number and the CP - or T-invariance is one of the points in his suggestion. To illustrate the idea, he adopts a grand unified $SU(5)$ gauge model of the Georgi-Glashow type with six or more flavors of quarks and leptons. The baryon number non-conservation is caused by exchange of the superheavy lepto-quark gauge bosons W and also by exchange of colored Higgs scalars H_1 and H_2 (the Yukawa coupling constants Y and h). For CP -violation, he adopts the

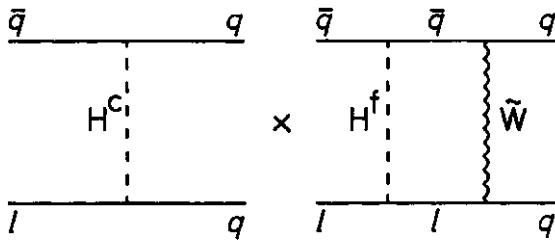


Fig. 1.

Weinberg model¹⁷ in which complex dimensionless parameters, a and $/3$, are introduced in the Higgs propagators by

$$i\langle T(H_1^\dagger H_2^a) \rangle_{q=0} = \alpha/m_W^2 \text{ for } a=4, 5 \\ = \beta/m_W^2 \text{ for } a=1-3.$$

He has found that the dominant contribution to δ comes from interference of the diagrams in Fig. 1 and that

$$\frac{\delta}{T^2} \simeq \frac{3g^2}{8\pi^2 m_W^4} \text{Im} \beta \alpha^* (\text{tr } hh^\dagger \text{tr } f^2 - \text{tr } hh^\dagger f^2).$$

Under the reasonable assumption $N_b < N$, the rate equation is integrated to

$$\frac{N_b(T)}{N_r(T)} \simeq - \left(\frac{8\pi^3 G_N d_F}{5} \right)^{-1/2} \left(\frac{3}{8} \right)^2 \frac{\delta}{T^2} \\ \times N_r(T_{\text{initial}}).$$

To obtain a rough quantitative idea of this ratio, he has made a drastic extrapolation of this formula up to $T_{\text{minf}}/i = m^A$ to get the numerical result

$$\frac{N_b}{N_r} \simeq 2 \times 10^{-9} \left(\frac{m_t}{10 \text{ GeV}} \right)^2 \left(\frac{m_b}{5 \text{ GeV}} \right)^2 A \\ \text{with } A \approx 0(1).$$

An estimate of this ratio¹⁶ from experimental data ranges from 10^{-8} to 10^{-10} , which agrees with this result. Although the numerical result should not be taken too seriously because of crudest approximations involved, I have found that his suggestion is extremely interesting and really working.

Next, I would like to discuss unified models of all elementary-particle forces including gravity. Recently, possible unification with supergravity has been extensively investigated and it has been discussed by Freedman¹⁸ in Session C6. There have been proposed some other ideas on this super-grand unification. The strong gravity proposed by Isham, Salam, and Strathdee and by Zumino¹⁹ is one of them. In the contributed paper, "Emergence of a (possibly) Strong Component in a Poincaré-

Quadratic Gauge Theory of Gravity" by HehU Ne'eman, Nitsch, and von der Heyde,²⁰ a related but quite distinct picture has been proposed for unifying gravity and the confining strong force. It is also a completely new description of gravity which is quite different from Einstein's general relativity. In their gauge approach to the Poincaré group the vierbein e^α represents the translational potential and the vierbein connection $r^\alpha = -T_{\mu\alpha}$ the rotational potential. The corresponding field strengths are torsion and curvature,

$$F_{ij}^\alpha \equiv 2(\partial_{[i} e_{j]}^\alpha + \Gamma_{[i\beta}^\alpha e_{j]\beta}) \\ \text{and } F_{ij\alpha}^\beta \equiv 2(\partial_{[i} \Gamma_{j]\alpha}^\beta + \Gamma_{[i\gamma}^\beta \Gamma_{j]\alpha}^\gamma),$$

respectively. Let (f) and Sf be a matter field and a matter Lagrangian. The total Lagrangian is then given by

$$\mathcal{L}_{\text{tot}} = \mathcal{L}(\psi, \bar{\psi}, e, \partial e, \Gamma, \partial \Gamma)$$

if one supposes minimal coupling in accordance with the equivalence principle. In analogy with electrodynamics, they assume the quadratic Lagrangian for Γ

$$\mathcal{V} = (\det e) \left[\frac{1}{4l^2} (-F^{ij}{}_\alpha F_{ij}^\alpha + 2F^{i\gamma}{}_\gamma F_{i\delta}^\delta) \right. \\ \left. + \frac{1}{4\kappa} (-F_{ij\alpha}^\beta F^{ij\alpha}{}_\beta) \right],$$

where l is the Planck length and κ is a dimensionless coupling constant. In assuming this Lagrangian, they suppose that the coupled equations derived from it result in a restructuring of the two initial gauge potentials into one long-range Einstein-Newton-like potential with $/3$ dimensional coupling, and a second, Yang-Mills-like, short-range one with dimensionless (strong) coupling. The latter would be asymptotically-free and confining, and would thereby produce a natural "strong gravity" component. They have proved that such a confining contribution does indeed arise in the linearized approximation.

Assume

$$e_i^\alpha = \delta_i^\alpha + \frac{1}{2} h_i^\alpha \text{ with } |h_i^\alpha| \ll 1 \text{ and } |\Gamma_{i\alpha}^\beta| \ll 1,$$

and define

$$\gamma \equiv \gamma_k{}^k \text{ with } \gamma_{ij} \equiv h_{(ij)} - \frac{1}{2} \eta_{ij} h_k{}^k \text{ and } \Gamma_i = \Gamma_{ki}{}^k.$$

Then, the linearized field equations for y and F_i turn out to be

$$\square \square \gamma = -2\kappa(\Sigma - 2\partial_k \tau^k) - 2l^2 \square \Sigma$$

and

$$\square \partial_k \Gamma^k = (\kappa/2)(\Sigma - 2\partial_k \tau^k)$$

where S and v_k are the trace of momentum and spin current, respectively. For a spinning point particle of mass m located at the origin, $r^e=0$ and $Z=-md(r)$. Then the first equation yields the solution

$$\gamma(r) = -\frac{l^2}{2\pi} \left(\frac{m}{r} \right) + c_1 - \frac{\kappa}{4\pi} (mr) + c_2 r^2$$

for some $r_{\min} < r < r_{\max}$. The constant multiplying the Newton potential and the one in front of the confinement potential are both fixed. They have further demonstrated that their Lagrangian reproduces the Schwarzschild solution, and therefore proves to be a viable one. There is only one problem: how do leptons avoid strong gravity? They suggest that even leptons might yet display the same features at shorter ranges and larger energies, or alternatively that the color degree of freedom is involved.

In the rest of my talk, I would like to review the recent work by Akama, Chikashige,

$$\begin{aligned} \mathcal{L}'' = & \bar{l}_L i \gamma^\mu \left(\partial_\mu + ig' \frac{1}{2} Y_{l_L} B_\mu - ig \frac{1}{2} \boldsymbol{\tau} \cdot \boldsymbol{A} \right) l_L + \bar{l}_R i \gamma^\mu \left(\partial_\mu + ig' \frac{1}{2} Y_{l_R} B_\mu \right) l_R \\ & + \bar{q}_L i \gamma^\mu \left(\partial_\mu + ig' \frac{1}{2} Y_{q_L} B_\mu - ig \frac{1}{2} \boldsymbol{\tau} \cdot \boldsymbol{A}_\mu - if \frac{1}{2} \lambda^a G_\mu^a \right) q_L \\ & + \bar{u}_R i \gamma^\mu \left(\partial_\mu + ig' \frac{1}{2} Y_{u_R} B_\mu - if \frac{1}{2} \lambda^a G_\mu^a \right) u_R + \bar{d}_R i \gamma^\mu \left(\partial_\mu + ig' \frac{1}{2} Y_{d_R} B_\mu - if \frac{1}{2} \lambda^a G_\mu^a \right) d_R \\ & - G_l (\bar{l}_L \phi l_R + \bar{l}_R \phi^\dagger l_L) - G_u (\bar{q}_L \phi^a u_R + \bar{u}_R \phi^a q_L) - G_d (\bar{q}_L \phi d_R + \bar{d}_R \phi^\dagger q_L) \\ & - \frac{1}{4} (B_{\mu\nu})^2 - \frac{1}{4} (A_{\mu\nu})^2 - \frac{1}{4} (G_{\mu\nu}^a)^2 + |D_\mu \phi|^2 - \mu^2 |\phi|^2 - \lambda(|\phi|^2)^2. \end{aligned}$$

Let me emphasize the difference between our model and the ordinary gauge models. In our picture of unification, the photon the weak vector bosons W and Z , and the physical Higgs scalar rj appear as collective excitations of lepton-antilepton or quark-antiquark pairs while the color-octet gluons G^a appear as those of quark-antiquark pairs. Also, in the ordinary gauge models, the coupling constants f , g , g' , K , G_u , G_d , and G_d and the mass parameter $/u^2$ are arbitrary, whereas in our model they are completely fixed by the quantum numbers of leptons and quarks, the cutoff momentum, and the coupling constants in the original Lagrangian. The most important

Matsuki, and myself^{21,22} on the unified model of the Nambu-Jona-Lasinio type for all elementary-particle forces including gravity. We start with a nonlinear fermion Lagrangian of the Heisenberg type for a Weinberg-Salam multiplet of massless leptons and quarks:

$$\begin{aligned} \mathcal{L} = & \bar{l}_L i \partial^\mu l_L + \bar{l}_R i \partial^\mu l_R + \bar{q}_L i \partial^\mu q_L + \bar{u}_R i \partial^\mu u_R \\ & + \bar{d}_R i \partial^\mu d_R + f_1 (Y_{l_L} \bar{l}_L \gamma_\mu l_L + Y_{l_R} \bar{l}_R \gamma_\mu l_R \\ & + Y_{q_L} \bar{q}_L \gamma_\mu q_L + Y_{u_R} \bar{u}_R \gamma_\mu u_R + Y_{d_R} \bar{d}_R \gamma_\mu d_R)^2 \\ & + f_2 (\bar{l}_L \gamma_\mu \boldsymbol{\tau} l_L + \bar{q}_L \gamma_\mu \boldsymbol{\tau} q_L)^2 \\ & + f_3 (\bar{q}_L \gamma_\mu \lambda^a q_L + \bar{u}_R \gamma_\mu \lambda^a u_R + \bar{d}_R \gamma_\mu \lambda^a d_R)^2 \\ & + f_4 (b_l \bar{l}_L l_R - b_u \bar{q}_L^G u_L^* + b_d \bar{d}_R d_R) \\ & \times (b_i \bar{l}_R l_L - b_u \bar{u}_L^G q_R^G + b_d \bar{d}_R q_L). \end{aligned}$$

By using the Kikkawa's algorithm²³ to analyze this nonlinear Lagrangian and by imposing the massless conditions of Bjorken²⁴ on vector fields, we construct an effective Lagrangian which combines the unified SU(2) X U(1) gauge theory of Weinberg and Salam for the weak and electromagnetic interactions of leptons and quarks, and the Yang-Mills gauge theory of color SU(3) for the strong interaction of quarks:

results of our unified model of the Nambu-Jona-Lasinio type for strong, weak, and electromagnetic interactions are the following: the Weinberg angle θ_w is determined to be $\sin^2 \theta_w = (2/3^2) / (80^2) = 3/8$

where $/$ and Q are the weak isospin and charge of leptons and quarks. The gluon coupling constant is also determined to be $8/3$ times the fine-structure constant a . These results coincide with those of Georgi and Glashow⁵ in their unified SU(5) gauge model. However, our results are due not to such an assumed higher symmetry as SU(5) but to the Nambu-Jona-Lasinio dynamics in our model with only $SU(3)_{\text{color}} \times [SU(2) \times U(1)]_w \text{einb}_c r_s$.

The masses of the weak vector bosons are predicted to be

$$m_w = (7ia/V)^{1/2} G \sin^2 \theta_w = 60.9 \text{ GeV}$$

$$\text{and } m_z = m_w / \cos \theta_w = 77.0 \text{ GeV}.$$

Entirely new and proper to our model are the following relations between the masses of the physical Higgs scalar and weak vector boson and those of leptons and quarks:

$$m_\eta = 2[(\sum m^4)/(\sum m^2)]^{1/2}$$

$$\text{and } m_W = \sqrt{3} [\langle m^2 \rangle]^{1/2}$$

where \sum and $\langle \rangle$ denote the summation and arithmetic average over all leptons and quarks. These relations together with the previous results predict the arithmetic-like-average mass of leptons and quarks to be

$$[\langle m^2 \rangle]^{1/2} = 35.2 \text{ GeV}$$

and the mass of the physical Higgs scalar to be bounded by

$$m_\eta (2/V \sim 3) m_w = 703 \text{ GeV}.$$

Another important result is the relation between the fine-structure constant and the sum of the charge squared of leptons and quarks:

$$\alpha = 3\pi/(\sum Q^2) \ln (A^2/m^2),$$

where A is the universal cutoff momentum and m is the geometric-like-average mass of charged leptons and quarks defined by

$$m = \prod m^{Q^2/(\sum Q^2)}.$$

This relation is essentially the old result of Gell-Mann and Low²⁵ in their renormalization group approach.

In our model of the Nambu-Jona-Lasinio type for gravity, the graviton is also a collective excitation of a fermion-antifermion pair.²⁶ We start again with a very simple nonlinear fermion Lagrangian

$$\mathcal{L}_0 = \bar{\psi} i \frac{1}{2} \vec{\partial} \psi + f_0 (T_{\mu\nu})^2$$

$$\text{with } T_{\mu\nu} = \bar{\psi} i \frac{1}{4} (\gamma_\mu \vec{\partial}_\nu + \gamma_\nu \vec{\partial}_\mu) \psi$$

and impose the massless condition on a tensor field, the gravitational field. Then, we derive the effective Lagrangian

$$\mathcal{L}'_0 = \bar{\psi} i \frac{1}{2} \vec{\partial} \psi + \frac{1}{4} (\partial_\lambda h_{\mu\nu})^2 - g_0 T_{\mu\nu} h^{\mu\nu}$$

$$\text{with } g_0 = 4\pi/(\kappa_0 N_0 A^2)^{1/2},$$

where $\kappa_0 = 2/3$ or $1/3$ depending on the invariant

or Pauli-Villars cutoff procedure. This Lagrangian reproduces the familiar Newtonian gravitational potential if the gravitational constant G is related with the total number N_0 of leptons and quarks:

$$G = g_0^2/4\pi = 4\pi/\kappa_0 N_0 A^2.$$

It is also shown that a more sophisticated model of this type defined on the curved space effectively reproduces the Einstein-Weyl's theory of general relativity.

We further unify the unified model of strong, weak, and electromagnetic interactions and the model of gravity into a unified model of the Nambu-Jona-Lasinio type for all elementary-particle forces including gravity. The most exciting result of this grand unification is a simple relation (the $G-a$ relation) between the fine-structure constant and the Newtonian gravitational constant:

$$\alpha = 3\pi/(\sum Q^2) \ln (4\pi/\kappa_0 N_0 G m^2).$$

This relation can be easily derived from combining the above two relations for a and G . Historically, a relation of this type was conjectured by Landau²⁷ in 1955. Since this $G-a$ relation is very sensitive to the total number of leptons and quarks, we can predict²⁸ based on it that there exist a dozen leptons (six neutrinos and six charged leptons) and a dozen flavors and three colors of quarks (6×3 up quarks and 6×3 down quarks). The geometric-like-average mass of the charged leptons and quarks is also predicted to be

$$m = (4\pi/\kappa_0 N_0 G)^{1/2} \exp [-3\pi/2\alpha(\sum Q^2)]$$

$$= 23.7 \text{ GeV}.$$

It is now natural to ask why so many leptons and quarks. In concluding this talk, I shall present an answer to this question. It is a "spinor-subquark" model of leptons and quarks in which leptons and quarks are made of three subquarks of spin $1/2$,

$$w_i \ (i=1, 2), \ h_i \ (i=1, 2, \dots, N),$$

$$\text{and } C_i \ (i=0, 1, 2, 3).$$

The left-handed w_L and the right-handed w_R and w_B are a doublet and singlets of the Weinberg-Salam SU(2), respectively. The z/s form an Applet of the unknown H symmetry. Also, the C_0 and C/s ($z=1, 2, 3$) are singlet and triplet under the color SU(3) symmetry. Leptons and quarks are expressed in

terms of these subquarks as

$$\nu_j = (w_1 h_j C_0), \quad l_j = (w_2 h_j C_0), \quad u_{ji} = (w_1 h_j C_i),$$

$$\text{and } d_{ji} = (w_2 h_j C_i)$$

for $j=1, 2, \dots, N$ and $i=1, 2, 3$.

In the unified subquark model of all elementary-particle forces, which is an alternative to the unified lepton-quark model, the gauge bosons 7% W^* , and Z , and the physical Higgs scalar t_j appear as collective excitations of a $w-w$ pair while the color octet gluons G appear as those of a $C-C$ pair. As a result, we derive the following relations between the masses of r_j , W , and Z , and those of w 's:

$$m_r \cong 2m_w, \quad m_W \cong \sqrt{3}m_w,$$

$$\text{and } m_Z \cong (\sqrt{3}/\cos \theta_W)m_w.$$

These relations predict the masses of the physical Higgs scalar and the w subquark to be

$$m_r \cong 70.3 \text{ GeV} \quad \text{and} \quad m_w \cong 35.2 \text{ GeV}.$$

This result strongly suggests that the masses of the physical Higgs scalar and weak vector bosons may be very close to the threshold of w -subquark pair production, if any (see Fig. 2).

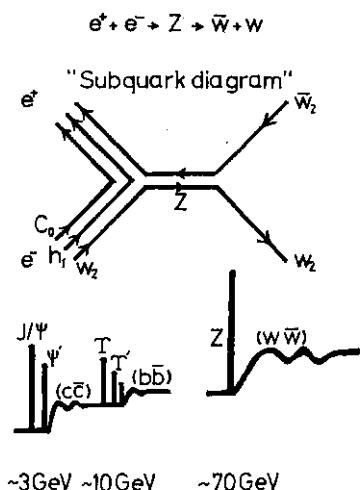


Fig. 2.

I, therefore, strongly urge experimentalists to be still alert for producing possible subquark pairs even after the anticipated exciting discovery of the weak vector bosons in 80's.

I wish to thank Drs. K. Akama and A. Sugamoto for their help in preparing for this review.

References

Present and Future High Energy-Experimentation," in this Proceedings, C11.

2. L. Palla: "Spontaneous Compactification," in this Proceedings, C11.
3. P. O. G. Freund: "World Topology and Gauged Internal Symmetries," in this Proceedings, C11.
4. J. C. Pati and A. Salam: Phys. Rev. **D10** (1974) 275.
5. H. Georgi and S. L. Glashow: Phys. Rev. Letters **32** (1974) 438; see also H. Georgi, H. R. Quinn and S. Weinberg: *ibid.*, **33** (1974) 451.
6. H. Fritzsch: "Gauge Models of Weak Interactions," in this Proceedings, C10.
7. K. Inoue, A. Kakuto and Y. Nakano: Progr. theor. Phys. **58** (1977) 630; M. Abud, F. Buccella, H. Ruegg and C. A. Savoy: Phys. Letters **67B** (1977) 313; B. W. Lee and S. Weinberg: Phys. Rev. Letters **38** (1977) 1237; M. Yoshimura: Progr. theor. Phys. **58** (1977) 972.
8. S. K. Yun: contributed paper No. 28, COO-3533-99/SU-4210-99. (Syracuse Univ., Sept., 1977).
9. K. Inoue, A. Kakuto, H. Komatsu and Y. Nakano: contributed paper No. 534, KYUSHU-78-HE-8 (Kyushu Univ. and Kinki Univ., June, 1977).
10. N.-P. Chang and J. Perez-Mercader: contributed paper No. 937, CCNY-HEP-78-15 (City Coll. of the City Univ. of N.Y.); see also M. Chaichian: in this Proceedings, C 5.
11. D. Gross and F. Wilczek: Phys. Rev. Letters **26** (1973) 1343; H. Politzer: *ibid.*, **26** (1973) 1346.
12. N. P. Chang: Phys. Rev. **D10** (1974) 2706; M. Suzuki: Nucl. Phys. **B83** (1974) 269; E. Ma: Phys. Rev. **D11** (1975) 322; Phys. Letters **62B** (1976) 347; Nucl. Phys. **B116** (1976) 195; E. S. Fradkin and O. K. Kalashnikov: J. Phys. **A8** (1975) 1814; Phys. Letters **59B** (1975) 159; *ibid.*, **64B** (1976) 177.
13. H. Fritzsch and P. Minkowski: Ann. Phys. **93** (1974) 193.
14. D. Gross and F. Wilczek: Phys. Rev. **D8** (1973) 3633; T. P. Cheng, E. Eichten and L. F. Li: Phys. Rev. **D9** (1974) 2259.
15. M. Yoshimura: contributed paper No. 911 (Tohoku Univ.).
16. For a review, see S. Weinberg: *Gravitation and Cosmology*, (Wiley, New York, 1972) Chapter 15.
17. S. Weinberg: Phys. Rev. Letters **37** (1976) 657. For a review, see R. Mohapatra: "Theory of CP Violation," in this Proceedings, C 10.
18. D. Z. Freedman: "Supersymmetry and Supergravity," in this Proceedings, C6.
19. C.J. Isham, A. Salam and J. Strathdee: Phys. Rev. **D3** (1971) 867; *ibid.*, **D9** (1974) 1702; A. Salam: Ann. N. Y. Acad. Sci. **294** (1977) 12; see also B. Zumino: in *Lectures on Elementary Particles and Quantum Field Theory*, by S. Deser *et al.* (MIT Press, Cambridge, Mass., 1970) Vol. 2, p. 437.
20. F. W. Hehl, Y. Ne'eman, J. Nitsch and P. Van

der Heyde: contributed paper No. 236 (Univ. of Colonge and Tel-Aviv Univ.).

21. H. Terazawa, K. Akama and Y. Chikashige: *Progr. theor. Phys.* **56** (1976) 1935; *Phys. Rev. D* **15** (1977) 480; see also T. Saito and K. Shigemoto: *Progr. theor. Phys.* **57** (1977) 242; **57** (1977) 643.

22. H. Terazawa, Y. Chikashige, K. Akama and T. Matsuki: *Phys. Rev. D* **15** (1977) 1118; *Progr. theor. Phys.* **60** (1978) 868; contributed paper No. 103, KEK-78-11 (National Lab. for High Energy Phys., July, 1978).

23. K. Kikkawa: *Progr. theor. Phys.* **56** (1976) 947; T. Kugo: *ibid.* **55** (1976) 2032; see also T. Eguchi and H. Sugawara: *Phys. Rev. D* **10** (1974) 4257; H. Sugawara: "Gauge Invariant Spinor Theory," in this Proceedings, C9.

24. J. D. Bjorken: *Ann. Phys.* **24** (1963) 174.

25. M. Gell-Mann and F. E. Low: *Phys. Rev.* **95** (1954) 1300.

26. P. R. Phillips: *Phys. Rev.* **146** (1966) 966; see also A. D. Sakharov: *Dokl. Acad. Nauk SSSR* **177** (1967) 70.

27. L. Landau: in *Niels Bohr and the Development of Physics*, edited by W. Pauli (McGraw-Hill, New York, 1955) p. 52.

28. H. Terazawa: *Phys. Rev. D* **16** (1977) 2373.

Note added in proof: Recently, J. Arafune has pointed out that the baryon-number non conservation claimed by Yoshimura violates CPT invariance and unitarity.

PROC. 19th INT. CONF. HIGH ENERGY PHYSICS
TOKYO, 1978

C11 Unification: Its Implications for Present and Future High Energy-Experimentation

J. C. PATI

*Department of Physics and Astronomy, University of Maryland,
College Park, Maryland 20742*

§1. Introduction

Since the last International Conference held in Hamburg a year ago, there is one marked difference in the experimental situation regarding the status of the structure of neutral current interactions. At present all experiments, which include neutrino-nucleon-scattering, neutrino-charged lepton scattering as well as parity violation in electron-deuteron-scattering, agree remarkably well with the predictions of the simple gauge-unification based on the symmetry-structure¹ $SU(2)_c \times U(1)$.

This raises two important questions:

(1) Do the set of data noted above single out $SU(2)_c \times U(1)$ as the only allowed symmetry relevant for low energy electro-weak force, or do they allow for possible alternative symmetries, which would differ from the predictions of $SU(2)_c \times U(1)$ even in the low energy regime in areas yet to be explored experimentally?

(2) Given that a gauge unification of the weak and electromagnetic forces is already

manifest at present energies through the discovery of neutral current interactions, what new phenomena and correspondingly fundamentally *new physics* may one look forward to discover next at higher energies through high energy accelerators to be completed in the near future and within the decade? Specifically, assuming that the three basic forces—weak, electromagnetic as well as strong—have a common origin, and so also do quarks and leptons,^{2,3} one might look forward to discover next *tangible evidence* of such a "grand" unification. This evidence would arise if one could see traces of the new class of interactions (analogous to neutral current interactions) that are needed for putting quarks and leptons into one multiplet. The pertinent question is: can these new interactions and correspondingly "grand" unification manifest at an energy or mass-scale, within experimental reach in the near or conceivable future?

The purpose of my talk is two fold:

(i) First, to note that the present set of