



# UPPSALA UNIVERSITET

## Coordinate conversion for the Hough transform

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## Abstract

This thesis attempts to develop a conversion algorithm between local coordinates in constituent detector modules and global coordinates encompassing the whole detector structure in a generic detector. The thesis is a part of preparatory work for studying the Hough Transform as a means of track reconstruction in the level-1 hardware trigger in the upgraded trigger and data acquisition (TDAQ) system in the phase 2 upgrade of the ATLAS detector at CERN. The upgrades being made are to withstand much more extreme conditions that come with the high-Luminosity Large Hadron Collider (HL-LHC).

Two algorithms have been made and then implemented in Python scripts to test their feasibility and to compare them against each-other. The Rotation algorithm uses several rotations to correctly place the local coordinates in the global system. The second, the Shear algorithm, simplifies the process into two shears and one rotation, using the small angle approximation. Both algorithms need to be extended to work with more parts of the detector to be considered complete. Despite having lower maximum precision the second algorithm is considered the most promising attempt, since it is much less sensitive to the truncation error that results from working in an integer environment, which is a requirement for use in FPGAs.

## Sammanfattning

I denna uppsats görs ett försök att skapa en omvandlingsalgoritm mellan lokala koordinater i konstituerande detektormoduler och globala koordinater i hela detektorstrukturen för en generisk detektor. Uppsatsen är en del i förberedande arbete för att undersöka hur Hough-transformen kan användas för spärrekonstruktion i den hårdvarubaserade *level-1 triggern* i det upgraderade trigger- och datainsamlings-systemet (TDAQ) i fas två-upgraderingen av ATLAS detektorn vid CERN. Uppgraderingarna som görs är för att kunna utstå de mycket mer extrema förhållanden som medförs av högluminositetsupgraderingen av *Large Hadron Collider* (HL-LHC).

Två algoritmer har skapats och implementerats i Pythonskript för att testa genomförbarhet och för att jämföra med varandra. Rotationsalgoritmen använder ett antal rotationer för att korrekt placera ut de lokala koordinaterna i det globala systemet. Den andra, Skjuvalgortimen, förenklar processen till två skjuvningar och en rotation med hjälp av liten vinkel-approximationen. Båda algoritmerna behöver utökas för att fungera för fler delar av detektorn för att anses kompletta. Trots lägre maximal precision bedöms den andra algoritmen vara det mest lovande försöket, eftersom den är mycket mindre känslig för trunke-ringsfelet som kommer av att arbeta i en heltalsmiljö, som är ett krav för FPGA-implementationen.

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# 1 Introduction

The current hardware of the Large Hadron Collider (LHC) at CERN is near its limit both in terms of capacity and radiation damage [1]. The most important product of the LHC is high-energy particle collisions, for measurements at the various experiments connected to it. Therefore one of the most important measures of the LHC's performance is the rate of collisions, both instantaneously and over time, usually measured in collision rate per unit area, *luminosity*, and the (over time) *integrated luminosity* [1]. While the LHC is currently shut down (Long Shut-Down 2, LS2) for maintenance and some smaller upgrades, when it starts again for Run 3 in 2022 it is planned to run at the current hardware's maximum luminosity until 2024 when it will reach an accumulated integrated luminosity of  $350 \text{ fb}^{-1}$  (for reference Run 1 data totalled  $30 \text{ fb}^{-1}$  and run 2  $190 \text{ fb}^{-1}$ ) [1] and parts of the collider and detectors will have accrued so much radiation damage as to negatively effect performance [3].

Instead of just repairs Long Shut-Down 3 (LS3) from 2025 to 2027 will contain several technology updates and engineering upgrades that have been in the works since 2010 [1]. These will allow the LHC to reach a luminosity five times higher than currently feasible and over its decade of operation up to  $4000 \text{ fb}^{-1}$  integrated luminosity [1]. The upgraded machine is called the High Luminosity LHC (HL-LHC).

One of the detectors at the LHC is the ATLAS detector, as mentioned above parts of it are also starting to receive too much radiation damage. It is also not engineered to handle the increased collision rate of the HL-LHC, which is why it too will undergo several upgrades [2][3].

Part of the problem, which will be further exacerbated by the HL-LHC, with recording data from HL-LHC events is the amount of data; the rate of beam crossings is 40 MHz, each of which generates a *pileup* of on average 200 proton-proton interactions, and each proton-proton collision generates several particles in turn [2]. There is simply too much data to process and all be saved to storage. Luckily a lot of collision events contain physics processes that are already well understood and those that require further exploration have signatures that can be used as conditions (or *triggers*) in an Event Filter to activate further processing and finally storage of the data in the so-called Trigger and Data Acquisition system (TDAQ) only for interesting events. [3] Filtering as early as possible in the detector is beneficial, since it reduces the load on all components that come after.

The innermost part of the ATLAS detector is the Inner Tracker (ITk), which records the position of charged particles moving through it at discrete points [2]. As part of the Event Filter the paths of charged particles will be

reconstructed using ITk data, by a system called Hardware Tracking for the Trigger (HTT) [3]. The HTT will be implemented using a combination of custom Associative Memory Application-Specific Integrated Circuits (AM-ASICs) and commercially available Field-Programmable Gate Arrays (FPGAs) [3]. An alternative method, which has been investigated by members of the Uppsala University ATLAS group [12][13], is using the *Hough Transform* [4] for track reconstruction. A system based on the Hough Transform could be implemented using only FPGAs, which would potentially reduce costs [12], however the Hough Transform requires associating the locations of datapoints from different parts of the ITk.

This thesis is an attempt to create an algorithm that associates the *local coordinates* of the datapoints with locations in the *global* view of the ITk for use with the Hough Transform in an FPGA implementation.

## 2 Background

### 2.1 The Hough Transform

The Hough Transform is a highly efficient tool for finding parametrized features in datasets, often lines or curves, first described by Hough in 1962 and then generalised by Hart and Duda in 1972 [4]. The equation at the centre of the Hough transform is

$$\rho = x \cos \theta + y \sin \theta \quad (1)$$

where  $\theta$  is the angle of the normal of a line in the xy-plane and  $\rho$  is its algebraic distance from the origin. The  $\rho\theta$ -parametrization is called the normal parametrization by Hart and Duda [4]. The big advantage, relevant to particle paths, of the Hough transform is that points on a line in the xy-plane appear as lines intersecting a single point in the parameter space. In a discrete environment this allows the parameter space to act as a heat map for potential lines in the xy-plane, which in turn ends up being an efficient way of detecting co-linearity [4]. An illustrative example is made with the pink lines at  $(\rho, \theta) = (60, 90)$  in Figure 1

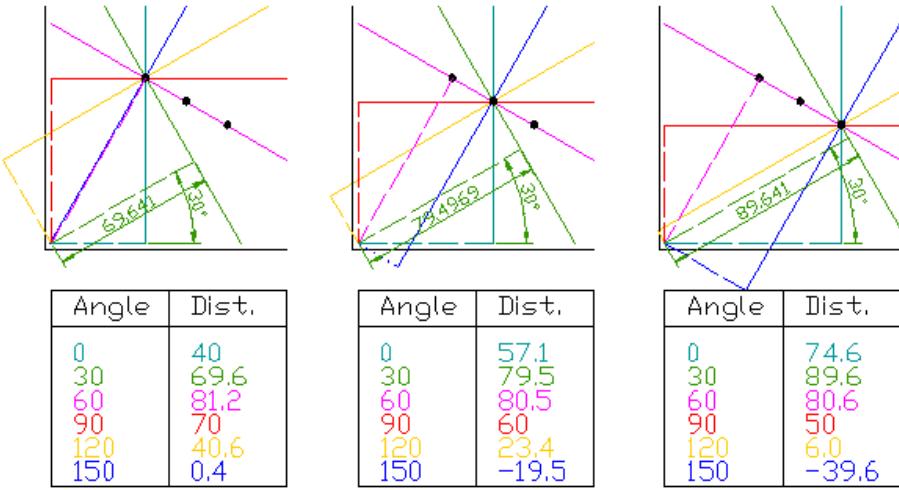


Figure 1: Demonstrating the principle of points along a line accumulating at a point. In the  $\rho\theta$ -plane the pink lines through the points all accumulate at the same point, telling us the lines are very similar, and therefore make a candidate for a path through all these points. Image: Public Domain, [commons.wikimedia.org/wiki/File:Hough\\_transform\\_diagram.png](https://commons.wikimedia.org/wiki/File:Hough_transform_diagram.png)

## 2.2 Inner Tracker structure

The proposed ITk for ATLAS consists of a barrel section with two mirrored endcap sections at each end. The detecting material in the ITk is placed in modules, spread out in the detector structure. There are two main types: pixel modules which contain a grid of silicon pixels, and strip modules which contain stacked strips of silicon. The modules are placed in layers, with the more granular pixel modules closer to the collision point of the two proton beams. There are a total of nine module layers in the barrel section, four outer strip layers, and five inner pixel layers. Near the central axis the endcaps consist of a complicated net of pixel modules, more adequately described in ch. 3 of the Technical Design Report [2]. Further out radially the strip layer endcaps have modules placed in petal shapes. Each module only records hits in its own local coordinate system. To restore some of the accuracy of the strip modules in the long direction of the strips strip modules are placed in paired layers with a small angle between the layers such that the strips of the different modules cross each-other.

For this thesis a similar, generic detector from a previous study by Grdin, Mårtensson and Brenner [12] was used instead of the actual ATLAS layout. The generic detector has 5 pixel layers and 5 double strip layers for a total of 15. A cross section of each detector is shown in Figure 2.

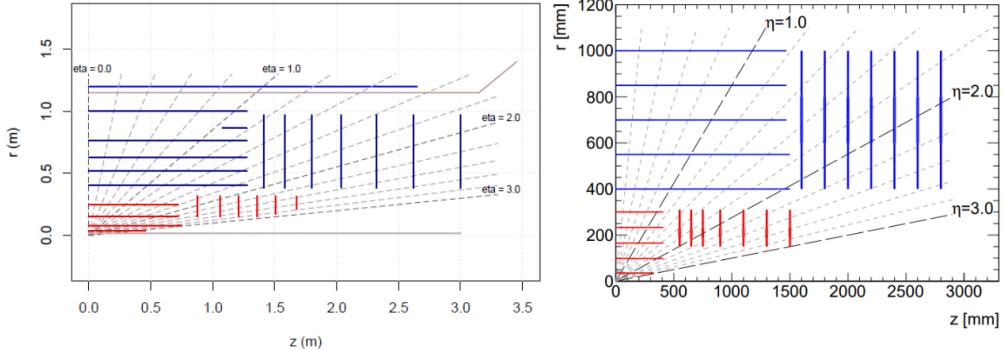


Figure 2: Cross sections of the ATLAS ITk [2] and the generic detector [12]. The design is mirrored in negative  $z$ . Red lines represent pixel layers and blue lines strip layers, the brighter blue line in the ATLAS ITk represents the coil of a solenoid magnet. Images, Left: CERN, License: CC-BY-4.0; Right: Gradin et al., fair use.

### 2.3 Speed requirements

In the HL-LHC, the high rate of events generated, the pileup of 200 [1] events per beam crossing puts stringent time requirements on the Event Filter. As outlined by the relevant Technical Design Report delays of no more than 6-10  $\mu\text{s}$  [3] may be required for the track reconstruction system.

### 2.4 Bit shifting

Bit shifting is a powerful tool in specific high-efficiency computing applications, being a method for direct manipulation of the computer's native bits it is generally faster than more high-level methods which can be especially gainful when using FPGAs or other hardware applications [11]. For example 8 divided by 2 in binary is

$$\begin{array}{r} \dots01000 \\ \hline \dots00010 \end{array} = \dots00100$$

or simply a shift of the bit one step to the right. More operators exist like **AND**, **OR**, **COMPLEMENT** and **XOR** [5]. Another use for bitwise operators is very efficient modulo calculations for powers of 2. The **AND** operator returns 1 if the corresponding bits in both  $a$  and  $b$  are 1, otherwise 0. So for  $a \bmod 2^n$  set  $b = 2^n - 1$ . The effect is similar to  $x \bmod 10^n$  in base 10, you keep only the  $n$  last digits! For further reading on the subject this Real Python tutorial [6] and this StackOverflow thread [7] are recommended.

## 3 Materials and Method

To develop an algorithm for correlating local and global coordinates a script implementing said algorithm was written in Python [8] and the ROOT data analysis framework [9]. The data that the script was tested against was a root-file containing a set of 100 000 simulated muon tracks that have generated hits in the generic detector simulated by Gradin et al. [12] using GEANT4 [10].

### 3.1 Evaluation

To test whether the algorithm is successful or not a set of requirements were made. The algorithm must:

- correlate local and global coordinates
- be able to handle large amounts of data (the dataset used was  $\sim$ 300 MB total)
- be able to handle multiple strip layers
- be possible to implement in FPGA's in a manner that saves on physical resources (memory and FPGA chips) and computation time

Since Python is a high-level programming language with advanced logic and functions that would be either overly slow, or unavailable [11] in a Hardware Description Language that is used with FPGA's the last requirement was made into a set of constraints that the code must follow to ensure that the algorithm is not overly pythonic:

- Use few steps
- Enable bit manipulation by using factors  $2^n$  whenever possible
- Work in an integer environment since this is faster on an FPGA [11]
- Use parameters, constants and lookup-tables rather than complex arithmetics

### 3.2 Data

The input data from the simulation that was used to develop the code and test the algorithm was the local x, y, and z coordinates, along with the unique identifiers of the modules called "hash codes", all pictured in Figure 3. To

calculate the error of the method the global X, Y, and Z coordinates, pictured in Figure 4, were also used. Furthermore a limited region (highlighted in red in the figures mentioned above) in layers 5 and 6 in the middle of the most irradiated part of the detector was selected to develop the algorithm on since the high number of hits and limited number of modules would make isolating errors easier.

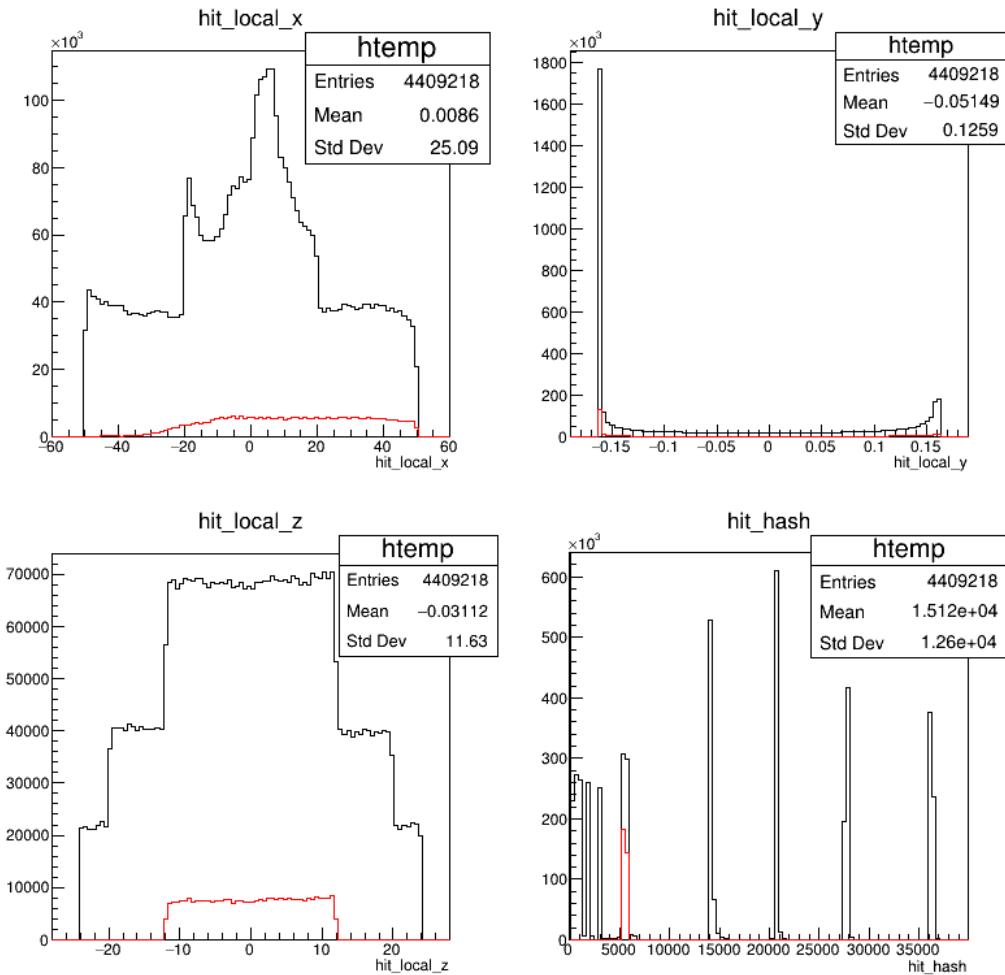


Figure 3: Histograms showing the total distribution of the local coordinates and the hash codes. The red line shows the data selected for use in the Python code and the black is the total.

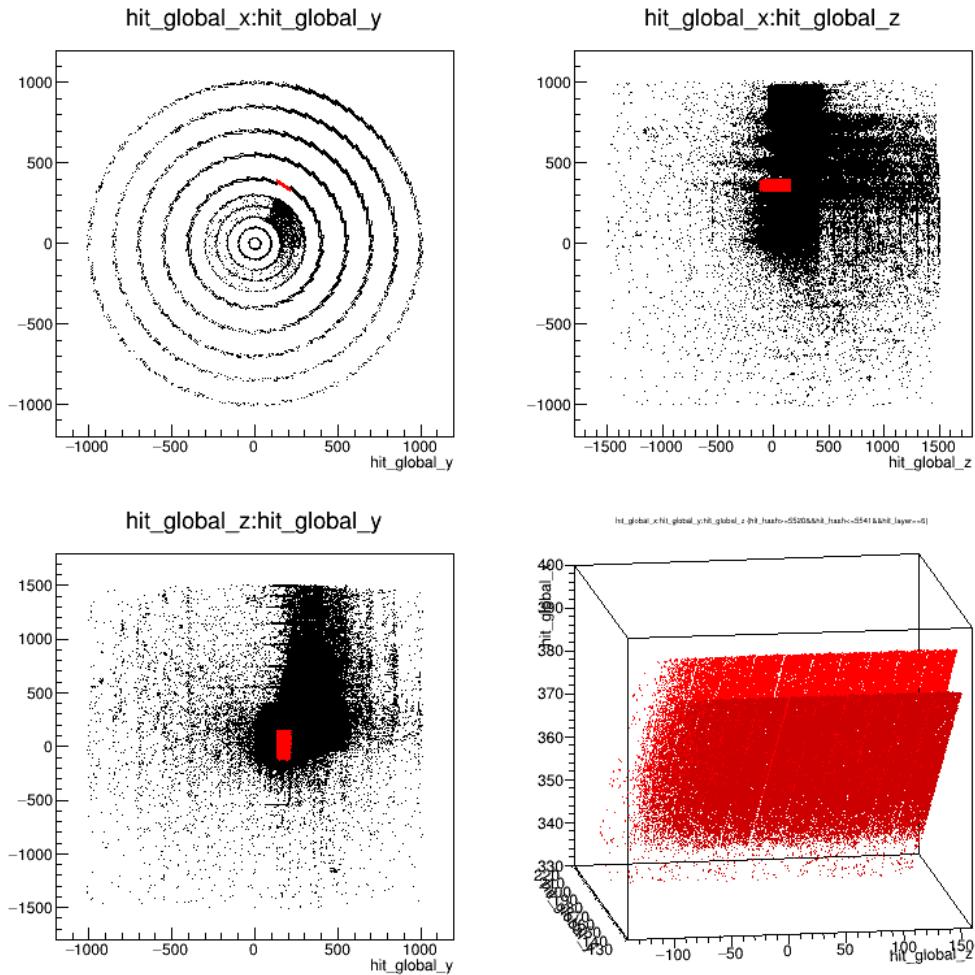


Figure 4: Scatter plot of the global coordinates included in the simulated data, with the selection made in the Python code highlighted in red. Bottom right is a close-up of the selection with the borders between the modules visible and layer 5 given a slightly darker hue.

## 4 Results

The selection consists of modules with hash codes 5520-5541. Module 5520 is placed at  $Z=0$  and was therefore selected alone to figure out the placement of the local coordinates in the global XY-plane. Through carefully studying the scatter plots in Figure 4 one can see that there are 28 rows of modules in the 5-6-double layer placed at a radius of  $400\pm5$  mm, giving an global angle of  $\phi = \pi/7$  rad around the Z-axis for the selection. One can also see a small rotation of the module rows from the tangent around the circle and an even smaller rotation in the plane of the modules from the “crossing angle” mentioned in 2.2. Studying the discrepancy when performing the translations allowed the first angle to be determined to be a  $-\pi/2$  turn plus a  $\pi/18$  rotation along the local z-axis for  $\theta = -4\pi/9$  rad and the second to  $\gamma = 20$  mrad around the local y-axis.

### 4.1 The modulo 4 cycle

Extending the selection to contain multiple modules exposed the four-step cycle determining placement in layer 5 or 6, which way the  $\gamma$ -rotation is applied, and the placement of the local origins along the global Z-axis.

1. layer 5,  $-\gamma +Z$
2. layer 6,  $+\gamma +Z$
3. layer 5,  $-\gamma -Z$
4. layer 6,  $+\gamma -Z$

Further cycles places modules along the Z-axis according to

$$Z = \pm(25N + 13)$$

where  $N$  is the number of completed cycles. The row of modules contains 240 modules so  $N \leq 59$ . The cycle is presented visually in Figure 5.

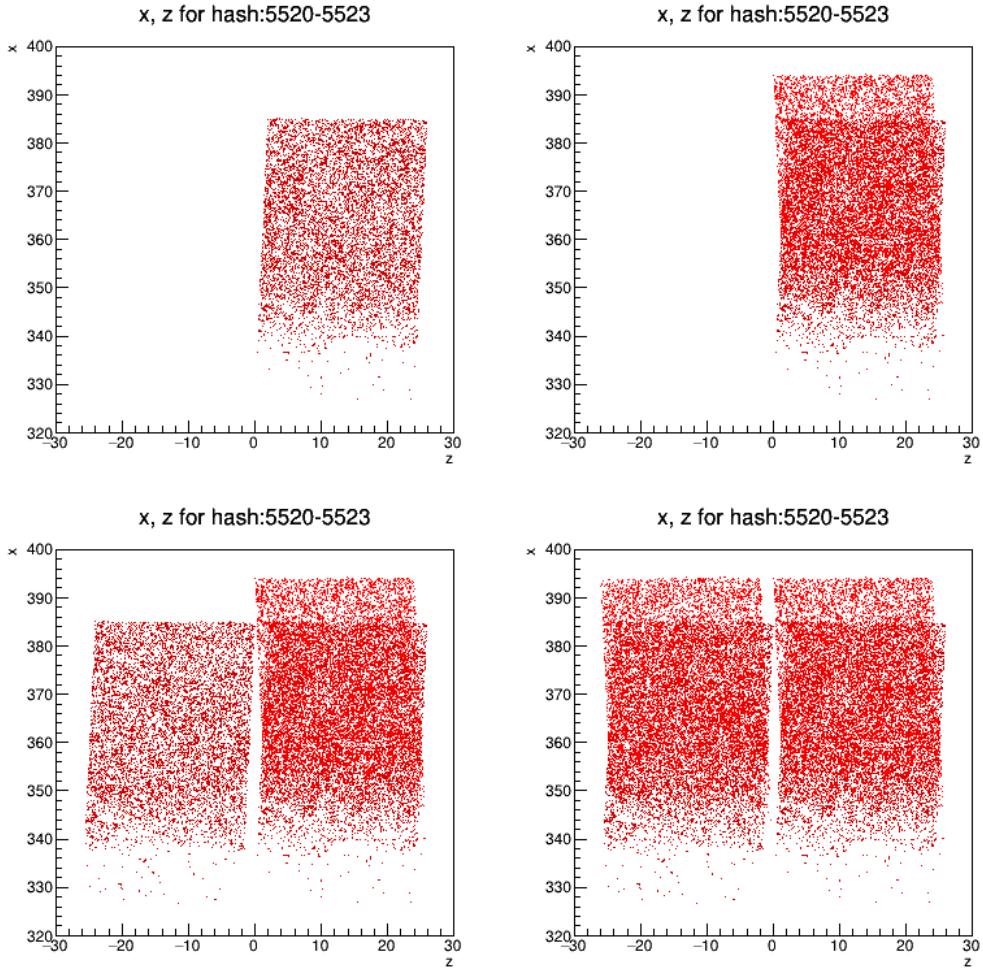


Figure 5: Scatter plot of the global coordinates for hash codes 5520-5523, layer 5 is darker and layer 6 is brighter for visibility. This demonstrates how the modulo 4 (0-3) cycle affects the  $\gamma$ -rotation and placement of the local origin along the global Z-axis, and how the layers are handled. 1's and 3's are in layer 6, and 2's and 3's are in negative Z. The cycle would continue by placing hash 5524 (a 0) to the right of hash 5520, etc.

## 4.2 Two algorithms

Two algorithms were created, one simply performs the rotations and displacements found at the start of section 4, and one that takes as many shortcuts as possible. The conversion from floating point to integer environments was, similarly to Hettiarachchi et al. [11], done by a scale factor  $2^n$ . Both al-

gorithms were tested for a few  $n$ 's and with floating-point, to to represent  $n \rightarrow \infty$ .

#### 4.2.1 Rotation algorithm

The following pseudo-code recounts in general the steps taken by the Rotation algorithm. The actual Python code is listed in Appendix A.1.

```

for every hit{
    fetch hash
    if 5520 <= hash <= 5541{
        fetch and scale local xyz and set to temp vars xyz_t
        if hash&3 is 1 or 3{
            invert gamma in rot_Mat_TG
            set R to 395+10}
        else{
            set R to 395}
        create temp vars xyz_t2 = rot_Mat_TG*xyz_t
        Add to x_t2: R
        create global XYZ = rot_Mat_phi*xyz_t2
        if hash&3 >= 2{
            subtract from Z: 25*(hash-5520)>>2 + 13}
        else{
            add to Z: 25*(hash-5520)>>2 + 13}
        output, scaled back down: X,Y,Z
    }
}

```

Note that in case matrix multiplication is not available the rotations may be done by normal multiplication of each of the temporary coordinate variables with the matrix elements. The latter is the way it is done in the Python code, to be on the safe side. The “ $\&$ ” represents the AND bit operator mentioned in Section 2.4.

All variables are assumed to be scaled integers and operations to be normalized for the scale. In the Python code this is done by connecting the multiplication operator with a whole number division by the scale factor  $2^n$ . In a more realistic implementation, this would be handled internally, see the vector multiplication kernel in Hettiarachchi's paper for an example [11]. The  $\theta\gamma$ -matrix, and the +10 to the radius are the same for all strip layers, but the radius of 395 is specific to the 5-6-layer and the 5520 base to the selected row in the layer.

### 4.2.2 Shear algorithm

The shear algorithm uses the small angle approximation to replace the  $\gamma$  rotation, since it is only 20 mrad, even second order terms are discarded. This allows a single rotation around the global Z by the sum of  $\theta$  and  $\phi$ .

The following pseudo-code recounts in general the steps taken by the Shear algorithm. The actual Python code is listed in Appendix A.2.

```

load constants: sinphi, cosphi, sinPT, cosPT, X_off, Y_off
for every hit{
    fetch hash
    if 5520 <= hash <= 5541{
        fetch and scale local xyz and set to temp vars xyz_t
        create temp var gamma = 0.02
        if hash&3 is 1 or 3{
            create temp var X_L6 = 10*cosphi
            create temp var Y_L6 = 10*sinphi
            invert gamma}
        else{
            create temp var X_L6 = 0
            create temp var Y_L6 = 0}
        create temp var z_off = 25*(hash-5520)>>2 + 13
        if hash&3 >= 2{
            invert z_off}
        create temp var x_t2 = x_t - gamma*z_t
        create temp var z_t2 = z_t + gamma*x_t
        create X = cosPT*x_t2 - sinPT*y_t + X_off + X_L6
        create Y = sinPT*x_t2 + cosPT*y_t + Y_off + Y_L6
        create Z = z_t2 + z_off
        output, scaled back down: X,Y,Z
    }
}

```

Here the  $\theta$ -plus- $\phi$ -rotation is explicitly handled by direct multiplication with constants to save on processing. Note also that the radial displacement is split into x and y factors which are added right after the rotation.

The previous notes on normalization and selection-specific values are also relevant here.

### 4.3 Discrepancies

The discrepancy between the true globals from the data and the calculated dittos for the two algorithms is plotted in Figure 6. It was tested for  $n = 8–13$  and with floating point. The plots illustrate an erratic behaviour in the mean of the errors due the truncation of the 8 trigonometric terms in the rotation matrices in the rotation algorithm. Also shown is how the shear algorithm in a more stable way moves to a minimum, while also achieving decent accuracy already at  $n = 8$ .

To further illustrate the characteristics of the different algorithms detailed plots of the errors are given in Figures 7, and 8. The former is the result of running the Shear algorithm with  $n = 8$  and the latter is from a run of the Rotation algorithm with  $n = 11$ . The width of the distributions on the right side depend mostly on the error in  $\gamma$ . For X and Y the pitch depends on both  $\theta$  and  $\phi$ , with a stronger dependence on  $\theta$ , while the height displacements also depend on both  $\theta$  and  $\phi$ , but with reversed strength relations.

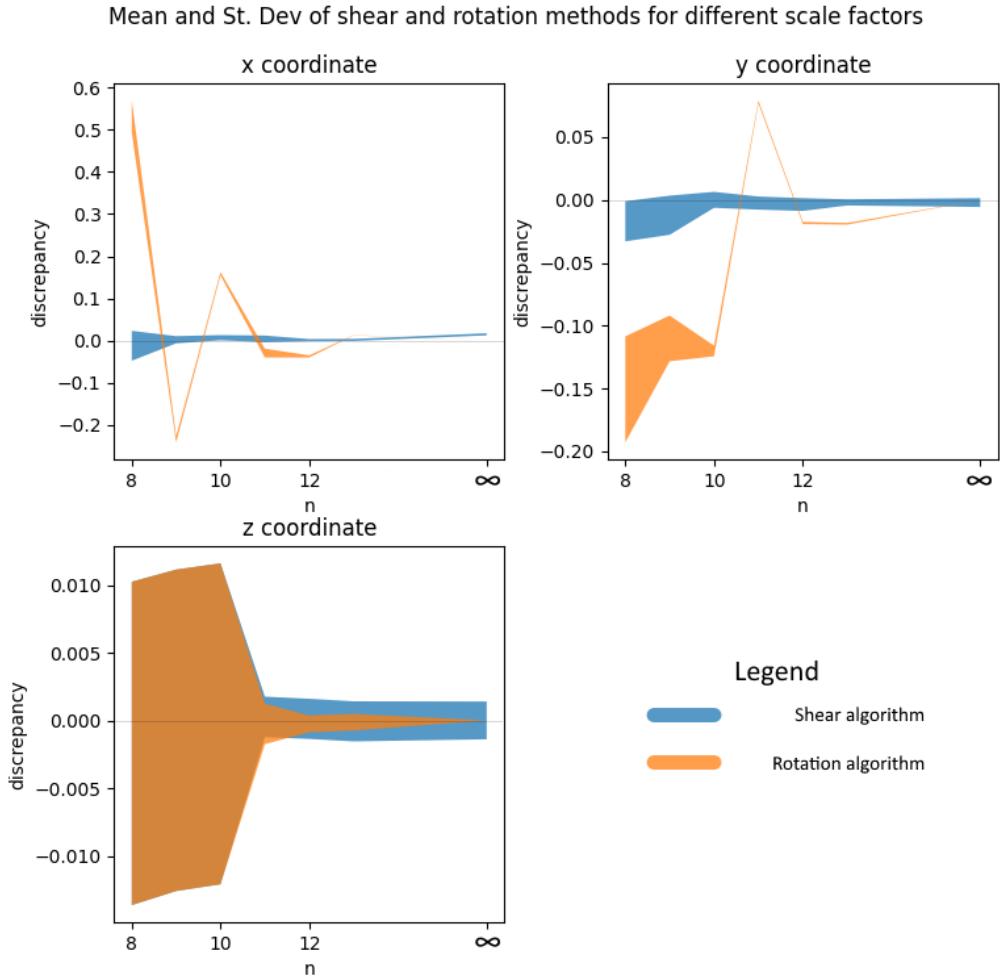


Figure 6: Plots of the mean and standard deviation of the error distributions for  $n = 8, 9, 10, 11, 12, 13$  and floating-point for the three coordinates. The height of the line is determined by the mean and the width by the standard deviation. Note that all three of the Rotation-lines go toward 0 in both metrics at floating-point precision, making the lines difficult to see. The initially chaotic behaviour of the mean paired with the rapid reduction of the width of the distribution from the Rotation algorithm is contrasted with the stable but limited convergence of the Shear algorithm.

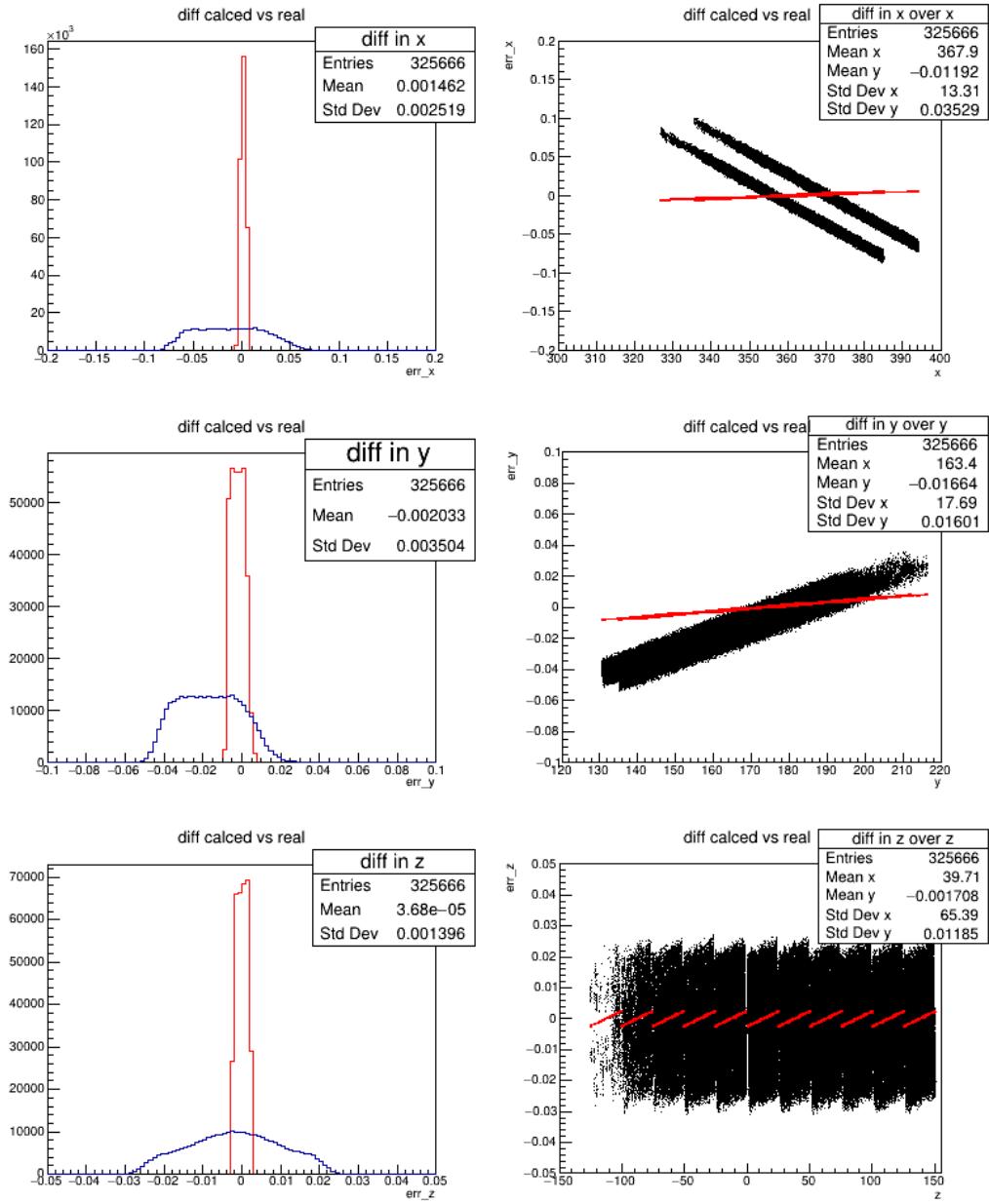


Figure 7: Detail of errors from running the Shear algorithm with  $n = 8$ . On the left is a histogram to show the distribution and on the right is a scatter plot where the error's dependence on the own coordinate is examined. The floating-point, or maximum precision, solution is shown in red. The stats on the left are for the floating-point distribution.

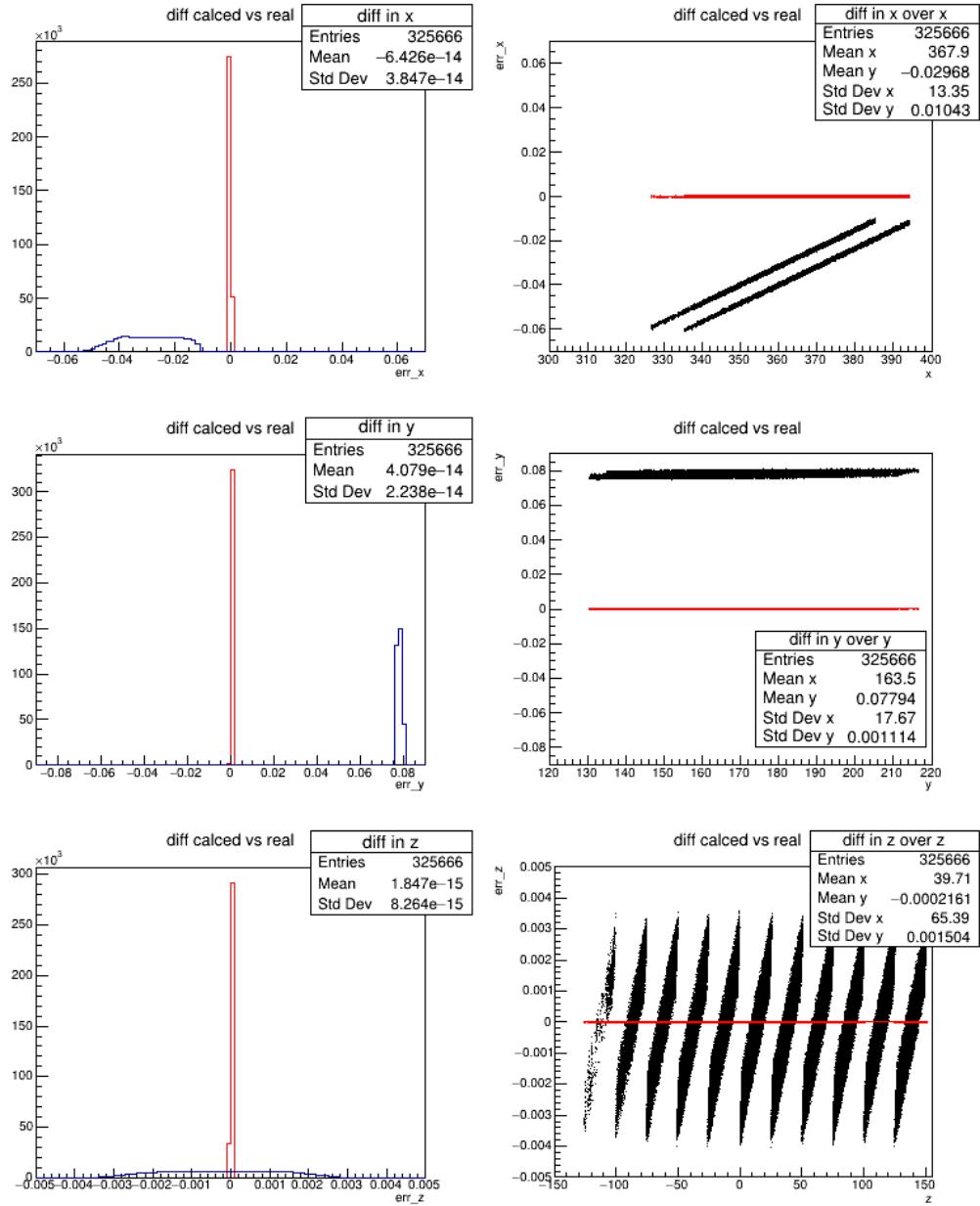


Figure 8: Detail of errors from running the Rotation algorithm with  $n = 11$ . On the left is a histogram to show the distribution and on the right is a scatter plot where the error's dependence on the own coordinate is examined. The floating-point, or maximum precision, solution is shown in red. The stats on the left are for the floating-point distribution.

## 5 Discussion and Outlook

The goal of this thesis was to develop a coordinate conversion algorithm for detectors that could be implemented in FPGAs to enable the use of the Hough transform in particle path reconstruction.

The requirements and limitations outlined in section 3.1 are at least partially fulfilled by the Shear algorithm that was developed. The biggest limitation pertains to the expansion of the selection into multiple rows and multiple double strip layers, since that part is completely hard-coded in the current implementation. The first step one would take in further developing the algorithm would be to generalise this part. Though that would come with its own challenges, among other things the number of rows is not the same in every layer.

Both versions of the algorithm contain trigonometric functions which are non-trivial to implement in an FPGA. A common way to implement such functions is look-up tables, as shown by Hettiarachchi et al. [11], which should be sufficient here too. However it might be prudent to limit the use as demonstrated by the chaotic behaviour of the rotation algorithm in Figure 6, which comes from the truncation of the 6 trigonometric variables.

If the Hough transform were to be set up to accept cylindrical coordinates it might be worth to explore remaking the algorithm in a cylindrical environment, since it would greatly simplify the rotations.

From this thesis it seems that the Shear algorithm has the most advantages, since the small angle approximation simplifies the rotations, providing stability even with small scale factors and only loses a small amount of precision. However if higher precision is needed and larger scale factors isn't an issue, the Rotation algorithm might be the only option. Since it contains 3 elementary rotations and a displacement using a fast algorithm for rotations is a priority. In this case the CORDIC [14] algorithm might be worth investigating in a future study.

Upgrading the ATLAS detector is a large undertaking. The potential savings in resources and work hours from avoiding the custom AM-ASICs, which have to be manufactured in-house and are also inflexible with regards to the detector structure [12], motivates developing the Hough implementation further.

## 6 Conclusions

Given that the global coordinates used in the Hough transform should be cartesian and limits to the size of the scale factor the Shear algorithm is a promising attempt at a conversion algorithm, however it still requires an extension to handle all layers around the whole detector. The Rotation algorithm is possible to use, but only with large scale factors, since it is very sensitive to the truncation error. It also has the same need for an extension into more layers.

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# A Code

This Appendix contains listings of the Python scripts that were made for this thesis. A.1 contains the script for the rotation algorithm. A.2 contains the script for the shear algorithm.

## A.1 rotation.py

```
1 # import libraries
2 import sys
3 from typing import SupportsIndex
4 from ROOT import TCanvas, TPad, TFile, TPaveText, TBrowser
5 from ROOT import gBenchmark, gStyle, gROOT, TH1D, TH1I, TH3D,
6   TH2D
7 from time import time, sleep
8 from math import atan, pi, tan, sin, cos
9
10 # create a canvas and histogram
11 c1 = TCanvas('c1','The Ntuple canvas',200,10,800,1000)
12 c2 = TCanvas('c2','The Ntuple canvas',1000,10,800,800)
13
14 # Scaling parameter for integer solution
15 n = 11
16 mlt = 2**n
17 print("scaling by: ",mlt)
18 #          256 512 1024 2048 4096 8192
19 errhxu = 0.07 # 0.8 -0.1 0.2 0 -0.025 0.015
20 errhxl = -0.07 # 0.4 -0.3 0.1 -0.07 -0.045 0.011
21 errhyu = 0.09 # 0 0 -0.1 0.085 -0.015 -0.015
22 errhyl = -0.09 # -0.3 -0.3 -0.16 0.07 -0.022 -0.022
23 errhz = 0.005 # 0.05 0.05 0.05 0.005 0.002 0.002
24
25 #           name, title, nbinsx,xl ,
26 #           xu,nby,yl , yu,nbz,zl,zu
27 h_calcxy = TH2D("x, y","Calculated global coordinates;y;x"
28   ,1000,120,220,1000,300,400)
29 h_calcxz = TH2D("x, z","Calculated global coordinates;z;x"
30   ,3050,-150,155,1000,300,400)
31 h_calcyz = TH2D("z, y","Calculated global coordinates;y;z"
32   ,1000,120,220,3050,-150,155)
33 h_calcxy.SetStats(0)
34 h_calcxz.SetStats(0)
35 h_calcyz.SetStats(0)
36 h_diffxx = TH2D("diff in x over x","diff calced vs real;x;
37   err_x",1000,300,400,300,errhxl,errhxu)
38 h_diffyy = TH2D("diff in y over y","diff calced vs real;y;
39   err_y",1000,120,220,300,errhyl,errhyu)
```

```

33 h_diffzz = TH2D("diff in z over z","diff calced vs real;z;
34   err_z",3050,-150,155,300,-errhz,errhz)
35 h_diffx = TH1D("I diff in x","diff calced vs real;err_x",100,
36   errhxl,errhxu)
37 h_diffy = TH1D("I diff in y","diff calced vs real;err_y",100,
38   errhyl,errhyu)
39 h_diffz = TH1D("I diff in z","diff calced vs real;err_z"
40   ,100,-errhz,errhz)
41
42 h_exactxy = TH2D("f: x, y","float globs from locs;y;x"
43   ,1000,120,220,1000,300,400)
44 h_exactxz = TH2D("f: x, z","float globs from locs;z;x"
45   ,3050,-150,155,1000,300,400)
46 h_exactyz = TH2D("f: y, z","float globs from locs;y;z"
47   ,1000,120,220,3050,-150,155)
48 h_exactxy.SetStats(0)
49 h_exactxz.SetStats(0)
50 h_exactyz.SetStats(0)
51 h_exdiffxx = TH2D("f: diff in x over x","float from real;x;
52   err_x",1000,300,400,300,errhxl,errhxu)
53 h_exdiffyy = TH2D("f: diff in y over y","float from real;y;
54   err_y",1000,120,220,300,errhyl,errhyu)
55 h_exdiffzz = TH2D("f: diff in z over z","float globs from
56   real globs;z;err_z",3050,-150,155,300,-errhz,errhz)
57 h_exdiffx = TH1D("diff in x","diff calced vs real;err_x",100,
58   errhxl,errhxu)
59 h_exdiffy = TH1D("diff in y","diff calced vs real;err_y",100,
60   errhyl,errhyu)
61 h_exdiffz = TH1D("diff in z","diff calced vs real;err_z"
62   ,100,-errhz,errhz)
63
64 #open file
65 file = TFile("./muons_eta0.1-0.3_run0.root",'read') # modify
66   as required to reach the file on your specific system
67 tree = file.Get("tracking")
68
69 #b = TBrowser() #useful for looking at available parameters
70   in the data
71
72 #hash code (Module ID) selection
73 hnl = 5520
74 hnu = 5541
75
76 #precalculated parameters
77 ang_z1 = -pi*4/9# pi(-1/2+0.5/9) #rotation angle in local xy
78   plane
79 ang_y1 = 0.02 # rotation angle in local xz plane
80 ang_z2 = pi/7 #rotation angle in global xy plane

```

```

65 # The above angle is dependent both on the specific row in a
66 # layer and the number of rows in the layer
67 # This will at least require mod 240, which is possible using
68 # bit shifts. It will also likely require
69 # some manner of lookup table for hash:layers unless layers
70 # are knowns since total number of hashes per layer is not
71 # constant.
72
73
74
75
76
77
78
79
80 #exact solution values
81 sinz1 = sin(ang_z1)
82 cosz1 = cos(ang_z1)
83 siny0 = sin(ang_y1)
84 cosy0 = cos(ang_y1)
85 sinz2 = sin(ang_z2)
86 cosz2 = cos(ang_z2)
87
88
89 # integer solution values
90 isinz1 = int(round(mlt*sin(ang_z1)))
91 icosz1 = int(round(mlt*cos(ang_z1)))
92 isiny0 = int(round(mlt*sin(ang_y1)))
93 icosy0 = int(round(mlt*cos(ang_y1)))
94 isinz2 = int(round(mlt*sin(ang_z2)))
95 icosz2 = int(round(mlt*cos(ang_z2)))
96
97
98 # some measurement variables
99 lpcnt = 0
100 loop_start = time()
101 print("loop start")
102 #loop over ntuple
103 for entry in tree:
104     # a loop limiter for quick code testing
105     lpcnt += 1
106     # if lpcnt == 10000:
107     #     break
108
109     # Filling using matching elements
110     for i,hsh in enumerate(tree.hit_hash):
111         if hnl <= hsh <= hnu:
112             #handling of the mod 4 hash code cycle
113             hsh -= hnl
114             big = hsh>>2
115             sml = hsh&3
116
117
118         # Fetch local coords
119         xloc_t1 = int(round(mlt*tree.hit_local_x[i]))

```



```

152      # Scale back down for plots
153      x /= mlt
154      y /= mlt
155      z /= mlt
156
157      #compare with true values
158      delx = x - tree.hit_global_x[i]
159      dely = y - tree.hit_global_y[i]
160      delz = z - tree.hit_global_z[i]
161
162      #fill histograms
163      h_calczx.Fill(z,x)
164      h_calcxy.Fill(y,x)
165      h_calcyz.Fill(y,z)
166
167      h_diffx.Fill(delx)
168      h_diffy.Fill(dely)
169      h_diffz.Fill(delz)
170
171      h_diffxx.Fill(x,delx)
172      h_diffyy.Fill(y,dely)
173      h_diffzz.Fill(z,delz)
174
175
176
177      #Repeat for exact solution
178      # Fetch local coords
179      xloc_t1 = tree.hit_local_x[i]
180      yloc_t1 = tree.hit_local_y[i]
181      zloc_t1 = tree.hit_local_z[i]
182
183      #reset these params
184      #ang_y1 = 0.02
185      siny1 = siny0
186      cosy1 = cosy0
187      r_offex = 395
188      #modify according to cycle
189      if sml in (1,3):
190          #ang_y1 *=-1
191          siny1 *= -1
192          r_offex += 10
193
194      # Local rotations are same for all modules
195      # Do combined local rotation
196      xloc = cosy1*(cosy1*xloc_t1 - siny1*zloc_t1) -
197          sinz1*yloc_t1
198      yloc = sinz1*(cosy1*xloc_t1 - siny1*zloc_t1) +
          cosy1*yloc_t1
          zloc = siny1*xloc_t1 + cosy1*zloc_t1

```

```

199
200     z_off = 25*big + 13
201     if sml >= 2:
202         z_off *= -1 #easy bit shift
203
204         #following global offset and rotation will be
205         #different for different modules
206         xloc += r_offex
207
208         # The rotation here is dependent both on the
209         # specific row of modules and the number of rows in the
210         # specific layer
211         # The most efficient way to implement will
212         # probably be some manner of lookup tables.
213         x = cosz2*xloc - sinz2*yloc
214         y = sinz2*xloc + cosz2*yloc
215         z = z_off + zloc
216
217         # print(x,y,z)
218
219
220         delx = x - tree.hit_global_x[i]
221         dely = y - tree.hit_global_y[i]
222         delz = z - tree.hit_global_z[i]
223
224
225         h_exactxy.Fill(y,x)
226         h_exactxz.Fill(z,x)
227         h_exactyz.Fill(y,z)
228
229         h_exdiffx.Fill(delx)
230         h_exdiffy.Fill(dely)
231         h_exdiffz.Fill(delz)
232
233
234
235
236
237     loop_done = time()
238     print(f"loop time: {loop_done-loop_start}")
239     print(lpcnt)
240
241     # Plot histogram
242     # Divide canvas for two plots on same canvas
243     c1.Divide(2,3)

```

```

244
245 c1.cd(1)
246 h_exdiffx.SetLineColor(2)
247 h_exdiffx.Draw()
248 h_diffx.Draw("SAME")
249
250 c1.cd(2)
251 h_diffxx.SetMarkerColor(1)
252 h_diffxx.Draw()
253 h_exdiffxx.SetMarkerColor(2)
254 h_exdiffxx.Draw("SAME")
255
256 c1.cd(3)
257 h_exdiffy.SetLineColor(2)
258 h_exdiffy.Draw()
259 h_diffy.Draw("SAME")
260
261 c1.cd(4)
262 h_diffyy.SetMarkerColor(1)
263 h_diffyy.Draw()
264 h_exdiffyy.SetMarkerColor(2)
265 h_exdiffyy.Draw("SAME")
266
267 c1.cd(5)
268 h_exdiffz.SetLineColor(2)
269 h_exdiffz.Draw()
270 h_diffz.Draw("SAME")
271
272 c1.cd(6)
273 h_diffxx.SetMarkerColor(1)
274 h_diffzz.Draw()
275 h_exdiffzz.SetMarkerColor(2)
276 h_exdiffzz.Draw("SAME")
277
278 c1.Update()
279 # this statement is to allow to inspect plots before code
#       finishes
280
281
282 c2.Divide(2,2)
283
284 c2.cd(1)
285 #h_exactxy.Draw()
286 h_calcxy.Draw()
287
288 c2.cd(2)
289 #h_exactxz.Draw()
290 h_calcxz.Draw()
291

```

```

292 c2.cd(3)
293 #h_exactyz.Draw()
294 h_calcyz.Draw()
295
296 c2.cd(4)
297 #h_diffzz.Draw("")
298
299 c2.Update()
300
301
302
303 # the following is code to show how hashes are mapped in the
304 # z-direction,
305 # turns out they're mapped from 0 outward, alternating
306 # between negatives and positives
307
308 # c1.cd(2)
309 # opts = f"hit_hash>={hnl}&&hit_hash<={hnu}"
310 # hist=tree.Draw("hit_hash",opts)
311
312 # for i in range(hnl,hnu,2):
313 #     opts2 = f"hit_hash>={i-1}&&hit_hash<={i}"
314 #     c1.cd(1)
315 #     hist=tree.Draw("hit_global_x:hit_global_z",opts2)
316 #     c1.Update()
317 #     sleep(0.5)
318
319 code_done = time()
320 print(f"time to execute: {code_done-loop_start}")
321 r=input('Please press enter to continue.')

```

## A.2 shear.py

```

1 # import libraries
2 import sys
3 from typing import SupportsIndex
4 from ROOT import TCanvas, TPad, TFile, TPaveText, TBrowser
5 from ROOT import gBenchmark, gStyle, gROOT, TH1D, TH1I, TH3D,
6   TH2D
7 from time import time, sleep
8 from math import atan, pi, tan, sin, cos
9
10 # create a canvas and histogram
11 c1 = TCanvas('c1','The Ntuple canvas',200,10,800,1000)
12 c2 = TCanvas('c2','The Ntuple canvas',1000,10,800,800)
13
14 # Scaling parameter for integer solution
15 n = 8
16 mlt = 2**n
17 print("scaling by: ",mlt)
18
19 #          256   512   1024   2048   4096   8192
20 errhxu = 0.2      # 0.2   0.04   0.03   0.02   0.01   0.01
21 errhxl = -0.2     # -0.2  -0.04  -0.03  -0.02  0.01  -0.01
22 errhyu = 0.1      # 0.1   0.04   0.03   0.02   0.015  0.01
23 errhyl = -0.1     # -0.1  -0.06  -0.03  -0.02  -0.015 -0.01
24 errhz = 0.05      # 0.05  0.05   0.03   0.004  0.004  0.004
25
26 #          name,   title,           nbinsx,xl ,
27 #          xu,nby,yl,  yu,nbz,zl,zu
28 h_calcxy = TH2D("x, y","Calculated global coordinates;y;x"
29 ,1000,120,220,1000,300,400)
30 h_calcxz = TH2D("x, z","Calculated global coordinates;z;x"
31 ,3050,-150,155,1000,300,400)
32 h_calcyz = TH2D("z, y","Calculated global coordinates;y;z"
33 ,1000,120,220,3050,-150,155)
34 h_calcxy.SetStats(0)
35 h_calcxz.SetStats(0)
36 h_calcyz.SetStats(0)
37 h_diffxx = TH2D("diff in x over x","diff calced vs real;x;
38   err_x",1000,300,400,300,errhxl,errhxu)
39 h_diffyy = TH2D("diff in y over y","diff calced vs real;y;
40   err_y",1000,120,220,300,errhyl,errhyu)
41 h_diffzz = TH2D("diff in z over z","diff calced vs real;z;
42   err_z",3050,-150,155,300,-errhz,errhz)
43 h_diffx = TH1D("I diff in x","diff calced vs real;err_x",100,
44   errhxl,errhxu)
45 h_diffy = TH1D("I diff in y","diff calced vs real;err_y",100,
46   errhyl,errhyu)
47 h_diffz = TH1D("I diff in z","diff calced vs real;err_z"
48 ,100,errhz,errhz)

```

```

        ,100,-errhz,errhz)
38
39 h_exactxy = TH2D("f: x, y","float globs from locs;y;x"
        ,1000,120,220,1000,300,400)
40 h_exactxz = TH2D("f: x, z","float globs from locs;z;x"
        ,3050,-150,155,1000,300,400)
41 h_exactyz = TH2D("f: y, z","float globs from locs;y;z"
        ,1000,120,220,3050,-150,155)
42 h_exactxy.SetStats(0)
43 h_exactxz.SetStats(0)
44 h_exactyz.SetStats(0)
45 h_exdiffxx = TH2D("f: diff in x over x","float from real;x;
        err_x",1000,300,400,300,errhxl,errhxu)
46 h_exdiffyy = TH2D("f: diff in y over y","float from real;y;
        err_y",1000,120,220,300,errhyl,errhyu)
47 h_exdiffzz = TH2D("f: diff in z over z","float globs from
        real globs;z;err_z",3050,-150,155,300,-errhz,errhz)
48 h_exdiffx = TH1D("diff in x","diff calced vs real;err_x",100,
        errhxl,errhxu)
49 h_exdiffy = TH1D("diff in y","diff calced vs real;err_y",100,
        errhyl,errhyu)
50 h_exdiffz = TH1D("diff in z","diff calced vs real;err_z"
        ,100,-errhz,errhz)
51 #open file
52 file = TFile("./muons_eta0.1-0.3_run0.root",'read') # modify
        as required to reach the file on your specific system
53 tree = file.Get("tracking")
54
55 #b = TBrowser() #useful for looking at available parameters
        in the data
56
57 #hash code (Module ID) selection
58 hnl = 5520
59 hnu = 5541
60
61 #precalculated parameters
62
63 #radial displacement : 395 (+10 for layer 6)
64
65 #exact solution values
66 phi = pi/7
67 phiThet = -pi*19/63#-0.9474933115904356
68
69 sinex = sin(phiThet)#-0.8119548525165163
70 cosex = cos(phiThet)#0.583720239048538
71 sinphi = sin(phi)
72 cosphi = cos(phi)
73 x_offex = 395*cosphi
74 y_offex = 395*sinphi

```

```

75
76 # integer solution values:
77 sine = int(round(mlt*sinex))
78 cose = int(round(mlt*cosex))
79 icosphi = int(round(mlt*cosphi))
80 isinphi = int(round(mlt*sinphi))
81 x_off = int(round(mlt*x_offex))
82 y_off = int(round(mlt*y_offex))
83
84
85
86
87 # some measurement variables
88 lpcnt = 0
89 loop_start = time()
90 print("loop start")
91 #loop over ntuple
92 for entry in tree:
93     # a loop limiter for quick code testing
94     lpcnt += 1
95     # if lpcnt == 10000:
96     #     break
97
98     # Filling using matching elements
99     for i,hsh in enumerate(tree.hit_hash):
100         if hnl <= hsh <= hnu:
101             #handling of the mod 4 hash code cycle
102             hsh -= hnl
103             big = hsh>>2
104             sml = hsh&3
105             R_off = 395
106
107             # Do conversion steps for integer solution
108             x_t = int(round(mlt*tree.hit_local_x[i]))
109             yloc = int(round(mlt*tree.hit_local_y[i]))
110             z_t = int(round(mlt*tree.hit_local_z[i]))
111
112
113
114             z_off = mlt*(25*big + 13)
115             if sml >= 2:
116                 z_off *= -1 #easy bit shift
117
118             gamma = int(round(mlt*0.02))
119             if sml in (1,3):
120                 x_L6 = 10*icosphi
121                 y_L6 = 10*isinphi
122                 gamma *= -1
123             else:

```

```

124         x_L6 = 0
125         y_L6 = 0
126
127         xloc = x_t - gamma*z_t//mlt
128         zloc = z_t + gamma*x_t//mlt
129
130         x = cose*xloc//mlt - sine*yloc//mlt + x_off +
131         x_L6
132         y = sine*xloc//mlt + cose*yloc//mlt + y_off +
133         y_L6
134         z = zloc + z_off
135
136         #Scale down for plots:
137         x /= mlt
138         y /= mlt
139         z /= mlt
140
141         delx = x - tree.hit_global_x[i]
142         dely = y - tree.hit_global_y[i]
143         delz = z - tree.hit_global_z[i]
144
145         h_calcxz.Fill(z,x)
146         h_calcxy.Fill(y,x)
147         h_calcyz.Fill(y,z)
148
149         h_diffx.Fill(delx)
150         h_diffy.Fill(dely)
151         h_diffz.Fill(delz)
152
153         h_diffxx.Fill(x,delx)
154         h_diffyy.Fill(y,dely)
155         h_diffzz.Fill(z,delz)
156
157
158
159         #Now repeat steps for exact solution
160
161
162         x_t1 = tree.hit_local_x[i]
163         y_t1 = tree.hit_local_y[i]
164         z_t1 = tree.hit_local_z[i]
165         # [cosa 0 sina] [x] x' = cosa*x + sina*z
166         x' = (1-a^2)*x + a*z ~= x + a*z
167         # [0 1 0]*[y] y' = y
SAA:         y' = y
         # [-sina 0 cosa] [z] z' = -sina*x + cosa*z
         z' = -a*x + (1-a^2)z ~= -a*x + z

```

```

168     # x_off = 355.875
169     # y_off = 171.4
170     if sml in (1,3):
171         gamma = -0.02
172     else:
173         gamma = 0.02
174
175     xloc = x_t1 - gamma*z_t1
176     yloc = y_t1
177     zloc = z_t1 + gamma*x_t1
178
179
180     z_off = 25*big + 13
181
182     if sml >= 2:
183         z_off *= -1 #easy bit shift
184
185     z_ang = 0.02*xloc
186
187     x = cosex*xloc - sinex*yloc + x_offex
188     y = sinex*xloc + cosex*yloc + y_offex
189     z = zloc + z_off
190
191     if sml in (1,3):
192         x += 10*cosphi
193         y += 10*sinphi
194         z_ang *= -1
195     #z += z_ang
196
197     delx = x - tree.hit_global_x[i]
198     dely = y - tree.hit_global_y[i]
199     delz = z - tree.hit_global_z[i]
200
201
202     h_exactxy.Fill(x,y)
203     h_exactxz.Fill(x,z)
204     h_exactyz.Fill(y,z)
205
206     h_exdiffx.Fill(delx)
207     h_exdiffy.Fill(dely)
208     h_exdiffz.Fill(delz)
209
210     h_exdiffxx.Fill(x,delx)
211     h_exdiffyy.Fill(y,dely)
212     h_exdiffzz.Fill(z,delz)
213
214
215
216

```

```

217
218
219
220 loop_done = time()
221 print(f"loop time: {loop_done-loop_start}")
222 print(lpcnt)
223
224 # Plot histogram
225 # Divide canvas for two plots on same canvas
226 c1.Divide(2,3)
227
228 c1.cd(1)
229 h_exdiffx.SetLineColor(2)
230 h_exdiffx.Draw()
231 h_diffx.Draw("SAME")
232
233 c1.cd(2)
234 h_diffxx.SetMarkerColor(1)
235 h_diffxx.Draw()
236 h_exdiffxx.SetMarkerColor(2)
237 h_exdiffxx.Draw("SAME")
238
239 c1.cd(3)
240 h_exdiffy.SetLineColor(2)
241 h_exdiffy.Draw()
242 h_diffy.Draw("SAME")
243
244 c1.cd(4)
245 h_diffyy.SetMarkerColor(1)
246 h_diffyy.Draw()
247 h_exdiffyy.SetMarkerColor(2)
248 h_exdiffyy.Draw("SAME")
249
250 c1.cd(5)
251 h_exdiffz.SetLineColor(2)
252 h_exdiffz.Draw()
253 h_diffz.Draw("SAME")
254
255 c1.cd(6)
256 h_diffxx.SetMarkerColor(1)
257 h_diffzz.Draw()
258 h_exdiffzz.SetMarkerColor(2)
259 h_exdiffzz.Draw("SAME")
260
261 c1.Update()
262 # this statement is to allow to inspect plots before code
#       finishes
263
264

```

```

265 c2.Divide(2,2)
266
267 c2.cd(1)
268 #h_exactxy.Draw()
269 h_calcxy.Draw()
270
271 c2.cd(2)
272 #h_exactxz.Draw()
273 h_calcxz.Draw()
274
275 c2.cd(3)
276 #h_exactyz.Draw()
277 h_calcyz.Draw()
278
279 c2.cd(4)
280 #h_diffzz.Draw("")
281
282 c2.Update()
283
284
285
286 # the following is code to show how hashes are mapped in the
287 # z-direction,
288 # turns out they're mapped from 0 outward, alternating
289 # between negatives and positives
290
291 # c1.cd(2)
292 # opts = f"hit_hash>={hnl}&&hit_hash<={hnu}"
293 # hist=tree.Draw("hit_hash",opts)
294
295 # for i in range(hnl,hnu,2):
296 #     opts2 = f"hit_hash>={i-1}&&hit_hash<={i}"
297 #     c1.cd(1)
298 #     hist=tree.Draw("hit_global_x:hit_global_z",opts2)
299 #     c1.Update()
300 #     sleep(0.5)
301
302 code_done = time()
303 print(f"time to execute: {code_done-loop_start}")
304 r=input('Please press enter to continue.')

```