

# Precision tests of the AdS/CFT correspondence

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fulfillment of the requirements for the  
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People say that doing a Ph.D. is like a lonely journey. During the long process,

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<sup>1</sup>For example, there was always a mask on my face until the beginning of 2023, which is only one and a half years ago.

there has been loneliness, difficulty, confusion, sorrow, regret, fear, hesitation, anxiety, and frustration. It is because of all of you that this journey has also been filled with company, hope, enlightenment, happiness, comfort, bravery, faith, serenity, and encouragement. I wish that time could slow down a bit so that I can enjoy this moment of life, but the sun is setting. If I have to say goodbye to some of you, I hope our future will be bright.

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# Abstract

The AdS/CFT correspondence, or holographic duality, relates quantum field theories with quantum gravity in one dimension higher. In this thesis, we study precision holography in various examples, using tools from strongly-coupled quantum field theories and supergravity.

After reviewing the AdS/CFT correspondence in the introductory chapter 1, in chapter 2 we study 4d gauged supergravity theories that can be uplifted to 10d or 11d supergravity backgrounds. Motivated by results in the holographically dual 3d field theories, we examine the logarithmic corrections to the gravitational on-shell action and black hole entropy for a number of theories on different backgrounds, both supersymmetric and non-supersymmetric. By comparing our new results with the field theories' results, we have confirmed precision holography and found new Swampland constraints on the gravity theories.

In chapter 3, we study a specific 4d  $\mathcal{N} = 2$  superconformal gauge theory called the **E** theory. This theory is closely related to the  $\mathcal{N} = 4$  super Yang-Mills theory and has a holographic description in terms of type IIB supergravity on a  $\mathbb{Z}_2$  orientifold of  $\text{AdS}_5 \times S^5$ . With novel high precision numerics, we evaluate the partition function on  $S^4$ , extremal correlators, and vacuum expectation values of Wilson loops with general 't Hooft coupling in the planar limit. Based on these numerics, we arrive at closed-form formulas in the strong coupling expansion. Our results match the literature on type IIB supergravity.

In chapter 4, we study the Hawking-Page phase transition between two asymptotically locally  $\text{AdS}_8$  families of backgrounds, which are analogs of NUT and Bolt in the 4d counterpart. The backgrounds are parametrized by a squashing parameter of the asymptotic boundary, which is a squashed seven-sphere. Surprisingly, we observe the absence of the Hawking-Page transition, giving a prediction for the dual non-supersymmetric field theory. We also calculate and compare the free energies as a function of the squashing parameter in conformally coupled scalar, free fermion, and the holographic theory.



# Beknopte samenvatting

De AdS/CFT-correspondentie, of holografische dualiteit, verbindt kwantumveldtheorieën met kwantumzwaartekracht in een hogere dimensie. In deze thesis bestuderen we precisie-holografie in verschillende voorbeelden, met behulp van tools uit sterk gekoppelde kwantumveldtheorieën en superzwaartekracht.

Na de AdS/CFT-correspondentie te hebben besproken in het inleidende hoofdstuk 1, bestuderen we in hoofdstuk 2 de 4d geijkte superzwaartekrachttheorieën die kunnen worden geuplift naar 10d of 11d superzwaartekrachtachtergronden. Gemotiveerd door resultaten in de holografisch duale 3d veldtheorieën, onderzoeken we de logaritmische correcties op de gravitationele on-shell actie en de entropie van een zwart gat voor een aantal theorieën op verschillende achtergronden, zowel supersymmetrisch als niet-supersymmetrisch. Door onze nieuwe resultaten te vergelijken met de veldtheorie-resultaten, hebben we precisie-holografie bevestigd en nieuwe Swampland-constraints gevonden op de zwaartekrachttheorieën.

In hoofdstuk 3, bestuderen we een specifieke 4d  $\mathcal{N} = 2$  superconforme ijktheorie genaamd de **E**-theorie. Deze theorie is nauw verwant aan de  $\mathcal{N} = 4$  super Yang-Mills-theorie en heeft een holografische beschrijving in termen van type IIB superzwaartekracht op een  $\mathbb{Z}_2$  orientifold van  $\text{AdS}_5 \times S^5$ . Met behulp van nieuwe hoge-precisie numerieke methoden, evalueren we de partitie functie op  $S^4$ , extremale correlatoren en vacuum verwachtingswaarden van Wilson lussen met algemene 't Hooft koppeling in de vlakke limiet. Gebaseerd op deze numerieke methoden, komen we tot gesloten formules in de uitbreiding naar sterke koppeling. Onze resultaten komen overeen met de literatuur over type IIB superzwaartekracht.

In hoofdstuk 4, bestuderen we de Hawking-Page faseovergang tussen twee asymptotisch lokale  $\text{AdS}_8$  families van achtergronden, die analoog zijn aan NUT en Bolt in de 4d tegenhanger. De achtergronden worden geparametriseerd door een squash-parameter van de asymptotische grens, die een gesquashte zevensfeer

is. Verrassend genoeg observeren we de afwezigheid van de Hawking-Page overgang, wat een voorspelling geeft voor de duale niet-supersymmetrische veldtheorie. We berekenen en vergelijken ook de vrije energieën als functie van de squash-parameter in conform gekoppelde scalar, vrije fermion en de holografische theorie.

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# Chapter 1

## Introduction

Strongly coupled field theories and quantum gravity are two very intriguing topics in high energy physics. The AdS/CFT correspondence, a mysterious feature of quantum gravity, has been shown to act as an extremely useful and powerful tool to connect them. The goal of the thesis is to study precision AdS/CFT using tools in supergravity and quantum field theories. Besides, the correspondence itself also gives new predictions on both sides. As an introduction, we will start with questions relevant in the thesis that are also interesting to a wider audience, discussing their relations with the AdS/CFT correspondence. In section 1.1, we introduce the main field theory tools we use in the thesis, namely superconformal symmetry and supersymmetric localisation. In section 1.2, we motivate and introduce the AdS/CFT correspondence. The structure of the thesis is given in the end of this chapter.

### The black hole entropy

The first question is related to black hole entropy. For almost 50 years, people have known that the black holes behave like thermal systems characterized by a non-vanishing entropy proportional to the area of the event horizon. [1] Although the area law can be found purely from the classical physics (general relativity), the coefficient is harder to obtain and turns out to include the Planck's constant: [2, 3, 4]

$$S_{\text{BH}} = \frac{A}{4l_P^2}, \quad l_P = \sqrt{\frac{G_N \hbar}{c^3}}, \quad (1.1)$$

where  $A$  is the area of the horizon. So in order to know what are the microstates attributed to the (very large)<sup>1</sup> entropy, we need more information from quantum gravity.

There are different perspectives to study the black hole entropy. From the “top-down” perspective, people start from the string theory, which is regarded as a consistent quantum theory of gravity. In string theory, some black-holes could be constructed out of objects such as D-branes, NS5 branes, and fundamental strings: in specific brane constructions, the microstates can be parametrized by their momentum quanta explicitly, whose number can be calculated which reproduces the Bekenstein-Hawking formula in the leading order of large charge. [5, 6] There are also insights coming from AdS/CFT correspondence, where the microstates of asymptotically AdS supersymmetric extremal black holes<sup>2</sup> can be evaluated by supersymmetric partition functions of the dual field theories. Various field theory results in the literature are summarized in Table 2.1, which motivate our study in chapter 2. In this thesis, instead of relying on the AdS/CFT correspondence, we start with a classical background<sup>3</sup> in gauged supergravity theories, and consider quantum fluctuations of all the fields to the partition function. The Legendre transformation of the partition function gives the entropy. [7, 8, 9] In chapter 2 of the thesis, we study the one-loop quantum corrections to the classical<sup>4</sup> Bekenstein-Hawking.

## Strongly coupled field theories

In field theories, the weak-coupling behavior can be studied through Feynman diagrams in a systematic way. However, the strong-coupling regime is also important and interesting for us. There are situations where a strong coupling necessarily appears. For example, because of the asymptotic freedom, the non-Abelian gauge theories (or Yang-Mills theories) are strongly-coupled in the low-energy regime, resulting in the quark-confinement. So a strong-coupling is involved if we were to study the confinement-deconfinement phase transition in QCD. Another example is in AdS/CFT correspondence, where the field theory becomes strongly-coupled if we want to suppress the high-derivative corrections in the corresponding gravity theory, which is necessary for the

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<sup>1</sup>For example, the entropy of the black hole with one solar mass is around  $10^{18}$  times that of the sun. [2].

<sup>2</sup>They are also called BPS black holes which are the ground states in the Hilbert space of quantum gravity.

<sup>3</sup>By classical it means the background solves the classical equations of motion, with no quantum effects included.

<sup>4</sup>Here the word “classical” means that the Bekenstein-Hawking entropy is evaluated from the classical background.

precision holography since we don't know the full tower of high-derivative corrections of string theory.

After so many years of research, there are already many powerful non-perturbative techniques to study quantum field theories. For example, the introduction of conformal symmetry and supersymmetry, large  $N$  expansion, and integrability. The development of computing power and more efficient algorithms is also helpful, which prompted the lattice gauge theories. The AdS/CFT correspondence also plays an important role for studying holographic field theories, because it maps a strongly-coupled field theory to a weak-coupling gravity theory. At the same time, the AdS/CFT correspondence maps a strongly-coupled gravity to a weakly-coupled field theory, so it also probes the unknown regimes of quantum gravity.

## The path integral of quantum gravity

Path integral is a natural way to do quantization. Let's start with the gravitational path integral in Lorentzian signature:

$$Z_{\text{grav}}^L = \int \mathcal{D}g_{\mu\nu} \mathcal{D}\phi e^{i\frac{1}{\hbar} I^L[g_{\mu\nu}, \phi]}, \quad (1.2)$$

with  $I^L[g_{\mu\nu}, \phi]$  the Einstein-Hilbert action plus possible matter contents. A useful operation in field theory path integral is the Wick rotation, which people also apply to study the gravitational path integral:

$$Z_{\text{grav}}^E = \int \mathcal{D}g_{\mu\nu} \mathcal{D}\phi e^{-\frac{1}{\hbar} I^E[g_{\mu\nu}, \phi]}. \quad (1.3)$$

The advantage of the Wick rotation is that the WKB approximation in which  $\hbar \rightarrow 0$  performs better. This can be understood as follows. In the WKB limit, the path integral can be approximated by saddle-points, or stationary phases, which are backgrounds such that the classical equations of motion are solved. Under the WKB approximation, the path integrals are dominated by these saddles, up to small corrections in  $\hbar$ :

$$\begin{aligned} Z_{\text{grav}}^L &= \sum_{g_{\mu\nu}^{\text{cl}}, \phi^{\text{cl}}} e^{i\frac{1}{\hbar} I^L[g_{\mu\nu}^{\text{cl}}, \phi^{\text{cl}}]} \left( 1 + \sum_{n=1} \alpha_n (i\hbar)^n \right), \\ Z_{\text{grav}}^E &= \sum_{g_{\mu\nu}^{\text{cl}}, \phi^{\text{cl}}} e^{-\frac{1}{\hbar} I^E[g_{\mu\nu}^{\text{cl}}, \phi^{\text{cl}}]} \left( 1 + \sum_{n=1} \alpha_n (-\hbar)^n \right), \end{aligned} \quad (1.4)$$

where the sum in front of each line is over all classical saddles  $g_{\mu\nu}^{\text{cl}}, \phi^{\text{cl}}$  that solve the equations of motion. It's not hard to see that there are two advantages to use the Euclidean path integral. First, let us ignore the perturbative corrections and focus on the leading term contribution given by the action. In the Euclidean case, only one (or coincidentally a few) saddle(s) with the lowest action will dominate, with the others exponentially suppressed going like  $e^{-\Delta I^E/\hbar}$ . While in the Lorentzian case, each saddle contributes an order 1 number with different phases. Secondly, by comparing the terms perturbative in  $\hbar$ , it's not hard to see that the corrections in terms of  $(-\hbar)$  cancel with each other and give a smaller total correction. So the Euclidean path integral has smaller perturbative corrections as well.

To sum up, the gravitational path integral (1.2) is evaluated by the Euclidean saddles in the classical limit  $\hbar \rightarrow 0$ : [4]

$$Z_{\text{grav}} \simeq \sum_i e^{-\frac{1}{\hbar} I[g_{\mu\nu}^{(i)}, \phi^{(i)}]}, \quad (1.5)$$

where the sum is over saddle-points, namely backgrounds which satisfy the classical equations of motion of the path integral with given boundary conditions. This Euclidean prescription will play a central role in our studies in various gravity theories. This is accompanied by the fact that we also have a better control on the field theories in Euclidean signature. As we know from the study of quantum field theories, the observables suffer from UV and IR divergences. The former appears due to the high-energy modes, and the latter is a result of the space-time being unbounded. As we will see, the supersymmetric localisation automatically solves the UV divergences by reducing the full path integral to an integration of zero modes or fixed locus. To solve the IR divergences, we need to put the theory on a compact manifold, so we need to use the Euclidean signature in the field theory as well to get rid of the unbounded Lorentzian time coordinate.

The Gibbons-Hawking integral allows us to approximate the path integral by a sum over Euclidean saddles, but we don't know whether the classical background with the lowest action is really a minimum or only a saddle<sup>5</sup>, especially because the Einstein-Hilbert action is not bounded from below. [10] Yet in practice, the saddle-point approximation is the most natural thing to do, thus, it is a non-trivial but important task to make sure that the Gibbons-Hawking prescription gives the correct gravitational path integral in the classical limit. One may need alternative ways to study or even define the gravitational path integral. The AdS/CFT correspondence provides a good way to check the Gibbons-Hawking path integral. According to the AdS/CFT correspondence, the gravity partition

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<sup>5</sup>This "saddle" means that the action  $I^E$  may decrease further upon a small off-shell deformation of the background.

function  $Z_{\text{grav}}$  is fully captured by the dual field theory. If it's possible to evaluate the partition function purely from the field theory, we can explicitly check whether the saddle-point approximation is correct in the classical limit. Doing this on the field theory side usually involves strong couplings, but it can be made possible with the techniques of superconformal symmetry and supersymmetric localisation to be introduced in section 1.1.

## Phase space of AdS spacetime

According to the Gibbons-Hawking prescription, in the classical limit, the gravitational path integral is dominated by one or several saddle-points that has the lowest action. With the same boundary conditions, there might exist several competing saddle points. When one changes parameters that determine the boundary condition, such as the temperature or the squashing parameter, the dominant saddle may change.

One of the examples is given by Hawking-Page phase transition in asymptotically Euclidean AdS space. We know that black holes evaporate, the smaller a black hole is, the faster it evaporates, so the black holes are not stable in asymptotically flat space. But in asymptotically AdS space, massive fields are effectively trapped and can't propagate to the spatial infinity. As a result, the black holes can be in equilibrium with the radiation and become stable. Between the two competing phases, AdS black hole phase and thermal AdS phase, there exists a critical temperature where the dominant phase changes. It is an interesting question to study the structure of the phase space of the gravitational path integral in the classical limit, such as what are the possible phases, when do they dominate, and what's the order of the phase transition.

In order to study these questions discussed above, we should first have proper tools. So before going to the more specific questions in later chapters, we shall have a brief review on the main tools. In the following section, I will introduce the superconformal symmetry in Euclidean signature and supersymmetric localisation which are helpful for studying the strongly-coupled field theories. After that, I will introduce the AdS/CFT correspondence, including its motivation, a constructive example, and its implications.

## 1.1 Aspects of symmetry

Symmetry plays an important role in modern physics. In classical physics, we already know that a global symmetry gives a conserved charge, when the number of the symmetry is large, the system becomes “integrable” and the evolution is largely constrained. We will introduce conformal symmetry and supersymmetry, both of which are cornerstones of the AdS/CFT correspondence and will play an important role throughout the whole thesis.

### 1.1.1 Conformal symmetry and supersymmetry

As discussed before, in this thesis, we use the Euclidean signature for the field theories. For a generic relativistic field theory, the set of symmetry includes translations  $P_\mu$  and Lorentzian transformations  $M_{\mu\nu}$ , where  $\mu = 0, 1, \dots, d$  is the space-time label. Combing them gives the Poincaré group, which preserves the (proper) distance:

$$\frac{\partial x'^\mu}{\partial x^\nu} = R^\mu{}_\nu, \quad R^T R = I_{d \times d}. \quad (1.6)$$

Critical systems in nature, such as the critical systems, enjoy extra scaling symmetry:  $x \rightarrow \lambda x$ . The most general set of symmetry transformations that preserves the angle but not the distance is called conformal symmetry<sup>6</sup>, i.e.,

$$\frac{\partial x'^\mu}{\partial x^\nu} = \Omega(x)^2 R^\mu{}_\nu, \quad R^T R = I_{d \times d}, \quad (1.7)$$

which include the Poincaré group, the dilatations generator  $D$  that generates the scaling symmetry, and the special conformal symmetry  $K_\mu$ . The conformal symmetry turns out to be very powerful. Field theories that accommodate conformal symmetry are called conformal field theories. For eigenstates  $\mathcal{O}_i$  of the dilatations generator with eigenvalues  $\Delta_i$ , the two-point and three-point functions are determined up to constant factors:

$$\begin{aligned} \langle \mathcal{O}_i(x) \mathcal{O}_j(y) \rangle &\sim \frac{\delta_{ij}}{|x - y|^{\Delta_1 + \Delta_2}}, \\ \langle \mathcal{O}_i(x) \mathcal{O}_j(y) \mathcal{O}_k(z) \rangle &\sim \frac{\lambda_{ijk}}{|x - y|^{\Delta_1 + \Delta_2 - \Delta_3} |y - z|^{\Delta_2 + \Delta_3 - \Delta_1} |z - x|^{\Delta_3 + \Delta_1 - \Delta_2}}. \end{aligned} \quad (1.8)$$

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<sup>6</sup>The scaling symmetry itself doesn't imply the full conformal symmetry, namely the special conformal transformation  $K_\mu$ , see discussion in [11].

The conformal symmetry is in fact more powerful. Through the program of conformal bootstrap [12, 13], one may determine accurately the data of the field theory, such as the conformal dimensions<sup>7</sup>  $\Delta_i$  and OPE coefficients  $\lambda_{ijk}$  for the operators. Though being interesting and powerful, the conformal bootstrap will not be the focus of this thesis.

Now we introduce the supersymmetry [14, 15, 16] to conformal field theories, which are generated by operators  $Q$  that transform bosonic states into fermionic states and vice versa:

$$[Q, B] = F, \quad \{Q, F\} = B. \quad (1.9)$$

Since the operation of the supercharge  $Q$  changes the spin by  $1/2$ , if we require the maximal spin within a quantum field theory not to exceed 1, there will be a maximal number of total supersymmetry. In fact, the representations of all possible super-conformal symmetry in different dimensions have been worked out, which only exists in  $d \leq 6$ , [17, 18] and we will be interested in  $d = 3, 4$  in this work. In each superconformal multiplet, the bosons and fermions are organized such that their total degrees of freedom are equal.

Supersymmetric gauge theories play an important role in the AdS/CFT correspondence, as they encode aspects of space-time in quantum gravity. The landscape of studies on SCFTs (superconformal field theories) is immense and is still under great progress nowadays [19], powerful tools such as supersymmetric localization [20], integrability [21], and superconformal bootstrap [12, 13], among many others, enable us to get a deeper understanding of the field theories, and thus have a great impact on holography. According to the AdS/CFT correspondence, fields in the gravity theories are mapped to fields in the superconformal field theories, which are organized into superconformal multiplets in accordance with the amount of supercharges.

### 1.1.2 A brief overview of supersymmetric localisation

My work uses supersymmetric localization to study supersymmetric field theories. Mathematically, the supersymmetry generators  $Q$ 's are similar to the equivariant differential forms. As a result, the domain of the path integral is reduced to a subset of the full configuration space called the BPS locus, where the fields are annihilated by  $Q$ . In favourable situations, the field theory path integrals that evaluate BPS observables, such as the partition functions, correlators,

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<sup>7</sup>In Euclidean signature, we usually do the radial quantization, where the “constant time slices” are taken to be the surfaces with constant radii. In this quantization, the dilatations generator  $D$  plays the role of the Hamiltonian which generates the time evolution, so the conformal dimensions are sometimes also called the “energies”.

and expectation values of the Wilson loops, are reduced to finite dimensional integrals.

Let's first look at the idea of "localisation". For an integral over a manifold  $\mathcal{M}$ , if the integrand satisfies some simplifying properties, for example it is a total derivative, then we only need to evaluate the integral on  $\partial\mathcal{M}$ . If  $\partial\mathcal{M}$  happens to be a set of points, the full integral localizes to the points. This is the most naïve example of localisation.

What we actually do is more involved, and the localised points are not simply on boundary of the domain. For an example [22] which catches some of the salient features of localisation, we consider the following integral on  $S^2$  parametrized by a real number  $t$ :

$$Z(t) = \int_0^{2\pi} d\phi \int_0^\pi \sin\theta d\theta e^{itf(\theta,\phi)}, \quad f(\theta, \phi) = \cos\theta. \quad (1.10)$$

In the large  $t$  limit, one may consider a stationary-phase approximation, under which the integral is reduced to the sum of Gaussian integrals with quadratic fluctuations about each stationary point  $x^*$ :

$$Z(t) = \frac{2\pi i}{t} \sum_{x^*} (-i)^{\lambda(x^*)} \frac{e^{itf(x^*)}}{\sqrt{\det(g^{-1}H_f(x^*))}} + O(t)^{-2}, \quad (1.11)$$

where  $H_f$  is the Hessian of  $f$ , which for the standard metric  $ds^2 = d\theta^2 + \sin^2\theta d\phi^2$  gives:

$$H_f = \nabla_i \nabla_j f(x) = \text{diag}(-\cos\theta, \cos\theta). \quad (1.12)$$

Since our function  $f = \cos\theta$  is nothing but the height function, whose stationary points  $x^*$  are the north and south poles. Plugging in the values, we get the leading order under the stationary phase approximation:

$$Z = \frac{2\pi i}{t} \left[ (-i) \frac{e^{it}}{\sqrt{-1}} + (-i) \frac{e^{-it}}{\sqrt{-1}} \right] + O(t)^{-2}. \quad (1.13)$$

At the same time, we can do the integral directly to get the exact answer:

$$Z(t) = 2\pi \int_{-1}^1 d(\cos\theta) e^{it\cos\theta} = \frac{2\pi i}{t} (-e^{it} + e^{-it}). \quad (1.14)$$

By comparing the stationary phase approximation and the exact result, we see that up to the correct choices of branches for the square root function, the stationary phase approximation gives an exact answer. The reason is that function  $f$  is invariant under the  $U(1)$  isometry of the two-sphere rotating along the  $z$ -axis. For this special case, the integral is reduced to the fixed points



of the  $U(1)$  isometry, which is exactly the stationary points of  $f$ . This is an example of the Duistermaat-Heckman localisation formula [23] based on fixed points of isometries, which is in turn an example of a more general equivariant localisation formula. [24, 25]

Supersymmetric localization [26, 27, 28] is an analogue of equivariant localisation<sup>8</sup>, which aims at evaluating the expectation values of BPS observables  $\mathcal{O}_{\text{BPS}}$ :

$$\langle \mathcal{O}_{\text{BPS}} \rangle = \int \mathcal{D}X \mathcal{O}_{\text{BPS}} e^{-S[X]}, \quad \mathcal{Q}\mathcal{O}_{\text{BPS}} = 0, \quad (1.15)$$

where  $\mathcal{Q}$  is a supersymmetric generator and it squares to a bosonic generator,  $\mathcal{Q}^2 = B$ , which can be a linear combination of spacetime symmetries, global symmetries, and the gauge symmetry. We have the analog of Stokes' theorem in supersymmetric field theories, which dictates that the  $\mathcal{Q}$ -exact operators don't contribute to the expectation value:

$$\langle \mathcal{Q}\mathcal{O} \rangle = \int \mathcal{D}X (\mathcal{Q}\mathcal{O}) e^{-S[X]} = \int \mathcal{D}X \mathcal{Q} \left( \mathcal{O} e^{-S[X]} \right) = 0, \quad (1.16)$$

assuming that there are no boundary contributions or anomalies. Now we consider deforming the integrand by adding a  $\mathcal{Q}$ -exact term  $\mathcal{Q}\mathcal{P}[X]$  with an additional constraint on the fermionic term such that  $B\mathcal{P}[X] = 0$ . Then we can check that the deformed path integral is independent of the deformation parameter  $t$ :

$$\begin{aligned} \frac{d}{dt} \int \mathcal{D}X \mathcal{O}_{\text{BPS}} e^{-S[X] - t\mathcal{Q}\mathcal{P}[X]} &= - \int \mathcal{D}X (\mathcal{Q}\mathcal{P}[X]) \mathcal{O}_{\text{BPS}} e^{-S[X] - t\mathcal{Q}\mathcal{P}[X]} \\ &= - \int \mathcal{D}X \mathcal{Q} \left( \mathcal{P}[X] \mathcal{O}_{\text{BPS}} e^{-S[X] - t\mathcal{Q}\mathcal{P}[X]} \right) = 0, \end{aligned} \quad (1.17)$$

where we used the invariance of  $\mathcal{P}$  under the bosonic transformation  $B = \mathcal{Q}^2$  and the invariance of  $\mathcal{O}_{\text{BPS}} e^{-S[X]}$  under  $\mathcal{Q}$ . Because of the independence of  $t$ , we can evaluate the path integral in the limit  $t \rightarrow \infty$ , where the saddle-point approximation becomes exact. The saddle points are located at the BPS locus  $\mathcal{F}_{\text{BPS}}$ , which are fixed points of  $\mathcal{Q}$  in the full field space, which is given by:

$$\mathcal{F}_{\text{BPS}} \equiv \{X = X_0 | \text{fermions} = 0, \mathcal{Q}(\text{fermions}) = 0\}. \quad (1.18)$$

Under the saddle-point approximation, the classical value combined with the 1-loop fluctuations over the BPS locus gives the exact path integral over the infinite-dimensional field theory space. Consider the following fluctuation:

$$X = X_0 + \frac{1}{\sqrt{t}} \delta X, \quad (1.19)$$

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<sup>8</sup>There is a more detailed map between quantities in equivariant localisation and quantities in supersymmetric localisation, as introduced in [22]. We will not get into these details.

where  $X_0$  denotes the BPS configuration. When taking the large  $t$  limit, we take the BPS value of the original action  $S[X_0]$  and choose the form of  $\mathcal{P}[X]$  such that the deformation  $\mathcal{Q}\mathcal{P}[X]$  vanishes at the BPS locus and only the quadratic fluctuations survive:

$$S[X] + t\mathcal{Q}\mathcal{P}[X] \rightarrow S[X_0] + \frac{1}{2} \int \frac{\delta^2(\mathcal{Q}\mathcal{P})}{\delta X^2} \Big|_{X=X_0} (\delta X)^2 + O(1/\sqrt{t}). \quad (1.20)$$

So the BPS observable reduces to:

$$\langle \mathcal{O}_{\text{BPS}} \rangle = \int \mathcal{D}X_0 \mathcal{O}_{\text{BPS}} \Big|_{X=X_0} e^{-S[X_0]} \frac{1}{\text{SDet} \left[ \frac{\delta^2(\mathcal{Q}\mathcal{P}[X_0])}{\delta X_0^2} \right]}. \quad (1.21)$$

In favorable cases with suitable choices of deformation  $\mathcal{Q}\mathcal{P}[X]$ , the integral over the BPS locus can be expressed as a finite-dimensional integral, making the formidable evaluation possible. One typical choice of deforming action is:

$$\mathcal{P} = \sum (\mathcal{Q}\Psi)^\dagger \Psi + \Psi^\dagger (\mathcal{Q}\Psi), \quad \mathcal{Q}\mathcal{P} = \sum (\mathcal{Q}\Psi)^\dagger (\mathcal{Q}\Psi), \quad (1.22)$$

where we sum over all the fermions. The resulting integral is in general an  $N$ -dimensional one, which is still very complicated for an arbitrary  $N$ . Other techniques based on matrix model, integrability, complex analysis, or even statistical mechanics will be used to study these integrals. In fact, the main technical improvement of chapter 3 is devoted to evaluating the matrix model by a combination of numerics and strong/weak-coupling expansion.

Application of localization principle to quantum field theories has been long restricted to topological field theories with scalar supersymmetry. A major breakthrough was made by Pestun [28] who constructed  $\mathcal{N} = 2$  supersymmetric gauge theories on  $S^4$  and derived a closed formula for partition function as well as expectation values of certain Wilson loops. We will introduce relevant results in 4d  $\mathcal{N} = 2$  SCFTs in section 3.2. Soon after Pestun, using supersymmetric localization, partition functions of 3d  $\mathcal{N} = 2$  SCFTs on various compact manifolds can be reduced to matrix models. We will introduce some 3d backgrounds with more details in section 2.3.

## 1.2 The AdS/CFT correspondence

### 1.2.1 The holographic principle

Classical works on black hole thermodynamics show that the black-hole entropy satisfies the area law instead of the volume law. [1] One consequence is that

in any theory with gravity, the entropy enclosed by a surface  $\Sigma$  has an upper bound given by its surface:

$$S[\Sigma] \leq S_{\text{BH}}[\Sigma] \equiv \frac{A[\Sigma]}{4l_P^2}, \quad (1.23)$$

where  $A[\Sigma]$  denotes the area of the surface and  $l_P$  is the Planck length in 4d. The argument can be sketched as follows. Imagine some matters enclosed by  $\Sigma$  with  $S > S_{\text{BH}}$ , we can throw in some matter until a black hole is formed<sup>9</sup>, whose entropy is given by  $S_{\text{BH}}$  indicated above. However, with more matter thrown in, the entropy must increase, this contradicts our assumption above. Thus we have the Bekenstein bound (1.23).

Given the area-law entropic upper bound for a theory with gravity, one may propose that all the degrees of freedom within a theory of gravity can be mapped to a boundary theory without gravity<sup>10</sup> in one dimension lower. This is similar to how a hologram works, so the proposal above is called the “holographic principle”. [30, 31] To have a taste of this principle, one may consider a lattice model of space-time where degrees of freedom are fixed on the lattice, whose lattice length is given by the Planck length. For a generic configuration of this model, the energy enclosed in a closed surface  $\Sigma$ , which is proportional to its volume, will exceed that of a black hole, so a black hole will form and take place the original configuration. As a result, most of the lattice model configurations are excluded by the black hole formation, and the reduced number of configurations satisfies the area law.

The appearance of the Planck’s constant in the Bekenstein bound strongly indicates that quantum effects play an important role in the holographic principle. Similar to Bohr’s formula of hydrogen energy levels for quantum mechanics, the holographic principle acts as the touchstone for theories of quantum gravity. Since string theory is a candidate for quantum gravity, which is the first theory able to give the correct number of black hole microstates [5], it is expected to obey this principle as well. In fact, string theory (and M theory) not only satisfies this principle but also provides a highly valuable playground based on explicit constructions of the quantum gravity theory and its corresponding quantum field theories, which is the AdS/CFT correspondence.

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<sup>9</sup>We will not discuss why will the horizon organize itself on  $\Sigma$ . Instead, we only discuss systems which will form a horizon on  $\Sigma$ . As a result, in the present discussion, the Bekenstein bound only applies to a special set of surfaces where a horizon can be formed on it.

<sup>10</sup>We are making this assumption otherwise the chain will never end. But there are discussions on “double holography” where people project the gravity on a codimensional-one brane which has its own boundary. [29]

## 1.2.2 Gauge theories and strings

In some sense, it is not completely unexpected that the string theory is connected with gauge theories, because the original motivation of string theory is to describe the strong interaction, where the confined color flux lines connecting two quarks within a meson behave like a string. [32] Namely, the elementary excitations in the confining QCD are not particles but strings that are formed from the flux lines of color fields. This serves as the first picture of gauge/string relation. The stretchable string model gives the correct relation between mass and angular momentum observed in particle physics, i.e.,

$$J = \alpha' M^2 + \alpha(0). \quad (1.24)$$

Although the string theory turns out not a good theory for strong interaction, the fact that the open string represents gauge theories on its endpoints persists. The string theory also contains graviton modes on closed strings, the interactions between open and closed strings suggest a relation between the gauge and gravity theories.

Another perspective that finally leads to gauge/string correspondence is the large  $N$  limit of 4d gauge theory with gauge group  $SU(N)$ . [33] The gauge fields transform under the adjoint representation of the gauge group, using the fact that

$$\mathbf{Ad} \oplus \mathbf{1} = \mathbf{N} \otimes \bar{\mathbf{N}}, \quad (1.25)$$

in the large  $N$  limit, we can approximate the gauge field to transform under the bifundamental representation, which introduces the double-line notation for its Feynman diagram representation with two oriented lines carrying fundamental indices. We introduce the 't Hooft coupling  $\lambda \equiv g_{\text{YM}}^2 N$ , so the Lagrangian can be written as

$$\mathcal{L} = \frac{1}{g_{\text{YM}}^2} (\partial A \partial A + A^2 \partial A + A^4) = \frac{N}{\lambda} (\cdots). \quad (1.26)$$

Thus, we have:

- For each propagator, there is a factor of  $\lambda/N$ .
- For each vertex, there is a factor  $N/\lambda$ .
- For each loop, there is a factor  $N$  because of the summation of the fundamental index over the closed single-line loop.

For simplicity, we focus on the vacuum diagrams of gauge fields with  $V$  vertices,  $E$  propagators, and  $F$  loops, the factor gives:

$$\left(\frac{N}{\lambda}\right)^V \left(\frac{\lambda}{N}\right)^E N^F = \lambda^{E-V} N^{V-E+F}. \quad (1.27)$$

Notice that  $V - E + F = \chi$  which is the Euler characteristic. We can fill all the single-line closed loops in a vacuum diagram to make it a two-dimensional closed surface, whose genus  $\mathbf{g}$  is given by  $\chi = 2 - 2\mathbf{g}$ . Thus in the large  $N$  limit, the partition function can be written as a genus expansion:

$$\log Z_{\text{gauge}} = \sum_{\mathbf{g}=0} N^{2-2\mathbf{g}} F_{\mathbf{g}}(\lambda). \quad (1.28)$$

This is reminiscent of the loop expansion in string theory:

$$\log Z_{\text{string}} = \sum_{\mathbf{g}=0} (g_s)^{2\mathbf{g}-2} \tilde{F}(\alpha'), \quad (1.29)$$

where  $g_s$  is the string coupling. The similarity makes 't Hooft conjecture a duality between gauge theory and string theory:

$$g_s \propto 1/N. \quad (1.30)$$

This picture works well in the large  $N$  regime, where the diagrams are dominated by those with genus 0, or the “planar diagrams”. Another simplification is that in the large  $N$  regime, the dual string picture is expected to be weakly coupled, allowing perturbative calculations.

### 1.2.3 The example of D3 branes

The proposal of the AdS/CFT correspondence [34] is motivated by the previous works on branes and relations to gauge field theories living on the branes. The correspondence suggests that the gravity theory and the field theory correspond to each other in a much larger parameter space in a stronger sense, even at the quantum level. To have a taste of how it works, we take the example of a stack of  $N$  D3-branes in type IIB supergravity with  $N$  large. One can view the low energy limit of this system from different perspectives, which lead to different descriptions of the same system.

The first perspective on the stack of branes is to look at the brane action. In the low energy limit, we have: [35]

$$I = I_{\text{open}} + I_{\text{closed}} + I_{\text{int}}. \quad (1.31)$$

The open-string excitations  $I_{\text{open}}$  describe the 4d  $\mathcal{N} = 4$  super Yang-Mills theory on the world volume. The closed-string excitations  $I_{\text{closed}}$  describe a supergravity theory in which the branes are located.  $I_{\text{int}}$  is the interaction between the two sectors. When taking the limit where  $\alpha' \rightarrow 0$ , all the interactions are suppressed, and the open and closed-string sectors get decoupled.

The higher derivative stringy corrections to  $I_{\text{closed}}$  and  $I_{\text{open}}$  are also suppressed under this limit. As a result, in the limit  $\alpha' \rightarrow 0$ , the stack of branes is described by the 4d  $\mathcal{N} = 4$  SYM living on the brane with a free supergravity in the 10d space.

The second perspective is from the supergravity point of view, where the metric backreacted by a large stack of D3-branes is given by: [36]

$$ds^2 = \left(1 + \frac{R^4}{r^4}\right)^{-1/2} (-dt^2 + d\vec{x}^2) + \left(1 + \frac{R^4}{r^4}\right)^{1/2} (dr^2 + r^2 d\Omega_5^2), \quad (1.32)$$

$$R^4 = 4\pi g_s \alpha'^2 N,$$

with  $R$  the AdS length scale. Similarly, we focus on the low-energy modes in this space. Some low-energy modes are massless particles propagating in the bulk, and the others come from excitations living in the near-horizon region, whose energies are suppressed because of the gravitational red-shift. Work on scattering with branes [37, 38] shows that these two modes decouple in the low energy limit.

Now, we focus on the near-horizon modes and we look at the near-horizon limit  $r \ll R$ , the geometry will become  $\text{AdS}_5 \times S^5$ :

$$ds^2 = \frac{r^2}{R^2} (-dt^2 + d\vec{x}^2) + R^2 \frac{dr^2}{r^2} + R^2 d\Omega_5^2. \quad (1.33)$$

To sum up, in the perspective of supergravity, the stack of branes is described by a full quantum gravity theory in  $\text{AdS}_5 \times S^5$  and the massless modes of supergravity propagating in the bulk.

By comparing these two perspectives, we can see that the excitations in the near horizon region of the branes are described by two completely different theories, i.e., the  $\mathcal{N} = 4$  super Yang-Mills field theory versus quantum gravity excitations in  $\text{AdS}_5 \times S^5$ . A careful study show the following dictionary between parameters on both sides:

$$g_{\text{YM}}^2 = 4\pi g_s, \quad \lambda = \frac{R^4}{(\alpha')^2}. \quad (1.34)$$

Remember that  $\lambda = g_{\text{YM}}^2 N$  is the 't Hooft coupling. Since the  $\mathcal{N} = 4$  super Yang-Mills and quantum gravity in  $\text{AdS}_5 \times S^5$  both describe the stack of D3 branes, it is conjectured that these two theories are equivalent. Moreover, comparisons on protected observables on both sides can be done, which suggest the validity of the correspondence beyond the limits. Schematically, this dictates: [39, 40]

$$Z[\text{Type IIB superstring in } \text{AdS}_5 \times S^5] = Z[\mathcal{N} = 4 \text{ SYM}], \quad (1.35)$$

including all higher-derivative and quantum corrections. The left-hand side of the equality is the gravitational partition function, and the right-hand side is the generating function of correlators in the field theory. The boundary condition for the gravitational partition function is such that the asymptotic values of the fields are identified with the sources coupling to the fields in the field theory. This is why we always refer to the boundary field theory and the bulk gravitational theory. Based on similar arguments, the same duality occurs from different brane configurations in superstring or M theories, where can make the same comparison between the two perspectives and make a more general statement: [39, 40]

$$Z[\text{Type IIA/IIB/M theories in } \text{AdS}_d \times M_{D-d}] = Z[\text{worldvolume SCFT}], \quad (1.36)$$

where  $D = 10$  or  $11$ , and  $M_{D-d}$  is the compact internal space, which depends on the background in which we put the branes. However, the emergence of AdS space-time isn't necessarily associated to the near-horizon region of branes. [32, 41, 42] One can understand the extra dimension in the bulk as the energy scale of the boundary. The conformal symmetry of the AdS space (1.33) goes like:

$$r \rightarrow \alpha r, \quad x_i \rightarrow x_i/\alpha, \quad (1.37)$$

with  $x_i$  the space-time coordinate on the boundary field theory. By taking  $\alpha$  large, we are approaching the boundary; but at the same time, the probe has a smaller spatial extension, and thus probes higher energy. This is referred to as the UV/IR relation in the duality. [43, 44] By pushing  $\lambda$  towards infinity, we will encounter both the IR divergence in the bulk because of the divergent volume of the AdS space, and the UV divergence in the boundary field theory. So a cutoff together with counter terms have to be introduced to cure the IR divergence in the bulk. [45, 46]

Given the above considerations, the most general AdS/CFT correspondence can be independent of brane constructions or even a UV-complete gravity theory:

$$Z[\text{Any gravity theory in AdS}] = Z[\text{A certain CFT on } \partial\text{AdS}]. \quad (1.38)$$

Some of the examples exist in bottom-up constructions, which are less understood compared to the top-down ones. Especially, without a brane construction, for generic cases it is unclear what field theory is the correct one. These perspectives can be justified by thinking of the boundary field theory as defining the gravity theory in the bulk. In fact, because of technical simplicity, the bottom up holography also provides a lot of new insights to the AdS/CFT correspondence, for example, the dual between JT gravity and SYK model [47], the dual between 2d ensemble averaged field theories and 3d Euclidean wormholes [48].

A precision test of the AdS/CFT is in general hard. That's because the two sides of the correspondence are simplified in different regions. Let's take the  $\mathcal{N} = 4$  SYM/AdS<sub>5</sub>  $\times$  S<sup>5</sup> correspondence as an example, where the dictionary is (1.34). For the field theory to be perturbative, one expects the effective expansion to be small, i.e.,

$$g_{\text{YM}}^2 N = \lambda \ll 1, \quad (1.39)$$

where an extra factor  $N$  is included which comes from summing over the fundamental indices in the loops. (see, e.g., [49]) On the other hand, for the gravity theory to be approximated by GR, one wishes that the curvature radius  $R$  to be large compared to the string length  $l_s = (\alpha')^{1/2}$ :

$$\frac{R^4}{l_s^4} = \frac{R^4}{(\alpha')^2} = \lambda \gg 1. \quad (1.40)$$

In my thesis, I mainly consider a classical action in the bulk gravity theory, and use the powerful tools we introduced in the last section to study the strongly coupled field theories.

## 1.2.4 Implications of AdS/CFT

After more than 20 years since its appearance, the AdS/CFT correspondence has been proven to be very valuable in both quantum gravity and quantum field theories. On one hand, with more results available from both sides beyond the leading order, the correspondence gains more evidence both classically and with quantum corrections; on the other hand, one can take advantage of this correspondence and give non-trivial predictions both in field theory and in quantum gravity.

The topics of my thesis involve the following top-down constructions of AdS/CFT:

- Correspondence between 3d Chern-Simon SCFT arising from M2 branes and 4d gauged supergravity with 10d or 11d upliftings. (Chapter 2)
- The correspondence between the 4d  $\mathcal{N} = 2$  superconformal **E**-theory and type IIB supergravity in AdS<sub>5</sub>  $\times$  S<sup>5</sup>/Z<sub>2</sub>. (Chapter 3)

Let us summarize the relations among the different topics discussed in this section, shown in Fig. 1.1. Originally, the string theory as a model of the strong interaction naturally motivates the string/gauge correspondence. The correspondence persists in quantum gravity when the string theory is realised



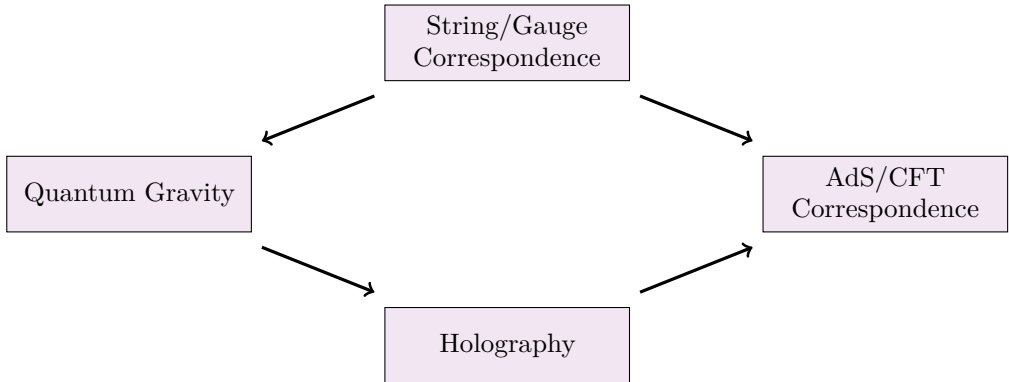


Figure 1.1: The relation between quantum gravity, the string/gauge correspondence, holographic principle, and the AdS/CFT correspondence.

as a theory describing quantum gravity. Holographic principle is motivated by the Bekenstein bound and is a very important feature of quantum gravity. The AdS/CFT is a good example of holography in quantum gravity, and is also a good example of the string/gauge correspondence.

We talked about the correspondence between partition functions above, but we can also insert operators on both sides. For local operator insertions, we get a correspondence between correlation functions on the boundary and S-matrix in the bulk. [40] For non-local insertions, we have correspondence between expectation value of a Wilson loop on the boundary and surface of string worldsheet in the bulk. [50] The entanglement entropy on the boundary is captured by the extremal surface in the bulk. [51, 52] So the field theory is indeed encoding lots of information about the bulk. In chapter 3, we study various observables in holographic superconformal  $\mathbf{E}$  theory and give non-trivial predictions to corresponding quantities in the dual supergravity.

So how would the field theory encode the Hawking-Page transition? For the field theory to contain enough degrees of freedom corresponding to black holes in the bulk, we need to take the large  $N$  limit. Because of the distinction in topologies between the black-hole and thermal AdS phases, the wrappings of Wilson loops are different between them. The two different configurations of Wilson loops give  $O(N)^0$  and  $O(N)^2$  large  $N$  behavior of the free energy that correspond to the confined and deconfined phases in the Yang-Mills gauge theory. [40, 53] In chapter 4, we study the Hawking-Page transition in Einstein gravity between two families of asymptotically locally  $\text{AdS}_8$  spaces.

As discussed above, the correspondence has a mixing between UV and IR on the

two sides, and especially the radial direction of the AdS space is related to an energy scale of the boundary field theory. This is the idea behind the holographic renormalization group flow [54, 55], where the bulk theory interpolates two different field theories on two sides which are related by renormalisation group flow. This bulk description provides a probe to study these renormalisation group flows, not only on the two sides but along the flow itself. Our setup in chapter 4 can be understood as such a holographic flow, which is induced by a relevant deformation sourced by the stress-energy tensor.

## 1.3 Structure of the thesis

In the thesis, we will study the questions introduced in the beginning with more details and try to give a partial answer. The study is mainly motivated by and based on the AdS/CFT correspondence, making use of the tools we introduced above. The contents will be divided into three parts, based on my publications [56], [57], and [58] together with unpublished results. My publication [59] is not included in the thesis.

In chapter 2 based on [56], we study the logarithmic corrections to various CFT partition functions in the context of the  $\text{AdS}_4/\text{CFT}_3$  correspondence for theories arising on the worldvolume of M2-branes. We utilize four-dimensional gauged supergravity and heat kernel methods and present general expressions for the logarithmic corrections to the gravitational on-shell action and black hole entropy for a number of different supergravity backgrounds. We outline several subtle features of these calculations and contrast them with a similar analysis of logarithmic corrections performed directly in the eleven-dimensional uplift of a given four-dimensional supergravity background. We find results consistent with AdS/CFT provided that the infinite sum over KK modes on the internal space is regularized in a specific manner. This analysis leads to an explicit expression for the logarithmic correction to the Bekenstein-Hawking entropy of large Kerr-Newmann and Reissner-Nordström black holes in  $\text{AdS}_4$ . Our results also have important implications for effective field theory coupled to gravity in  $\text{AdS}_4$  and for the existence of scale-separated  $\text{AdS}_4$  vacua in string theory, which come in the form of new constraints on the field content and mass spectrum of matter fields.

Based on unpublished results, we study the logarithmic corrections within gauged supergravity theories that are connected by RG flows. It turns out that any two theories connected by an RG flow give identical logarithmic correction.

In chapter 3 based on [57], we study correlation functions of local operators and Wilson loop expectation values in the planar limit of a 4d  $\mathcal{N} = 2$  superconformal

$SU(N)$  YM theory with hypermultiplets in the symmetric and antisymmetric representations of the gauge group. This so called **E** theory is closely related to  $\mathcal{N} = 4$  SYM and has a holographic description in terms of a  $\mathbb{Z}_2$  orientifold of  $AdS_5 \times S^5$ . Using recent matrix model results based on supersymmetric localization we develop efficient numerical methods to calculate two- and three-point functions of certain single trace operators as well as 1/2-BPS Wilson loop expectation values as a function of the 't Hooft coupling  $\lambda$ . We use our numerical results to arrive at simple analytic expressions for these correlators valid up to sixth order in the  $\lambda^{-1/2}$  strong coupling expansion. These results provide explicit field theory predictions for the  $\alpha'$  corrections to the supergravity approximation of type IIB string theory on the  $AdS_5 \times S^5/\mathbb{Z}_2$  orientifold.

In chapter 4 based on [58], we study a bottom-up model which involves an asymptotically locally  $AdS_8$  analog of the Taub-NUT solution. This chapter presents two main results. First, we study the renormalized free energies of Euclidean Einstein gravity in asymptotically  $AdS_8$  and various field theories on a squashed seven sphere. In the gravity theory, we demonstrate the absence of the Hawking-Page transition, while in the field theory, we focus on the  $O(N)$  vector model and the massless free fermion model. The conformal symmetry governs the universal behaviors of the free energies for small and large squashings, which we confirm numerically and analytically. Second, we evaluate the second-order derivative of CFT free energy with respect to the squashing parameter, finding universal results that hold for generic conformal field theories. We examine two different squashings, one with an  $SU(2)$  bundle, which is the primary focus of this chapter, and another with a  $U(1)$  bundle, where our results align with the conjectured formula from the gravity side in the literature.

The two main facets of  $AdS/CFT$  involve attempting to comprehend quantum gravity by utilizing superconformal field theories as a tool, and vice versa. In chapter 2, we use the bulk results to understand better the field theory results from supersymmetric localisation which are lack of explanation. In chapter 3, we study the field theory using supersymmetric localisation to predict scattering amplitudes and the area of string worldsheet in supergravity. In chapter 4, our main focus is in the bulk side and showed the absence of a phase transition, this encodes information of the strong coupling field theory dual.



# Chapter 2

## Log corrections in $\text{AdS}_4$

### 2.1 Introduction

The AdS/CFT correspondence is a cornerstone of modern theoretical physics and it is of clear interest to test this duality as precisely as possible. In this chapter, we focus on subleading corrections to the supergravity approximation used in holography, specifically in the context of  $\text{AdS}_4/\text{CFT}_3$ . The motivation for our analysis comes from the CFT side of the duality and the recent proliferation of techniques to compute QFT observables in the large  $N$  limit of supersymmetric holographic CFTs. More concretely, the partition functions  $Z_{\mathcal{M}_3}$  of 3d  $\mathcal{N} \geq 2$  holographic SCFTs arising from a stack of  $N$  M2-branes on a compact Euclidean manifold  $\mathcal{M}_3$  can be calculated in the large  $N$  limit using supersymmetric localization. In these theories, the leading term in the free energy  $\log Z_{\mathcal{M}_3}$  scales as  $N^{3/2}$  and in many examples agrees with the regularized on-shell action of classical asymptotically locally  $\text{AdS}_4$  Euclidean supergravity solutions which can be thought of as smooth fillings of  $\mathcal{M}_3$ .<sup>1</sup> The first subleading term scales as  $N^{1/2}$  and it can be accounted for in the bulk by studying the leading four-derivative correction to the classical four-dimensional supergravity action, see [66, 67]. The goal of this chapter is to study how the  $\log N$  term that arises at the next order in the large  $N$  expansion of the free energy can be computed from the bulk supergravity theory.

An alternative point of view on logarithmic corrections to gravitational path integrals is found in black hole physics. It is expected that the Bekenstein-Hawking formula for the black hole entropy,  $S_{\text{BH}} = \frac{1}{4}A_{\text{H}}$  with  $A_{\text{H}}$  the area of

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<sup>1</sup>See [60, 61, 62, 63, 64] for an incomplete selection of references and [20, 65] for a review.

the horizon in Planck units, receives perturbative quantum corrections. These arise from higher-derivative terms in the gravitational effective action, as well as from quantum effects due to matter fields propagating on a fixed gravitational background. While a lot is known about these corrections to the black hole entropy, they are in general hard to calculate and strongly depend on the details of the UV completion of the effective gravitational theory. It was shown by Ashoke Sen that the logarithmic corrections to black hole entropy are very special in that regard. Notably, the coefficient of the  $\log A_H$  correction to  $S_{\text{BH}}$  is determined solely by the one-loop quantum contributions to the gravitational path integral of all fields below the cutoff scale of the effective gravitational theory. This fact represents a powerful “IR window” into the UV-complete theory of quantum gravity and can be employed to derive strong consistency condition on the microscopic description of black hole physics. Moreover, in special situations with enough symmetry, it has been shown that the  $\log A_H$  corrections to black hole entropy can be successfully matched to microscopic results from string theory, see [7, 8, 68, 69, 9, 70] and references thereof.

These two vantage points on logarithmic corrections to gravitational path integrals lead us to study how such logarithmic terms arise in 4d gravitational theories in AdS, see [71, 72, 73, 74, 75] and [76, 77, 78] for previous studies of logarithmic corrections in  $\text{AdS}_4/\text{CFT}_3$  in 11d and 4d supergravity, respectively. We follow the approach of Sen and study the quantum effects of matter fields propagating on a fixed background of a 4d gravitational theory with a negative cosmological constant. Focusing on fields with half-integer spin up to 2 and general masses, we employ heat kernel methods to calculate their contributions to the logarithmic term in the gravitational path integral, see [79] for a review of the heat kernel expansion. In the absence of other scales in the problem, the log correction is of the form  $\log L^2/G_N$  where  $G_N$  is the 4d Newton constant and  $L$  is the length scale set by the cosmological constant.<sup>2</sup> In general the coefficient of  $\log L^2/G_N$  can receive contributions from zero modes and non-zero modes of the differential operator that controls the dynamics of the field with a given spin, as well as from boundary terms. For the 4d gravitational backgrounds of interest in this work, we find under reasonable assumptions that the boundary terms have a vanishing contribution to the  $\log L^2/G_N$  coefficient. The analysis of the contribution from zero modes is subtle and we can only make precise quantitative statements for very symmetric spaces like Euclidean  $\text{AdS}_4$  and  $\text{AdS}_2 \times \Sigma_g$ , where  $\Sigma_g$  is a smooth compact Riemann surface of genus  $g$ . Nevertheless, we argue that even for more general gravitational backgrounds, the zero modes contribute a pure number to the coefficient of  $\log L^2/G_N$  which is independent on any continuous parameters that may be present in the 4d gravitational solution.

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<sup>2</sup>The methods we use can be adapted to study situations with a more general cutoff scale not related to the 4d Planck scale. We will comment on such situations further below.

The results for the contribution of the non-zero modes is more intriguing. We find that general massive fields of spin up to 2 lead to a coefficient of  $\log L^2/G_N$  that depends on the continuous parameter of the gravitational background, like squashing deformations of the boundary metric or the angular velocity of a black hole. This seemingly innocuous fact has important repercussions. Focusing on 4d Euclidean supergravity backgrounds, we can compare our results for the coefficients of the  $\log L^2/G_N$  corrections to the  $\log N$  terms in the path integral of large  $N$  3d holographic SCFTs arising from M2-branes. Using results from supersymmetric localization for a number of explicit examples of such SCFTs, we observe that the coefficient of  $\log N$  does not depend on such continuous parameters. We use this to show that the apparent contradiction between the supergravity and SCFT results can only be resolved if the total contribution of the non-zero modes to the  $\log L^2/G_N$  term in the gravitational path integral only depends on a specific contribution in the heat kernel expansion that we identify.

We then set out to check this strong “bootstrap” constraint on explicit top-down  $\text{AdS}_4/\text{CFT}_3$  examples. We start with the familiar ABJM theory at level  $k = 1$  dual to 11d supergravity on an asymptotically locally  $\text{AdS}_4 \times S^7$  background. When trying to employ our 4d gravitational approach we are faced with an immediate difficulty, namely that the 4d  $\mathcal{N} = 8$  supergravity theory is not a standard EFT with finitely many fields but rather a consistent truncation to 4d  $\mathcal{N} = 8$   $\text{SO}(8)$  gauged supergravity coupled to an infinite tower of Kaluza-Klein (KK) modes with masses below the 4d Planck scale. To calculate the non-zero mode contribution to the heat kernel, we thus have to take into account the full KK tower and sum the contributions of the infinitely many KK modes. This calculation leads to a divergent sum which we need to regularize. Using three different regularization methods proposed in the literature, we show that *all* non-zero mode contributions in the heat kernel expansion relevant for the  $\log N$  correction vanish.

This result presents a puzzle. Using supersymmetric localization on the round  $S^3$ , it can be shown that the ABJM free energy has a logarithmic term in its large  $N$  expansion given by  $\frac{1}{4} \log N$ , see [80, 81]. The factor of  $1/4$  was successfully reproduced in 11d supergravity in [71] using a one-loop analysis of the 11d supergravity fields on the dual  $\text{AdS}_4 \times S^7$  background. In short, one finds that since the heat kernel expansion is used in odd dimensions, only zero modes of the 11d differential operators contribute. The only possible such zero mode in Euclidean  $\text{AdS}_4$  arises from a 2-form, and it would naively seem that there are no 2-forms among the fields of 11d supergravity. However, the quantization of the 3-form potential of the 11d theory necessitates the introduction of a 2-form ghost, which in turn gives the only non-vanishing contribution to the  $\log N$  term. This contribution was calculated in [71] and was shown to agree

precisely with the  $1/4$  calculated in the holographically dual SCFT. Our 4d analysis of the logarithmic correction yields a different result. Since there are no 2-forms in the KK spectrum of 11d supergravity on  $\text{AdS}_4 \times S^7$  even after quantization, we find no contribution from any zero modes. As explained above, we also find that the non-zero modes do not contribute. Moreover, one can show that there are no contributions from boundary terms. We are thus led to two possible conclusions: A) The 4d supergravity calculation of the logarithmic correction yields a vanishing result for  $\log N$  in clear contradiction with the 11d analysis and with holography; or B) The regularization of the infinite sum over KK modes that contributes to the heat kernel coefficients can be performed in a way that gives a finite result consistent with 11d supergravity and holography.

While option A) above may appear puzzling, it is not hard to understand how and why it may be possible. While 11d supergravity on  $\text{AdS}_4 \times S^7$  should be equivalent to 4d  $\mathcal{N} = 8$   $\text{SO}(8)$  gauged supergravity coupled to an infinite tower of KK modes at the classical level, there is no guarantee that the two theories produce the same quantum effect. Indeed in this explicit example we can trace the discrepancy to the 2-form ghost needed for the consistent quantization of the 11d 3-form field, which is not present in the 4d supergravity theory or in the full KK spectrum. If indeed option A) is to be taken seriously then it should be viewed as a cautionary tale for any application of lower-dimensional consistent supergravity truncations to holography, especially when studying quantum corrections to the leading supergravity approximation.

Option B) on the other hand leads to more interesting conclusions. Assuming that the infinite sum over KK modes is regularized in a specific way that yields a non-vanishing heat kernel coefficient, we find that the non-zero modes contribute a factor of  $\frac{\chi}{6}$  to the logarithmic correction, where  $\chi$  is the Euler number of the four-dimensional background. If we further assume that, apart from the 2-forms mentioned above, there are no zero modes in the asymptotically locally Euclidean  $\text{AdS}_4$  spaces relevant for holography, we find the following general result for the saddle point approximation to the gravitational path integral:

$$I = I_0 + \frac{\chi}{6} \log(L^2/G_N) + \dots \quad (2.1)$$

Here  $I_0$  is the regularized gravitational on-shell action (possibly including higher-derivative corrections) evaluated on the equations of motion, and the  $\dots$  include higher order corrections in the semi-classical gravitational expansion. While the result in (2.1) is not derived very rigorously, we show that it is in perfect agreement with results for the large  $N$  path integral of the 3d  $\mathcal{N} = 6$  ABJM SCFT on various compact Euclidean three-manifolds. Moreover, if we assume that (2.1) is valid, we arrive at the following prediction for the logarithmic correction to the entropy of a large  $\text{AdS-Kerr-Newmann}$  black hole embedded



in  $\text{AdS}_4 \times S^7$

$$S_{\text{AdS-KN}} = \frac{A_H}{4G_N} - \frac{1}{3} \log(A_H/G_N) + \dots \quad (2.2)$$

Here we work in an ensemble with fixed temperature and chemical potentials, the leading term is the standard Bekenstein-Hawking entropy of the black hole, and the  $\dots$  are a placeholder for possible further quantum corrections to the black hole entropy. In the supersymmetric limit, our bulk result agrees with the logarithmic term in the large  $N$  limit of the superconformal index of the dual SCFT. We consider this agreement to be a strong precision test of the microstate counting for 4d AdS black holes.

To understand further the interplay between logarithmic corrections in holography, 11d supergravity, and 4d KK supergravity theories, we study four other top-down examples of  $\text{AdS}_4$  vacua of M-theory with different amounts of supersymmetry and different topology of the internal manifold, and underscore several subtleties in the calculation of the logarithmic terms and the regularization of the infinite sums over KK modes. The conclusions we draw from these other examples are similar to the ones for  $\text{AdS}_4 \times S^7$ , i.e. one either should not use the 4d supergravity theory with the infinite tower of KK modes for the calculation of logarithmic corrections, or one should find a suitable regularization scheme for the infinite sums over KK modes in order to obtain one-loop results consistent with holography.

In addition to being of interest for top-down holographic models with explicit string/M-theory embeddings, our results have important implications for a more agnostic bottom-up approach to holography. Consider an effective theory of gravity coupled to a *finite* number of matter fields of spin less than 2 which is valid up to an energy cutoff scale  $\Lambda$  and admits an  $\text{AdS}_4$  vacuum of scale  $L$ . Our results imply that the coefficient of the  $\log L\Lambda$  term in the semi-classical expansion of the gravitational path integral depends in general on the continuous parameters of an asymptotically locally  $\text{AdS}_4$  background. If we assume that the gravitational theory has a holographic description, this in turn implies that there exist  $\log N$  or  $\log \lambda$  terms in various physical observables, such as local correlation functions or the thermal effective action which captures the partition function of the theory on  $S^1 \times S^2$  in a saddle-point approximation. Note that here we are somewhat abstract and use the integer  $N$  to denote the large number of degrees of freedom in the holographic CFTs, and  $\lambda$  denotes some notion of a continuous parameter like an exactly marginal coupling. To the best of our knowledge such logarithmic terms do not appear in local correlation functions in any sequence of CFTs and they do not depend on continuous parameters in thermal effective actions. In this work we assume this to be true in general. Equipped with this assumption we are then led to conclude that general effective gravitational theories with a finite number of fields and an  $\text{AdS}_4$  vacuum cannot

be holographic. As we show in detail the only possible exception to this constraint, which somewhat poetically may be referred to as “the unbearable lightness of the KK scale” [82], arises from theories with a very finely tuned spectrum of quadratic fluctuations around the  $\text{AdS}_4$  vacuum. In particular, we show that the pure 4d  $\mathcal{N} = 2$  and  $\mathcal{N} = 4$  gauged supergravity theories do not evade this constraint and are therefore not consistent UV-complete holographic gravitational theories.

As a final application of our results we can also consider scale-separated vacua of string or M-theory, see [83] for a recent review and an extended bibliography. These are putative consistent  $\text{AdS}_4$  vacua for which the length scale associated with the internal space is much smaller than the scale  $L$  that sets the 4d cosmological constant.<sup>3</sup> Clearly such a scale-separated  $\text{AdS}_4$  vacuum defines an effective gravitational theory with finitely many fields valid up to the KK scale set by the internal manifold. If we assume that the scale-separated vacuum is associated with a consistent dual 3d CFT that does not have  $\log N$  or  $\log \lambda$  terms in local correlations functions, we arrive at very strong consistency constraints. In particular, we find that the mass spectrum of quadratic excitations around the  $\text{AdS}_4$  vacuum should be such that three of the four heat kernel coefficients that contribute to the bulk logarithmic terms should vanish. These constraints are particularly strong for  $\text{AdS}_4$  vacua preserving  $\mathcal{N} = 1$  or more supersymmetry, for which we show that most mass spectra with finitely many fields are inconsistent.

We continue in the next two sections with a summary Kaluza-Klein supergravity and known QFT results for the  $\log N$  terms in the large  $N$  expansion of partition functions of 3d holographic SCFTs on compact Euclidean manifolds. In Section 2.4 we review the heat kernel method and apply it to the calculation of logarithmic corrections in the saddle-point approximation of gravitational path integrals in asymptotically  $\text{AdS}_4$  backgrounds. In Section 2.5 we show how one can use the results for the logarithmic corrections of SCFT partition functions from Section 2.3 in conjunction with holography to deduce strong constraints on the Seeley-de Witt coefficients in the heat kernel expansion. Section 2.6 is devoted to a discussion of some top-down examples in  $\text{AdS}_4/\text{CFT}_3$  arising from M-theory for which we can compute the  $\log N$  corrections by summing over the infinite tower of supergravity KK modes. In Section 2.7 we change gears and discuss the important constraints implied by our results for EFTs with finitely many fields coupled to gravity in  $\text{AdS}_4$ , as well as the implications of these constraints for scale-separated  $\text{AdS}_4$  vacua of string and M-theory. We conclude in Section 2.8 with a discussion of some open problems and directions for further study. In the five appendices we collect many of the technical details

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<sup>3</sup>A precise definition of this internal length scale is not crucial for our argument. The scale can be defined through the volume, diameter, or the spectrum of some differential operator on the internal space.

needed for our analysis.

## 2.2 Supergravity and Kaluza-Klein compactification

Since we mainly use 4d gauged supergravity in this chapter, we will start with a brief introduction to supergravity in general, followed by explaining the relation between the 4d gauged supergravity with 11d supergravity as an example of the Kaluza-Klein compactification in supergravity.

### 2.2.1 Supergravity and supersymmetry

In the introduction of the thesis, we have introduced superconformal field theories which play an important role in the AdS/CFT correspondence. Here we will introduce the other part of the correspondence, namely the supergravity theories [84, 85] which are the low-energy effective theories of string or M-theory. Different from string theory which is considered a unified theory including quantum gravity, the motivation of supergravity is to modify the general relativity with supersymmetry [14, 15, 16], where global supersymmetry is promoted to be local in order to respect the general covariance on a curved space. As the low-energy approximation of string and M-theory, supergravity becomes a highly valuable tool to study the semiclassical dynamics of quantum gravity. There have been developments in this field recently, such as supergravity localization [86, 87], the exceptional field theory method [88, 89], and Euclidean supergravity [90]. We only consider Euclidean signature in this chapter.

If we were to study top-down holography, we start from the UV complete theories, such as type II string theories or M theory. The corresponding low energy theories are type II supergravities and 11d supergravity, respectively. Studying the phase space of asymptotically AdS backgrounds in these supergravity theories is difficult because the dimension is too high. The first way out comes from supersymmetry. The infinitesimal version of supersymmetry transformation schematically looks like:

$$\delta_\epsilon F = B\epsilon, \quad \delta_\epsilon B = F\epsilon, \tag{2.3}$$

where  $B, F$  denote bosonic and fermionic fields, respectively, and  $\epsilon$  is a spinor parametrizing the transformation. To preserve a certain number of supersymmetry, there must exist at least one  $\epsilon$  such that  $\delta_\epsilon F = \delta_\epsilon B = 0$  for all the matter fields. This requirement imposes non-trivial relations to the metric

and gauge fields, called the “BPS equations”, which are first order differential equations that are much easier to solve in comparison with the second-order equations of motion. In favorable situations, the BPS equations automatically solve the equations of motion.<sup>4</sup> [92, 93, 94, 95] This is helpful when looking for supersymmetric backgrounds in 11d or type II supergravities.

Another method to look for 10d or 11d backgrounds is through compactification. The idea of compactification is that the background should be of a (warped) product form  $M_d \times Y_{D-d}$ , so all the  $D$ -dimensional fields can be expanded by products of external fields and internal harmonics. When the internal space  $Y_{D-d}$  becomes somehow small, the mass spectrum of fields are dominated by the internal mass spectrum, which is organized into an infinite tower of discrete Kaluza-Klein levels. Thus in the limit where the  $Y_{D-d}$  is very small, we can omit the higher KK modes and only look at the massless ones. This is the Kaluza-Klein reduction. However, it turns out to be surprisingly hard to find scale separated backgrounds in 10d/11d supergravity. This will also be the point discussed in section 2.7, where we show that our results can be a criterion for the existence of scale-separated background in a given supergravity theory.

Given the lack of scale separated backgrounds, we need to include infinitely many Kaluza-Klein modes. Because of the conservation of degrees of freedom, the higher-dimensional fields with more Lorentz indices reduce to a large number of fields in the lower dimension. Despite the ramping number of fields, lower dimensional supergravity can still be easier to study compared their 10d/11d counterparts.

AdS/CFT correspondence identifies the field theories to the full quantum gravity living in 10d/11d. At the classical level, a background  $\text{AdS}_4 \times Y_7$  in 11d supergravity is equally described by a 4d gauged supergravity in  $\text{AdS}_4$  with the full tower of Kaluza-Klein modes associated to  $Y_7$ . In this chapter, we assume that the two descriptions are also equivalent with one-loop quantum corrections, and our results indeed show the precision holography with 4d gauged supergravity. This correspondence is shown by the red dotted arrow in Fig. 2.1. In what follows, we will take the KK reduction from 11d supergravity down to 4d to show how the compactification works.

## 2.2.2 Kaluza-Klein supergravity in 11d

Among all the supergravity theories, one of them is very special, that is the 11d supergravity [96]. Not only because 11 is the maximal number of dimensions

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<sup>4</sup>However, it is not true true in general, since there is no reason. But see [91] for a special case in type IIB supergravity where this is true.

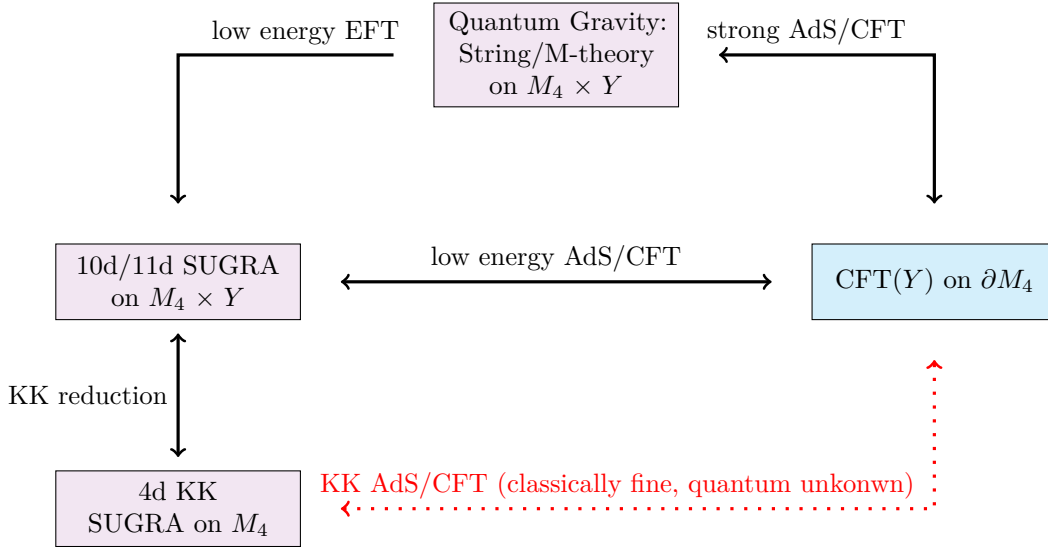


Figure 2.1: The picture shows different versions of the AdS/CFT correspondence with decreasing stringency. The pink boxes represent gravity theories, while the cyan box denotes the field theory. The dark thick arrows represent procedures that are understood or accepted, while the red dotted arrow is the less understood one. We assume the Kaluza-Klein theory with the full KK tower can be identified with the higher-dimensional counterpart.

permitted by supersymmetry [17], but the theory is uniquely determined, while supergravity theories in lower dimensions are highly constrained but not completely fixed. The field contents is very simple as well: a metric  $g_{MN}$  and a three-form potential  $A_{MNP}$ . The 11d supergravity is regarded as the low energy theory of M theory [97], since its reduction on  $S^1$  gives the strong-coupling limit of the type IIA supergravity.

To make a connection between this beautiful theory with our real world, one may naïvely think of putting the 11d supergravity on a background in a direct product<sup>5</sup> form of  $M_4 \times Y_7$  and consider the effective theory on  $M_4$ , with the hope that  $M_4$  gives an effective gravity theory describing the real world. This is roughly the idea of Kaluza-Klein compactification. [99]

<sup>5</sup>In fact, one should also consider a warped product background, which is necessary to reproduce some extra theories in 4d gauged supergravity. [98]

To simplify the discussion further, we shall make an ansatz for the 4-form field strength that singles out the four-dimensional external space: [100]

$$F_{MNPQ} = \begin{cases} 3m\epsilon_{\mu\nu\rho\sigma}, & \text{along } M_4, \\ 0, & \text{otherwise.} \end{cases} \quad (2.4)$$

Under this ansatz, the 11d Einstein equations become:

$$R_{\mu\nu} = -12m^2 g_{\mu\nu}, \quad R_{mn} = 6m^2 g_{mn}, \quad (2.5)$$

where  $\mu, \nu, \rho, \sigma$  are coordinates along  $M_4$ , and  $m, n$  are coordinates along  $Y_7$ . This simple ansatz leads to the requirement that the internal manifold needs to be compact (since it has positive curvature) and Einstein. The external manifold will have negative curvature, and thus asymptotically AdS, happens to be applicable for the AdS/CFT correspondence.

On the product background, one can show that if the “internal space”  $Y_7$  has an isometry group  $G$ , the massless states in the effective theory will contain a gauge field with the gauge group  $G$ . [101] The maximally symmetric choice of  $M_7$  is the seven-sphere with  $\text{SO}(8)$  isometry, which should correspond to a 4d gauged supergravity with gauge group  $\text{SO}(8)$ . What’s more, the  $\text{AdS}_4 \times S^7$  background in 11d supergravity was explicitly found to contain 8 Killing spinors  $\epsilon$  [102], which will be preserved if we “spontaneously compactify” to  $\text{AdS}_4$ , resulting in the 4d  $\mathcal{N} = 8$   $\text{SO}(8)$  gauged supergravity [103]: the Kaluza-Klein modes that descend from the 11d supergravity organize themselves into a tower of 4d  $\mathcal{N} = 8$  supergravity multiplets.

We can also consider less symmetric internal spaces. The coset spaces can be represented as the group manifold  $G/H$  where  $H$  is a subgroup of  $G$ , and they are automatically homogeneous by construction. For example, the seven sphere can be represented as  $\text{SO}(8)/\text{SO}(7)$ . The classification of 7d Einstein coset space is done in [104], many of them appear to have Killing spinors and thus correspond to 4d gauged Kaluza-Klein supergravities. These 4d gauged supergravities holographically correspond to 3d superconformal field theories, through the chain of relations in Fig. 2.1. Many of the 3d field theories are identified as supersymmetric gauge theories amenable to supersymmetric localization, as partially listed in Table 2.1. The field theory results motivate us to study the corresponding Kaluza-Klein gauged supergravity obtained by compactifying the 11d backgrounds found in [104].

We can relax the Freund-Rubin ansatz in (2.4) and consider non-vanishing fluxes in the internal space, where things start being complicated. As we discussed above, the straightforward method starts from 11d supergravity, taking advantage of the global symmetry and supersymmetry to get the first-order BPS equations. It may work well when the symmetry is large, for

example, in [105, 106, 107, 108]. Another perspective is to look at the 4d  $\mathcal{N} = 8$  SO(8) gauged supergravity, which has 70 scalar fields with a complicated scalar potential. The potential has extrema parametrized by the non-zero VEVs of the scalar fields, they correspond to IR fixed points of RG flows sourced by deformations on the original theory. At such a fixed point, the fields descending from the original  $\text{OSp}(8|4)$  multiplet in the UV reorganize themselves into new multiplets with reduced supersymmetry. Because the 4d  $\mathcal{N} = 8$  SO(8) gauged supergravity is a consistent truncation [109, 110, 111], all the 4d theories corresponding to extrema of the scalar potential can be uplifted to 11d.<sup>6</sup> Besides, the exceptional field theory method can also be helpful looking for an 11d background when a large global symmetry is preserved, which takes advantage of the full symmetry group of the maximal (or half-maximal) gauged supergravity. [113]

We will be interested in the quantum aspects of the 4d Kaluza-Klein gauged supergravities. Under the canonical quantization, all the Kaluza-Klein modes will run in loops, thus we need the mass spectrum data for the full tower. In the literature, this can be obtained by doing harmonic analysis of the various differential operators. Nowadays a new method based on the hidden exceptional symmetry [89] is more powerful for less symmetric cases, including non-supersymmetric theories. We will study 4d gauged supergravity theories that have uplifts in massive type IIA, type IIB, and 11d supergravity with known holographic duals. Interesting results from the 1-loop quantum effects of the Kaluza-Klein modes will be presented.

## 2.3 Large $N$ partition functions of 3d holographic SCFTs

Let us begin by briefly reviewing known results about the logarithmic terms in the large  $N$  partition functions of 3d holographic SCFTs with  $\mathcal{N} \geq 2$  supersymmetry placed on compact Euclidean manifolds. Using supersymmetric localization, partition functions of 3d  $\mathcal{N} = 2$  SCFTs on various compact manifolds can be reduced to matrix models. Of particular interest to us are the squashed<sup>7</sup> 3-sphere partition function [115, 114, 116], the Topologically

<sup>6</sup>This is not true for some other 4d gauged supergravities, for example, the dyonic gauged maximal supergravity [112] doesn't have an 11d uplift. It is also not true that all 11d supergravity backgrounds can be compactified to 4d gauged supergravity, such as the GMPS solution [108, 95].

<sup>7</sup>We will be interested in a particular squashing of  $S^3$  [114] that preserves a  $U(1) \times U(1)$  isometry and is parametrized by a real positive number  $b$ , with  $b = 1$  corresponding to the round sphere. We denote this manifold by  $S_b^3$ .

Twisted Index (TTI) obtained by putting the theory on  $S^1 \times \Sigma_{\mathfrak{g}}$  with a supersymmetry-preserving twist along the Riemann surface [117, 118, 119, 120], and the Superconformal Index (SCI) where the 3d SCFT is put on  $S^1 \times_{\omega} S^2$  after turning on a chemical potential  $\omega$  for the angular momentum on the  $S^2$  [121, 122, 123, 124]. In what follows, we first introduce the three BPS observables and then discuss their universal behaviors under the large  $N$  expansion.

### 2.3.1 BPS observables in 3d $\mathcal{N} = 2$ gauge theories

The classification of three-dimensional BPS manifolds preserving 3d  $\mathcal{N} = 2$  supersymmetry has been done [125]. The three geometries we will study, i.e., squashed three-sphere  $S_b^3$ ,  $S^1 \times \Sigma_{\mathfrak{g}}$ , and  $S^1 \times_{\omega} S^2$ , are special cases of the 3d BPS geometries. Application of supersymmetric localization on 3d  $\mathcal{N} = 2$  SCFT leads to the precise matrix-integral formulas for the path integral on them. In the last two cases, the partition function evaluates the topologically twisted index (TTI) and the superconformal index (SCI), which are related to the number of black-hole microstates in their AdS duals. [65]

The squashed sphere  $S_b^3$  preserves  $U(1) \times U(1)$  isometry, whose metric can be written as

$$ds^2 = b^2(dx_1^2 + dx_2^2) + 1/b^2(dx_3^2 + dx_4^2), \quad x_1^2 + x_2^2 + x_3^2 + x_4^2 = 1. \quad (2.6)$$

We are interested in 3d superconformal theories with  $\mathcal{N} = 2$  supersymmetry, where the partition function localizes to, schematically: [114, 116]

$$\begin{aligned} Z(R) = & \int \prod_{\text{Cartan}} du e^{i\pi \text{tr} u^2} \det_{\text{Ad}} [\sinh(b\pi u) \sinh(b^{-1}\pi u)] \\ & \times \prod_{\text{chirals in rep } R_i} \det_{R_i} \left[ s_b \left( \frac{iQ}{2} (1 - \Delta_i) + iu \right) \right], \end{aligned} \quad (2.7)$$

where  $Q = b + 1/b$ ,  $\Delta_i$  are the  $R$ -charges of the chiral multiplets under the  $R$ -symmetry, and  $s_b$  is the double-sine function defined by:

$$s_b(x) \equiv \prod_{m,n \geq 0} \frac{mb + nb^{-1} + \frac{Q}{2} - ix}{mb + nb^{-1} + \frac{Q}{2} + ix}. \quad (2.8)$$

When the sphere is not squashed, the expressions are dramatically simplified: [115]

$$Z(R) = \int \prod_{\text{Cartan}} du e^{i\pi \text{tr} u^2} \det_{\text{Ad}} [\sinh^2(\pi u)] \prod_{\text{chirals in rep } R_i} \det_{R_i} [e^{\ell(1-\Delta_i+iu)}], \quad (2.9)$$



where  $\ell(z)$  is a special function:

$$\ell(z) \equiv -z \log(1 - e^{2\pi iz}) + \frac{i}{2} \left( \pi z^2 + \frac{1}{\pi} \text{Li}_2(e^{2\pi iz}) \right) - \frac{i\pi}{12}. \quad (2.10)$$

For a 3d SCFT with  $\mathcal{N} \geq 3$ , the  $R$ -symmetry  $\text{SO}(\mathcal{N})$  is non-Abelian, which protects the  $R$ -charges when going from the UV to the IR fixed point. But for  $\mathcal{N} = 2$  case, the  $R$ -charge gets quantum corrections and mixes with Abelian non- $R$  flavor symmetries. It was shown that the choice of  $R$ -charge that maximizes the partition function is the correct superconformal  $R$ -charge in the infrared [126]. This is reminiscent of the  $a$ -maximization in 4d. [127]

Apart from squashed three-spheres, on some specific backgrounds, the partition functions happen to reproduce the supersymmetric Witten index [128]. The motivation of the superconformal index is to study the Hilbert space of superconformal field theories. We expect the Hilbert space to be a direct sum over irreducible unitary representations of the superconformal algebra, which has been classified in general dimensions. [129, 130, 131, 132, 133, 18] One special set of representations are called “short” because they have fewer states compared to the general multiplets, which are called “long”. Within a continuous family of superconformal field theories, the continuity of the spectrum prevents the short multiplets from becoming long unless a group of short multiplets coincidentally combine into a long one. [121] The superconformal index can be defined as special linear combinations of the numbers of the multiplets which are invariant under the multiplet combinations discussed above and are thus a function of the spectrum that remains constant under continuous variation of the spectrum.

One typical choice of the index is prescribed by Witten [128], which counts the states annihilated by a particular supercharge  $\mathcal{Q}$  and its conjugate  $\bar{\mathcal{Q}}$ . The Witten index is defined as

$$\mathcal{I} = \text{tr} (-1)^F e^{-\beta \{\mathcal{Q}, \bar{\mathcal{Q}}\}} e^{\mu_i F_i}, \quad (2.11)$$

where  $F$  is the fermion number operator and  $F_i$  are flavor symmetries commuting with  $\mathcal{Q}$  and  $\bar{\mathcal{Q}}$ . The Witten index receives only contributions from states that are both annihilated by  $\mathcal{Q}$  and  $\bar{\mathcal{Q}}$ , and thus by  $\{\mathcal{Q}, \bar{\mathcal{Q}}\}$ , so it is independent of  $\beta$  as well as other continuous deformations. The Witten index is the most general index since it can reproduce all the indices that are continuous spectral functions.

Using supersymmetric localization, the superconformal index of an  $\mathcal{N} \geq 2$  superconformal field theory on  $S^1 \times_\omega S^2$  can be reduced to an explicit finite-dimensional matrix integral as well, which involves both the integration over the Cartan generators of the gauge group parametrizing the BPS locus as well

as an infinite sum over all the possible magnetic monopole fluxes over the two-sphere valued under the GNO lattice [134] of quantized magnetic fluxes. [122, 121, 123, 124]

Preserving supersymmetry on a curved manifold is a non-trivial task. The standard protocol involves coupling the field theory to a supergravity theory and fixing the gravity and gauge flux background. [135] One special scenario is the partial topological twist proposed by Witten [26, 27]. The BPS equation schematically reads:

$$\nabla_\mu \epsilon = \partial_\mu \epsilon + \omega_\mu^{ab} \Gamma_{ab} \epsilon + A_\mu^R \epsilon. \quad (2.12)$$

By specifying the background gauge field  $A_\mu^R$  coupled to the  $U(1)_R$ -symmetry such that the last two terms above cancel, a constant  $\epsilon$  automatically solves the BPS equation and thus supersymmetry is preserved. Since the gauge field is along the Riemann surface which is a part of the total space  $\Sigma_g \times S^1$ , this operation is called “partial topological twist” and its corresponding Witten index is called the topologically twisted index (TTI), which can be expressed explicitly as a finite-dimensional integral using supersymmetric localization. [117, 119, 118] The BPS locus is also parametrized by the GNO lattice and the Cartan algebra of the gauge group. The difference between the twisted index and the superconformal index is whether the gauge field  $A_\mu^R$  is turned on or not to preserve the supersymmetry.

Supersymmetric localization makes the exact evaluation for these BPS observables at finite  $N$ , couplings, and charges possible, which is meaningful in the comparison with gravity in the context of AdS/CFT. In this chapter, we will take advantage of the results in the literature on localizing 3d SCFTs on the three backgrounds, which are summarized in Table 2.1.

### 2.3.2 The large $N$ behavior

Under favorable circumstances related to special values of the 3d background parameters, the matrix model for the  $S_b^3$  partition function can be computed in closed form to all orders in the large  $N$  expansion [80, 81, 136, 137, 138]. The matrix models for the other supersymmetric partition functions have not yet been solved analytically, but closed-form expressions were conjectured for various  $\mathcal{N} \geq 2$  SCFTs based on non-trivial consistency checks with the bulk theory and numerical studies [139, 140, 141, 142]. In all known cases, we have the large  $N$  behavior

$$\log Z_{\text{CFT}} = F_0 + \mathcal{C} \log N + \mathcal{O}(N^0), \quad (2.13)$$

where  $F_0$  denotes all terms that dominate over  $\log N$  in the large  $N$  expansion. In general,  $F_0$  is a polynomial in some (possibly fractional) power of  $N$  depending

Theory	$\mathcal{M}_3$	log coefficient $\mathcal{C}$	Ref.	10/11d bulk
M2-brane theories (class I)				
$(S^7/\mathbb{Z}_k)_{\text{free}} \ (\dagger)$	$S_b^3$	$-\frac{1}{4}$	[80, 81, 143, 140]	$\checkmark$ [71] (s.c.)
	$S^1 \times \Sigma_{\mathfrak{g}}$	$-\frac{1}{2}(1 - \mathfrak{g})$	[73, 140]	$\checkmark$ [72] (s.c.)
	$S^1 \times_{\omega} S^2$	$-\frac{1}{2}$	[141]	$\times$
$(S^7/\mathbb{Z}_{N_f})_{\text{f.p.}} \ (\dagger)$	$S_b^3$	$-\frac{1}{4}$	[136, 138, 144, 142]	$\times$
	$S^1 \times \Sigma_{\mathfrak{g}}$	$-\frac{1}{2}(1 - \mathfrak{g})$	[142]	
	$S^1 \times_{\omega} S^2$	$-\frac{1}{2}$	[141]	
$N^{010}/\mathbb{Z}_k$	$S_{b=1}^3$	$-\frac{1}{4}$	[81, 142]	$\times$
	$S^1 \times \Sigma_{\mathfrak{g}}$	$-\frac{1}{2}(1 - \mathfrak{g})$	[142]	$\checkmark$ [75]
	$S^1 \times_{\omega} S^2$	$-\frac{1}{2}$	[145]	$\times$
$V^{52}/\mathbb{Z}_k$	$S^1 \times \Sigma_{\mathfrak{g}}$	$-\frac{1}{2}(1 - \mathfrak{g})$	[142]	$\checkmark$ [75]
	$S^1 \times_{\omega} S^2$	$-\frac{1}{2}$	[145]	$\times$
$Q^{111}/\mathbb{Z}_k$	$S^1 \times \Sigma_{\mathfrak{g}}$	$-\frac{1}{2}(1 - \mathfrak{g})$	[142]	$\checkmark$ [75]
	$S^1 \times_{\omega} S^2$	$-\frac{1}{2}$	[145]	$\times$
M5-brane theories (class II)				
$A_{N-1}$	$S_b^3$	$-\frac{1}{2}$	[146, 147]	$\times$
	$S^1 \times \Sigma_{\mathfrak{g} > 1}$	$(b_1(\mathcal{H}_3) - 1)(1 - \mathfrak{g})$	[74, 148]	$\checkmark$ [148]
	$S^1 \times_{\omega} S^2$	$b_1(\mathcal{H}_3) - 1$	[148]	
$D_N$	$S_b^3$	0	[147]	$\times$
	$S^1 \times \Sigma_{\mathfrak{g} > 1}$			
	$S^1 \times_{\omega} S^2$			
IIA theories (class III)				
$\mathbb{CP}^3 \ (\dagger)$	$S_b^3$	$-\frac{1}{6}$	[149, 140]	$\times$
	$S^1 \times \Sigma_{\mathfrak{g}}$	$\frac{2}{3}(1 - \mathfrak{g})$	[150, 140]	
$\mathbb{CP}_{\text{def}}^3$	$S_{b=1}^3$	$-\frac{1}{6}$	[151]	$\times$
$S_{\text{def}}^6$	$S_{b=1}^3$	$-\frac{2}{9}$ (fixed $k$ )	[152]	$\times$
		$-\frac{1}{6}$ ('t Hooft)		
	$S^1 \times \Sigma_{\mathfrak{g}}$	$-\frac{7}{18}(1 - \mathfrak{g})$	[153]	

Table 2.1: The logarithmic coefficient in (2.13) for various 3d  $\mathcal{N} \geq 2$  SCFTs. We indicate the compact manifold  $\mathcal{M}_3$  on which the theory is placed and the possibility of mass deformations ( $\dagger$ ), along with relevant references where analytic and/or numerical computations are presented. We refer to the main text for detailed explanations and implicit restrictions of each entry. The last column indicates whether the result has been matched from a one-loop computation in 10d or 11d supergravity with the abbreviation s.c. used to indicate that the supergravity analysis is performed at the superconformal vacuum, i.e. for vanishing squashing and mass deformations.

on the SCFT of interest, whose coefficients are functions of the parameters of the theory such as the discrete Chern-Simons (CS) level or continuous parameters like squashings, fugacities for flavor symmetries or real masses. In stark contrast, the logarithmic correction in (2.13) is *universal* and independent of such parameters. We summarize a number of known results for the logarithmic coefficient  $\mathcal{C}$  in Table 2.1, after giving some general explanations of the 3d holographic SCFTs and their observables.

The three broad classes of 3d  $\mathcal{N} \geq 2$  SCFTs we consider arise from the worldvolume of M2- and M5-branes in M-theory, and from D2-branes in massive Type IIA string theory. SCFTs in the first class will be distinguished by the Sasaki-Einstein base  $\text{SE}_7$  of the cone probed by a stack of  $N$  M2-branes. Familiar examples in this class are the  $\mathcal{N} = 6$  ABJM theory [154], for which  $\text{SE}_7$  is a freely acting orbifold of the 7-sphere  $(S^7/\mathbb{Z}_k)_{\text{free}}$ , or the  $\mathcal{N} = 4$  ADHM theory or “ $N_f$  model” [155, 136, 156] where the base of the cone is a 7-sphere orbifold  $(S^7/\mathbb{Z}_{N_f})_{\text{f.p.}}$  with fixed points.

SCFTs in the second class are constructed by starting with the  $\mathcal{N} = (2, 0)$  theory on the worldvolume of  $N$  M5-branes and placing it on a compact hyperbolic 3-fold  $\mathcal{H}_3$ , including a partial twist to preserve  $\mathcal{N} = 2$  supersymmetry in the three non-compact directions. Taking the limit  $\text{vol}(\mathcal{H}_3) \rightarrow 0$  produces 3d holographic theories of class  $\mathcal{R}$  [157]. In Table 2.1, we distinguish them by the ADE-type of the “gauge group”  $G$  in the parent 6d theory.

The third class of theories consists of 3d holographic theories whose gravity duals uplift to solutions of (massive) Type IIA string theory. They will be distinguished by the internal six-dimensional space. This class includes a particular limit of the ABJM theory where one takes both  $N$  and  $k$  large while keeping  $N/k$  fixed. This implements a dimensional reduction along the Hopf fiber over  $\mathbb{CP}^3$  inside  $S^7/\mathbb{Z}_k$  and gives access to a regime of the theory dual to massless Type IIA string theory on an asymptotically  $\text{AdS}_4 \times \mathbb{CP}^3$  background [154]. In addition, this class of theories includes the Gaiotto-Tomasiello (GT) theory [158] and the SCFT dual to 4d  $\text{ISO}(7)$ -gauged maximal supergravity [159] also belong in this class of theories, which uplift to massive Type IIA (mIIA) on a deformed  $\mathbb{CP}^3$  and a deformed  $S^6$ , respectively. In these mIIA SCFTs, the CS level  $k$  is controlled by the Romans mass and one can study either the regime of large  $N$  and fixed  $k$ , or the limit of large  $N$  and large  $k$  with  $N/k$  fixed. We call the former the fixed  $k$  limit and the latter the ‘t Hooft limit in Table 2.1.

Once the theory is specified, it can be placed on a compact Euclidean manifold  $\mathcal{M}_3$  and the corresponding supersymmetric partition functions can be computed using localization. The resulting matrix models can be studied at large  $N$

and, in some cases, the logarithmic term in (2.13) can be extracted. For M2-brane theories, the squashed 3-sphere partition function ( $\mathcal{M}_3 = S_b^3$ ), the TTI ( $\mathcal{M}_3 = S^1 \times \Sigma_g$ ), and the SCI ( $\mathcal{M}_3 = S^1 \times_\omega S^2$ ) have all been studied along these lines. In Table 2.1 we collect the resulting logarithmic coefficients and give the relevant references. We note here that the coefficient of the  $\log N$  term in the SCI has only been obtained in the Cardy-like limit where  $\omega \rightarrow 0$ , so we restrict our summary to this regime.<sup>8</sup> Class  $\mathcal{R}$  theories can be placed on the same three-manifolds and the logarithmic term in the large  $N$  limit can be extracted using the 3d-3d correspondence [160]. In the squashed sphere case, M5-brane results are available only in the limit  $b \rightarrow 0$  and for hyperbolic three-folds with trivial  $H^1(\mathcal{H}_3, \mathbb{Z})$ . For the SCI, the results have again only been obtained in the Cardy-like limit. These restrictions are implicit in the relevant entries of Table 2.1. Finally, the logarithmic term in the round 3-sphere partition function of the GT theory has only been obtained for the two-node case, and we again implicitly restrict to this case in our summary.

SCFTs arising from M2-branes can also be deformed away from the superconformal point. For example, the ABJM theory has an  $SO(4) \times U(1)$  flavor symmetry and one can turn on three  $\mathcal{N} = 2$  supersymmetry-preserving real masses in the Cartan subgroup of this global symmetry group. The observables introduced above can be studied using localization in the deformed theory, and the logarithmic terms can again be extracted. Theories in which such deformations have been studied are denoted with a  $(\dagger)$  in Table 2.1. We stress that the logarithmic coefficient turns out to be independent of the deformations in all known cases, in line with its universal character. To the best of our knowledge, such mass deformations have not been studied for SCFTs in the second and third class.

In Table 2.1, we also contrast the deluge of SCFT results with the drought of dual supergravity computations. As far as we are aware, there are only few bulk results available in the literature, and they have all been obtained in the eleven-dimensional low-energy effective description of M-theory. There, as mentioned in the Introduction, a one-loop calculation can be performed using the heat kernel method wherein the problem of computing the logarithmic correction reduces to a zero mode counting problem, see e.g. [71]. While this technique can be used to match with CFT results, it is limited to some simple 11d backgrounds where the spectrum of kinetic operators can be worked out in full. Typically, this requires being at the superconformal point where possible mass or squashing deformations are turned off. To emphasize this point, we include

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<sup>8</sup>Since we expect the logarithmic correction to always be universal, it is likely that higher-order terms in  $\omega$  will not change the results. Making this argument precise is however beyond the scope of this work.

the abbreviation “s.c.” in the relevant entries of Table 2.1. A complementary, although broader, approach to logarithmic corrections in the bulk was put forward in [76] where it was shown how supergravity localization can efficiently compute the coefficient  $\mathcal{C}$  in the 4d effective supergravity theory using index theorems. We will discuss this method in more details below.

The rest of the chapter will be devoted to studying logarithmic corrections in the lower-dimensional 4d supergravity theories, with an eye towards replacing some of the “ $\mathbf{X}$ ” entries in Table 2.1 with bulk results matching the SCFT predictions. To this end, we set up the 4d Euclidean supergravity path integral and the appropriate heat kernel expansion in the next section.

## 2.4 Logarithms in the 4d Euclidean path integral

The SCFTs we consider in Section 2.3 are holographically dual in the large  $N$  limit to ten- or eleven-dimensional supergravity theories on backgrounds that are asymptotically of the form  $\text{AdS}_4 \times X$ , where  $X$  is a 6d or 7d internal manifold. We denote the (common) length scale of these spaces by  $L$ .<sup>9</sup> The KK reduction of the 10d/11d supergravity theory on  $X$  produces an infinite tower of massive 4d fields in addition to the massless ones. The Euclidean path integral for the 4d KK supergravity theory involving all these 4d fields is then holographically dual to the 3d SCFT partition function (2.13),

$$Z_{\text{sugra}} \approx Z_{\text{CFT}}, \quad (2.14)$$

where the approximate equality reminds us that we work in the large  $N$  limit and are ignoring heavy string and brane states. In the holographic context, specifying a 3d SCFT amounts to choosing a particular KK supergravity theory and the corresponding field content in the bulk. Once the theory is specified, one can study various supersymmetric observables and their bulk incarnations. In particular, the partition function of a given 3d SCFT on a compact Euclidean manifold  $\mathcal{M}_3$  is captured by the corresponding KK supergravity Euclidean path integral around a 4d supergravity background whose conformal boundary is  $\mathcal{M}_3$ .

In the semi-classical limit where the Newton constant is small in units of the AdS radius, i.e.  $L^2/G_N \gg 1$ , the 4d Euclidean path integral can be evaluated in the saddle-point approximation. This yields

$$\log Z_{\text{sugra}} = -\frac{1}{16\pi G_N} S_{\text{cl}}[\phi] + C \log(L/\sqrt{G_N}) + \mathcal{O}(1), \quad (2.15)$$

---

<sup>9</sup>Here we focus on situations arising from standard top-down AdS/CFT examples in string and M-theory. We will discuss scale-separated  $\text{AdS}_4$  vacua and more general 4d supergravity theories in Section 2.7.

where  $\overset{\circ}{\phi}$  generically denotes the on-shell values of all fields  $\phi$  in the gravity theory for a given background. To leading order (LO) at large  $L^2/G_N$ , the saddle-point approximation of the supergravity path integral is controlled by the two-derivative on-shell action of the given 4d background. The next-to-leading order (NLO) term in the semi-classical expansion is a four-derivative correction to the action evaluated on the two-derivative solution. Both LO and NLO terms are grouped in the  $S_{\text{cl}}[\overset{\circ}{\phi}]/G_N$  term in (2.15). This quantity has been computed and shown to match with  $F_0$  in (2.13) in numerous examples, see [67, 147, 140, 142], in line with expectations from AdS/CFT away from the strict semi-classical limit.

We now would like to focus on the logarithmic term and ask if the values of the logarithmic coefficient  $\mathcal{C}$  in the large  $N$  expansion of a given 3d SCFT partition function match the logarithmic coefficient  $C$  in the saddle-point approximation (2.15). In this comparison, we will ultimately have to use the AdS<sub>4</sub>/CFT<sub>3</sub> dictionary appropriate for each class of SCFTs introduced in Section 2.3 (as explained there, we split class III according to whether the bulk solution admits a IIA or massive IIA uplift):

$$\frac{L^2}{G_N} \sim N^{\frac{3}{2}} \text{ (class I)}, \quad \frac{L^2}{G_N} \sim N^3 \text{ (class II)}, \quad \frac{L^2}{G_N} \sim \begin{cases} N^2 & \text{(IIA)} \\ N^{\frac{5}{3}} & \text{(mIIA)} \end{cases}. \quad (2.16)$$

Since we are concerned with a logarithmic term we do not have to worry about the precise numerical factors or possible finite  $N$  corrections to the above relations, as these would only contribute  $\mathcal{O}(1)$  terms in (2.15). We will use heat kernel techniques to compute  $C$ . In Section 2.4.1 we first review how the logarithmic coefficient splits into a local and a non-local contribution based on the heat kernel expansion. In Section 2.4.2 and Section 2.4.3, we study these contributions in detail.

## 2.4.1 Logarithmic contributions to the Euclidean path integral

In this subsection, we review the general structure of the logarithmic coefficient  $C$  in the Euclidean path integral for any 4d KK supergravity theory. Note that all supergravity fields with masses below the UV cutoff can run in loops in the Euclidean path integral and thereby contribute a logarithmic term in the bulk partition function. Up to  $L$ -independent terms, the resulting logarithmic correction generically takes the form [68, 69, 70, 71]

$$C \log(L/\sqrt{G_N}) = \sum_{\phi} \left[ -\frac{(-1)^F}{2} \log \det' \mathcal{Q}_{\phi} + \log \int \mathcal{D}\delta\phi_0 \right], \quad (2.17)$$

where  $\mathcal{Q}_\phi$  is a second-order differential operator that captures the dynamics of a quantum fluctuation  $\delta\phi = \phi - \phi_0$  around a given background, and the sum is taken over all 4d fields  $\phi$  in the KK theory weighted by their fermion number  $F$ , including ghosts.<sup>10</sup> The prime on the determinant indicates that it should be computed after removal of the zero modes, which are modes that satisfy

$$\mathcal{Q}_\phi \delta\phi_0 = 0. \quad (2.18)$$

The second term in (2.17) encodes the contribution to the path integral from these zero modes separately. In practice, we expect the spectrum of the  $\mathcal{Q}_\phi$  differential operators to be well-behaved, and in particular that the eigenvalues satisfy certain ordering and positivity properties with only a finite number of them being zero. On non-compact spaces with a boundary, such properties depend on the boundary conditions imposed on the fluctuations  $\delta\phi$  and we will discuss some of these aspects in due course. One immediate consequence of this “good” spectral behavior is that only massless fluctuations can satisfy (2.18), since any positive mass-squared term in  $\mathcal{Q}_\phi$  would lift the  $\delta\phi_0$  zero-mode.

To evaluate the non-zero mode contribution to the logarithmic correction (2.17), it is convenient to introduce the heat kernel associated to the operator  $\mathcal{Q}_\phi$  as [79]

$$K(x, y; t; \mathcal{Q}_\phi) = \langle x | e^{-t\mathcal{Q}_\phi} | y \rangle. \quad (2.19)$$

At coincident space-time points, this can be expanded in the small  $t$  limit as

$$K(x, x; t; \mathcal{Q}_\phi) = \sum_{k=0}^{\infty} a_{2k}(x; \mathcal{Q}_\phi) t^{k-2}, \quad (2.20)$$

in terms of the Seeley-de Witt (SdW) coefficients  $a_{2k}$ . Using (2.19), we write the determinant factor in (2.17) as an integral [68, 69, 70, 71]

$$\log \det' \mathcal{Q}_\phi = - \lim_{\epsilon \rightarrow 0} \int_{\epsilon}^{\infty} \frac{dt}{t} \left[ \int d^4x \sqrt{g} K(x, x; t; \mathcal{Q}_\phi) - n_{\phi_0} \right], \quad (2.21)$$

where we have explicitly removed the  $n_{\phi_0}$  zero modes of  $\mathcal{Q}_\phi$  and  $\epsilon$  is a UV cutoff. By a scaling argument, one can show that the one-loop determinant (2.21) produces a logarithmic correction to  $\log Z_{\text{sugra}}$  in the large  $L^2/G_N$  limit that is

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<sup>10</sup>Quantization of a  $p$ -form requires a tower of  $(p-j)$ -form ghost fields with  $j = 1, \dots, p$  labeling the ghost level [161]. For the purpose of computing the determinant factor, the kinetic terms of these ghost fields can be thought of as differential operators of order  $2(j+1)$  and therefore the first term in (2.17) has to be multiplied by a factor of  $j+1$ , see e.g. [71]. This factor can be understood as arising from ghost number conservation, and the same remark applies for any field with gauge invariance. To declutter formulas, we will mostly keep the ghost level factor implicit when summing over the spectrum of the theory.



entirely controlled by the  $k = 2$  term in the SdW expansion [70, 71]:

$$\log \det' \mathcal{Q}_\phi = -2 \left[ \int d^4x \sqrt{g} a_4(x; \mathcal{Q}_\phi) - n_{\phi_0} \right] \log \left( L / \sqrt{G_N} \right) + \mathcal{O}(1). \quad (2.22)$$

On the other hand, the path integral over the zero modes of the  $\mathcal{Q}_\phi$  operator produces a logarithmic correction to (2.17) that can be written as [68, 69, 70, 71]

$$\log \int \mathcal{D}\delta\phi_0 = (-1)^F \beta_{\phi_0} n_{\phi_0} \log \left( L / \sqrt{G_N} \right) + \mathcal{O}(1), \quad (2.23)$$

where  $\beta_{\phi_0}$  is a pure number fixed by demanding locality of the path integral measure  $\mathcal{D}\delta\phi_0$ . For future reference, we record here the results for fields of spin  $3/2$ , spin  $2$ , and for  $p$ -forms in four dimensions, see [69, 71]:

$$\beta_{3/2} = 3, \quad \beta_2 = 2, \quad \beta_{A_p} = \frac{4 - 2p}{2}. \quad (2.24)$$

Together, the non-zero mode contribution (2.22) and the zero mode contribution (2.23) yield the full logarithmic correction to the 4d Euclidean path integral. Accordingly, we split the resulting coefficient  $C$  in (2.17) into two parts,

$$C = C_{\text{local}} + C_{\text{non-local}}, \quad (2.25)$$

where the local contribution is controlled by the fourth SdW coefficient,

$$C_{\text{local}} = \sum_{\phi} (-1)^F \int d^4x \sqrt{g} a_4(x, \mathcal{Q}_\phi), \quad (2.26)$$

and the non-local contribution captures the effect of zero modes,

$$C_{\text{non-local}} = \sum_{\text{massless } \phi} (-1)^F n_{\phi_0} (\beta_{\phi_0} - j - 1). \quad (2.27)$$

Here the sum is over the massless spectrum, and we have explicitly displayed the ghost level factor discussed in Footnote 10 since it will be important later. In the following, we study these contributions in turn.

## 2.4.2 Local contributions

We now review some important features of the SdW coefficient  $a_4$  that controls the local contribution (2.26). For this discussion, we assume that the second-order differential operator  $\mathcal{Q}$  is of Laplace type.<sup>11</sup> This means that it can be

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<sup>11</sup>We temporarily drop the subscript  $\phi$  on  $\mathcal{Q}_\phi$  since the analysis is valid for generic fields.

represented locally as

$$\mathcal{Q} = \mathcal{D}^\mu \mathcal{D}_\mu + 2\omega^\mu \mathcal{D}_\mu + P, \quad (2.28)$$

where  $\mathcal{D}_\mu$  is the covariant derivative with respect to all bosonic local gauge transformations of the theory under consideration<sup>12</sup> and  $(\omega_\mu, P)$  are a set of matrices acting in field space. Introducing the differential operator  $D_\mu = \mathcal{D}_\mu + \omega_\mu$ , we complete the square and write

$$\mathcal{Q} = D^\mu D_\mu + E, \quad (2.29)$$

where  $E = P - \omega^\mu \omega_\mu - \mathcal{D}^\mu \omega_\mu$ . We stress that the matrix multiplication in field space is implicit in our notation. The curvature associated to  $D_\mu$  is denoted by  $\Omega_{\mu\nu} = [D_\mu, D_\nu]$ .

In general, the SdW coefficients associated to  $\mathcal{Q}$  can be written in terms of traces of the matrix-valued quantities  $E$  and  $\Omega_{\mu\nu}$ , together with curvature tensors of the background geometry. If we consider a general 4d Riemannian manifold  $(\mathcal{M}, g)$  with a non-empty boundary  $\partial\mathcal{M}$ , the formula for the fourth SdW coefficient of the Laplace operator (2.29) integrated over  $\mathcal{M}$  reads [79]<sup>13</sup>

$$\begin{aligned} \int_{\mathcal{M}} d^4x \sqrt{g} a_4(\mathcal{Q}) &= \int_{\mathcal{M}} d^4x \sqrt{g} a_4^{\text{bulk}}(\mathcal{Q}) + \int_{\mathcal{M}} d^4x \sqrt{g} a_4^{\text{tot.der.}}(\mathcal{Q}) \\ &\quad + \int_{\partial\mathcal{M}} d^3y \sqrt{\gamma} a_4^{\text{bdry}}(\mathcal{Q}), \end{aligned} \quad (2.30)$$

where  $\gamma_{ab}$  is an induced metric on the boundary  $\partial\mathcal{M}$  and we define

$$(4\pi)^2 a_4^{\text{bulk}}(\mathcal{Q}) = \text{Tr} \left[ \frac{1}{2} E^2 + \frac{1}{6} R E + \frac{1}{12} \Omega^{\mu\nu} \Omega_{\mu\nu} + \frac{1}{360} (3W^2 - E_4 + 5R^2) \right], \quad (2.31)$$

$$(4\pi)^2 a_4^{\text{tot.der.}}(\mathcal{Q}) = \text{Tr} \left[ \frac{1}{6} \square E + \frac{1}{30} \square R \right], \quad (2.32)$$

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<sup>12</sup>In particular,  $\mathcal{D}_\mu$  includes background gauge fields. We use the symbol  $\nabla_\mu$  to denote the spacetime covariant derivative.

<sup>13</sup>Note that this reference uses the inward unit vector normal to the boundary. We will use the outward one, and this difference is reflected in various signs in the last term of (2.30).

$$\begin{aligned}
(4\pi)^2 a_4^{\text{bdry}}(\mathcal{Q}) = \frac{1}{360} \text{Tr} & \left[ (120\Pi_- - 240\Pi_+) \nabla_n E + (18\Pi_- - 42\Pi_+) \nabla_n R + 24 \tilde{\nabla}^a \tilde{\nabla}_a K \right. \\
& + 120EK + 20RK + 4R_{an}{}^{an} K - 12R_{anb}{}^n K^{ab} + 4R_{abc}{}^b K^{ac} \\
& + \frac{1}{21} \left\{ (280\Pi_+ + 40\Pi_-) K^3 + (168\Pi_+ - 264\Pi_-) K^{ab} K_{ab} K \right. \\
& + (224\Pi_+ + 320\Pi_-) K^{ab} K_{bc} K_a{}^c \left. \right\} \quad (2.33) \\
& - 720SE - 120SR - 144SK^2 - 48SK^{ab} K_{ab} + 480S^2 K \\
& - 480S^3 - 120\tilde{\nabla}^a \tilde{\nabla}_a S - 60\Omega_{an} \mathcal{P} \tilde{\nabla}^a \mathcal{P} \\
& \left. + 12(10S - K) \tilde{\nabla}^a \mathcal{P} \tilde{\nabla}_a \mathcal{P} - 24K^{ab} \tilde{\nabla}_a \mathcal{P} \tilde{\nabla}_b \mathcal{P} \right].
\end{aligned}$$

Here we have used  $i, j, k \in \{1, 2, 3, 4\}$  and  $a, b, c \in \{1, 2, 3\}$  for the local orthonormal frame indices of the tangent bundles on  $\mathcal{M}$  and  $\partial\mathcal{M}$ , respectively. From here on we choose a bulk local orthonormal frame appropriately so that the 4d  $i, j, k$  indices become identical to the union of 3d boundary  $a, b, c$  indices and the normal vector index  $n = 4$  on the boundary  $\partial\mathcal{M}$ . Then the extrinsic curvature of the boundary can be written explicitly as  $K_{ab} = -\Gamma_{ab}^n$ . The trace of the extrinsic curvature is  $K = K^a{}_a$ . The boundary covariant derivative  $\tilde{\nabla}$  is distinguished from the bulk one  $\nabla$ , and the box symbol is defined with respect to the latter as  $\square = \nabla^\mu \nabla_\mu$ . The quantities  $\Pi_\pm$  and  $S$  specify the boundary conditions used for the fields on which the differential operator  $\mathcal{Q}$  acts, and  $\mathcal{P} = \Pi_+ - \Pi_-$ . These boundary conditions will be discussed in more detail below. Finally, the trace  $\text{Tr}[\dots]$  is taken in field space and over all free indices carried by the  $E$  and  $\Omega$  matrices, and we have introduced the usual curvature combinations

$$\begin{aligned}
W^2 &= R^{\mu\nu\rho\sigma} R_{\mu\nu\rho\sigma} - 2 R^{\mu\nu} R_{\mu\nu} + \frac{1}{3} R^2, \\
E_4 &= R^{\mu\nu\rho\sigma} R_{\mu\nu\rho\sigma} - 4 R^{\mu\nu} R_{\mu\nu} + R^2.
\end{aligned} \quad (2.34)$$

The general structure of (2.30) is that of a bulk contribution involving four-derivative terms, a total derivative contribution, and an intrinsic boundary contribution. We discuss each of them below.

## Bulk contributions

First we study the bulk contribution (2.31). For simplicity, from here on we focus on the case where the background  $\check{\phi}$  in the saddle-point approximation (2.15) is a solution of Euclidean  $\mathcal{N} = 2$  minimal gauged supergravity with bosonic action

$$S_{\text{minimal}} = -\frac{1}{16\pi G_N} \int_{\mathcal{M}} d^4x \sqrt{g} \left[ R - 2\Lambda - F_{\mu\nu} F^{\mu\nu} \right], \quad \Lambda = -\frac{3}{L^2}, \quad (2.35)$$

where the corresponding equations of motion read

$$\begin{aligned} R_{\mu\nu} - \frac{1}{2} g_{\mu\nu} (R - 2\Lambda) &= 2F_{\mu\rho} F_{\nu}{}^{\rho} - \frac{1}{2} g_{\mu\nu} F_{\rho\sigma} F^{\rho\sigma}, \\ \nabla_{\mu} F^{\mu\nu} &= 0. \end{aligned} \quad (2.36)$$

In this case, the bulk contribution (2.31) can be conveniently rewritten using the background equations of motion (2.36) and field redefinitions as [77]

$$(4\pi)^2 a_4^{\text{bulk}}(\mathcal{Q}) = -a_E E_4 + c W^2 + b_1 R^2 + b_2 R F^{\mu\nu} F_{\mu\nu}, \quad (2.37)$$

where  $(a_E, c, b_1, b_2)$  are a set of coefficients that can be obtained from trace computations. These heat kernel coefficients will be central to our analysis since they govern the bulk part of the SdW contribution to the  $C_{\text{local}}$  term.

For illustration, consider the quantum fluctuations of a massive neutral scalar (MNS) field around a given background. The relevant second-order operator  $\mathcal{Q}_{\text{MNS}} = \square - m^2$  capturing the dynamics of these fluctuations is of Laplace type (2.29) with

$$E = -m^2, \quad \Omega_{\mu\nu} = 0. \quad (2.38)$$

Using this in (2.31) and taking the trace, we obtain the bulk contribution

$$\text{MNS:} \quad (4\pi)^2 a_4^{\text{bulk}} = \frac{m^4}{2} - \frac{1}{6} R m^2 + \frac{1}{360} (3W^2 - E_4 + 5R^2). \quad (2.39)$$

This can be brought into the form (2.37) by making use of the trace of the background equation of motion  $R = -12/L^2$ , which yields the coefficients

$$\text{MNS:} \quad a_E = \frac{1}{360}, \quad c = \frac{1}{120}, \quad b_1 = \frac{1}{288} ((mL)^2 + 2)^2, \quad b_2 = 0. \quad (2.40)$$

This simple example illustrates how obtaining the bulk contribution to the fourth SdW coefficient for a field  $\phi$  amounts to identifying the appropriate second-order  $\mathcal{Q}$  operator governing its fluctuations, extracting the  $E$  and  $\Omega$

matrices, and collecting the  $(a_E, c, b_1, b_2)$  coefficients by computing traces and making use of the background equations of motion.

In Appendix A.2 we implement this method for both massless and massive fields of spin  $0 \leq s \leq 2$ . For scalars and fermions, we consider quadratic fluctuations of minimally coupled fields around a generic background satisfying the equations of motion (2.36). For fields of spin  $1 \leq s \leq 2$  we opted to turn off the background Maxwell field for simplicity. In this way, we have obtained the coefficients  $(a_E, c, b_1)$  in (2.37) for all fields minimally coupled to an Einstein-Maxwell background, while we only have access to the  $b_2$  coefficient for scalars and fermions. The results of these lengthy computations are summarized in Table 2.2.

spin	mass	$a_E$	$c$	$b_1$
0	$(mL)^2 = -2$	$\frac{1}{360}$	$\frac{1}{120}$	0
0	$m$	$\frac{1}{360}$	$\frac{1}{120}$	$\frac{1}{288}((mL)^2 + 2)^2$
1/2	0	$-\frac{11}{720}$	$-\frac{1}{40}$	0
1/2	$m$	$-\frac{11}{720}$	$-\frac{1}{40}$	$\frac{1}{144}(mL)^2((mL)^2 - 2)$
1	0	$\frac{31}{180}$	$\frac{1}{10}$	0
1	$m$	$\frac{31}{180} + \frac{1}{360}$	$\frac{1}{10} + \frac{1}{120}$	$\frac{1}{288}(3(mL)^4 - 12(mL)^2 + 4)$
3/2	$mL = 1$	$\frac{589}{720}$	$\frac{137}{120}$	0
3/2	$m$	$\frac{589}{720} - \frac{11}{720}$	$\frac{137}{120} - \frac{1}{40}$	$\frac{1}{72}((mL)^4 - 8(mL)^2 + 11)$
2	0	$\frac{571}{180}$	$\frac{87}{20}$	0
2	$m$	$\frac{571}{180} + \frac{31}{180} + \frac{1}{360}$	$\frac{87}{20} + \frac{1}{10} + \frac{1}{120}$	$\frac{5}{288}((mL)^4 - 8(mL)^2 + 8)$

Table 2.2: The quantities controlling the bulk contribution to the SdW coefficient (2.37). For massive fluctuations with gauge invariance, we indicate the effect of adding the appropriate Stückelberg fields as a separate contribution to  $a_E$  and  $c$ .

Let us collect some important remarks on the results. For fields of spins  $1 \leq s \leq 2$ , the operator  $\mathcal{Q}$  can be brought to Laplace form (2.29) only after imposing gauge-fixing conditions inside the path integral. For massless fields, this requires the addition of appropriate ghost fields, as we review in Appendix A.2. Our results for the corresponding  $(a_E, c, b_1, b_2)$  coefficients are compatible with previous derivations in the literature, see e.g. [77, 78]. For massive fields, the mass term typically breaks gauge invariance and to remedy this we must

fields	mass
$s = 0, 2$	$(mL)^2 = \Delta(\Delta - 3)$
$p$ -form	$(mL)^2 = (\Delta - p)(\Delta + p - 3)$
$s = \frac{1}{2}, \frac{3}{2}$	$ mL  = \Delta - \frac{3}{2}$

Table 2.3: Relation between 4d mass and 3d conformal dimension for various bulk fields.

introduce appropriate Stückelberg fields [162, 163], see [164] for a modern review. It is important to note that these fields are physical, and while they do not modify the classical equations of motion, they are allowed to run in loops. Therefore, they generically give non-trivial contributions to the heat kernel coefficients. To draw attention to this point, we explicitly separate the contribution from the Stückelberg fields to the  $(a_E, c)$  coefficients in Table 2.2. This also makes it clear that the massless case is not obtained as a limit of the massive one, which is a manifestation of the fact that the Stückelberg fields do not decouple at the one-loop level. Introducing the appropriate Stückelberg fields is therefore crucial to obtain the correct coefficients in (2.37) for massive fields.

It is also worth mentioning that, because we study fields on an asymptotically  $\text{AdS}_4$  space, by massless we mean  $m^2 = -2/L^2$  for a scalar field and  $m = 1/L$  for a gravitino field. This nomenclature takes into account the conformal coupling in the Lagrangian which, in the case of a (pseudo) scalar field for example, reads  $\frac{1}{6}R\phi^2 = -2L^{-2}\phi^2$  on an asymptotically  $\text{AdS}$  background. Indeed, such a conformally coupled scalar field sits in the massless supermultiplet of a conserved current.

Finally, the four-derivative quantities  $(E_4, W^2, R^2)$  are not all linearly independent on an Einstein background for which  $R_{\mu\nu} - \frac{1}{2}g_{\mu\nu}(R - 2\Lambda) = 0$ . After turning off the background Maxwell field, the linear constraint implied by the Einstein equations (2.36) is

$$\text{Einstein background:} \quad E_4 - W^2 - \frac{1}{6}R^2 = 0, \quad (2.41)$$

which translates to an ambiguity in the coefficients  $(a_E, c, b_1)$  entering (2.37). In Table 2.2, this freedom has been fixed by demanding that  $b_1 = 0$  for massless fields.

## Boundary contributions

For the total derivative contribution (2.32), the fact that  $\text{Tr}[E]$  is a two-derivative quantity shows that we can use the background equations of motion (2.36) together with Stoke's theorem to bring it to the form [77]

$$(4\pi)^2 \int_{\mathcal{M}} d^4x \sqrt{g} a_4^{\text{tot.der.}}(\mathcal{Q}) = \int_{\partial\mathcal{M}} d^3y \sqrt{\gamma} n^\mu \nabla_\mu \left[ \alpha_1 R + \alpha_2 F_{\mu\nu} F^{\mu\nu} \right], \quad (2.42)$$

where  $n^\mu$  denotes the outward unit vector normal to the boundary and  $\alpha_{1,2}$  are constants whose values depend on the field under consideration. We can therefore view the total derivative contribution to the integrated SdW coefficient as a surface term.

The other surface term in (2.30) depends explicitly on the choice of boundary conditions for each field fluctuation  $\delta\phi$ . In writing (2.33), we have implicitly assumed that we can choose so-called “mixed” boundary conditions [79] for all fields. Such boundary conditions are parametrized as

$$\Pi_- \delta\phi|_{\partial\mathcal{M}} = 0, \quad (\nabla_n + S) \Pi_+ \delta\phi|_{\partial\mathcal{M}} = 0. \quad (2.43)$$

Within this class, various choices are available for fields in Euclidean gravity. We will further restrict ourselves to boundary conditions that ensure that the differential operator  $\mathcal{Q}_\phi$  is elliptic. Roughly speaking, this guarantees that the operator has only finitely-many zero modes so that we can define its determinant in the usual way, as we have indeed assumed we could do in writing (2.17). For a nice review of such boundary conditions we refer the reader to [165], which we follow.

Dirichlet and Neumann boundary conditions on the scalar Laplacian are elliptic. The former amount to choosing  $\Pi_- = 1$  and  $\Pi_+ = S = 0$  in (2.43), while the latter are achieved for  $\Pi_- = S = 0$  and  $\Pi_+ = 1$ . To compute the one-loop determinant for a spinor field  $\psi$ , it turns out to be convenient to use the square of the Dirac operator for the  $\mathcal{Q}_\psi$  operator, as we explain in Appendix A.2. A choice of elliptic (and hermitian) boundary conditions for this operator is given by  $\Pi_- = \frac{1}{2}(1 + i\gamma^n \gamma^5)$ ,  $\Pi_+ = 1 - \Pi_-$  and  $S = \frac{1}{2}K\Pi_+$ , see [79]. For Yang-Mills fields, we will use so-called relative boundary conditions where the fluctuations  $\delta A_\mu = A_\mu - \mathring{A}_\mu$  satisfy [79]

$$(\delta A_a)|_{\partial\mathcal{M}} = 0, \quad (2.44)$$

where the Latin index  $a$  denotes the local orthonormal frame indices of the tangent bundle on the boundary introduced below (2.33). By BRST invariance, this condition implies that the ghost field  $c$  required for quantization vanishes

at the boundary,  $c|_{\partial\mathcal{M}} = 0$ . The same must be true for the anti-ghost field  $b$  in order to have a well-defined propagator for the  $b$ - $c$  system. Invoking BRST again, (2.44) then implies that  $\mathcal{G}(\delta A)|_{\partial\mathcal{M}} = 0$  where  $\mathcal{G}$  is the gauge-fixing function for the fluctuations. This set of boundary conditions is elliptic, see [165]. Working in the Lorenz gauge as in Appendix A.2, it corresponds to

$$(\Pi_-)_{ij} = \delta_{ij} - \delta_{in}\delta_{jn}, \quad (\Pi_+)_{ij} = \delta_{in}\delta_{jn}, \quad S_{ij} = K\delta_{in}\delta_{jn}, \quad (2.45)$$

in the parameterization (2.43). Elliptic boundary conditions for spin-3/2 fluctuations can be obtained by using an appropriate combination of elliptic Yang-Mills and spinor boundary projectors  $\Pi_{\pm}$  and  $S$ , and are also of mixed type.

The boundary problem for metric fluctuations is notoriously more involved. While it was shown in [166] that there exists a set of elliptic boundary conditions, they involve tangential derivatives and therefore cannot be cast in the form (2.43). Instead, they fall into the more general class of “oblique” boundary conditions for which much less is known regarding the general form of  $a_4^{\text{bdry}}$  in (2.30), see [79] for a discussion. To show this explicitly, let us recall that the conformal boundary conditions of [166] are obtained by fixing the conformal structure of the boundary and requiring that the metric fluctuations preserve the trace of the extrinsic curvature. Writing the perturbed metric as  $g_{\mu\nu} = \check{g}_{\mu\nu} + h_{\mu\nu}$ , the first condition amounts to demanding that the traceless part of  $h_{\alpha\beta}|_{\partial\mathcal{M}}$  vanishes, where  $\alpha, \beta, \dots$  denote spacetime indices tangent to the boundary. Just as in the Yang-Mills case, BRST invariance then implies that  $\mathcal{G}_{\mu}(h)|_{\partial\mathcal{M}} = 0$ , which gives two additional boundary conditions when split along  $\mu = \perp$  and  $\mu = \alpha$ , see also [167]. The extrinsic trace condition gives us one last equation that the fluctuations should satisfy. For concreteness, we will work in the harmonic (de Donder) gauge for the metric perturbations, and use Gaussian normal coordinates for the background so that  $\check{g}_{\perp\perp} = 1$  and  $\check{g}_{\perp\alpha} = 0$ . Then, the full set of elliptic metric boundary conditions can be summarized as

$$\begin{aligned} \left[ h_{\alpha\beta} - \frac{1}{3} \check{g}_{\alpha\beta} h^{\gamma}_{\gamma} \right] \Big|_{\partial\mathcal{M}} &= 0, \\ \left[ \partial_{\perp} h^{\gamma}_{\gamma} - 2\tilde{\nabla}^{\alpha} h_{\alpha\perp} - K h_{\perp\perp} \right] \Big|_{\partial\mathcal{M}} &= 0, \\ \left[ \partial_{\perp} h_{\perp\perp} + K h_{\perp\perp} - 2K^{\alpha\beta} h_{\alpha\beta} \right] \Big|_{\partial\mathcal{M}} &= 0, \\ \left[ \partial_{\perp} h_{\alpha\perp} + K h_{\alpha\perp} + \tilde{\nabla}^{\beta} h_{\alpha\beta} - \frac{1}{2} \tilde{\nabla}_{\alpha} (h_{\perp\perp} + h^{\gamma}_{\gamma}) \right] \Big|_{\partial\mathcal{M}} &= 0. \end{aligned} \quad (2.46)$$

Since the tangential covariant derivatives  $\tilde{\nabla}$  cannot be eliminated from these equations, we are indeed dealing with oblique boundary conditions. As far



as we are aware, the problem of finding good boundary conditions for metric fluctuations in 4d and their explicit contribution to the fourth SdW coefficient has not been solved on a general non-compact manifold with boundary. We believe this is a hard mathematical problem that falls outside the scope of the present work. In order to make progress, we will formally impose the above conformal boundary conditions in order to ensure ellipticity of the  $\mathcal{Q}_h$  operator. This will induce a modification of the boundary term (2.33),

$$a_4^{\text{bdry}} \longrightarrow a_4^{\text{bdry}} + a_4^{\text{oblique}}. \quad (2.47)$$

As we will see in Section 2.5, the contribution from mixed boundary conditions  $a_4^{\text{bdry}}$  can always be holographically renormalized away after integrating over a cutoff hypersurface and sending the cutoff to infinity. We will assume that the same is true for the unknown quantity  $a_4^{\text{oblique}}$  in the rest of this chapter. This assumption ensures that the oblique nature of the boundary conditions (2.46) does not affect the local contribution to the logarithmic term in the Euclidean path integral.

### 2.4.3 Non-local contributions

We now discuss the non-local contribution (2.27). The first step is to identify whether the Laplace-type operator  $\mathcal{Q}_\phi$  admits zero modes. In general, this spectral problem for generic Einstein-Maxwell backgrounds that solve the equations of motion (2.36) is quite involved. We will therefore restrict our attention to two minimal supergravity backgrounds for which we can make explicit statements. These backgrounds are pure Euclidean  $\text{AdS}_4$  ( $\text{EAdS}_4$ ) and Euclidean  $\text{AdS}_2 \times \Sigma_g$  with a non-trivial background gauge field along the Riemann surface. They will serve to illustrate expected general features of the non-local contribution to the log term in the supergravity path integral.

#### Zero-modes on $\text{EAdS}_4$

For pure  $\text{EAdS}_4$ , an analysis of the zero modes of Laplace-type differential operators acting on  $p$ -forms, spin-1/2 and metric tensors was conducted in [168, 169, 170]. There it was shown that there are no square-integrable zero modes of the Laplacian for symmetric tensors on  $\text{EAdS}_4$ . Fermions also do not allow for square-integrable zero modes of the Dirac operator. Lastly for  $p$ -forms, there are square-integrable zero modes only in even dimension  $d$  and for  $p = d/2$ . Hence, zero modes can only come from 2-forms in a pure  $\text{EAdS}_4$

background. The number of such zero modes is given by [169, 71]

$$n_{A_2} = \frac{3}{4\pi^2 L^4} \int_{\mathcal{M}} d^4x \sqrt{g} = 1, \quad (2.48)$$

for each 2-form field present in the spectrum. In (2.48) the divergent volume factor of  $\text{EAdS}_4$  is renormalized as

$$\text{vol}(\text{EAdS}_4) = \int_{\mathcal{M}} d^4x \sqrt{g} = \frac{4\pi^2 L^4}{3}, \quad (2.49)$$

by adding appropriate boundary counter-terms. For spin-3/2 fields, one can construct zero modes of the Rarita-Schwinger (RS) operator as follows. We start with an eigenspinor of the Dirac operator on  $\text{EAdS}_4$ ,

$$\nabla \Omega_{\ell m}^s = \frac{\text{i}s}{L} \lambda \Omega_{\ell m}^s, \quad (2.50)$$

indexed by a continuous eigenvalue  $\lambda$ , two mode numbers  $\ell \in \mathbb{N}$  and  $m = 1, \dots, d_\ell$  and a sign  $s = \pm$  related to chirality. Here  $d_\ell$  is the dimension of the spin- $\ell$  representation of  $\text{Spin}(3)$ . Now define the basis spinors

$$\Psi_\mu^{(1)s} = \gamma_\mu \Omega^s, \quad \Psi_\mu^{(2)s} = \nabla_\mu \Omega^s, \quad (2.51)$$

where we momentarily suppress the mode indices. Consider the RS operator for a spin-3/2 field of mass  $M$  on  $\text{EAdS}_4$ ,

$$(D_\pm)^{\mu\nu} = \gamma^{\mu\nu\rho} \nabla_\rho \pm M \gamma^{\mu\nu}, \quad (2.52)$$

where the sign factor encodes chirality. This operator acts on (2.51) as

$$\begin{aligned} (D_\pm)_\mu{}^\nu \Psi_\nu^{(1)s} &= \left( \pm 3M - \frac{2\text{i}s}{L} \lambda \right) \Psi_\mu^{(1)s} + 2 \Psi_\mu^{(2)s}, \\ (D_\pm)_\mu{}^\nu \Psi_\nu^{(2)s} &= \left( \frac{R}{8} \pm \frac{\text{i}s}{L} M \lambda \right) \Psi_\mu^{(1)s} \mp M \Psi_\mu^{(2)s}, \end{aligned} \quad (2.53)$$

where we have used the defining relation (2.50). This shows that appropriate linear combinations of the spinors  $\Psi_\mu^{(1)s}$  and  $\Psi_\mu^{(2)s}$  generate a (non-orthonormal) basis for the eigenfunctions of the RS operator. In particular, consider the following linear combination,

$$\Psi_{\mu\ell m}^s(\lambda) = \mathcal{N}_\ell^s \left( \nabla_\mu + \frac{s}{2} M \gamma_\mu \right) \Omega_{\ell m}^s. \quad (2.54)$$

Here, the normalization constant  $\mathcal{N}_\ell^s$  should be fixed by demanding

$$\langle \Psi_{\mu\ell m}^s(\lambda), \Psi_{\ell' m'}^{\mu s'}(\lambda') \rangle = \delta_{ss'} \delta_{\ell\ell'} \delta_{mm'} \delta(\lambda - \lambda'), \quad (2.55)$$

where we define the Euclidean inner product as

$$\langle f, g \rangle = \int d^4x \sqrt{g} f^\dagger g. \quad (2.56)$$

For a massless gravitino field in EAdS<sub>4</sub> with  $M = 1/L$ , it is easy to see that  $\Psi_{\mu\ell m}^s$  is in fact a zero-mode of the RS operator:

$$(D_s)_\mu{}^\nu \Psi_{\nu\ell m}^s(\lambda) = 0, \quad (2.57)$$

where the sign factors are now correlated. Thus, it appears that quantum fluctuations of a spin-3/2 field can include zero modes of the RS operator on EAdS<sub>4</sub>. We should now ask whether such modes are square-integrable with

$$\langle \Psi_{\mu\ell m}^s(\lambda), \Psi_{\ell m}^{\mu s}(\lambda) \rangle < \infty. \quad (2.58)$$

To answer this question, we can use the known expression for the Dirac eigenspinors  $\Omega_{\ell m}^s$  in [170]. We give details in Appendix A.3, where we show that the zero modes  $\Psi_{\mu\ell m}^s$  either have vanishing norm or are *not* square-integrable and must therefore be discarded.

The upshot of this analysis is that, on EAdS<sub>4</sub>, the non-local contribution (2.27) comes only from 2-forms as

$$C_{\text{non-local}} = \sum_{\text{massless 2-forms}} (-1)^F (-j-1). \quad (2.59)$$

A common feature of all 4d KK supergravity theories we will consider is that the spectrum does not include  $p$ -forms with  $p > 2$ . As a result, any 2-form is necessarily bosonic and at ghost level  $j = 0$  (see Footnote 10), in which case the above simplifies to

$$C_{\text{non-local}}(\text{EAdS}_4) = -N_{A_2}, \quad (2.60)$$

with  $N_{A_2}$  the total number of massless 2-form fields. We stress that the non-local contribution (2.59) to the EAdS<sub>4</sub> logarithmic correction is always a pure number, regardless of the field content of the gravitational theory we consider.

### Zero-modes on EAdS<sub>2</sub> × Σ<sub>g</sub>

On a product space like EAdS<sub>2</sub> × Σ<sub>g</sub>, the quadratic operator  $\mathcal{Q}$  acting on arbitrary field fluctuations can be split into

$$\mathcal{Q}_{4d} = \mathcal{Q}_{\text{EAdS}_2} + \mathcal{Q}_{\Sigma_g}. \quad (2.61)$$

We will always consider compact Riemann surfaces, which implies that the operator  $\mathcal{Q}_{\Sigma_{\mathfrak{g}}}$  has real non-negative eigenvalues. We will see that, as originally shown in [168, 169, 170], normalizable modes on  $\text{EAdS}_2$  also have non-negative eigenvalues. Therefore, we can study the modes that are in the kernel of both two-dimensional operators separately to obtain the zero-modes on the 4d space.

The  $\text{EAdS}_2 \times \Sigma_{\mathfrak{g}>1}$  solution to (2.36) with the corresponding graviphoton reads

$$ds^2 = \frac{L^2}{4} \left[ (\rho^2 - 1) d\tau^2 + \frac{d\rho^2}{\rho^2 - 1} + 2 \frac{dx^2 + dy^2}{y^2} \right], \quad (2.62)$$

$$dA = \frac{L}{2y^2} dx \wedge dy.$$

We restrict ourselves to a Riemann surface with genus  $\mathfrak{g} > 1$  since this is the situation arising from the near-horizon limit of supersymmetric extremal black holes in  $\text{AdS}_4$ , see Section 2.5.2. On this background, a 4d field can be dimensionally reduced down to the  $\text{EAdS}_2$  factor with coordinates  $(\tau, \rho)$ . In this two-dimensional space, the relevant Laplace-type operators for scalar and spinor fields are the usual 2d scalar Laplacian and the square of the Dirac operator. Their eigenvalues are well-known,

$$-\square_{\text{EAdS}_2} \phi = \left( \lambda^2 + \frac{1}{4} \right) \phi, \quad \lambda \in \mathbb{R}, \quad (2.63)$$

$$-\nabla_{\text{EAdS}_2}^2 \psi = \lambda^2 \psi, \quad \lambda > 0,$$

which shows that there are no zero modes for these 2d fields. In contrast, 2d fields with spin  $1 \leq s \leq 2$  have normalizable zero modes whose explicit expressions can be found in [69]. These zero modes are indexed by a non-zero integer  $\ell$  whose range depends on the spin, and they satisfy

$$\sum_{|\ell| \geq 1} |a_{\alpha}^{(\ell)}|^2 = \frac{2}{\pi L^2}, \quad \sum_{\ell \geq 1} |\xi_{\alpha}^{(\ell)}|^2 = \frac{4}{\pi L^2}, \quad \sum_{|\ell| \geq 2} |w_{\alpha\beta}^{(\ell)}|^2 = \frac{6}{\pi L^2}, \quad (2.64)$$

for a vector, gravitino and metric zero mode, respectively. Here  $\alpha$  denotes a space-time  $\text{EAdS}_2$  index and the norm is taken with appropriate 2d metric contractions. Multiplying (2.64) by the regularized volume  $\text{vol}(\text{EAdS}_2) = -\pi L^2/2$ , we obtain the number of zero-modes for each two-dimensional field,

$$n_0^{\text{EAdS}_2} = n_{1/2}^{\text{EAdS}_2} = 0, \quad n_1^{\text{EAdS}_2} = -1, \quad n_{3/2}^{\text{EAdS}_2} = -2, \quad n_2^{\text{EAdS}_2} = -3, \quad (2.65)$$

On the Riemann surface, the only zero-mode of the scalar Laplacian is the constant function.<sup>14</sup> For spin-1/2, we must take into account the fact that there is a non-trivial gauge field along  $\Sigma_{\mathfrak{g}}$  as in (2.62). This implies that the relevant differential operator acting on spinor fluctuations is the Dirac operator on the compact Riemann surface twisted by the line bundle  $\mathcal{L}$  defined by the gauge connection. Denoting this operator by  $\nabla_A$ , we can make use of the result of [171] for  $\mathfrak{g} > 1$ ,

$$\dim \text{Ker } \nabla_A = \deg(\mathcal{L}) = 2(\mathfrak{g} - 1), \quad (2.66)$$

Thus, the number of zero modes for scalar and spinor fields on the Riemann surface are

$$n_0^\Sigma = 1, \quad n_{1/2}^\Sigma = 2(\mathfrak{g} - 1). \quad (2.67)$$

We will not need the number of zero modes for fields of spin  $1 \leq s \leq 2$  since they always come tensored with scalar or spinor zero modes on the  $\text{EAdS}_2$  factor, which as we saw above do not exist.

We can now decompose all 4d fields according to their 2d spins and use the above results to compute the number of 4d zero modes. The 4d scalar and spinor fields decompose into combinations of scalars and fermions on  $\text{EAdS}_2$  and the Riemann surface, so they have no zero modes by (2.65). A vector field in 4d decomposes into a spin-1 field on  $\text{EAdS}_2$  and a scalar on  $\Sigma_{\mathfrak{g}}$ , so we have  $-1$  such zero-modes. A 4d gravitino field decomposes into a combination of spin-3/2 and spin-1/2 two-dimensional fields, producing a total of  $-4(\mathfrak{g} - 1)$  gravitino zero-modes in 4d. For the metric, the absence of globally defined Killing vectors on the Riemann surface of genus  $\mathfrak{g} > 1$  implies that the 4d mode decomposes into a combination of metric and scalar modes on the 2d spaces. Thus,

$$n_0^{4d} = n_{1/2}^{4d} = 0, \quad n_1^{4d} = -1, \quad n_{3/2}^{4d} = -4(\mathfrak{g} - 1), \quad n_2^{4d} = -3. \quad (2.68)$$

Observe that the dependence on the genus comes from the fact that the gravitino is charged under the background gauge field that defines the line bundle  $\mathcal{L}$ .

The non-local contribution to the logarithmic correction from the above zero modes is obtained by substituting (2.24) and (2.68) into (2.27). The result is

$$C_{\text{non-local}} = \left[ \sum_{s=1} (-1)^F j \right] + 4(1 - \mathfrak{g}) \left[ \sum_{s=3/2} (-1)^F (2 - j) \right] - 3 \left[ \sum_{s=2} (-1)^F (1 - j) \right], \quad (2.69)$$

---

<sup>14</sup>This can be shown, for instance, by studying the Laplacian on the upper half-plane and quotienting by a discrete subgroup of  $PSL(2, \mathbb{R})$  to obtain the spectrum on the compact Riemann surface.

where the sums run over the massless fields of spin  $s$ . The 4d KK supergravity theories we want to consider do not contain ghost fields of spin  $s > 1$ , so that the last two sums are restricted to the  $j = 0$  sector and have definite fermion numbers. Gauge-fixing in the spin-2 fluctuation sector requires the introduction of a pair of vector ghosts (see also Appendix A.2.5). If we assume that this gauge-fixing is the only source of  $s = 1$  ghosts, the above simplifies to

$$C_{\text{non-local}}(\text{EAdS}_2 \times \Sigma_{g>1}) = 8N_{3/2}(g - 1) - 4N_2, \quad (2.70)$$

where  $N_{3/2}$  and  $N_2$  denote the number of massless gravitino and metric fluctuations in the spectrum. Note that the contribution (2.69) to the logarithmic correction is always integer, regardless of the KK theory under consideration. Moreover, it depends on the parameters of the background geometry only through the genus of the Riemann surface, which arises from the non-trivial charge of the gravitino fluctuations under the background gauge field.

### Generic zero-modes

The results (2.59) and (2.70) illustrate how the spectral problem of finding the zero modes of the  $\mathcal{Q}$  operators depends on the supergravity background. In particular, when the latter includes a factor of  $d$ -dimensional Euclidean AdS, one can leverage known results in the literature to study the zero modes. Unfortunately, the task is much more arduous on generic backgrounds. Nevertheless, our examples make it clear that the non-local contributions to the logarithmic corrections on a general  $\mathcal{M}_4$  will always take the form of pure numbers related to the counting of zero modes and possibly a simple dependence on discrete parameters of topological origin like the rank of some fiber bundles. In particular, we do not expect that  $C_{\text{non-local}}$  can depend on continuous parameters specifying the 4d supergravity background.

Before closing this section, we would like to point out that the discussion above was focused on the calculation of the Euclidean gravitational path integral in the so-called grand canonical ensemble of fixed temperature and chemical potentials. If one is interested in a different thermodynamic ensemble, for example in order to calculate the entropy of a black hole, an appropriate Laplace transform according to the standard rules of thermodynamics should be performed. This change of ensemble can lead to additional contribution to the logarithmic corrections of the partition function, see [70]. Notably, these additional terms in the coefficient of the logarithmic correction are always pure numbers independent of continuous parameters in the supergravity background of interest.

## 2.5 Bootstrapping the local contributions

Following the procedure described in Section 2.4, one can in principle compute the logarithmic coefficient  $C$  in the 4d Euclidean path integral (2.15) around any asymptotically EAdS<sub>4</sub> Einstein-Maxwell background in a given 4d KK supergravity theory. As we have pointed out, there are a few technical hurdles:

1. Computing the local contribution  $C_{\text{local}}$  for generic Einstein-Maxwell backgrounds with a non-vanishing graviphoton remains out of reach, since we have restricted our results in Table 2.2 to pure Einstein backgrounds, and we therefore do not have access to the  $b_2$  coefficient in (2.37) for every KK supergravity field.
2. While imposing conformal boundary conditions on the metric fluctuations ensures that the differential operator  $\mathcal{Q}_h$  is elliptic, this choice falls outside of the class for which explicit surface contributions to the SdW coefficient are known.
3. Computing the non-local contribution  $C_{\text{non-local}}$  involves a spectral problem that we cannot solve in general for arbitrary Einstein-Maxwell backgrounds.

In view of these difficulties, it would seem that a first-principle computation of  $C$  for various 4d supergravity backgrounds still eludes us. However, we will now leverage holography and explain how the various logarithmic coefficients  $\mathcal{C}$  on the field theory side (see Table 2.1) can be used to “bootstrap” the heat kernel coefficients  $(a_E, c, b_1, b_2)$  in the bulk. This will allow us to elegantly circumvent the first two issues in the above list. The main idea is to study the logarithmic corrections as a function of various backgrounds supporting arbitrary quadratic field fluctuations, rather than studying individual fields in the KK spectrum evolving on a fixed background. Before we illustrate how this procedure works in detail on several examples, we note that from the expressions for the SdW coefficient in (2.30) and (2.37), it is clear that the contribution to  $C_{\text{local}}$  from the  $a_E$  coefficient comes accompanied by the integrated Euler density  $\int E_4$ . This integral yields a topological invariant of the 4d Euclidean manifold  $\mathcal{M}$  used as a supergravity background, i.e. its Euler characteristic  $\chi(\mathcal{M})$ , and thus the contribution of  $a_E$  to  $C_{\text{local}}$  is always a pure number independent of any continuous parameters that may be present in the supergravity background. As we show below this general expectation is realized in all examples we study.

## 2.5.1 EAdS<sub>4</sub>

We start with the pure EAdS<sub>4</sub> solution to (2.36) given by

$$ds^2 = \frac{L^2}{L^2 + r^2} dr^2 + r^2 d\Omega_3^2, \quad A = 0, \quad (2.71)$$

where  $d\Omega_3^2$  is the metric on the round  $S^3$  with unit radius. This space is conformally flat and so has vanishing Weyl tensor. Introducing appropriate counter-terms, the regularized Euler characteristic and  $R^2$  invariant are given by

$$\chi = \frac{1}{32\pi^2} \int d^4x \sqrt{g} E_4 = 1, \quad \frac{1}{32\pi^2} \int d^4x \sqrt{g} R^2 = 6. \quad (2.72)$$

Thus, we find that an arbitrary fluctuation of a field  $\phi$  (of general mass and spin) with corresponding kinetic operator  $\mathcal{Q}_\phi$  propagating in this background produces a bulk contribution to the integrated SdW coefficient (2.37) given by

$$\int d^4x \sqrt{g} a_4^{\text{bulk}}(\mathcal{Q}_\phi) = 2[6b_1(\phi) - a_E(\phi)]. \quad (2.73)$$

Observe that the coefficient of  $a_E$  equals  $-2\chi$  with  $\chi$  given in (2.72). In addition, the total derivative contribution (2.42) vanishes trivially since the bulk Ricci scalar is constant and  $A = 0$ . It remains to analyze the boundary contributions (2.33). The extrinsic curvature of EAdS<sub>4</sub> in the coordinate system (2.71) reads

$$K_{cd} = \frac{\sqrt{L^2 + r_b^2}}{L r_b} \delta_{cd} = \frac{1}{L} \delta_{cd} + \mathcal{O}(r_b^{-2}), \quad (2.74)$$

where  $r_b$  is the location of the boundary in the radial direction. This in turn determines the projectors  $\Pi_\pm$  and  $S$  specifying the boundary conditions. Note that following the discussion in Section 2.4.2, the latter can be parameterized as  $S = S'K$  where the  $S'$  field space matrix is constant. Working in a radial expansion with a large cutoff  $r_b$ , we find the following surface contribution to the integrated SdW coefficient:

$$\begin{aligned} & \int_{\partial\mathcal{M}} d^3y \sqrt{\gamma} a_4^{\text{bdry}}(\mathcal{Q}_\phi) \\ &= \frac{1}{360} \text{Tr} \left[ 45L^2 E(1 - 6S') - 2(\Pi_- - 29\Pi_+) + 1620S'^2(1 - S') - 93 \right] \frac{r_b^3}{L^3} \\ &+ \frac{1}{240} \text{Tr} \left[ 15L^2 E(1 - 6S') - 2(\Pi_- - 29\Pi_+) - 180S'(2 - 9S' + 9S'^2) - 31 \right] \frac{r_b}{L} \\ &+ \mathcal{O}(r_b^{-1}), \end{aligned} \quad (2.75)$$



where  $\Pi_{\pm}$ ,  $S'$  and  $E$  are all independent of the radial cutoff. This boundary contribution can be holographically renormalized upon introducing the counter-term

$$\int_{\partial\mathcal{M}} d^3y \sqrt{\gamma} (\mathfrak{c}_1 + \mathfrak{c}_2 \mathcal{R}), \quad (2.76)$$

where  $\mathcal{R}$  denotes the Ricci scalar of the boundary. The constant  $\mathfrak{c}_1$  can be chosen so as to cancel the cubic divergence in (2.75) while  $\mathfrak{c}_2$  can be tuned to cancel the linear term. Importantly, this renormalization scheme does not introduce any constant term on EAdS<sub>4</sub>. In this way, the boundary contribution to the SdW coefficient vanishes by holographic renormalization. With this prescription, the total local contribution (2.26) is

$$C_{\text{local}} = 2 \sum_{\phi} (-1)^F [6 b_1(\phi) - a_E(\phi)]. \quad (2.77)$$

Here we have taken the sum of the contributions of all fields propagating in the background weighted by their fermion number  $F$ .

Let us make the following comment on our result. Using the heat kernel coefficients  $(a_E, b_1)$  given in Table 2.2 and expressing the masses of the bulk fields in terms of conformal dimensions of the dual operators using Table 2.3, we find that the summand in (2.77) can be written as

$$6 b_1(\phi) - a_E(\phi) = \frac{2s+1}{48} \left[ \left( \Delta - \frac{3}{2} \right)^4 - \left( s + \frac{1}{2} \right)^2 \left( 2 \left( \Delta - \frac{3}{2} \right)^2 + \frac{1}{6} \right) - \frac{7}{240} \right], \quad (2.78)$$

for any bosonic field of spin  $s \in \{0, 1, 2\}$ , and as

$$6 b_1(\phi) - a_E(\phi) = \frac{2s+1}{48} \left[ \left( \Delta - \frac{3}{2} \right)^4 - \left( s + \frac{1}{2} \right)^2 \left( 2 \left( \Delta - \frac{3}{2} \right)^2 - \frac{1}{3} \right) + \frac{1}{30} \right], \quad (2.79)$$

for any fermionic field of spin  $s \in \{1/2, 3/2\}$ . In other words, we have

$$C_{\text{local}} = \sum_{\phi} G_s \left( \Delta - \frac{3}{2} \right), \quad (2.80)$$

where the function  $G_s$  is defined by

$$G_s(x) = \begin{cases} \frac{2s+1}{24} \left( x^4 - \left( s + \frac{1}{2} \right)^2 \left( 2x^2 + \frac{1}{6} \right) - \frac{7}{240} \right) & s \in \{0, 1, 2\} \\ -\frac{2s+1}{24} \left( x^4 - \left( s + \frac{1}{2} \right)^2 \left( 2x^2 - \frac{1}{3} \right) + \frac{1}{30} \right) & s \in \{1/2, 3/2\} \end{cases}. \quad (2.81)$$

The appearance of this function is not an accident. Rather, as shown in [172, 173, 174], the function  $G_s$  is precisely the one that controls the logarithmic

divergence in the free energy  $F_{\Delta,s}$  of a free field in  $\text{EAdS}_4$  dual to an operator of spin  $s$  and conformal dimension  $\Delta$ ,

$$F_{\Delta,s} = G_s \left( \Delta - \frac{3}{2} \right) \log(L/\epsilon) + \text{finite}, \quad (2.82)$$

where  $\epsilon$  is a UV cutoff. The result (2.82) has been obtained by a direct spectral analysis on pure Euclidean  $\text{AdS}_4$ , and what we have shown is that our heat kernel coefficients precisely reproduce the expected logarithmic behavior. Note that to achieve this agreement, it was crucial to use the precise values of the  $a_E$  and  $b_1$  coefficients given in Table 2.2, which in particular take into account the contribution from Stückelberg fields when  $1 \leq s \leq 2$ . Therefore, we can view (2.80) as a non-trivial check of our computations in Appendix A.2. Moreover, we can now argue on the basis of (2.82) that there cannot be local contributions to the  $\text{EAdS}_4$  logarithmic correction due to the oblique nature of boundary conditions on the metric fluctuations, in agreement with our assumption at the end of Section 2.4.2.<sup>15</sup> In the simple  $\text{EAdS}_4$  background, we can therefore address all three points raised at the beginning of the present section and conclude that the logarithmic correction to the path integral is entirely controlled by  $C = C_{\text{local}}$  given in (2.77). To compare with the CFT coefficient  $\mathcal{C}$ , we still need to explicitly sum over the field content of the relevant KK supergravity theory and use a suitable regularization. We will discuss this in Section 2.6, but for the time being we move on to other minimal supergravity backgrounds.

## 2.5.2 Euclidean Romans

Next we consider the Euclidean Romans solution to (2.36) given by [175, 176]

$$ds^2 = U(r) d\tau^2 + \frac{dr^2}{U(r)} + r^2 ds_\Sigma^2, \quad U(r) = \left( \frac{r}{L} + \frac{\kappa L}{2r} \right)^2 - \frac{Q^2}{4r^2}, \quad (2.83)$$

$$dA = \frac{Q}{2r^2} d\tau \wedge dr + \frac{\kappa L}{2} V_\Sigma,$$

where  $\kappa \in \{0, \pm 1\}$  is the normalized curvature of the Riemann surface  $\Sigma$  and  $Q$  is a free, continuous parameter. Evaluating the relevant curvature-squared terms with appropriate counter-terms, we find that the bulk contribution (2.37)

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<sup>15</sup>Recall from (2.59) that the non-local contribution vanishes in the absence of bulk massless 2-forms in the spectrum, which is assumed in deriving (2.82).

for a field  $\phi$  of general spin and mass is given by

$$\begin{aligned} \int d^4x \sqrt{g} a_4^{\text{bulk}}(\mathcal{Q}_\phi) = & -4(1 - \mathfrak{g})a_E(\phi) + \frac{3(|Q| + \kappa L)^2}{10\pi L|Q|} \text{vol}(\Sigma) c(\phi) \\ & - \frac{3(|Q| - \kappa L)^2}{2\pi L|Q|} \text{vol}(\Sigma) b_1(\phi) - \frac{3(Q^2 + \kappa^2 L^2)}{4\pi L|Q|} \text{vol}(\Sigma) b_2(\phi). \end{aligned} \quad (2.84)$$

The coefficient of  $a_E$  equals  $-2\chi$  where the regularized Euler characteristic is  $\chi = 2(1 - \mathfrak{g})$ . We stress that these results are valid for quadratic fluctuations of an arbitrary field  $\phi$  around the Romans background. Using the same holographic renormalization prescription as in the case of pure EAdS<sub>4</sub>, the total derivative and boundary contributions to  $C_{\text{local}}$  can be shown to vanish. This implies that the only contribution to (2.26) reads

$$C_{\text{local}} = \sum_{\phi} (-1)^F \int d^4x \sqrt{g} a_4^{\text{bulk}}(\mathcal{Q}_\phi), \quad (2.85)$$

with the integrated  $a_4^{\text{bulk}}(\mathcal{Q}_\phi)$  given in (2.84) and the sum is taken over all fields propagating in the background weighted by their fermion number  $F$ .

The 4d Euclidean path integral around the Romans background is holographically dual to the TTI of the boundary SCFT on  $S^1 \times \Sigma_{\mathfrak{g}}$ . The logarithmic coefficient  $\mathcal{C}$  extracted from the large  $N$  limit of the TTI is given in Table 2.1 for various SCFTs, and one readily checks that this coefficient is always a pure number. On the bulk side, we have argued in Section 2.4.3 that the non-local contribution to  $C$  is a pure number for arbitrary backgrounds. Therefore, holography dictates that  $C_{\text{local}}$  given in (2.85) should also be a pure number, and in particular should be independent of the continuous parameter  $Q$  of the background. This requirement then translates into a linear constraint on the heat kernel coefficients summed over the spectrum,

$$\sum_{\phi} (-1)^F [2c(\phi) - 10b_1(\phi) - 5b_2(\phi)] = 0. \quad (2.86)$$

We stress that this should be true in any four-dimensional KK supergravity theory whose SCFT dual falls in one of the classes discussed in Table 2.1. Indeed, this constraint must be satisfied in order to fit the expectations of the AdS/CFT correspondence at order  $\mathcal{O}(\log N)$  in the large  $N$  expansion.

The background (2.83) admits a supersymmetric limit as  $Q \rightarrow 0$ . For hyperbolic Riemann surfaces, i.e. for  $\mathfrak{g} > 1$ , the resulting geometry has a Lorentzian interpretation as a magnetically charged Reissner-Nordström (RN) black hole in AdS<sub>4</sub>. In Section 2.6.2 we will comment on the implications of our results for the thermodynamics of AdS black holes.

### 2.5.3 U(1)×U(1) squashing

Another solution to (2.36) we can consider is one where the conformal boundary is a squashed 3-sphere. A particular squashing that preserves supersymmetry and a U(1)×U(1) subgroup of the SO(4) isometry group can be obtained in the bulk by turning on an anti-self-dual graviphoton compared to the pure EAdS<sub>4</sub> case. In a convenient coordinate system, this squashed background takes the form [177]

$$ds^2 = f_1(x, y)^2 dx^2 + f_2(x, y)^2 dy^2 + L^2 \frac{(x^2 - 1)(y^2 - 1)}{s^2 - 1} d\phi_1^2 + L^2 \frac{(s^2 - x^2)(y^2 - s^2)}{s^2(s^2 - 1)} d\phi_2^2,$$

$$A = \frac{L}{2(x + y)} \left( \frac{s^2 + xy}{s} d\phi_2 - (1 + xy) d\phi_1 \right), \quad (2.87)$$

where the squashing parameter is  $s$  (not to be confused with the spin  $s$  of a field), and

$$f_1(x, y)^2 = L^2 \frac{y^2 - x^2}{(x^2 - 1)(s^2 - x^2)}, \quad f_2(x, y)^2 = L^2 \frac{y^2 - x^2}{(y^2 - 1)(y^2 - s^2)}. \quad (2.88)$$

Computing the relevant curvature invariants, we obtain the bulk contribution

$$\int d^4x \sqrt{g} a_4^{\text{bulk}}(\mathcal{Q}_\phi) = 2[6b_1(\phi) - a_E(\phi)] - \frac{3(s-1)^2}{2s} b_2(\phi), \quad (2.89)$$

for any field  $\phi$  of general mass and spin propagating on this background. Again, the coefficient of  $a_E$  in this expression is minus twice the Euler characteristic of the squashed background, which is topologically indistinguishable from the pure EAdS<sub>4</sub> geometry.

When  $s = 1$ , we recover the result for pure EAdS<sub>4</sub> with a round 3-sphere boundary. In addition, our renormalization prescription ensures that there are no other contributions to  $C_{\text{local}}$  besides (2.89). Following the logic described in the previous subsection, AdS/CFT requires that the above integrated SdW coefficient be independent of the squashing parameter after summing over all fields in the spectrum.<sup>16</sup> This immediately imposes

$$\sum_{\phi} (-1)^F b_2(\phi) = 0, \quad (2.90)$$

---

<sup>16</sup>The universal CFT coefficient  $\mathcal{C}$  in Table 2.1 has been obtained analytically in the large  $N$  limit of the squashed 3-sphere partition function for  $s = 1$  [80, 81] and  $s = 3$  [138], and conjectured for a generic squashing parameter in [140, 142]. One-loop calculations in the dual 11d backgrounds, however, make the universality of the logarithmic coefficient clear for any value of  $s$ , since in this approach the logarithmic coefficient is entirely controlled by the number of 11d zero-modes [71] that should not depend on any continuous parameter.

which shows that while we do not have access to the  $b_2$  coefficient for a given field in general, universality of the logarithmic correction and holography imply a strong constraint on the total contribution after summing over the spectrum. This addresses the first point raised at the beginning of this section, at least partially. We can combine the above constraint with (2.86) to obtain a more stringent relation among the remaining heat kernel coefficients,

$$\sum_{\phi} (-1)^F [c(\phi) - 5 b_1(\phi)] = 0. \quad (2.91)$$

### 2.5.4 $SU(2) \times U(1)$ squashing

We now consider another supersymmetric bulk solution whose conformal boundary is a squashed 3-sphere, with the squashing preserving an  $SU(2) \times U(1)$  isometry. The minimal supergravity background is given by [178]

$$ds^2 = \frac{r^2 - s^2}{\Omega(r)} dr^2 + (r^2 - s^2)(\sigma_1^2 + \sigma_2^2) + \frac{4s^2\Omega(r)}{r^2 - s^2} \sigma_3^2, \quad (2.92)$$

$$A = s \sigma_3 \frac{r - s}{r + s} \sqrt{\frac{4s^2}{L^2} - 1},$$

where

$$\Omega(r) = (r - s)^2 \left( 1 + \frac{(r - s)(r + 3s)}{L^2} \right), \quad (2.93)$$

$\sigma_i$  are the  $SU(2)$  left-invariant one-forms, and  $s$  is the squashing parameter. We can proceed as before and compute the renormalized bulk contribution (2.37) which now takes the form

$$\begin{aligned} \int d^4x \sqrt{g} a_4^{\text{bulk}}(\mathcal{Q}_{\phi}) = & -2 a_E(\phi) + \frac{2(L^2 - 4s^2)^2}{L^4} c(\phi) \\ & + \frac{96s^2(L^2 - 2s^2)}{L^4} b_1(\phi) + \frac{24s^2(L^2 - 4s^2)}{L^4} b_2(\phi), \end{aligned} \quad (2.94)$$

and the surface terms again vanish by holographic renormalization. Since the logarithmic term in the dual squashed sphere partition function is independent of the squashing parameter, we can apply the same logic as before and deduce that AdS/CFT imposes a new constraint on heat kernel coefficients summed over the spectrum. Together with the previous constraints (2.86) and (2.91), we arrive at the final conclusion that

$$\sum_{\phi} (-1)^F c(\phi) = \sum_{\phi} (-1)^F b_1(\phi) = \sum_{\phi} (-1)^F b_2(\phi) = 0. \quad (2.95)$$

In other words, the universal character of the logarithmic corrections to the large  $N$  limit of SCFT partition functions imposes, via holography, that the sum of the heat kernel coefficients  $(c, b_1, b_2)$  over the spectrum of the theory should vanish. This must be true *regardless of the particular field content of the 4d KK supergravity theory under consideration*. As we will see below, these constraints have important consequences. Recall also that the  $a_E$  coefficient is not subject to any constraint since the Euler characteristic  $\chi(\mathcal{M})$  cannot depend on continuous parameters.

### 2.5.5 AdS-Taub-NUT

The bootstrap result (2.95) is obtained by studying the Euclidean path integrals around three distinct supersymmetric backgrounds holographically dual to 3d  $\mathcal{N} = 2$  SCFT partition functions whose logarithmic coefficients have been argued to be pure numbers by supersymmetric localization and the index theorem. As we discuss in Section 2.7, one can derive similar constraints on heat kernel coefficients without the need to assume  $\mathcal{N} = 2$  supersymmetry. To illustrate this point we now consider two different non-BPS supergravity backgrounds.

As a first example, we study the following Euclidean AdS-Taub-NUT background [179]

$$ds^2 = 4n^2 V(r) \sigma_3^2 + V(r)^{-1} dr^2 + (r^2 - n^2)(\sigma_1^2 + \sigma_2^2), \quad (2.96)$$

where

$$V(r) = \frac{r^2 + n^2 - 2mr + L^{-2}(r^4 - 6n^2 r^2 - 3n^4)}{r^2 - n^2}. \quad (2.97)$$

The above metric solves the Einstein equations in (2.36) with a vanishing Maxwell field, and the solution fully breaks supersymmetry. The mass parameter  $m$  is related to the NUT charge  $n$  as

$$m = n - \frac{4n^3}{L^2}, \quad (2.98)$$

and the boundary at  $r \rightarrow \infty$  is a squashed 3-sphere with metric

$$ds_\infty^2 = r^2 \left( \sigma_1^2 + \sigma_2^2 + \frac{1}{1+s} \sigma_3^2 \right). \quad (2.99)$$

The squashing parameter is related to the NUT charge as

$$n = \frac{L}{2\sqrt{1+s}}. \quad (2.100)$$

With this at hand, we compute the bulk part of the integrated fourth SdW coefficient:

$$\begin{aligned} \int d^4x \sqrt{g} a_4^{\text{bulk}}(\mathcal{Q}_\phi) &= \frac{8}{\sqrt{1+s}} [6b_1(\phi) - a_E(\phi)] \frac{r_b^3}{L^3} - \frac{6}{(1+s)^{3/2}} [6b_1(\phi) - a_E(\phi)] \frac{r_b}{L} \\ &\quad + \frac{2}{(1+s)^2} [6b_1(\phi) - (1+s^2)a_E(\phi) + s^2c(\phi)] \quad (2.101) \\ &\quad + \mathcal{O}(r_b^{-1}), \end{aligned}$$

where we have introduced a boundary cut-off  $r_b$ . Using the counter-term (2.76), the divergent terms above and the surface part of the integrated SdW coefficient can both be holographically renormalized away, and we find that the local contribution to the logarithmic correction to the AdS-Taub-NUT free energy  $F(s)$  is given by

$$C_{\text{local}}(s) = -2 \sum_{\phi} (-1)^F \left[ a_E(\phi) - \frac{1}{(1+s)^2} (s^2c(\phi) + 6(1+2s)b_1(\phi)) \right], \quad (2.102)$$

where as usual we need to add up the heat kernel coefficients of all bulk fields in the gravitational effective theory. In addition, we do not expect the non-local contribution to depend on the squashing parameter since they arise from zero modes. The correction (2.102) translates into a logarithmic correction to the stress tensor two-point function coefficient  $C_T$  in the boundary CFT via the relation [180]

$$C_T = -\frac{48}{\pi^2} \partial_s^2 F(s) \big|_{s=0}. \quad (2.103)$$

Using the above, we thus find that  $C_T$  generically contains a term

$$C_T \ni \frac{192}{\pi^2} \sum_{\phi} (-1)^F [6b_1(\phi) - c(\phi)] \log N. \quad (2.104)$$

If we now assume that the stress tensor two-point function coefficient in any holographic CFT does not contain a logarithmic term in its large  $N$  expansion, we arrive at the bootstrap constraint

$$\sum_{\phi} (-1)^F [6b_1(\phi) - c(\phi)] = 0. \quad (2.105)$$

This is compatible with our previous results using supersymmetric backgrounds and SCFT observables. We will discuss our assumption about the absence of log terms in  $C_T$  and further implications of this result in Section 2.7.

## 2.5.6 Kerr-Newman

Lastly, we come to the Euclidean incarnation of the 4d electric Kerr-Newman (KN) black hole solution in AdS. The metric and Maxwell field take the form [181, 182, 183]

$$ds^2 = \frac{\Delta_r}{V} \left( d\tau + \frac{\alpha}{\Xi} \sin^2 \theta d\phi \right)^2 + V \left( \frac{dr^2}{\Delta_r} + \frac{d\theta^2}{\Delta_\theta} \right) + \frac{\Delta_\theta \sin^2 \theta}{V} \left( \alpha d\tau - \frac{\tilde{r}^2 - \alpha^2}{\Xi} d\phi \right)^2, \\ A = i m \sinh(2\delta) \frac{\tilde{r}}{V} \left( d\tau + \frac{\alpha}{\Xi} \sin^2 \theta d\phi \right), \quad (2.106)$$

where

$$\tilde{r} = r + 2m \sinh^2 \delta, \quad \Xi = 1 + \frac{\alpha^2}{L^2}, \quad V = \tilde{r}^2 - \alpha^2 \cos^2 \theta, \\ \Delta_r = r^2 - \alpha^2 - 2mr + \frac{\tilde{r}^2}{L^2} (\tilde{r}^2 - \alpha^2), \quad \Delta_\theta = 1 + \frac{\alpha^2}{L^2} \cos^2 \theta. \quad (2.107)$$

The parameters  $(m, \alpha, \delta)$  are related to the energy, angular momentum and electric charge of the solution. After a lengthy computation, we find that the bulk contribution to the integrated SdW coefficient can be holographically renormalized to give

$$\int d^4x \sqrt{g} a_4^{\text{bulk}}(\mathcal{Q}_\phi) = -4 a_E(\phi) + f_1(m, \alpha, \delta) c(\phi) \\ + f_2(m, \alpha, \delta) b_1(\phi) + f_3(m, \alpha, \delta) b_2(\phi), \quad (2.108)$$

where the various functions on the right-hand side are given by

$$f_1 = \frac{\beta m^2}{2\pi \alpha^5 \Xi} \left\{ \frac{3m^2 c^4 s^4}{\tilde{r}_+^4} (\alpha^4 - \tilde{r}_+^4) \log \left( \frac{\tilde{r}_+ + \alpha}{\tilde{r}_+ - \alpha} \right) - \frac{16\alpha^5 m c^2 s^2 (c^2 + s^2) \tilde{r}_+^3 (3\tilde{r}_+^2 + \alpha^2)}{\tilde{r}_+^3 (\tilde{r}_+^2 - \alpha^2)^3} \right. \\ \left. + \frac{2\alpha (m^2 c^4 s^4 (3\tilde{r}_+^8 - 8\alpha^6 \tilde{r}_+^2 + 42\alpha^4 \tilde{r}_+^4 - 8\alpha^2 \tilde{r}_+^6 + 3\alpha^8) + 4\alpha^4 (c^2 + s^2)^2 \tilde{r}_+^4 (\tilde{r}_+^2 + \alpha^2))}{\tilde{r}_+^3 (\tilde{r}_+^2 - \alpha^2)^3} \right\} \\ f_2 = \frac{12\beta}{\pi L^4 \Xi} (\alpha^2 \tilde{r}_+ - \tilde{r}_+^3 + mL^2 (c^2 + s^2)), \\ f_3 = \frac{24\beta m^2 c^2 s^2 \tilde{r}_+}{\pi \Xi (\tilde{r}_+^2 - \alpha^2)}. \quad (2.109)$$



In these expressions we have used the shorthand  $s = \sinh \delta$  and  $c = \cosh \delta$ . The quantity  $\tilde{r}_+ = r_+ + 2m \sinh^2 \delta$  is given in (2.107) with  $r_+$  being the largest real root of  $\Delta_r(r_+) = 0$ , and  $\beta$  is the periodicity of the Euclidean time circle. Regularity of the solution at  $r = r_+$  fixes the value of  $\beta$  in terms of the solution parameters as

$$\beta = 4\pi(\tilde{r}^2 - \alpha^2) \left[ \frac{d\Delta_r}{dr} \right]^{-1} \Big|_{r=r_+}. \quad (2.110)$$

The mass parameter  $m$  can also be related to the value of the radial coordinate  $r_+$  at which the  $\tau$  circle shrinks to zero size, see e.g. [183].

Some interesting special cases can be studied from the above general expressions. First, if we set

$$\alpha = iL(\coth(2\delta) - 1), \quad (2.111)$$

the solution (2.106) preserves two real supercharges. In this supersymmetric limit, it is not hard to see from (2.109) that the bulk contribution to the integrated SdW coefficient will in general depend on the value of the continuous parameter  $\delta$ . The holographically dual description of this gravitational solution is in terms of the superconformal index of the dual SCFT, i.e. the supersymmetric partition function on  $S^1 \times_\omega S^2$  with the angular fugacity  $\omega$  related to  $\delta$ . As summarized in Table 2.1, the logarithmic contribution to the superconformal index is independent of  $\omega$  and therefore  $C_{\text{local}}$  should not depend on  $\delta$ . This in turn leads to the following constraints for the total values of the heat kernel coefficients for fields propagating on the AdS-KN background

$$\sum_{\phi} (-1)^F c(\phi) = \sum_{\phi} (-1)^F b_1(\phi) = \sum_{\phi} (-1)^F b_2(\phi) = 0. \quad (2.112)$$

This confirms the result we have obtained previously in (2.95). We can also consider the non-BPS limit of vanishing electric charge  $\delta \rightarrow 0$  in which case the above solution reduces to the Euclidean version of the AdS-Kerr black hole. In this limit,

$$\begin{aligned} f_1(m, \alpha, 0) &= \frac{\beta(r_+^2 + L^2)^2(r_+^2 + \alpha^2)}{\pi L^4 \Xi r_+(r_+^2 - \alpha^2)}, \\ f_2(m, \alpha, 0) &= - \frac{6\beta(r_+^2 - L^2)(r_+^2 - \alpha^2)}{\pi L^4 \Xi r_+}, \end{aligned} \quad (2.113)$$

$$f_3(m, \alpha, 0) = 0.$$

The AdS-Kerr solution is holographically dual to the grand canonical thermal partition function of a CFT placed on  $S^1_\beta \times S^2$ , where the circle has size  $\beta$  which sets the (inverse) temperature. This QFT observable can be studied in a

saddle-point approximation which defines the so-called thermal effective action of the theory, see [184]. The thermal effective action of a given holographic CFT may contain a logarithmic term in the large  $N$  limit. If we assume that the coefficient of this  $\log N$  term does not depend on the temperature or the spin fugacity, then by our general reasoning the dependence of  $C_{\text{local}}$  on the dual continuous parameters arising from (2.113) can only be suppressed if we impose

$$\sum_{\phi} (-1)^F c(\phi) = \sum_{\phi} (-1)^F b_1(\phi) = 0. \quad (2.114)$$

This example illustrates how the strong constraints on the spectrum of the gravitational theory we have obtained are not necessarily a consequence of supersymmetry. Rather, they can be implied more generally by the topological nature of logarithmic terms in the large  $N$  limit of holographic CFT observables. We are not aware of a general proof that the  $\log N$  term in the thermal effective action of any holographic CFT cannot depend on chemical potentials, so our statements in the non-supersymmetric setting are weaker than the ones we made using supersymmetric observables. It would be very interesting to sharpen these statements using QFT arguments.

## 2.6 Explicit KK supergravity examples

We have just learned that  $\text{AdS/CFT}$  dictates that the sum over the spectrum of individual heat kernel coefficients  $(c, b_1, b_2)$  must vanish as in (2.95). In this section, we will explicitly check this property by studying the logarithmic coefficient  $C$  in the semi-classical expansion of the 4d Euclidean path integral (2.15) in certain supergravity theories for which the KK spectrum is known. We will focus in the present section on supergravity theories with at least  $\mathcal{N} = 2$  supersymmetry. Generically, supersymmetry requires the presence of non-minimal couplings that enter the quadratic expansion of the action around an arbitrary background. Thus, it would seem that we cannot make use of the results we have obtained in Table 2.2 for minimally coupled fields. However, we can adapt a useful trick put forward in [68] in the context of asymptotically flat space-times to the  $\text{AdS}$  setting. Rather than repeating the trace computations in Appendix A.2 with non-minimal couplings, we will instead supersymmetrize the  $C_{\text{local}}$  term (2.26) directly. To do so, we use the results of [67] to relate the four-derivative invariants controlling the fourth SdW coefficient. On any background of  $\mathcal{N} = 2$  minimal supergravity, this relation reads

$$W^2|_{\mathcal{N}=2} = E_4 + \frac{4}{L^2} \left[ R + \frac{6}{L^2} - F_{\mu\nu} F^{\mu\nu} \right], \quad (2.115)$$

modulo terms that vanish using the equations of motion (2.36). Here the subscript on the left-hand side denotes the supersymmetrization of the Weyl-squared density (2.34), see [67] for the explicit expression. The relation (2.115) implies that the bulk part of the fourth SdW coefficient (2.37) in all theories that can be expressed in an  $\mathcal{N} = 2$  language is straightforwardly supersymmetrized:

$$(4\pi)^2 a_4^{\text{bulk}}|_{\mathcal{N} \geq 2} = (c - a_E) E_4 + \left(b_1 - \frac{c}{6}\right) R^2 + \left(b_2 + \frac{c}{3}\right) R F_{\mu\nu} F^{\mu\nu}. \quad (2.116)$$

Since all non-minimal couplings required by supersymmetry have been taken into account in this formula, we can use the minimally coupled results of Table 2.2 to infer the value of the heat kernel coefficients  $(a_E, c, b_1)|_{\mathcal{N} \geq 2}$  in supersymmetric theories,

$$a_E|_{\mathcal{N} \geq 2} = a_E - c, \quad c|_{\mathcal{N} \geq 2} = 0, \quad b_1|_{\mathcal{N} \geq 2} = b_1 - \frac{c}{6}. \quad (2.117)$$

In the rest of this chapter, we will systematically compute heat kernel coefficients as if all fields were minimally coupled according to Table 2.2, and only restore the effect of non-minimal couplings using (2.117) when necessary. We also note that if a particular spectrum produces a vanishing total  $\sum_{\phi} (-1)^F c(\phi) = 0$ , the relation (2.117) immediately shows that  $\mathcal{N} \geq 2$  non-minimal couplings have no bearing on the other heat kernel coefficients. We will make extensive use of this fact below when studying specific KK spectra.

## 2.6.1 KK supergravity on $S^7$

As a first example, we consider the simple case of eleven-dimensional supergravity compactified on the round  $S^7$ . Since the length scales of the internal and external spaces are of the same order, this compactification gives rise to maximal gauged supergravity in 4d along with an infinite KK tower of massive fields. All fields can be arranged into 4d  $\mathcal{N} = 8$  supermultiplets, and the complete spectrum was obtained long ago in [185, 186, 187, 188, 99]. In what follows, we will denote the  $\text{SO}(8)$   $R$ -symmetry representations by their Dynkin labels  $(\alpha_1, \alpha_2, \alpha_3, \alpha_4)$  and follow the convention of Appendix A in [189] for the  $\text{SO}(8)$  triality frame. The massless and massive field content of the KK supergravity theory is indexed by a single positive integer  $k$  that labels the KK level and also controls the mass of the field. The spectrum is summarized in Table 2.4 and Table 2.5.

To compute the logarithmic correction to the path integral around a given 4d background  $\mathcal{M}$  in this KK theory, we use the general formulae of Section 2.4. The local contribution (2.26) is controlled by the SdW coefficient, which receives a bulk contribution of the form (2.37). In Appendix A.4.1, we explain how

spin	SO(8) irrep	Dynkin label	$\Delta$
2	<b>1</b>	(0, 0, 0, 0)	3
3/2	<b>8<sub>s</sub></b>	(0, 0, 0, 1)	$\frac{5}{2}$
1	<b>28</b>	(0, 1, 0, 0)	2
1/2	<b>56<sub>s</sub></b>	(1, 0, 1, 0)	$\frac{3}{2}$
0 <sub>+</sub>	<b>35<sub>v</sub></b>	(2, 0, 0, 0)	1
0 <sub>-</sub>	<b>35<sub>c</sub></b>	(0, 0, 2, 0)	2

Table 2.4: The massless  $\mathcal{N} = 8$  supermultiplet.

spin	Dynkin label	$\Delta$
2	$(k, 0, 0, 0)_{k \geq 0}$	$3 + \frac{k}{2}$
3/2	$(k, 0, 0, 1)_{k \geq 0}$ $(k - 1, 0, 1, 0)_{k \geq 1}$	$\frac{5}{2} + \frac{k}{2}$ $\frac{7}{2} + \frac{k}{2}$
1	$(k, 1, 0, 0)_{k \geq 0}$ $(k - 1, 0, 1, 1)_{k \geq 1}$ $(k - 2, 1, 0, 0)_{k \geq 2}$	$2 + \frac{k}{2}$ $3 + \frac{k}{2}$ $4 + \frac{k}{2}$
1/2	$(k + 1, 0, 1, 0)_{k \geq 0}$ $(k - 1, 1, 1, 0)_{k \geq 1}$ $(k - 2, 1, 0, 1)_{k \geq 2}$ $(k - 2, 0, 0, 1)_{k \geq 2}$	$\frac{3}{2} + \frac{k}{2}$ $\frac{5}{2} + \frac{k}{2}$ $\frac{7}{2} + \frac{k}{2}$ $\frac{9}{2} + \frac{k}{2}$
0 <sub>+</sub>	$(k + 2, 0, 0, 0)_{k \geq 0}$ $(k - 2, 2, 0, 0)_{k \geq 2}$ $(k - 2, 0, 0, 0)_{k \geq 2}$	$1 + \frac{k}{2}$ $3 + \frac{k}{2}$ $5 + \frac{k}{2}$
0 <sub>-</sub>	$(k, 0, 2, 0)_{k \geq 0}$ $(k - 2, 0, 0, 2)_{k \geq 2}$	$2 + \frac{k}{2}$ $4 + \frac{k}{2}$

Table 2.5: Massive  $\mathcal{N} = 8$  supermultiplets at KK level  $k$ . For  $k = 0$  we recover Table 2.4.

to compute the heat kernel coefficients  $(a_E, c, b_1)$  by combining the results summarized in Table 2.2 together with the KK spectrum given in Tables 2.4 and 2.5. For the  $c$  and  $b_1$  coefficients, we find a non-trivial cancellation “level-

by-level”,<sup>17</sup>

$$c(k) = 0, \quad b_1(k) = 0, \quad \forall k \geq 0. \quad (2.118)$$

Since the sum over the spectrum reduces in this simple case to a sum over the KK level  $k$ , we obtain

$$c^{\text{tot}} \equiv \sum_{k \geq 0} (-1)^F c(k) = 0, \quad \text{and} \quad b_1^{\text{tot}} \equiv \sum_{k \geq 0} (-1)^F b_1(k) = 0. \quad (2.119)$$

Since  $c^{\text{tot}}$  vanishes, (2.117) implies that the vanishing of  $b_1^{\text{tot}}$  also holds for non-minimally coupled fields around any background  $\mathcal{M}$ . Likewise, the vanishing of  $\sum_k (-1)^F c(k)|_{\mathcal{N}=8}$  automatically follows from (2.117). These results are in perfect agreement with the bootstrap constraints in (2.95) applied to KK supergravity on the 7-sphere. Having knowledge of the full spectrum also allows us to compute the  $a_E$  coefficient, for which no such level-by-level cancellation occurs. Instead we find that its contribution to  $C_{\text{local}}$  is given by

$$-\frac{1}{72} \chi(\mathcal{M}) \sum_{k \geq 0} (k+1)(k+2)(k+3)^2(k+4)(k+5), \quad (2.120)$$

where  $\chi(\mathcal{M})$  is the regularized Euler characteristic of the 4d background. For a generic background  $\mathcal{M}$ , another contribution to  $C_{\text{local}}$  is of the form

$$\frac{1}{16\pi^2} \left( \int_{\mathcal{M}} d^4x \sqrt{g} R F_{\mu\nu} F^{\mu\nu} \right) b_2^{\text{tot}}, \quad (2.121)$$

where  $b_2^{\text{tot}} = \sum_k (-1)^F b_2(k)$ , but this term vanishes according to (2.95). Lastly, the local part of the logarithmic correction also receives contributions from surface terms in the expression of the SdW coefficient (2.30). Since these cannot be written compactly for generic backgrounds and boundary conditions, we generically denote them by  $S(\mathcal{M})$  after summing over the spectrum. With this notation, the full local contribution is

$$C_{\text{local}}(\mathcal{M}) = S(\mathcal{M}) - \frac{1}{72} \chi(\mathcal{M}) \sum_{k \geq 0} (k+1)(k+2)(k+3)^2(k+4)(k+5). \quad (2.122)$$

Clearly this expression contains divergent sums that need to be appropriately regularized. Before doing so, we recall that yet another contribution to the logarithmic term is given by  $C_{\text{non-local}}$  in (2.27). As discussed in Section 2.4.3, the corresponding spectral problem for generic backgrounds  $\mathcal{M}$  remains out of reach at present. So from now on, we will focus on specific backgrounds of the  $S^7$  KK supergravity theory where we can make progress.

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<sup>17</sup>This result is reminiscent of the level-by-level cancellation in the one-loop beta function of  $\mathcal{N} = 8$  gauged supergravity observed in [190].

An interesting (and tractable) case is to come back to the situation where  $\mathcal{M}$  is pure  $\text{EAdS}_4$ . There, we argued in Section 2.5 that the surface terms could be holographically renormalized away prior to summing over the spectrum of the KK theory. As a result, we have  $S(\text{EAdS}_4) = 0$ . In addition, the KK spectrum does not include any 2-form fields, which by (2.59) implies that the non-local contribution vanishes. In total, we arrive at the logarithmic correction to the path integral

$$C(\text{EAdS}_4) = -\frac{1}{72} \sum_{k \geq 0} (k+1)(k+2)(k+3)^2(k+4)(k+5), \quad (2.123)$$

where we have used that  $\chi(\text{EAdS}_4) = 1$ . Note that we could have arrived at this result starting from (2.80) and explicitly implementing the sum over the spectrum by keeping track of the dimensions of the  $\text{SO}(8)$  representations and the conformal dimensions of the dual operators at a given KK level. Indeed, (2.123) is compatible with [172, 173, 174].

What remains is to discuss a possible regularization of the infinite series. For instance, the method used in [173] consists of introducing a  $z^k$  regulator to the summand with  $|z| < 1$  and defining the regularized series as the finite term in the expansion around  $z = 1$ . This attaches the value

$$C(\text{EAdS}_4) \stackrel{?}{=} 0, \quad (2.124)$$

to the logarithmic coefficient in the one-loop  $\text{EAdS}_4$  free energy. In Appendix A.5, we present two other methods based on zeta-function regularization that yield the same result. However, (2.124) should give us pause. Indeed, if correct, we are then forced to conclude that the bulk computation of the logarithmic coefficient cannot possibly agree with the holographically dual ABJM result

$$\mathcal{C}(S_{b=1}^3) = -\frac{1}{4}, \quad (2.125)$$

given in Table 2.1. At this stage, it seems that there are a couple of possible resolutions for this discrepancy. Recall that in 11d supergravity on  $\text{EAdS}_4 \times S^7$  [71], the logarithmic term comes entirely from the zero mode of the 2-form ghost required to quantize the 3-form potential of the theory. After dimensional reduction, we have insisted that the KK supergravity fields arrange themselves into  $\mathcal{N} = 8$  multiplets that do not accommodate 2-form fields. As a result, the non-local contribution in the 4d theory vanishes on  $\text{EAdS}_4$  according to (2.59). To remedy this, we could opt to dualize some (or all) of the massless scalars in the KK theory to 2-forms and hope to obtain a non-zero contribution to the  $C_{\text{non-local}}$  part of the logarithmic correction. While this change of duality frame explicitly breaks the  $\mathcal{N} = 8$  multiplet structure, it may be necessary to obtain a

match between bulk and boundary contributions at the one-loop level. However, a short computation shows that this cannot resolve the discrepancy. The heat kernel coefficient  $a_E$  for fluctuations of a massless 2-form can be obtained by the same trace computations that led to the results in Table 2.2. It was shown in [191] that there is a simple relation between the  $a_E$  coefficient for a massless scalar field and a massless 2-form,

$$a_E(2\text{-form}) = a_E(\text{scalar}) - \frac{1}{2}. \quad (2.126)$$

This shows that dualizing  $q$  scalar fields into 2-forms shifts the local contribution to the logarithmic coefficient as

$$C_{\text{local}} \longrightarrow C_{\text{local}} + q. \quad (2.127)$$

On the other hand, the non-local contribution also acquire a shift after dualizing,

$$C_{\text{non-local}} \longrightarrow C_{\text{non-local}} - q, \quad (2.128)$$

where we have used (2.59) with  $j = 0$ . In this case, the two shifts precisely cancel and we see that the total logarithmic coefficient  $C(\text{EAdS}_4)$  does not depend on the duality frame. This shows that dualizing massless fields cannot help in resolving the discrepancy between bulk and boundary computations. A second possible resolution of the tension between (2.124) and (2.125) would be to find a regularization scheme that yields a non-zero result for the total  $\sum_k (-1)^F a_E(k)$  contribution to  $C_{\text{local}}$ . Although we have discussed three distinct methods to regularize the series and arrive at a vanishing result, it is interesting to note that the regularization

$$\sum_{k \geq 0} (k+1)(k+2)(k+3)^2(k+4)(k+5) = 24, \quad (2.129)$$

is compatible with AdS/CFT expectations. Indeed, this would imply

$$C(\text{EAdS}_4) = -\frac{1}{3}, \quad (2.130)$$

which together with the holographic dictionary for class I SCFTs (2.16),  $L^2/G_N \sim N^{\frac{3}{2}}$ , precisely matches (2.125). Unfortunately, we are not aware of a rigorous way to arrive at the result (2.129). If it does exist, it will be interesting to understand why holography seems to favor this putative regularization method over the ones regularizing the infinite sum to zero.

We can provide arguments in favor of the regularization (2.129) by considering supergravity backgrounds  $\mathcal{M}$  that are not pure EAdS<sub>4</sub>. For the 4d background

whose conformal boundary is the  $U(1) \times U(1)$  squashed 3-sphere, we have also explained previously how the surface terms can be renormalized away. The Euler characteristic of this background is the same as pure  $\text{EAdS}_4$  since  $\chi$  cannot depend on the squashing parameter, and therefore (2.129) implies that the Euclidean path integral around the squashed background contains a logarithmic term  $-\frac{1}{4} \log N$ , again in agreement with the ABJM results in Table 2.1.

Let us also consider the  $\text{EAdS}_2 \times \Sigma_{\mathfrak{g}}$  near-horizon region of the Romans solution with genus  $\mathfrak{g} > 1$ . As we have explained in Section 2.5.2 the surface term can be holographically renormalized to zero. In addition, we have  $\chi(\text{EAdS}_2 \times \Sigma_{\mathfrak{g}}) = 2(1 - \mathfrak{g})$  and putting this together with the non-local contribution in (2.70), we obtain

$$C(\text{EAdS}_2 \times \Sigma_{\mathfrak{g}}) = (\mathfrak{g} - 1) \left[ 64 + \frac{1}{36} \sum_{k \geq 0} (k+1)(k+2)(k+3)^2(k+4)(k+5) \right] - 4. \quad (2.131)$$

Regardless of the regularization chosen for the infinite series, this cannot match the logarithmic coefficient in the TTI of the boundary theory given in Table 2.1,

$$\mathcal{C}(S^1 \times \Sigma_{\mathfrak{g}}) = \frac{1}{2}(\mathfrak{g} - 1). \quad (2.132)$$

However, the comparison in this case is more subtle since there could be degrees of freedom localized outside the near-horizon region of the Romans solution that contribute to the logarithmic term seen from the asymptotic boundary. In fact, it was argued in [72] that taking into account the full Romans geometry modifies the zero-mode counting in a drastic way compared to Section 2.4.3. In particular, they argued that on the full geometry,

$$C_{\text{non-local}}(\text{Romans}) = 2(1 - \mathfrak{g}) \sum_{\text{massless 2-form}} (-1)^F (-j - 1). \quad (2.133)$$

In the absence of two-forms in the spectrum, we therefore find

$$C(\text{Romans}) = \frac{2}{3}(\mathfrak{g} - 1), \quad (2.134)$$

after using (2.129). With the holographic dictionary relevant for M2-branes, this again perfectly matches (2.132) found in the ABJM TTI.

One last example we can consider is the supersymmetric  $\text{AdS-KN}$  background holographically dual to the SCI of the boundary theory. Because we can again set  $S(\text{KN}) = 0$  using holographic renormalization, combining  $\chi(\text{KN}) = 2$  together with the regularization (2.129) yields

$$C(\text{KN}) = -\frac{2}{3} + C_{\text{non-local}}(\text{KN}). \quad (2.135)$$



For this to match the ABJM result

$$\mathcal{C}(S^1 \times_\omega S^2) = -\frac{1}{2}, \quad (2.136)$$

upon using  $L^2/G_N \sim N^{\frac{3}{2}}$ , the zero-modes of various Laplacian operators on the AdS-KN background must be such that the non-local contribution (2.27) vanishes. This is a highly non-trivial prediction for the spectral problem of Laplace-type differential operators on this complicated background.

## 2.6.2 A conjecture for $C$ and black hole entropy

At this stage, we assume that the regularization (2.129) can be made rigorous and we will use it to predict the logarithmic correction of general 4d backgrounds. For this, we observe that in all bulk geometries holographically dual to the squashed 3-sphere, TTI and SCI observables of the ABJM theory, the non-local contribution vanishes and the logarithmic term comes entirely from the heat kernel coefficient  $a_E^{\text{tot}}$  after summing over the spectrum. The situation should be contrasted with the 11d computations in [71, 72] where the coefficient of the log correction can only come from zero modes since the heat kernel vanishes in odd dimensions. We now would like to conjecture that, in all minimal gauged supergravity background that are relevant for AdS<sub>4</sub>/CFT<sub>3</sub>, a non-local contribution to  $C$  can only arise from the presence of massless 2-forms in the spectrum of the theory. In KK supergravity on  $S^7$ , maximal supersymmetry in 4d does not accommodate such 2-forms (in a duality frame where we keep all 70 massless scalars), which then becomes the reason behind the fact that  $C_{\text{non-local}}$  vanishes. This conjecture is certainly compatible with (2.59) and (2.133), and it would be most interesting to derive similar formulae for other asymptotically locally AdS<sub>4</sub> backgrounds.

Assuming that the general picture holds, we can go ahead and compute the logarithmic correction in the Euclidean path integral around any  $S^7$  KK supergravity background  $\mathcal{M}$ . The result for  $C$  takes a very simple form:

$$C(\mathcal{M}) = -\frac{1}{3} \chi(\mathcal{M}), \quad (2.137)$$

where we have also assumed that the boundary contribution  $S(\mathcal{M})$  can be set to zero using holographic renormalization on  $\mathcal{M}$  with the counter-term (2.76). Recall that this was checked explicitly for all backgrounds of Section 2.5. We note that (2.137) takes the same functional form as the one derived in [76] based on 4d  $\mathcal{N} = 2$  gauged supergravity localization. In that work, the specific field content of the theory was left arbitrary and the dependence of the logarithmic correction on the Euler characteristic of  $\mathcal{M}$  was derived using an index theorem.

In this framework, each multiplet of the 4d  $\mathcal{N} = 2$  theory contributes a fixed amount to  $C$ , and that amount was computed for vector and hypermultiplets in [76]. However, the contribution from the graviton and KK multiplets was left unspecified. Our heat kernel result (2.137) effectively resums these contributions in the case of KK supergravity on  $S^7$ . Moreover, it predicts a  $\log N$  term in the large  $N$  limit of the dual ABJM free energy on  $\partial\mathcal{M}$  with a coefficient

$$\mathcal{C}(\partial\mathcal{M}) = -\frac{1}{4}\chi(\mathcal{M}). \quad (2.138)$$

This holographic formula agrees with the SCFT results in Table 2.1 but is also valid regardless of the amount of supersymmetry, so that we can use it to make predictions for non-BPS backgrounds with interesting CFT duals. One such example is the AdS-Taub-NUT solution discussed in Section 2.5.5. We have already shown in (2.118) that  $c^{\text{tot}} = b_1^{\text{tot}} = 0$  in KK supergravity on  $S^7$ . According to (2.104), this implies that there is no logarithmic term in the large  $N$  expansion of the stress tensor two-point function coefficient  $C_T$  in ABJM theory. This is in agreement with our general formula (2.138), since the Euler characteristic of AdS-Taub-NUT is a constant equal to one, and taking derivatives with respect to the squashing parameter or the NUT charge as in (2.103) trivially gives zero.

Another non-BPS background we can consider is the so-called AdS soliton [192]. The Euclidean metric reads

$$ds^2 = \frac{r^2}{L^2} \left[ \left(1 - \frac{r_0^3}{r^3}\right) d\tau^2 + dx^2 + dy^2 \right] + \frac{L^2}{r^2} \left(1 - \frac{r_0^3}{r^3}\right)^{-1} dr^2, \quad (2.139)$$

and the asymptotic boundary at  $r \rightarrow \infty$  is the three-manifold  $S_\beta^1 \times \mathbb{R}^2$ . Here the inverse temperature  $\beta$  is related to the parameter  $r_0$  by demanding absence of conical singularity in the bulk, which leads to  $r_0 = 4\pi L^2/3\beta$ . When the ABJM theory is put on this thermal background, the free energy computes the one-point function of the stress tensor which is non-vanishing since conformal invariance is broken by the finite temperature.<sup>18</sup> Using (2.139), it is straightforward to show that the Euler characteristic of the AdS soliton vanishes. Thus, by (2.138), we predict that the large  $N$  expansion of the stress tensor one-point function coefficient does not contain a  $\log N$  term,

$$\mathcal{C}(S_\beta^1 \times \mathbb{R}^2) = 0. \quad (2.140)$$

To derive this result from a QFT analysis seems arduous, because it pertains to strongly-coupled theories at finite temperature. Our holographic formula (2.138)

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<sup>18</sup>For a review of thermal observables in CFT see [193], and for a discussion of higher-derivative effects in the stress tensor one-point function for general  $\mathcal{N} = 2$  holographic CFTs see [194].

elegantly sidesteps this difficulty and allows us to make a strong prediction.

In light of (2.137), it is also instructive to consider non-BPS asymptotically AdS black hole backgrounds embedded in 11d supergravity on  $S^7$ . The simplest such example is the Euclidean AdS-Schwarzschild solution

$$ds^2 = \left( \frac{r^2}{L^2} + 1 - \frac{m}{r} \right) d\tau^2 + \left( \frac{r^2}{L^2} + 1 - \frac{m}{r} \right)^{-1} dr^2 + r^2 d\Omega_2^2. \quad (2.141)$$

The location of the outer horizon  $r_+$  is related to the mass parameter as  $m = r_+(r_+^2/L^2 + 1)$ . The regularized Euler characteristic is given by  $\chi(\text{AdS-Sch}) = 2$  which, by (2.137), implies that the logarithm of the Euclidean path integral in the semi-classical expansion contains a  $\log L$  term:

$$I = I_0 + \frac{1}{3} \log(L^2/G_N) + \mathcal{O}(1). \quad (2.142)$$

Here  $I_0$  is the classical on-shell action of the solution. We can now make use of the quantum statistical relation [4, 195]

$$I = \beta M - S, \quad (2.143)$$

to find that the AdS-Schwarzschild black hole entropy receives a logarithmic correction at one-loop

$$S_{\text{AdS-Sch}} = \frac{A_H}{4G_N} - \frac{1}{3} \log(A_H/G_N) + \mathcal{O}(1), \quad (2.144)$$

with  $A_H = 4\pi r_+^2$  the horizon area. To obtain this result, we have assumed that we have a large black hole in AdS, i.e. the length scale  $r_+$  that sets the size of the black hole horizon is comparable to the AdS scale  $L$ . In the logarithmic term, this means we can trade  $L^2 \sim r_+^2$  up to  $\mathcal{O}(1)$  terms.

Using the same approach we can also calculate the correction to the entropy and on-shell action of the general Kerr-Newmann black hole in  $\text{AdS}_4$  presented in Section 2.5.6. The quantum statistical relation in this case reads

$$I = \beta M - S - \beta \Phi Q - \beta \omega J, \quad (2.145)$$

where  $Q$  and  $J$  are the electric charge and angular momentum and  $\Phi$  and  $\omega$  are the corresponding electric chemical potential and angular velocity. The logarithmic correction to the on-shell action takes the same form as in (2.142) and since the black hole background is not changed when computing this correction, we conclude that the Bekenstein-Hawking entropy of the AdS-KN black hole is corrected as follows

$$S_{\text{AdS-KN}} = \frac{A_H}{4G_N} - \frac{1}{3} \log(A_H/G_N) + \mathcal{O}(1). \quad (2.146)$$

The explicit expressions for the regularized on-shell action  $I_0$  and the horizon area  $A_H$ , as well as those for the black hole charges and fugacities, are quite lengthy and can be found in [183, 67]. Importantly this result for the logarithmic correction to the AdS-KN entropy is valid in a thermodynamic ensemble of fixed  $(T, \Phi, \omega)$  since this is the natural ensemble used in the evaluation of the on-shell action. One is of course free to change to a different thermodynamic ensemble by employing a suitable Legendre transformation. However, as emphasized by Sen [70], upon such a change in ensemble one should carefully track how the black hole charges and fugacities scale with the large parameter in the theory that controls the logarithmic corrections, i.e. the rank  $N$  of the gauge group in our M-theory examples, since this could lead to additional (constant) contributions to the logarithmic coefficient.

Finally, we note that the AdS-KN black hole admits a supersymmetric limit as discussed in Section 2.5.6. By taking the  $Q \rightarrow 0$  limit of the Romans solution presented in Section 2.5.2 with  $\mathfrak{g} > 1$ , we can also study the supersymmetric AdS-Reissner-Nordström (AdS-RN) black hole. In both of these examples we find that the supersymmetric black hole entropy receives the same type of logarithmic correction as in (2.146), i.e.  $-\frac{\chi}{6} \log(A_H/G_N)$ . For theories arising from M2-branes this can be compared to the logarithmic corrections in the large  $N$  limit of the superconformal index (for AdS-KN) or the topologically twisted index (for AdS-RN). Comparing the supergravity logarithmic corrections to the corresponding  $\log N$  entries for the  $S^1 \times_\omega S^2$  and  $S^1 \times \Sigma_{\mathfrak{g}}$  path integrals in Table 2.1, we indeed find perfect agreement. This constitutes an important precision test of the microscopic counting of supersymmetric black hole entropy in  $\text{AdS}_4$  using our 4d supergravity approach.

## 2.6.3 Other KK supergravity examples

We now proceed with examples of KK compactifications of 11d supergravity to four dimensions with less supersymmetry. We focus on three examples for which the KK spectrum is known in detail and comment on a fourth example that illustrates some challenges and subtleties.

### KK supergravity on $S^7/\mathbb{Z}_k$

The KK supergravity spectrum of the  $\text{AdS}_4 \times S^7/\mathbb{Z}_k$  11d supergravity solution<sup>19</sup> can be obtained by branching the  $\mathcal{N} = 8$  KK spectrum labeled by  $\mathfrak{so}(8)$  representations into the  $\mathcal{N} = 6$  KK spectrum labeled by the  $\mathfrak{so}(6) \oplus \mathfrak{u}(1)$

<sup>19</sup>We caution the reader that here  $k$  refers to the order of the orbifold and should not be confused with the label of the KK level in the previous section.

representations and keeping the supermultiplets with vanishing  $U(1)$  charges modulo  $k$  only [173]. In Appendix A.4.2 we present the resulting  $\mathcal{N} = 6$  KK spectrum following this procedure, and then evaluate the heat kernel coefficients. The KK level is now labelled by two integers  $h$  and  $r$  that satisfy  $h - |r| \geq 0$  and  $k \mid 2r$ . We again find a non-trivial cancellation level-by-level for the  $c$  and  $b_1$  coefficients, which immediately leads to

$$c^{\text{tot}} = b_1^{\text{tot}} = 0. \quad (2.147)$$

This provides another non-trivial test of our bootstrap results in Section 2.5. The total  $a_E$  coefficient is obtained as the following sum over the spectrum,

$$a_E^{\text{tot}} = \sum_{h=1}^{\infty} \frac{1+2h}{24} \sum_{\ell=-\lfloor h/k' \rfloor}^{\lfloor h/k' \rfloor} \left[ h(1+h)(-4+5h+5h^2) + (7-10h-10h^2)(\ell k')^2 + 5(\ell k')^4 \right], \quad (2.148)$$

where we have defined  $k'$  as

$$k' = \begin{cases} k/2 & \text{for } k \in 2\mathbb{Z} \\ k & \text{for } k \in 2\mathbb{Z} + 1 \end{cases}, \quad (2.149)$$

and imposed the constraints  $h - |r| \geq 0$  and  $k \mid 2r$  to translate the sum over  $r$  to a finite sum over an auxiliary label  $\ell$ . Computing this finite sum explicitly, we obtain:

$$a_E^{\text{tot}} = \sum_{h=1}^{\infty} \frac{(1+2h)(1+2\lfloor h/k' \rfloor)}{72} \left[ 3h(1+h)(-4+5h+5h^2) \right. \\ \left. + \lfloor h/k' \rfloor (1+\lfloor h/k' \rfloor) k^2 (7-10h+10h^2-k^2) + 3\lfloor h/k' \rfloor^2 (1+\lfloor h/k' \rfloor)^2 k^4 \right]. \quad (2.150)$$

In addition, (2.95) imposes that  $b_2^{\text{tot}} = 0$  and we arrive at the conclusion that

$$C_{\text{local}}(\mathcal{M}) = -2\chi(\mathcal{M}) a_E^{\text{tot}}, \quad (2.151)$$

modulo surface terms  $S(\mathcal{M})$  that can be renormalized to zero on all 4d backgrounds of interest. According to our zero mode conjecture in Section 2.6.2, (2.151) is in fact the complete contribution to the logarithmic coefficient in the Euclidean path integral. AdS/CFT then demands that the regularized value of the infinite series (2.150) must be independent of  $k$  and equal to  $1/6$ . Once again, we are not aware of a regularization method that would yield such a simple result. In fact, the situation in KK supergravity on  $S^7/\mathbb{Z}_k$  is richer than for  $k=1$ , since we can now consider the limit where

$k \rightarrow \infty$  and study logarithmic corrections to supersymmetric observables in the IIA limit of the ABJM theory. In this limit, we have

$$\lim_{k \rightarrow \infty} a_E^{\text{tot}} = \sum_{h=1}^{\infty} \frac{h(1+h)(1+2h)(-4+5h+5h^2)}{24}, \quad (2.152)$$

and the corresponding supergravity logarithmic coefficient is

$$C(\mathcal{M}) = -2\chi(\mathcal{M}) \sum_{h=1}^{\infty} \frac{h(1+h)(1+2h)(-4+5h+5h^2)}{24}. \quad (2.153)$$

For this to be compatible with the dual  $S^3$  free energy, we must require that the series be regularized to  $1/12$  so that  $\mathcal{C}(S^3) = -1/6$ , after using the dictionary (2.16) for SCFTs whose dual uplifts to massless Type IIA. However, this regularization then fails to give the correct log coefficient for the IIA limit of the ABJM TTI given in Table 2.1. We will not dwell on this further, but simply view it as a clear indication that a holographic match heavily depends on the choice of regularization when summing over the infinitely many fields of the dual KK spectra. Moreover, one should keep in mind that in the Type IIA limit there could also be  $\log \lambda$  corrections to the free energy, where  $\lambda = N/k$  is the 't Hooft coupling. Such terms could compete with the  $\log N$  term we study above and disentangling these two contributions could lead to additional subtleties in the bulk supergravity calculation and the holographic comparison.

## mABJM

We now consider the gravity dual of a 3d  $\mathcal{N} = 2$  SCFT sometimes referred to as the mABJM theory. The theory can be obtained by focusing on the  $k = 1, 2$  ABJM model and adding a particular superpotential mass term that involves also the light monopole operators responsible for the enhancement to  $\mathcal{N} = 8$  supersymmetry in the ABJM theory. The RG flow that ensues ends at an IR fixed point which has been studied with various QFT methods, see [196, 61, 197] for more details. Notably the known  $\log N$  corrections to various partition functions in the mABJM theory coincide with the ones in the parent ABJM model.

The holographic studies of the mABJM theory are facilitated by the presence of an explicitly known  $\text{AdS}_4$  dual background. This AdS solution was first found as a supersymmetric critical point of the potential in the 4d  $\mathcal{N} = 8$   $\text{SO}(8)$  gauged supergravity in [98]. The 4d solution was then uplifted to a background of 11d supergravity in [107] which we will refer to as the CPW solution. Importantly the full KK spectrum of the CPW solution was explicitly presented in [198, 199].

This allows us to use the results for the heat kernel coefficients in Table 2.2 and calculate the total contributions from all KK modes. The calculation proceeds in an analogous way to the one for the  $\text{AdS}_4 \times S^7$  solution presented in Section 2.6.1. There are a number of technical differences due to the lower amount of supersymmetry and the treatment of long multiplets. We discuss all this in Appendix A.4.3 where we present the details of this analysis. While the calculation is somewhat arduous, the final result is easy to state and simple. The  $c$  and  $b_1$  coefficients once again vanish level-by-level, and therefore we have

$$c^{\text{tot}} = b_1^{\text{tot}} = 0, \quad (2.154)$$

in agreement with (2.95). The  $a_E$  coefficient is non-vanishing and one finds that the sum over KK levels is given by

$$a_E^{\text{tot}} = \frac{1}{144} \sum_{n \geq 0} (n+1)(n+2)(n+3)^2(n+4)(n+5). \quad (2.155)$$

Notably, this is the same sum we encountered in (2.123) for the  $a_E^{\text{tot}}$  contribution from all KK modes on the round  $S^7$ . We thus arrive at an interesting observation, namely that the total  $(a_E^{\text{tot}}, c^{\text{tot}}, b_1^{\text{tot}})$  coefficients for the KK spectrum on  $\text{AdS}_4 \times S^7$  are the same as those for the CPW solution. While we do not have a complete explanation for this result, it is reasonable to suspect that this is due to the fact that the two  $\text{AdS}_4$  solutions are connected by a smooth gravitational domain wall, i.e. a holographic RG flow. Along this flow the spin of all KK modes does not change. The mass of these modes does change, but since they are organized into multiplets of the  $\mathcal{N} = 2$  supersymmetry preserved by the flow, the results in Table A.2 dictate that the heat kernel coefficients for these multiplets are independent of the mass. Nevertheless, this line of reasoning does not immediately lead to the equivalence of the two sets of coefficients since the  $(a_E, c, b_1)$  coefficients of the KK multiplets of the CPW background depend on the R-charges. Perhaps a more conceptual explanation of the equivalence between the UV and IR heat kernel coefficients may be given by some type of anomaly matching à la 't Hooft, but we were unable to make this precise at this stage. It will certainly be most interesting to understand this phenomenon better and to uncover whether it is a general feature of AdS vacua connected by smooth domain walls.

Under the same assumptions as in the  $S^7$  case, we then arrive at the same logarithmic correction in the KK supergravity theory dual to mABJM, namely

$$C(\mathcal{M}) = -\frac{1}{3}\chi(\mathcal{M}). \quad (2.156)$$

This once again matches the results obtained in the dual CFT. Indeed, the logarithmic term in the large  $N$  limit of the mABJM free energy on  $S_b^3, S^1 \times \Sigma_g$

and  $S^1 \times_\omega S^2$  can be obtained using supersymmetric localization by starting from the same UV quiver as that of the ABJM theory. Supersymmetry ensures that the log term in these observables is protected along the flow to the IR, and therefore the boundary logarithmic coefficient  $\mathcal{C}(\partial\mathcal{M})$  is expected to be the same as that of the ABJM theory given in Table 2.1. We refer to [200, 142] for additional details on mABJM supersymmetric observables.

$N^{0,1,0}$

Another example we can study is the Freund-Rubin  $\text{AdS}_4 \times N^{0,1,0}$  solution of 11d supergravity, see [201]. The internal 7d space  $N^{0,1,0}$  is Einstein and Tri-Sasakian and thus the dual 3d SCFT preserves  $\mathcal{N} = 3$  supersymmetry. The KK spectrum for this background has been computed in [202, 203] where it was also organized in multiplets of the 3d  $\mathcal{N} = 3$  superconformal algebra and representations of the additional  $\text{SU}(3)$  isometry of  $N^{0,1,0}$ , which plays the role of a flavor symmetry in the dual SCFT. To perform this arduous calculation the authors of [202, 203] used the fact that  $N^{0,1,0}$  is a coset space and therefore the spectrum of various operators on it can be calculated using group theory techniques. The 3d  $\mathcal{N} = 3$  SCFT dual to the  $\text{AdS}_4 \times N^{0,1,0}$  solution has been studied in [204, 205, 206, 207].

The details of this explicit example of an AdS/CFT dual pair of theories will not be of great importance for our discussion. What is crucial is that on the field theory side one can employ supersymmetric localization to compute the partition function of the SCFT on various compact manifolds, including the omnipresent  $\log N$  term of interest to us. We refer to Table 2.1 for more details and a list of relevant references. To access the  $\log N$  terms we can follow the logic outlined in Section 2.6.1 for the  $\text{AdS}_4 \times S^7$  solution of 11d supergravity. To this end we use the explicit results on the KK spectrum from [202, 203] to find the contributions of each KK mode to the heat kernel coefficients. The details of this calculation are important but not very illuminating and we present them in Appendix A.4.4. Here we will only summarize the results.

Using the organization of the KK spectrum into multiplets of the  $\mathcal{N} = 3$  superconformal algebra, we find that each multiplet has vanishing  $b_1$  coefficient. Thus, the total contribution from all KK modes is

$$b_1^{\text{tot}} = 0. \quad (2.157)$$

The situation is more interesting for the total  $c^{\text{tot}}$  coefficient. The long superconformal multiplets have vanishing  $c$  coefficients, while the various short multiplets have a non-zero value for  $c$  that depends on their R-charge. Since we have an infinite number of short multiplets in the KK spectrum, we arrive



at a divergent sum indexed by a single integer parametrizing the R-charge. Interestingly, in Appendix A.4.4, we find a regularization method based on [208] that yields a vanishing  $c^{\text{tot}}$ . Combining this with (2.157) and the discussion around (2.117), we come to the conclusion that  $c^{\text{tot}}|_{\mathcal{N}=3} = b_1^{\text{tot}}|_{\mathcal{N}=3} = 0$  for the  $N^{0,1,0}$  spectrum, in accordance with the bootstrap constraints (2.95).<sup>20</sup> To arrive at this result, we use a “spin-by-spin” prescription where the contributions from short graviton, short gravitini and short vector multiplets are regularized individually using the method in Appendix A.5 before assembling them together. We hasten to stress that the method we use to regularize the infinite sums in each spin sector fails to produce the result (2.129) for  $a_E^{\text{tot}}$  in KK supergravity on  $S^7$ , as we have mentioned in Section 2.6.1. It is therefore clear that we currently lack a good understanding of the prescriptions needed to obtain results that are compatible with the AdS/CFT correspondence in all situations described so far. Nevertheless, it is encouraging to find some prescription ensuring that the bootstrap constraints (2.95) are compatible with a somewhat standard way of regularizing divergent series.

The total  $a_E^{\text{tot}}$  coefficient is even more involved, since we need to take into account the contribution of all long and short superconformal multiplets in the KK spectrum. Once the dust settles, we find various contributions that are spelled out in detail in Appendix A.4.4. In particular, there are double series indexed by two integers coming from the long sector, and we do not know how to systematically regularize such expressions. What we can do is once again combine the various series contributing to  $a_E^{\text{tot}}$  with our zero mode conjecture to eventually attach a finite value to all the divergent sums. That value must be compatible with the dual  $N^{0,1,0}$  CFT results presented in Table 2.1. However, this exercise is not very illuminating and we will not go through it explicitly here.

### $Q^{1,1,1}$

The last example we will study is the  $\text{AdS}_4 \times Q^{1,1,1}$  solution of 11d supergravity. The dual 3d SCFT preserves  $\mathcal{N} = 2$  supersymmetry and has  $\text{SU}(2)^3$  flavor group. The spectrum of the KK theory has been organized into  $\mathcal{N} = 2$  multiplets in [209] by leveraging the coset structure of the  $Q^{1,1,1}$  internal Sasaki-Einstein space. In general there are short and long multiplets, and they are indexed by the three quantum numbers of  $\text{SU}(2)^3$  and by the R-charge. We denote the tuple of quantum numbers by  $(j_1, j_2, j_3, k)$ . In the short sector, the sum over the spectrum reduces to a sum over  $k \in \mathbb{N}$  and using the tables provided

<sup>20</sup>In contrast, we have checked that the regularization method of [173] for  $c^{\text{tot}}$  yields results for  $b_1^{\text{tot}}|_{\mathcal{N}=3}$  that are not compatible with (2.95).

in [209] we find

$$\begin{aligned}
 a_E^{\text{short}} &= \frac{1}{8} \sum_{k \geq 0} (k+1)(13k^2 + 26k - 31), \\
 c^{\text{short}} &= -\frac{1}{4} \sum_{k \geq 0} (k+1)(17k^2 + 58k + 109), \\
 b_1^{\text{short}} &= \frac{1}{24} \sum_{k \geq 0} (k+1)(3k^4 + 16k^3 + 84k^2 + 204k + 109).
 \end{aligned} \tag{2.158}$$

We assume a regularization scheme that assigns a finite value to these infinite sums. The long sector is more involved and depends on the  $\text{SU}(2)^3$  quantum numbers. However, using the spectrum in [209], we find that the contributions to the  $(a_E, c, b_1)$  coefficients from the long multiplets take a simple form:

$$(a_E^{\text{long}}, c^{\text{long}}, b_1^{\text{long}}) = \left( \frac{9}{4}, -\frac{1}{4}, -\frac{1}{24} \right) \sum_{k, j_1, j_2, j_3} \dim(j_1, j_2, j_3), \tag{2.159}$$

where  $\dim(j_1, j_2, j_3)$  is the dimension of the  $\text{SU}(2)^3$  representation. We do not know of a rigorous way to regularize the infinite sums on the right-hand side. However, we can turn the logic around and see what can be learned from the bootstrap constraints (2.95). In particular, we see from (2.117) and (2.159) that  $b_1^{\text{long}}|_{\mathcal{N}=2} = b_1^{\text{long}} - c^{\text{long}}/6 = 0$ . Thus, the bootstrap constraints imply that the spectrum in the short sector must be such that

$$c^{\text{short}} = 6 b_1^{\text{short}} \tag{2.160}$$

to achieve  $b_1^{\text{short}}|_{\mathcal{N}=2} = 0$ . The regularization scheme used for (2.158) should satisfy the above constraint. It is straightforward to check that using the method in Appendix A.5 to regularize  $c^{\text{short}}$  and  $b_1^{\text{short}}$ , we do not find finite values that satisfy (2.160). Since our computation is highly sensitive to the full spectrum of the KK theory, this contradiction could point to issues in the analysis of [209]. We note that this possibility has been raised independently in [207] and further emphasized in [210]. Of course, we cannot exclude that there exists some renormalization scheme for the sums in the short sector that is compatible with the bootstrap constraints (2.160). At present, we are unable to make a definite statement, but we feel that this example highlights how sensitive our log computations are to the intricate details of the KK spectrum in a given supergravity compactification.

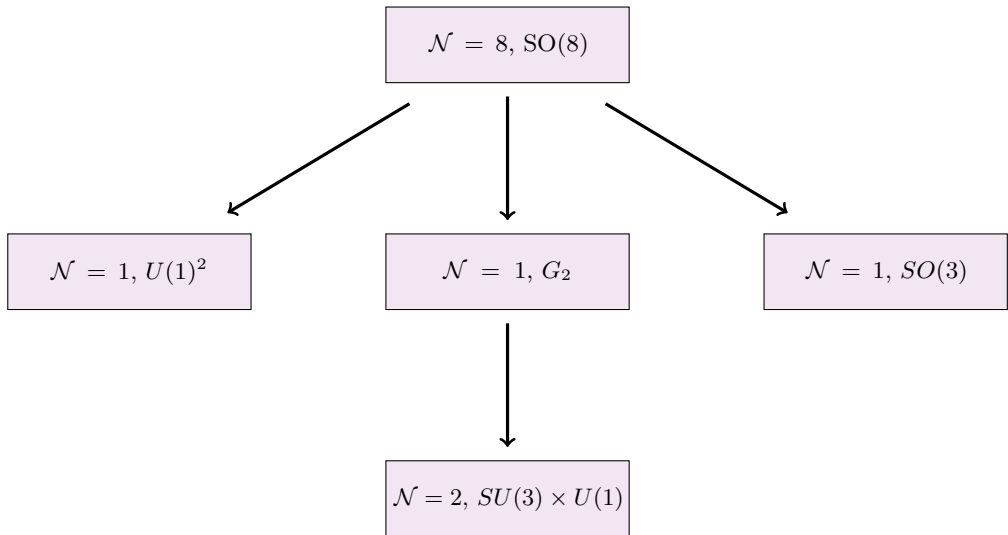


Figure 2.2: The web of RG flows between holographic CFTs starting from the ABJM theory.

## 2.6.4 Heat-kernel coefficients and RG flows

In our study of the mABJM theory above, we realize the interesting property that the heat-kernel coefficients  $(a_E, c, b_1)$  are identical to those of the ABJM theory for any given Kaluza-Klein level  $k$ . To make sure that this identity is not a coincidence, we evaluate the coefficients in other gauged supergravity theories whose corresponding field theories are connected by an RG flow to the others. The theories we study as well as the RG flow webs connecting them are shown in Fig. 2.2 and 2.3. The theories we study include theories connected to the ABJM theory, dual to the  $\mathcal{N} = 8$   $SO(8)$  gauged supergravity; theories descending from the D2-brane theory which is dual to the  $\mathcal{N} = 8$   $ISO(7)$  gauged supergravity<sup>21</sup> with uplifts in massive type IIA; and  $J_n$  theories that are dual to the Janus backgrounds in type IIB supergravity. The details are presented in appendix A.4, where all of the results conducted so far point to the following universal behavior: the coefficients  $(a_E, c, b_1)$  are identical among all theories connected by an RG flow.

This unexpected coincidence might have something to do with protected quantities such as the 't Hooft anomalies, as discussed in section 2.6.3 when

<sup>21</sup>The field theory dual of this supergravity is not conformal, thus not a valid UV fixed point.



the branching rule doesn't break apart the  $\mathcal{N} = 2$  multiplets<sup>23</sup>, since  $b_1$  for the long multiplets as a whole are also constants (see Table A.2), the matching can also be regarded as trivial, except for the short multiplets whose contributions are subleading for very high KK levels. However, for RG flows where the  $\mathcal{N} = 2$  multiplets are broken apart and recombined, the matching is still non-trivial.

The calculations we have done, as shown in the appendix, give strong evidence for the following conjecture:

**Conjecture 1** *All the heat-kernel coefficients  $(a_E, c, b_1, b_2)$  are identical level-by-level between two SCFTs connected by an RG flow.*

And a stronger version of it:

**Conjecture 2** *All the heat-kernel coefficients  $(a_E, c, b_1, b_2)$  are identical level-by-level and spin-by-spin between two SCFTs connected by an RG flow, if we take into account the effect where a massless field with spin  $s + 1$  eats a field with spin  $s$  and becomes massive.*

This conjecture appears to be consistent with the swampland conjecture (2.163) proposed in the next section: within many gauge supergravity theories which correspond to different vacua of the scalar potential, as long as one theory satisfies (2.163), so do all of the rest. This conjecture should also be applied on RG flow across dimensions, which will involve supergravity theories in different dimensions whose heat-kernel coefficients are not fully available.

## 2.7 The unbearable lightness of the KK scale

So far we have discussed how to compute logarithmic corrections to the gravitational path integral on asymptotically  $\text{AdS}_4$  backgrounds. Our focus was on 4d KK supergravity theories that preserve some amount of supersymmetry and arise from consistent truncations of 11d supergravity on a 7d manifold. In these explicit top-down constructions the size of the internal manifold is comparable to the size of the  $\text{AdS}_4$  vacuum. This in turn implies that the four-dimensional gravitational theory does not really fall into the framework of standard EFT with finitely many fields, i.e. there is an infinite tower of KK modes that have masses of the same order that is parametrically smaller than the Planck scale. We now turn our attention to a more agnostic, or bottom-up,

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<sup>23</sup>Such as the RG flow from ABJM to the  $\text{SU}(3) \times \text{U}(1)$  massive ABJM.

approach to EFTs coupled to gravity in AdS and their holographic physics in the context of the logarithmic corrections of interest in this work.

In order to be as general as possible we will work with a 4d gravitational theory (with at least one  $\text{AdS}_4$  vacuum) coupled to a finite number of massive matter fields with spin up to 2 that obey some form of positive energy condition. We will assume that this theory has a consistent UV completion into a quantum gravitational theory, or to use modern parlance, that it is not in the Swampland. We will also assume that the 4d gravitational EFT is valid up to some energy scale which for concreteness we take to be the 4d Planck scale, although our arguments are general and can be adapted to other UV cutoff scales of interest. Lastly, we assume that the UV completion of the theory is such that it admits an equivalent holographic description in terms of a consistent unitary 3d CFT. As usual in AdS/CFT, the semi-classical gravity approximation is controlled by a large parameter which we call  $N$ . We emphasize that, at this somewhat abstract level of discourse,  $N$  is just a label for a quantity much bigger than 1 that measures the number of degrees of freedom in the CFT, or rather the sequence of CFTs. For concreteness we can think of  $N$  as being defined by the free energy of the CFT on  $S^3$ . Of course, in concrete and familiar AdS/CFT examples,  $N$  can often be thought of as the rank of a gauge group. By evaluating the regularized on-shell action of the Euclidean  $\text{AdS}_4$  vacuum of the gravitational theory we can find a map between the 4d Newton constant  $G_N$ , the scale  $L$  of  $\text{AdS}_4$ , and the large parameter  $N$ . By dimensional analysis, this map will take the form

$$\frac{L^2}{G_N} = \beta N^\gamma, \quad (2.161)$$

where the equality is to be understood to leading order in the large  $N$  limit, the parameters  $\beta$  and  $\gamma$  are positive real numbers, and in all known AdS/CFT examples  $\gamma$  is rational.

The path integral of the CFT on a compact Euclidean manifold should admit a large  $N$  expansion of the form we already discussed around (2.13), i.e.

$$\log Z_{\text{CFT}} = F_0 + \mathcal{C} \log N + \mathcal{O}(N^0). \quad (2.162)$$

Here  $F_0$  contains all positive powers of  $N$  while the terms in  $\mathcal{O}(N^0)$  are constant, have negative powers of  $N$ , or are exponentially suppressed at large  $N$ . These terms may have a complicated dependence on various continuous parameters of the theory including geometric deformations of the Euclidean manifold and dependence on relevant or exactly marginal couplings. Importantly, we will assume that the quantity  $\mathcal{C}$  does not depend on any continuous parameters, i.e. it is a fixed real number for any given large  $N$  CFT. Since this is a crucial assumption in our reasoning it is important to scrutinize it. We are not aware of any example of a sequence of CFTs (holographic or not) parametrized by  $N$  for

which, in the large  $N$  limit, one finds that  $\mathcal{C}$  depends on continuous parameters. One way to understand this may be to look at the 3d CFT placed on a squashed  $S^3$  background and study the implications of any potential dependence in  $\mathcal{C}$  on the continuous squashing parameter(s). If there is such a dependence one can expand the path integral in the limit of small squashing and deduce that there is a  $\log N$  term in the large  $N$  expansion of integrated correlators of the CFT on the round  $S^3$ . In particular, there will be a  $\log N$  term in the  $C_T$  coefficient of the two-point function of the energy momentum tensor.<sup>24</sup> We are not aware of an example of a sequence of 3d CFTs where such a term appears, and it does not seem compatible with the usual large  $N$  diagrammatics of gauge theories à la 't Hooft. The absence of any dependence of  $\mathcal{C}$  on continuous parameters is also manifest in various explicit examples of partition functions of 3d  $\mathcal{N} = 2$  SCFTs (holographic or not) that can be studied by supersymmetric localization. It will be very interesting to construct a more robust argument or a proof that  $\mathcal{C}$  does not depend on continuous parameters. One possible avenue to do this is to follow the approach in [212] and study the supersymmetric effective action of a 3d  $\mathcal{N} = 2$  SCFT on  $S^1 \times_\omega S^2$  with supersymmetric boundary conditions on  $S^1$  and with angular momentum fugacity  $\omega$ , in the limit where the radius of the  $S^1$  is small. It was shown in [212] that for 4d SCFTs the logarithmic term in the analogous effective action does not depend on the continuous parameter  $\omega$  and has a topological nature. Furthermore, one can try to break supersymmetry and study the question more generally in the context of the thermal path integral of the 3d CFT on  $S^1 \times S^2$  which is controlled by the thermal effective action, see [184] for a recent discussion. It may be possible to use this formalism to prove that no logarithmic terms can appear in  $n$ -point functions. In the absence of a solid proof, from now on we will assume that  $\mathcal{C}$  is independent of continuous parameters and will proceed to study the implications of this assumption for the holographically dual 4d gravitational theory. Before doing so, we would like to emphasize that the essence of the discussion above is not specific to the  $\log N$  terms in CFT path integrals and local correlation functions. If the CFT at hand has any dimensionless parameter, like an exactly marginal coupling  $\lambda$ , we also do not expect the coefficient of a  $\log \lambda$  term in the path integral to depend on continuous parameters like squashing deformations or chemical potentials.

We can now proceed in a similar manner as in Section 2.5. Studying the logarithmic corrections to the gravitational path integral on various explicit gravitational backgrounds,<sup>25</sup> we find that the coefficient of  $\log N$  (or  $\log \lambda$ ) will depend on continuous parameters unless the total contribution to the  $(c, b_1, b_2)$

<sup>24</sup>See (2.103) for an explicit example of such a relation between  $C_T$  and a squashed sphere partition function.

<sup>25</sup>We expect that the path integral of a standard 4d gravitational EFT allows for a standard diagrammatic expansion with respect to the large parameter  $L^2/G_N$ . The coefficient of the logarithmic correction  $\log(L^2/G_N)$  that is dual to  $\mathcal{C}$  in the field theory side is then anticipated to be insensitive to the details of the UV cutoff and thereby determined unambiguously in

heat kernel coefficients from the finite number of matter fields in the effective gravitational theory vanishes, i.e. we find the constraint

$$c^{\text{tot}} = b_1^{\text{tot}} = b_2^{\text{tot}} = 0. \quad (2.163)$$

We emphasize that this conclusion is independent of the supersymmetry preserved by the gravitational theory or the background. This result imposes very strong constraint on any candidate consistent effective theory of gravity in AdS with finitely many fields. We now illustrate this with several examples.

Consider the 4d  $\mathcal{N} = 8$  SO(8) gauged supergravity theory constructed in [103], i.e. the nonlinear theory for the fields in the massless  $\mathcal{N} = 8$  gravity multiplet presented in Table 2.4. Using (2.117) and the results summarized in Section 2.6.1 we find that the heat kernel coefficients for the maximally supersymmetric  $\text{AdS}_4$  vacuum in this theory read<sup>26</sup>

$$a_E^{\mathcal{N}=8} = \frac{5}{2}, \quad c^{\mathcal{N}=8} = b_1^{\mathcal{N}=8} = 0. \quad (2.164)$$

Following the arguments above we therefore cannot conclude that this gauged supergravity is not a consistent holographic theory of quantum gravity, see also [213, 214] for recent discussion on the viability of the 4d  $\mathcal{N} = 8$  SO(8) gauged supergravity as a consistent quantum gravity theory. Arguments, similar in spirit to ours, have been used to exclude 4d  $\mathcal{N} = 8$  ungauged supergravity as a consistent quantum gravitational theory [215].

We can proceed in a similar manner to study 4d  $\mathcal{N} = 4$  and  $\mathcal{N} = 2$  minimal gauged supergravity, i.e. the gauged supergravity theory of the gravity multiplet with the respective amount of supersymmetry. We find the following results:

$$\begin{aligned} a_E^{\mathcal{N}=4} &= \frac{1}{2}, & c^{\mathcal{N}=4} &= 0, & b_1^{\mathcal{N}=4} &= -\frac{1}{12}, \\ a_E^{\mathcal{N}=2} &= -\frac{11}{24}, & c^{\mathcal{N}=2} &= 0, & b_1^{\mathcal{N}=2} &= -\frac{13}{36}. \end{aligned} \quad (2.165)$$

We therefore conclude that our logarithmic constraint excludes these two models as consistent quantum gravitational holographic theories. At this point one may be emboldened by the relative simplicity of our arguments and proceed to analyze all matter coupled (super)gravity theories and check whether they stand

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the diagrammatic expansion. In particular, this requires the absence of exotic contributions like  $[(L^2/G_N)]^p [\log(L^2/G_N)]^q$  with  $p \geq 0$ ,  $q > 1$  in the expansion. In the discussion below we assume that such contributions indeed do not arise.

<sup>26</sup>We note that if we choose to break  $\mathcal{N} = 8$  supersymmetry we can dualize some of the 35 pseudoscalars in the  $\mathcal{N} = 8$  gravity multiplet into 2-forms which will in turn yield different values for the  $a_E$  coefficient. However, the  $c$  and  $b_1$  coefficients remain invariant under dualization [191].



a chance of acting as consistent effective theories of gravity. This analysis is however complicated by the fact that we are interested in gravitational theories, like gauged supergravity, in which the scalar fields generically have highly non-trivial potentials. In such models it is a very involved task to study the space of  $\text{AdS}_4$  vacua and the mass spectrum of linear fluctuations around them. As an illustration, consider the 4d  $\mathcal{N} = 8$  gauged supergravity with an  $\text{ISO}(7)$  gauging. This theory has the same matter content as the maximal  $\text{SO}(8)$  gauged supergravity, i.e. the fields in Table 2.4, but a very different scalar potential. In particular, none of the 219 known  $\text{AdS}_4$  vacua in this theory, see [216], is located at the origin of the scalar manifold where the full gauge group and maximal supersymmetry is preserved. This means that the heat kernel coefficients for any of the AdS vacua of this theory are not simply given by (2.164). To find the correct logarithmic corrections to the gravitational path integral, one first needs to choose one of the vacua of the gauged supergravity theory, compute the mass spectrum of excitations around it (as was done in [216]) and only then use the results in Table 2.2 above to calculate the total  $(a_E, c, b_1)$  coefficients. Given these considerations we are left with the conclusion that the validity of a given effective theory of gravity in AdS with finitely many fields, supersymmetric or not, has to be studied on a cases-by-case basis. This can be done systematically for any given theory with fields with spin up to 2 and a known mass spectrum by using the results in Table 2.2 and checking whether the total heat kernel coefficients obey the constraints in (2.163).

In several corners of string theory the notion of a scale-separated  $\text{AdS}_4$  vacuum appears, see for instance [217, 218, 219, 220] for several well-known constructions of this kind and [83] for a recent review. These are proposed consistent backgrounds of string or M-theory of the schematic form  $\text{AdS}_4 \times Y$  where there may be a warp factor in front of the  $\text{AdS}_4$  metric and the internal space  $Y$  is either completely geometric, i.e. a smooth manifold with properly quantized R-R and NS-NS fluxes, or has some mild singularities allowed by string theory such as orbifolds and orientifolds. The defining feature of such backgrounds is that the length scale  $\ell_Y$  associated to  $Y$  is parametrically smaller than the scale  $L$  of  $\text{AdS}_4$ ,

$$\ell_Y \ll L. \tag{2.166}$$

We hasten to add that there could be some ambiguity in the definition of the scale  $\ell_Y$ . It can be associated to the volume or the diameter of  $Y$ , or be defined in terms of the eigenvalues of some appropriate differential operator on  $Y$  used in the determination of the KK spectrum. What is important for our discussion is that below the scale set by  $\ell_Y$  one should have a consistent effective gravitational theory in  $\text{AdS}_4$  with finitely many matter fields of spin up to 2. In this setup, we can employ our results for the logarithmic corrections

to the gravitational path integral to shed new light on the consistency of such scale-separated  $\text{AdS}_4$  vacua. More specifically, we conclude that the spectrum of low-lying excitations around a given scale-separated  $\text{AdS}_4$  vacuum, i.e. all fields with masses up to the scale set by  $\ell_Y$ , should be such that their total contribution to the  $(c, b_1, b_2)$  coefficients vanishes as in (2.163). We note that these strong constraints should be viewed as an addition to the constraints and obstructions for the existence of scale-separated vacua discussed previously in the literature, see [221, 222, 223, 224, 225, 226] and references thereof.

Since many  $\text{AdS}_4$  vacua discussed in the literature, including scale-separated constructions, preserve  $\mathcal{N} = 1$  supersymmetry, we finish this section with a short discussion on the calculation of the heat kernel coefficients for 4d  $\mathcal{N} = 1$  matter multiplets. This should facilitate the exploration of the consistency condition in (2.163) for any given  $\text{AdS}_4$  vacuum with a known spectrum of light modes. To organize our results we first present the possible 4d  $\mathcal{N} = 1$  multiplets. We use notation similar to the one used for 4d  $\mathcal{N} = 2$  multiplets in Appendix A.4.3 and find the following list of multiplets

- $\text{LGRAV} = L_1 [E_0, \frac{3}{2}]$ ,     $\text{SGRAV} = A_1 [\frac{5}{2}, \frac{3}{2}]$ ,
- $\text{LGINO} = L_1 [E_0, 1]$ ,     $\text{SGINO} = A_1 [2, 1]$ ,
- $\text{LVEC} = L_1 [E_0, \frac{1}{2}]$ ,     $\text{SVEC} = A_1 [\frac{3}{2}, \frac{1}{2}]$ ,
- $\text{LSCA} = L_2 [E_0, 0]$ ,     $\text{SSCA} = A_2 [\frac{1}{2}, 0]$ .

On the right-hand side we have indicated how each supergravity multiplet can be related to the 3d  $\mathcal{N} = 1$  SCFT multiplets tabulated in Section 2.1.1 of [18]. This facilitates the comparison with the holographically dual CFT and also allows one to consult the explicit field content of each multiplet using the detailed presentation in [18]. We note that in the list above we have not included the identity multiplet denoted by  $B_1[0, 0]$  in that reference. The list above contains long multiplets (LGRAV, LGINO, LVEC, LSCA), for which all fields with  $s \geq 1$  are massive, as well as short multiplets (SGRAV, SGINO, SVEC, SSCA), for which the fields with  $s \geq 1$  are massless. Finally,  $E_0$  is equal to the dimension  $\Delta$  of the dual CFT primary operator and the mass of the field in  $\text{AdS}_4$  can be found by using the relations between  $\Delta$  and  $m$  in Table 2.3. The heat kernel coefficients  $(a_E, c, b_1)$  of each 4d  $\mathcal{N} = 1$  multiplets are presented in Table 2.6.

We stress that for all entries in Table 2.6 we have already incorporated the  $(-1)^F$  that accounts for the fermion number of a given field in sums like the one in (2.77). Therefore, for a given  $\text{AdS}_4$  vacuum with a known mass spectrum

	$a_E$	$c$	$b_1$
LGRAV	$\frac{23}{12}$	$\frac{7}{3}$	$\frac{5}{16} - \frac{1}{12}(E_0 - 1)^2$
SGRAV	$\frac{113}{48}$	$\frac{77}{24}$	0
LGINO	$-\frac{7}{16}$	$-\frac{7}{8}$	$-\frac{1}{8} + \frac{1}{16}(E_0 - 1)^2$
SGINO	$-\frac{31}{48}$	$-\frac{25}{24}$	0
LVEC	$\frac{5}{24}$	$\frac{1}{6}$	$\frac{1}{32} - \frac{1}{24}(E_0 - 1)^2$
SVEC	$\frac{3}{16}$	$\frac{1}{8}$	0
LSCA	$\frac{1}{48}$	$\frac{1}{24}$	$\frac{1}{48}(E_0 - 1)^2$
SSCA	$\frac{13}{720}$	$\frac{1}{30}$	$\frac{23}{4608}$

Table 2.6: The heat kernel coefficients for 4d  $\mathcal{N} = 1$  multiplets.

organized into 4d  $\mathcal{N} = 1$  multiplets, one simply needs to add the contributions from the table above to check whether the constraint in (2.163) is obeyed. Since most multiplets in Table 2.6 have positive  $c$  coefficients, it is clear that imposing (2.163) will result in non-trivial constraints. It will be very interesting to explore these constraints in detail for many of the well-known  $\text{AdS}_4$  vacua in string and M-theory.

## 2.8 Outlook

In this work we studied several aspects of logarithmic corrections to gravitational path integrals in  $\text{AdS}_4$  and their relation to holography. In addition to a compendium of new technical results on this subject, we can extract at least two general lessons from our analysis. First, for a given explicit  $\text{AdS}_4$  vacuum of string or M-theory, it is crucial to study the logarithmic corrections in the proper setup, i.e. in the higher-dimensional supergravity theory or in the 4d consistent truncation accompanied by a proper regularization scheme for the infinite tower of KK modes that contribute to the heat kernel. Second, we find that the form of the logarithmic corrections to the path integral on asymptotically locally  $\text{AdS}_4$  backgrounds impose non-trivial constraints on the possible consistent 4d effective gravitational theories. In particular, assuming the validity of holography and the 4d gravitational EFT up to some cutoff scale, we conclude that either the total  $(c, b_1, b_2)$  coefficients of the matter fields in the theory need to vanish, or that the dual 3d CFTs necessarily contain

certain exotic logarithmic terms in their local correlation functions and thermal observables.

Our analysis points to several interesting open questions and directions for future work which we now briefly discuss.

- As emphasized in Section 2.6.1, the 4d KK supergravity calculation of the  $\log N$  correction to the free energy on  $\text{AdS}_4 \times S^7$  does not agree with the field theory result or the 11d supergravity analysis in [71] if one chooses a regularization scheme in which  $a_E = 0$ . It may be possible to resolve this discrepancy by using that the non-trivial contribution to  $\log N$  in the 11d calculation comes from a zero mode of a 2-form ghost field needed for the proper quantization of the 3-form in 11d supergravity. To this end, one may contemplate a KK reduction of all ghost fields used in the quantization of the 11d graviton, gravitino, and 3-form around  $\text{AdS}_4 \times S^7$  which can perhaps be organized into 4d  $\mathcal{N} = 8$  “ghost KK multiplets”. With this at hand one should repeat our 4d KK supergravity analysis to account for the contribution of these “KK ghosts” to the coefficient of the  $\log N$  term. Even if this calculation ends up successfully accounting for the correct  $\frac{1}{4} \log N$  contribution to the free energy, it is still unclear to us why such an analysis is justified. It will be interesting to understand this point better and draw lessons for the analysis of similar logarithmic corrections in more general gravitational backgrounds.
- As discussed in Section 2.6.2, if we adopt a particular regularization scheme for the infinite tower of KK modes on  $S^7$  we can find an expression for the total  $a_E$  heat kernel coefficient that agrees with the  $\frac{1}{4} \log N$  corrections to the  $\text{AdS}_4 \times S^7$  path integral. If in addition we assume the absence of zero modes other than for 2-forms in asymptotically locally  $\text{AdS}_4$  spaces, we find results for the logarithmic corrections to the gravitational path integral that are consistent with the supersymmetric localization results in the ABJM theory summarized in Table 2.1. Moreover, this allows us to calculate the logarithmic corrections to the Bekenstein-Hawking entropy of non-supersymmetric black holes in  $\text{AdS}_4$ , generalizing the work of Sen [70] for asymptotically flat black holes. It is clearly important to put these calculations and assumptions on a more solid footing and find efficient methods to treat the contributions of zero modes to the logarithmic corrections in asymptotically  $\text{AdS}_4$  backgrounds. We should also emphasize that we carried the calculation of the  $b_2$  heat kernel coefficient in Appendix A.2 for minimally coupled charged scalars and spin-1/2 fermions and relied on the bootstrap argument in Section 2.5 in most of our analysis. It will be nice to fill this gap and compute the  $b_2$  coefficient for matter fields of arbitrary spin, mass, and charge.

- An interesting generalization of the topologically twisted index of 3d  $\mathcal{N} = 2$  SCFTs on  $S^1 \times \Sigma_g$  is the supersymmetric partition function on the Seifert manifold  $\mathcal{M}_{g,p}$  given by a degree  $p$  fibration of the  $S^1$  over  $\Sigma_g$ . This path integral was discussed in detail in [120] for general SCFTs where it was shown how it can be computed using supersymmetric localization. The holographic description of this path integral is given by the supersymmetric Euclidean AdS-Taub-Bolt solution presented in [227, 228] and was studied for theories arising from M2- and M5-branes in [228] and [74, 148, 147], respectively. It is interesting to apply the conjecture in Section 2.6.2 to the AdS-Taub-Bolt background. As shown in [147], the Euler number of this 4d supergravity background is independent of  $p$  and is given by  $\chi = 2(1 - g)$ . This implies that the logarithmic correction to the SCFT free energy on  $\mathcal{M}_{g,p}$  does not depend on  $p$ . Indeed, as shown in [74, 147], this is true for class  $\mathcal{R}$  theories arising from M5-branes. This is yet another piece of evidence that supports the conjectural results in Section 2.6.2. Using this we can then conclude that the free energy on  $\mathcal{M}_{g,p}$  for the ABJM theory, discussed to leading  $N^{3/2}$  and subleading  $N^{1/2}$  order in [228] and [66, 67], respectively, should receive a  $\frac{1}{2}(1 - g) \log N$  correction. Confirming this prediction by an explicit matrix model calculation based on the results of [228] will amount to a very non-trivial test of our results. We hope to report on this in the near future.
- A central assumption underlying the discussion in Section 2.7 is the independence of the coefficients of the  $\log N$  and  $\log \lambda$  terms in CFT partition functions on continuous parameters like squashing deformations or relevant operator couplings. This can also be phrased as the absence of  $\log N$  and  $\log \lambda$  terms in correlation functions of local operators. Given the strong constraint this assumption imposes on gravitational theories in AdS, it is important to scrutinize it and understand whether it can be put on a more rigorous footing.
- In many of the discussions above we focused on the  $\log N$  terms in the path integrals of 3d  $\mathcal{N} = 2$  holographic SCFTs in M-theory. There can of course be  $\log \lambda$  corrections to holographic SCFTs path integrals if a suitable notion of a 't Hooft coupling exists in the theory. These corrections should then correspond to  $\log L/\ell_s$  corrections to the  $\text{AdS}_4$  path integral where  $\ell_s$  is the string length. Studying these corrections in 10d supergravity is in principle possible with the heat kernel methods discussed here and will be very interesting to pursue. However, a technical complication arises since one will first need to calculate the 10d heat kernel coefficients of the various supergravity fields in order to compute the local contribution to the coefficient of  $\log L/\ell_s$ . It will be very interesting to pursue this analysis, contrast the result with a 4d KK supergravity

approach along the lines presented in this work, and ultimately compare the outcome of these calculations with the available 3d SCFT results for the relevant path integrals. More generally, even in the absence of supersymmetry, when there are dimensionful parameters like temperature, electric charges, and angular momenta present in the gravitational path integral, one can combine them with the AdS scale to form dimensionless parameters that can be scaled in various ways. It will be interesting to explore these scaling limits systematically and understand whether one can compare the coefficient of the logarithmic terms with dual 3d CFT results. Moreover, it will be nice to understand whether this analysis can lead to any interesting constraints on effective gravitational theories in  $\text{AdS}_4$  along the lines of Section 2.7.

## Chapter 3

# The planar limit of the $\mathcal{N} = 2$ E-theory: numerical calculations and the large $\lambda$ expansion

### 3.1 Introduction and summary of results

Since the seminal work of 't Hooft [33] the planar limit of four-dimensional gauge theories has served as an important approximation that provides new insights into their dynamics. In subsequent developments a plethora of additional tools, amongst which integrability, supersymmetric localization, and AdS/CFT, were applied with great efficacy in conjunction to the planar limit to improve our understanding of gauge and string theory. A central example which often serves as a testbed for new calculational techniques and conceptual advances is the  $\mathcal{N} = 4$  SYM theory. Our modest goal in this work is to build on recent results from supersymmetric localization for four-dimensional  $\mathcal{N} = 2$  SCFTs, see [20] for a review, and derive some new results for physical observables in a particular planar gauge theory that shares many properties with the  $\mathcal{N} = 4$  SYM theory.

The theory of interest here is an  $\mathcal{N} = 2$   $SU(N)$  gauge theory with one hypermultiplet in the symmetric representation of the gauge group and one hypermultiplet in the antisymmetric representation. This was referred to as the E theory in [229] and we will also adopt this monicker. As discussed in [229]

the **E** theory is closely related to  $SU(N)$   $\mathcal{N} = 4$  SYM theory. For instance, the conformal anomaly coefficients of the two theories are

$$a^{\mathcal{N}=4} = c^{\mathcal{N}=4} = \frac{1}{4}(N^2 - 1), \quad a^{\mathbf{E}} = a^{\mathcal{N}=4} + \frac{1}{24}, \quad c^{\mathbf{E}} = c^{\mathcal{N}=4} + \frac{1}{12}. \quad (3.1)$$

Moreover, similarly to  $\mathcal{N} = 4$  SYM, the **E** theory theory has a holographic description in terms of type IIB string theory on a  $\mathbb{Z}_2$  orientifold of  $AdS_5 \times S^5$ , see [230, 231].

Certain physical observables in both the **E** theory and  $\mathcal{N} = 4$  SYM can be calculated by placing the theory on  $S^4$  and employing supersymmetric localization as in [28]. Importantly, in the planar limit the instanton contributions to the supersymmetric localization matrix model trivialize and the calculation of some physical observables as a function of the 't Hooft coupling  $\lambda$  becomes feasible. This in turn provides the interesting possibility for explicit gauge theory calculations in the strong coupling limit,  $\lambda \gg 1$ , which should lead to insights into the  $\alpha'$  corrections of type IIB string theory around the  $AdS_5 \times S^5/\mathbb{Z}_2$  orientifold. Obtaining such strong coupling results in the planar limit of the **E** theory is the main objective of this work.

In particular, we will be mainly interested in the two-point correlation functions of single trace operators in the **E** theory built out of the complex scalar field in the  $\mathcal{N} = 2$  vector multiplet. Conformal covariance and the R-symmetry of the theory dictate that these two-point functions take the following form

$$\langle \text{tr} \varphi^m(x) \text{tr} \bar{\varphi}^n(0) \rangle = \frac{G_m(\lambda, N) \delta_{m,n}}{(4\pi^2 x^2)^{2m}}, \quad (3.2)$$

where  $G_m(\lambda, N)$  is a non-trivial function that can in principle be computed by supersymmetric localization, however explicit calculations for general values of  $N$  are difficult. To organize the calculation it is useful to take the ratio of this function to the corresponding two-point function in  $\mathcal{N} = 4$  SYM,  $G_m^{(0)}$ . Moreover, it is important to distinguish the operators with  $m$  even and odd in the planar limit. The operators with odd  $m$  correspond to twisted sector modes in the  $AdS_5 \times S^5/\mathbb{Z}_2$  orientifold and have distinct correlators from those of  $\mathcal{N} = 4$  SYM already at leading order in the planar limit. The untwisted sector modes correspond to operators with even  $m$  and their two-point functions are identical with those in  $\mathcal{N} = 4$  SYM to leading order at large  $N$  but differ at subleading orders. This information can be conveniently organized into the following large  $N$  expansions

$$\frac{G_{2k+1}}{G_{2k+1}^{(0)}} = 1 + \Delta_k(\lambda) + O(N^{-2}), \quad \frac{G_{2k}}{G_{2k}^{(0)}} = 1 + \frac{\delta_k(\lambda)}{N^2} + O(N^{-4}). \quad (3.3)$$

As explained in [229],  $\Delta_k(\lambda)$  and  $\delta_k(\lambda)$  can be calculated by using supersymmetric localization and matrix model techniques. It was shown in [229] that



a central role in this calculation is played by an infinite matrix  $\mathbf{X}$  with matrix elements:

$$X_{kl} = (-1)^{k+l+1} 8\sqrt{(2k+1)(2l+1)} \int_0^\infty dt W(t) J_{2k+1}\left(\frac{t\sqrt{\lambda}}{2\pi}\right) J_{2l+1}\left(\frac{t\sqrt{\lambda}}{2\pi}\right), \quad (3.4)$$

with  $k, l = 1, 2, \dots$ , where  $J_n$  are Bessel functions, and

$$W(t) = \frac{e^t}{t(e^t - 1)^2}. \quad (3.5)$$

The calculation of  $\Delta_k(\lambda)$  then amounts to inverting  $1 - \mathbf{X}$  and calculating the following ratio of determinants<sup>1</sup>

$$1 + \Delta_k(\lambda) = \frac{\det D_{(k)}}{\det D_{(k-1)}}, \quad D_{k,l} \equiv \left( \frac{1}{1 - \mathbf{X}} \right)_{k,l}. \quad (3.6)$$

It is relatively easy to calculate the small  $\lambda$  expansion of  $\Delta_k(\lambda)$  using (3.6). Indeed, for each order in the small  $\lambda$  expansion, the matrix  $\mathbf{X}$  is essentially a finite matrix, and the calculation of  $\Delta_k(\lambda)$  order by order in the small  $\lambda$  limit amounts to straightforward calculations with finite matrices. However, it is more challenging to calculate the large  $\lambda$  expansion of  $\Delta_k(\lambda)$ . To tackle this problem we develop numerical techniques based on solving an integral equation that allow for the efficient calculation of  $\Delta_k(\lambda)$  for general finite values of  $\lambda$ . Using this method for large values of  $\lambda$  and performing a precise numerical fitting procedure allows us to propose a conjecture for the analytic form of the first six terms in the large  $\lambda$  expansion of  $\Delta_k(\lambda)$ . The first three terms in this expansion read

$$1 + \Delta_k(\lambda) = 8\pi^2 k(2k+1) \left[ \frac{1}{\lambda} - \frac{16k \log 2}{\lambda^{3/2}} + \frac{32k(4k-1) \log^2 2}{\lambda^2} \right] + O(\lambda^{-5/2}), \quad (3.7)$$

while three more subleading terms are presented in Section 3.3.5. The leading  $1/\lambda$  term in (3.7) agrees with the analysis in [229] where it was calculated using analytic methods. We have also attempted to provide an analytic derivation of (3.7) but we were not successful due to certain subtleties we encountered when working with infinite matrices. This attempt is summarized in Appendix B.1.

It was argued in [229] that  $\delta_k(\lambda)$  in (3.3) can be calculated by taking derivatives with respect to  $\lambda$  of the difference between the  $S^4$  free energy of the  $\mathbf{E}$  theory

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<sup>1</sup>Here the symbol  $D_{(k)}$  is used to denote the upper left  $k \times k$  block of the matrix  $\mathbf{D}$ .

and  $\mathcal{N} = 4$  SYM. It was shown in [232] that this difference in free energies is related to the determinant of the matrix  $\mathbf{X}$  as follows:

$$\mathcal{F} \equiv F^{\text{E-theory}} - F^{\mathcal{N}=4} = \frac{1}{2} \log \det(1 - \mathbf{X}). \quad (3.8)$$

Again, it is relatively straightforward to calculate the small  $\lambda$  expansion of  $\mathcal{F}$ , but it is much more difficult to calculate its large  $\lambda$  expansion. To this end we develop a numerical method to compute the determinant of  $1 - \mathbf{X}$  for general values of  $\lambda$ . Detailed numerical analysis in the large  $\lambda$  regime leads us to conjecture the following analytic form of the first four terms in the large  $\lambda$  expansion of  $\mathcal{F}$

$$\mathcal{F} = \frac{1}{8} \sqrt{\lambda} - \frac{3}{8} \log \left( \frac{\lambda}{\lambda_0} \right) - \frac{3}{4} \frac{\log 2}{\sqrt{\lambda}} - \frac{3}{2} \frac{\log^2 2}{\lambda} + O(\lambda^{-3/2}), \quad (3.9)$$

where  $\lambda_0 \approx 7.72390117$  is a numerical constant.<sup>2</sup> We note that the  $1/8$  coefficient of the leading  $\sqrt{\lambda}$  term in (3.9) differs from the result in [232] where analytical methods were used to find the value  $1/2\pi$  for this coefficient. We have no reason to doubt our very precise numerical analysis, which also allows us to find three more subleading terms in the large  $\lambda$  expansion as compared to [232], and believe that the discrepancy may be due to subtleties with the analytic method used in [232] when applied to matrices of infinite rank. The result for  $\mathcal{F}$  in (3.9) can be combined with the arguments in [229] to find the large  $\lambda$  expansion of the two-point function  $\delta_k(\lambda)$ . We find the following explicit result for the leading four terms in the large  $\lambda$  expansion

$$\begin{aligned} \delta_k(\lambda) = & -\frac{k(4k^2 - 1)}{16} \sqrt{\lambda} + \frac{3}{8} k(4k^2 - 2) \\ & - \frac{3}{8} \frac{k(4k^2 - 3) \log 2}{\sqrt{\lambda}} - \frac{3}{2} \frac{k(4k^2 - 4) \log^2 2}{\lambda} + O(\lambda^{-3/2}). \end{aligned} \quad (3.10)$$

Knowing the difference of free energies  $\mathcal{F}$  allows us also to calculate the vacuum expectation value of a circular supersymmetric Wilson loop wrapping the equator of  $S^4$ . For  $\mathcal{N} = 4$  SYM in the planar limit this Wilson loop vev is given in terms of a Bessel function, see [233],

$$\langle W^{\mathcal{N}=4} \rangle_0 = \frac{2N}{\sqrt{\lambda}} I_1(\sqrt{\lambda}). \quad (3.11)$$

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<sup>2</sup>An analytical form has been found by other methods, see the discussion around (3.81).

The deviations from this leading planar result are captured by a function  $q(\lambda)$ , see [232]

$$\frac{\langle W^{\mathcal{N}=4} \rangle}{\langle W^{\mathcal{N}=4} \rangle_0} = 1 + \frac{q^{\mathcal{N}=4}(\lambda)}{N^2} + O(N^{-4}), \quad \text{where } q^{\mathcal{N}=4}(\lambda) = \frac{\lambda^{3/2}}{96} \frac{I_2(\sqrt{\lambda})}{I_1(\sqrt{\lambda})} - \frac{\lambda}{8}. \quad (3.12)$$

As discussed in [232] for the  $\mathbf{E}$  theory one finds that the leading order result for the Wilson loop vev in the planar limit is the same as that for  $\mathcal{N} = 4$  SYM,  $\langle W^{\mathbf{E}} \rangle_0 = \langle W^{\mathcal{N}=4} \rangle_0$ . There are differences however at order  $N^{-2}$  which can be captured by the function  $\Delta q(\lambda) = q^{\mathbf{E}}(\lambda) - q^{\mathcal{N}=4}(\lambda)$ . It was furthermore shown in [232] that  $\Delta q(\lambda) = -\frac{\lambda^2}{4} \partial_\lambda \mathcal{F}$ . Using this relation and the result in (3.9) we find the following large  $\lambda$  behavior

$$\Delta q(\lambda) = -\frac{1}{64} \lambda^{3/2} + \frac{3}{32} \lambda - \frac{3 \log 2}{32} \lambda^{1/2} - \frac{3 \log^2 2}{8} + O(\lambda^{-1/2}). \quad (3.13)$$

Our results for the large  $\lambda$  behavior of  $\mathcal{F}$  and  $D_{k,l}$  can be used in conjunction with the recent studies in [234] to derive the strong coupling behavior of the planar limit of some three-point extremal correlators of single trace operators in the  $\mathbf{E}$  theory. We are able to compute these correlations functions up to order  $\lambda^{-2}$  in the strong coupling expansion, which improves on the results of [234] by providing three additional terms in the large  $\lambda$  expansion. These results are presented in Section 3.5.

We stress that our numerical methods to calculate  $\Delta_k(\lambda)$  and  $\mathcal{F}$  are useful independently of the large  $\lambda$  results presented above. The numerical method we propose is fast and efficient and provides accurate results for a wide range of values for the coupling  $\lambda$ ,  $0 \leq \lambda \lesssim 10^7$ , for both  $\Delta_k(\lambda)$  and  $\mathcal{F}$ . The numerical techniques we use are explained in some detail in Sections 3.3.3 and 3.4.1, respectively, and we hope they may also find some use in other similar problems.

The structure of the chapter is as follows. In Section 3.2 we summarize some relevant facts about correlation functions in the  $\mathbf{E}$  theory and their calculations by supersymmetric localization techniques. In Section 3.3, we develop a method involving integral equations to calculate  $\Delta_k(\lambda)$ . We solve the resulting integral equation numerically to calculate  $\Delta_k(\lambda)$  accurately for many values of  $\lambda$  and we use these results to conjecture analytic expressions for the large  $\lambda$  behaviour of  $\Delta_k(\lambda)$ . In Section 3.4, we rewrite the free energy  $\mathcal{F}$  as a Fredholm determinant and explain how to calculate it numerically for many values of  $\lambda$ . We again use these numerical results to conjecture the large  $\lambda$  behaviour of  $\mathcal{F}$  and therefore for  $\delta_k(\lambda)$  and  $\Delta q(\lambda)$ . In Section 3.5, we show how to use these results to determine the large  $\lambda$  behaviour of three point extremal correlators. We conclude in Section 3.6 with a short discussion on some open questions for future research. The four appendices contain some details on our numerical algorithm as well as

an illustrative example of an analytic approach to the calculation of  $\Delta_k$  which we believe is wrong for subtle reasons.

## 3.2 $\mathcal{N} = 2$ superconformal field theories and matrix models

In this section, we start with a briefly recall on some background material on supersymmetric localization and matrix model results for correlation functions in 4d  $\mathcal{N} = 2$  SCFTs. More details on this material can be found in [229, 234, 235, 236, 237, 238]. Then we introduce our main objects: the chiral primary operators and extremal correlators.

One can use supersymmetric localization techniques<sup>3</sup> to study  $\mathcal{N} = 2$  SCFTs, see [20] for a review. After suitable regularization of the superdeterminant on  $S^4$ , the partition function can be localized to a matrix integral:

$$Z_{S^4} = \int d^N a \Delta(a) Z_{\text{cl}}(a) Z_{1\text{-loop}}(a), \quad (3.14)$$

where  $N$  is the rank of the gauge group,  $\Delta$  denotes the Vandermonde determinant,  $Z_{\text{cl}}(a)$  and  $Z_{1\text{-loop}}(a)$  correspond to  $e^{-S[X_0]}$  and the superdeterminant terms in (1.21), both of which can be written explicitly as functions of the Cartan of the gauge group:

$$Z_{\text{cl}}(a) = e^{-\frac{8\pi^2}{g^2} \text{tr } a^2}, \quad Z_{1\text{-loop}}(a) = \frac{\prod_{w \in W(\text{adj})} H(i\mathbf{w} \cdot \mathbf{a})}{\prod_{w \in W(\mathcal{R})} H(i\mathbf{w} \cdot \mathbf{a})}, \quad (3.15)$$

$$H(x) \equiv G(1+x)G(1-x),$$

where  $G(x)$  is the Barnes  $G$ -function,  $\mathcal{R}$  is the representation under which the hypermultiplet transfers under the gauge group. Thus everything is known explicitly if we ignore the instanton contributions [240] which are suppressed exponentially at large  $N$ . This localisation scheme (3.14) is the original one obtained by Pestun [28], where we integrate over the Cartan subalgebra.

In this chapter, alternatively, we use the scheme where the partition function on  $S^4$  can be written as the following matrix integral, which uses the fact that  $d^N a \Delta(a)$  is the Haar measure of the  $\text{SU}(N)$  group:

$$Z_{S^4} = \int da e^{-\text{tr } a^2 - S_{\text{int}}(a)}, \quad (3.16)$$

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<sup>3</sup>There are two localization schemes in the literature; in this chapter we use the full Lie algebra localization [229, 234, 235, 237, 239].

where we slightly changed the measure over the BPS locus, where  $a = a^b T_b$  with  $T_b$  the generators of the full gauge algebra instead of the Cartan algebra as in (3.15), and the new measure is given by

$$da = \prod_{b=1}^{N^2-1} \frac{da^b}{\sqrt{2\pi}}. \quad (3.17)$$

One can show that  $S_{\text{int}}(a) = 0$  for the  $\mathcal{N} = 4$  SYM theory and the matrix model is therefore Gaussian in that case. There is a closed form expression for the interaction term  $S_{\text{int}}(a)$  in general. The general form of this expression is not presented here, but can be found for the **E** theory in equation (3.27) below.

### 3.2.1 Extremal correlators on $\mathbb{R}^4$

An interesting set of objects in 4d  $\mathcal{N} = 2$  SCFTs are single trace chiral primary operators built out of the complex scalar  $\varphi$  in the vector multiplet:

$$O_n(x) \equiv \text{tr } \varphi^n(x). \quad (3.18)$$

These operators are chiral because they are annihilated by half of the supersymmetries, and they are automatically normal-ordered because of  $R$ -charge conservation. The anti-chiral primary operators are denoted by  $\bar{O}_n(x)$  and are constructed from  $\bar{\varphi}(x)$  in the same way. The properties of the OPE for 4d  $\mathcal{N} = 2$  theories leads to the chiral-ring relation:

$$O_m(x)O_n(0) = O_{m,n}(x) + \dots, \quad O_{m,n}(x) \equiv \text{tr } \varphi^m(x) \text{tr } \varphi^n(x), \quad (3.19)$$

where “ $\dots$ ” denotes  $\bar{Q}$ -exact terms. In this chapter, we are interested in the two-point and three-point functions involving chiral and anti-chiral operators in  $\mathbb{R}^4$ . These correlators are constrained by the chiral-ring relation and  $R$ -symmetry selection rules and take the following form

$$\langle O_m(0)\bar{O}_n(x) \rangle = \frac{G_m(N, \lambda)\delta_{m,n}}{(4\pi^2 x^2)^{2m}}, \quad \langle O_m(0)O_n(x)\bar{O}_p(y) \rangle = \frac{G_{m,n}(N, \lambda)\delta_{m+n,p}}{(4\pi^2 x^2)^m (4\pi^2 y^2)^n}. \quad (3.20)$$

More generally, the extremal correlators in  $\mathbb{R}^4$  involve chiral primaries with one anti-chiral primary. The superconformal symmetry constrains their forms up to a constant: [241]

$$\langle O_{i_1}(x_1)O_{i_2}(x_2)\cdots O_{i_n}(x_n)\bar{O}_j(y) \rangle_{\mathbb{R}^4} = \mathcal{G}_{i_1, \dots, i_n; j}(\tau, \bar{\tau}) \prod_{k=1}^n \frac{1}{(y - x_k)^{2\Delta_{O_{i_k}}}}, \quad (3.21)$$

where  $\mathcal{G}_{i_1, \dots, i_n; j}(\tau, \bar{\tau})$  is a function of the exactly marginal coupling  $\tau = \frac{\theta}{2\pi} + \frac{4\pi i}{g_{\text{YM}}^2}$ . We push the anti-chiral operator to the spatial infinity using the conformal invariance through  $\bar{O}_j(\infty) \equiv \lim_{y \rightarrow \infty} y^{2\Delta_j} \bar{O}_j(y)$ . Using supersymmetry Ward identity [242], one can prove that the extremal correlator with  $\bar{O}$  located at infinity doesn't depend on any of  $\{x_i\}$ . Thus, we can put all the chiral operators on top of each other at the origin, and thus:

$$\mathcal{G}_{i_1, \dots, i_n; j} = \langle O_{i_1}(0) O_{i_2}(0) \cdots O_{i_n}(0) \bar{O}_j(\infty) \rangle_{\mathbb{R}^4}. \quad (3.22)$$

Now we put the theory on  $S^4$ , it follows that supersymmetric localization on  $S^4$  reduces  $O_n$  to  $\Omega_n \equiv \text{tr } a^n$ .<sup>4</sup> To make a connection with the 4d  $\mathcal{N} = 2$  theory on  $\mathbb{R}^4$ , one naïvely expects:<sup>5</sup>

$$\begin{aligned} \langle O_{i_1}(0) \cdots O_{i_n}(0) \bar{O}_j(\infty) \rangle_{\mathbb{R}^4} &\stackrel{?}{=} \langle O_{i_1}(S) \cdots O_{i_n}(S) \bar{O}_j(N) \rangle_{S^4} = \\ &= \langle \Omega_{i_1} \cdots \Omega_{i_n} \Omega_j(N) \rangle = Z_{S^4}^{-1} \partial_\tau^{i_1} \cdots \partial_{\bar{\tau}}^{i_n} \partial_{\bar{\tau}}^j Z_{S^4}(\tau, \bar{\tau}), \end{aligned} \quad (3.23)$$

where  $N, S$  denotes the north and south poles of the sphere. However, an important complication happens because of the conformal anomalies on  $S^4$ : an operator  $O_n(x)$  on  $S^4$  will mix with the full tower of lower-dimensional operators below it, [243]

$$O_n^{\mathbb{R}^4} \rightarrow \Omega_n + \alpha_1(\tau, \bar{\tau}) \Omega_{n-2} R^{-2} + \alpha_2(\tau, \bar{\tau}) \Omega_{n-4} R^{-4} + \cdots, \quad (3.24)$$

where  $R$  is the radius of the round  $S^4$ . Diagonalizing the operator mixing matrix on  $S^4$  à la Gram-Schmidt leads to the representation of the extremal correlators on  $\mathbb{R}^4$ :

$$\mathcal{G}_{n,n} = \langle O_n(0) \bar{O}_n(\infty) \rangle_{\mathbb{R}^4} = \frac{\det D_{(n,n)}}{\det D_{(n-1,n-1)}}, \quad D_{nm} \equiv Z_{S^4}^{-1} \partial_\tau^n \partial_{\bar{\tau}}^m Z_{S^4}(\tau, \bar{\tau}), \quad (3.25)$$

where the suffix  $(n, n)$  means the  $n \times n$  minor in the upper-left corner. The matrix elements  $D_{mn}$  are two-point correlators  $\langle \Omega_n \Omega_n \rangle$  on the  $S^4$  which contain the mixing.

### 3.2.2 The superconformal E-theory

For 4d  $\mathcal{N} = 2$   $\mathfrak{su}(N)$  gauge theories with  $N_F$  hypermultiplets in the fundamental representation,  $N_A$  hypermultiplets in the anti-symmetric representation and

<sup>4</sup>By definition,  $a$  are Hermitian matrices, thus the anti-chiral operator is localised to  $\bar{\Omega}_k = \text{tr } \bar{a}^k = \text{tr } a^k = \Omega_k$ .

<sup>5</sup>In the last equality, the differentiations of the partition function should give an integrated correlator, which has UV divergences to be regularized. The regularization we used, where we put the anti-chiral operator to the north pole and the chiral operators to the south pole, is the one consistent with the Ward Identity.

$N_S$  in the symmetric representation, the gauge coupling admits corrections only at 1-loop order, and the coefficient of its  $\beta$ -function is

$$\beta_0 = 2N - N_F - (N + 2)N_S - (N - 2)N_A. \quad (3.26)$$

There exist five families of theories with vanishing  $\beta_0$  [244], which are called **ABCDE** theories respectively. Their matter content is listed below:

theory	<b>A</b>	<b>B</b>	<b>C</b>	<b>D</b>	<b>E</b>
$N_F$	$2N$	$N - 2$	$N + 2$	4	0
$N_S$	0	1	0	0	1
$N_A$	0	0	1	2	1

We focus on the **E**-theory in this work. The main reason for this is that the numerical method we employ below relies on the results of [229] which have been derived so far for this model only. It should be possible to generalize our discussion also to the **D**-theory which shares many similarities with the **E**-theory but we refrain from discussing this here since, among other differences, the corrections to the untwisted correlation functions in the **D**-theory have a different scaling with  $N$ . The **A**, **B**, and **C**- theories have more qualitative differences with  $\mathcal{N} = 4$  SYM and their study may require different techniques.

One can calculate that for **E** theory, the interaction term in the matrix model (3.16) is given by the following expression

$$S_{\text{int}}^{\mathbf{E}}(a) = -4 \sum_{l,m=1}^{\infty} \left( -\frac{g^2}{8\pi^2} \right)^{l+m+1} \frac{(2l+2m+1)!}{(2l+1)!(2m+1)!} \zeta(2l+2m+1) \text{tr } a^{2l+1} \text{tr } a^{2m+1}, \quad (3.27)$$

where  $\zeta(s)$  is the Riemann zeta function. Expectation values in the matrix model are given by the usual expression

$$\langle f(a) \rangle = \frac{\int da f(a) e^{-\text{tr } a^2 - S_{\text{int}}(a)}}{\int da e^{-\text{tr } a^2 - S_{\text{int}}(a)}}. \quad (3.28)$$

This can also be written as

$$\langle f(a) \rangle = \frac{\langle f(a) e^{-S_{\text{int}}} \rangle_{(0)}}{\langle e^{-S_{\text{int}}} \rangle_{(0)}}, \quad (3.29)$$

with the expectation value in the Gaussian model defined as

$$\langle f(a) \rangle_{(0)} = \int da e^{-\text{tr } a^2} f(a). \quad (3.30)$$

One can also use the matrix model to calculate the correlation functions (3.20). Namely, in the planar limit, one finds

$$G_n = \langle O_n(a) O_n(a) \rangle, \quad \text{and} \quad G_{m,n} = \langle O_m(a) O_n(a) O_{m+n}(a) \rangle. \quad (3.31)$$

The expectation values on the right hand sides are given by (3.28). The operators  $O_n(a)$  are defined as [234, 236]

$$O_n(a) = \Omega_n(a) - \sum_{m < n} C_{n,m} O_m(a), \quad (3.32)$$

with  $\Omega_n(a) = \text{tr } a^n$  and the mixing coefficients  $C_{n,m}$  given by

$$C_{n,m} = \frac{\langle \Omega_n(a) \Omega_m(a) \rangle}{\langle \Omega_m(a) \Omega_m(a) \rangle}. \quad (3.33)$$

Equation (3.32) can be viewed as resulting from applying Gram-Schmidt orthogonalization.

From now on, we will denote the quantities related to the zero coupling limit with a suffix  $^{(0)}$ , which is identical to  $\mathcal{N} = 4$  YM. Then we define the normalized normal-ordered operators in the matrix model, focusing on the twisted sector:

$$\omega_k(a) \equiv O_{2k+1}^{(0)}(a) / \sqrt{G_{2k+1}^{(0)}}, \quad \langle \omega_k(a) \omega_l(a) \rangle_{(0)} = \delta_{kl}, \quad (3.34)$$

where  $G_{2k+1}^{(0)} = (2k+1)(N/2)^{2k+1}$  in the large  $N$  limit. As is pointed out in [237], the correlators of  $\omega_k(a)$  can be evaluated using Wick's theorem. We can regard the matrix operators  $\omega_k(a)$  as a set of normally distributed real variables  $\omega_k$  and write

$$\langle \omega_{k_1}(a) \omega_{k_2}(a) \cdots \omega_{k_n}(a) \rangle = \int [\mathcal{D}\omega] \omega_{k_1} \omega_{k_2} \cdots \omega_{k_n} e^{-\frac{1}{2} \omega^T \omega}, \quad [\mathcal{D}\omega] \equiv \prod_{i=1}^{\infty} \frac{d\omega_i}{\sqrt{2\pi}}, \quad (3.35)$$

where we denote  $\omega$  as an infinite vector whose components are  $\omega_k$ . It was pointed out in [237] that one can re-express  $S_{\text{int}}$  for **E** theory (3.27) in terms of  $\omega$  as

$$S_{\text{int}}^{\mathbf{E}} = -\frac{1}{2} \omega^T \mathbf{X} \omega, \quad (3.36)$$

where the infinite matrix  $\mathbf{X}$  is given in (3.4).

We will study several observables using this formalism. As an example, the partition function of the matrix model (3.16), ignoring the normalization factor, is given by:<sup>6</sup>

$$\mathcal{Z} = \langle e^{-S_{\text{int}}} \rangle_{(0)} = \left\langle e^{\frac{1}{2} \omega^T \mathbf{X} \omega} \right\rangle_{(0)}. \quad (3.37)$$

---

<sup>6</sup>The fact that  $S_{\text{int}}$  of **E** theory only contains odd double-traces is important here and is the main reason we restrict ourselves to the **E** theory.



Using (3.35), one obtains:

$$\mathcal{Z} = \int [\mathcal{D}\omega] e^{-\frac{1}{2}\omega^T(1-\mathbf{X})\omega} = \det^{-1/2}(\mathbf{1} - \mathbf{X}) . \quad (3.38)$$

The corresponding free energy, which is actually the free energy of the “difference theory”, is given by [232]

$$\mathcal{F} \equiv F^{\text{E-theory}} - F^{\mathcal{N}=4} = -\log \mathcal{Z} = \frac{1}{2} \log \det(1 - \mathbf{X}) . \quad (3.39)$$

### 3.3 Twisted correlators

Following the last section, the expectation value of any operator  $f(\omega(a))$  containing only odd traces can be written in terms of the free model quantities as

$$\langle f(\omega(a)) \rangle = \frac{1}{\mathcal{Z}} \int [\mathcal{D}\omega] f(\omega) e^{-\frac{1}{2}\omega^T(1-\mathbf{X})\omega} . \quad (3.40)$$

Thus the propagators of  $\omega_k(a)$  in the interacting theory are given by

$$\langle \omega_k(a) \omega_l(a) \rangle = \left( \frac{1}{1 - \mathbf{X}} \right)_{k,l} \equiv \mathbf{D}_{k,l} . \quad (3.41)$$

Multiple correlators can be obtained by Wick contraction with propagator  $\mathbf{D}$ .

What we are really interested in are the operators  $O_n(a)$ . Thus we define the analogue of  $\omega_k(a)$ , which are by definition diagonal and suitably normalized:

$$\widehat{\omega}_k(a) \equiv \frac{O_{2k+1}(a)}{\sqrt{G_{2k+1}^{(0)}}}, \quad \langle \widehat{\omega}_k(a) \widehat{\omega}_l(a) \rangle = \frac{\langle \text{tr } \varphi^{2k+1} \text{tr } \bar{\varphi}^{2l+1} \rangle}{\langle \text{tr } \varphi^{2k+1} \text{tr } \bar{\varphi}^{2l+1} \rangle_{(0)}} = \delta_{kl} (1 + \Delta_k(\lambda) + O(1/N)^2) . \quad (3.42)$$

Comparing with (3.34), we find that  $\Delta_k(0) = 0$ . As pointed out in [229], from the Gram-Schmidt procedure, one finds from (3.41) that:<sup>7</sup>

$$1 + \Delta_k(\lambda) = \frac{\det \mathbf{D}_{(k)}}{\det \mathbf{D}_{(k-1)}} . \quad (3.43)$$

In the remainder of this section, we will introduce a novel method to evaluate the matrix elements  $\mathbf{D}_{kl}$  and the quantity  $\Delta_k$  both numerically and analytically.

---

<sup>7</sup>Here we use  $A_{(k)}$  to denote the upper left  $k \times k$  block of the matrix  $A$ .

### 3.3.1 Fredholm integral equations

To calculate  $\Delta_k(\lambda)$  numerically one could truncate the matrix (3.4) to size  $M \times M$  with  $M$  large. The calculation of (3.43) then only involves linear algebra with finite matrices. However, for large  $M$ , there are many integrals to calculate numerically which is slow. We therefore proceed as follows. If  $V$  is an  $n \times m$  matrix, it is easy to check that

$$(1 + VV^T)^{-1} = 1 - V(1 + V^TV)^{-1}V^T. \quad (3.44)$$

Notice that the inverse on the left is of an  $n \times n$  matrix, whereas the inverse on the right is of an  $m \times m$  matrix. This can also be written as

$$(1 + VV^T)^{-1} = 1 - VZ, \quad (3.45)$$

where  $Z$  is the solution of the equation  $(1 + V^TV)Z = V^T$ .

A limiting procedure for  $m \rightarrow \infty$  then gives the following result. If  $V_k(t)$  are functions with  $k = 1, \dots, n$  and  $t \in [0, +\infty[$  and if we define a matrix  $VV^T$  as

$$(VV^T)_{kl} = \int_0^{+\infty} dt V_k(t) V_l(t), \quad (3.46)$$

then

$$(1 + VV^T)^{-1}_{kl} = \delta_{kl} - \int_0^{+\infty} dt V_k(t) Z_l(t), \quad (3.47)$$

where  $Z_k(t)$  is the solution of the integral equation

$$Z_k(t) + \int_0^{+\infty} ds M(t, s) Z_k(s) = V_k(t), \quad (3.48)$$

with  $M(t, s) = \sum_{k=1}^n V_k(t) V_k(s)$ . The matrix  $\mathbf{X}$  defined in (3.4) has the form (3.46), thus its inverse matrix  $\mathbf{D}$  can be written using (3.47) as

$$\mathbf{D}_{kl} = \delta_{kl} - (-1)^{k+l} \sqrt{2k+1} \sqrt{2l+1} \int_0^{+\infty} dt v(t) J_{2k+1}(t) \Psi_{2l+1}(t), \quad (3.49)$$

where  $\Psi_{2l+1}(t)$  is the solution of the integral equation

$$\Psi_{2k+1}(t) + \int_0^{+\infty} ds K(t, s) \Psi_{2k+1}(s) = v(t) J_{2k+1}(t), \quad (3.50)$$

with

$$K(t, s) = v(t) K_B(t, s) v(s), \quad \text{and} \quad v(t)^2 = \frac{16\pi}{\sqrt{\lambda}} W\left(\frac{2\pi t}{\sqrt{\lambda}}\right).$$

Here  $K_B(t, s)$  is the Bessel kernel [245], which can be evaluated in closed form as [229]<sup>8</sup>:

$$K_B(t, s) \equiv \sum_{k=1}^{+\infty} (2k+1) J_{2k+1}(t) J_{2k+1}(s) = -\frac{1}{2} \frac{ts}{t^2 - s^2} (tJ_1(t)J_2(s) - sJ_2(t)J_1(s)) . \quad (3.51)$$

All in all, we have converted the original definition (3.41) of  $D_{kl}$ , which uses the inverse of an infinite matrix, to an expression which uses instead the solution of an integral equation. In Section 3.3.2 we will perform a check of the equations derived above by comparing against the expansion in small  $\lambda$ . We are not able to solve the integral equation (3.50) analytically, therefore we resort to a numerical method which we discuss in Section 3.3.3.

### 3.3.2 Analytical comparison against small $\lambda$ expansion

In this section we calculate the small  $\lambda$  expansion of  $D_{k,l}$  using equations (3.49) and (3.50). For convenience, in this section, we use the notation  $\mu = \frac{\sqrt{\lambda}}{2\pi}$ . We also change variables  $t/\mu = x$  and  $s/\mu = y$  and define  $\chi_{2k+1}(x) = \Psi_{2k+1}(\mu x)$ . Equations (3.49) and (3.50) then become

$$D_{kl} = \delta_{kl} - (-1)^{k+l} \sqrt{2k+1} \sqrt{2l+1} (8\mu)^{1/2} \int_0^{+\infty} dx W(x)^{1/2} J_{2k+1}(\mu x) \chi_{2l+1}(x) , \quad (3.52)$$

and

$$\chi_{2k+1}(x) + 8 \int_0^{+\infty} dy W(x)^{1/2} K_B(\mu x, \mu y) W(y)^{1/2} \chi_{2k+1}(y) = \left(\frac{8}{\mu}\right)^{1/2} W(x)^{1/2} J_{2k+1}(\mu x) . \quad (3.53)$$

Using the Taylor series expansion of Bessel functions, equation (3.51) leads to the following small  $\lambda$  (or equivalently small  $\mu$ ) expansion

$$K_B(\mu x, \mu y) = \frac{1}{768} x^3 y^3 \mu^6 - \frac{1}{12288} (x^5 y^3 + x^3 y^5) \mu^8 + O(\mu)^{10} .$$

Therefore, up to this order, the kernel of the integral operator in (3.53) is degenerate, and the integral equation can be solved exactly.<sup>9</sup> We can thus write

$$K(x, y) = \sum_{i=1}^3 a_i(x) b_i(y) + O(\mu)^{10} , \quad (3.54)$$

<sup>8</sup>A short proof of this identity can be found in [246].

<sup>9</sup>See Appendix B.3 for more details on how to implement this and for the notation we use.

with

$$\begin{aligned} a_1(x) &= \frac{8}{768} x^3 W(x)^{1/2}, & b_1(y) &= y^3 W(y)^{1/2} \mu^6, \\ a_2(x) &= -\frac{8}{12288} x^5 W(x)^{1/2}, & b_2(y) &= y^3 W(y)^{1/2} \mu^8, \\ a_3(x) &= -\frac{8}{12288} x^3 W(x)^{1/2}, & b_3(y) &= y^5 W(y)^{1/2} \mu^8. \end{aligned}$$

The  $3 \times 3$  matrix

$$A_{ki} = \int_0^{+\infty} dx \, b_k(x) a_i(x), \quad (3.55)$$

can be calculated analytically by employing the Mellin transform

$$\int_0^{+\infty} \frac{dx}{x} x^p W(x) = \Gamma(p-1) \zeta(p-2), \quad (3.56)$$

to find

$$A = \begin{pmatrix} \frac{5}{4} \zeta(5) \mu^6 & -\frac{105}{32} \zeta(7) \mu^6 & -\frac{5}{64} \zeta(5) \mu^6 \\ \frac{5}{4} \zeta(5) \mu^8 & -\frac{105}{32} \zeta(7) \mu^8 & -\frac{5}{64} \zeta(5) \mu^8 \\ \frac{105}{2} \zeta(7) \mu^8 & -\frac{945}{4} \zeta(9) \mu^8 & -\frac{105}{32} \zeta(7) \mu^8 \end{pmatrix} + O(\mu)^{10}. \quad (3.57)$$

The inverse is given by

$$(1 + A)^{-1} = \begin{pmatrix} 1 - \frac{5}{4} \zeta(5) \mu^6 & \frac{105}{32} \zeta(7) \mu^6 & \frac{5}{64} \zeta(5) \mu^6 \\ -\frac{5}{4} \zeta(5) \mu^8 & 1 + \frac{105}{32} \zeta(7) \mu^8 & \frac{5}{64} \zeta(5) \mu^8 \\ -\frac{105}{2} \zeta(7) \mu^8 & \frac{945}{4} \zeta(9) \mu^8 & 1 + \frac{105}{32} \zeta(7) \mu^8 \end{pmatrix} + O(\mu)^{10}.$$

Equation (B.36) then gives

$$\begin{aligned} \chi_{2k+1}(x) &= \left( \frac{8}{\mu} \right)^{1/2} W(x)^{1/2} J_{2k+1}(\mu x) \\ &\quad - \sum_{i,j=1}^3 \int_0^{+\infty} dy \, a_i(x) (1 + A)^{-1}_{ij} b_j(y) \left( \frac{8}{\mu} \right)^{1/2} W(y)^{1/2} J_{2k+1}(\mu y). \end{aligned}$$

One can now expand the Bessel functions again as a series in small  $\mu$  and calculate the integral with (3.56). This gives an expansion for  $\chi_{2k+1}(x)$  at small  $\mu$ . The result of this procedure is fairly complicated and we do not present it explicitly here. Finally, inserting  $\chi_{2k+1}(x)$  into equation (3.52) gives  $D_{kl}$  as a series in  $\mu$ :

$$D_{11} = 1 - \frac{5}{4}\zeta(5)\mu^6 + \frac{105}{16}\zeta(7)\mu^8 - \frac{1701}{64}\zeta(9)\mu^{10} + \left(\frac{25}{16}\zeta(5)^2 + \frac{12705}{128}\zeta(11)\right)\mu^{12} + O(\mu)^{13},$$

$$D_{12} = D_{21} = \frac{7}{32}\sqrt{15}\zeta(7)\mu^8 - \frac{105}{64}\sqrt{15}\zeta(9)\mu^{10} + \frac{1089}{128}\sqrt{15}\zeta(11)\mu^{12} + O(\mu)^{13},$$

$$D_{22} = 1 - \frac{63}{64}\zeta(9)\mu^{10} + \frac{1155}{128}\zeta(11)\mu^{12} + O(\mu)^{13}.$$

This then finally leads to

$$\Delta_1(\lambda) = -\frac{5}{4}\zeta(5)\mu^6 + \frac{105}{16}\zeta(7)\mu^8 - \frac{1701}{64}\zeta(9)\mu^{10} + \left(\frac{25}{16}\zeta(5)^2 + \frac{12705}{128}\zeta(11)\right)\mu^{12} + O(\mu)^{13},$$

$$\Delta_2(\lambda) = -\frac{63}{64}\zeta(9)\mu^{10} + \frac{1155}{128}\zeta(11)\mu^{12} - \frac{27885}{512}\zeta(13)\mu^{14} + O(\mu)^{15}.$$

This agrees with equations (3.37) and (3.38) in [229]. Since the results in [229] were obtained by a different method we view this as a consistency check of our approach.

### 3.3.3 Numerical method for the calculation of $D_{kl}$ and $\Delta_k(\lambda)$

To solve the integral equation (3.50) we employ numerics and use the Nyström method. This method is well known and is based on discretizing the integral appearing in the integral equation in the schematic form

$$\int_0^{+\infty} dt f(t) \approx \sum_{a=1}^m w_a f(t_a) \quad \text{with } m \text{ large.} \quad (3.58)$$

Here,  $w_a \geq 0$  are weights and  $t_a$  are the discretization points. The upper left  $p \times p$  block of the matrix  $D$  is then equal to

$$D_{(p)} = \mathbf{1}_{p \times p} - \mathbb{V}(\mathbf{1}_{m \times m} + \mathbb{K})^{-1} \mathbb{V}^T, \quad (3.59)$$

where  $\mathbb{V}$  is a  $p \times m$  matrix and  $\mathbb{K}$  is an  $m \times m$  matrix given by

$$\begin{aligned}\mathbb{V}_{ka} &= \sqrt{w_a} (-1)^k \sqrt{2k+1} J_{2k+1}(t_a) v(t_a), \\ \mathbb{K}_{ab} &= \sqrt{w_a} K(t_a, t_b) \sqrt{w_b}.\end{aligned}\tag{3.60}$$

If  $m \rightarrow \infty$ , (3.59) should converge to the correct result. All in all, what we have done is instead of truncating the original matrix (3.4) to size  $M \times M$  and treating the integrals over the Bessel functions exactly, we have taken  $M \rightarrow \infty$  and discretized the integrals.

There are many discretization schemes (also known as quadrature rules) that one can use in (3.58). Some of these are discussed in Appendix B.2. We chose the Fejér type 1 quadrature rule. In this rule, we also have to truncate the integral

$$\int_0^{+\infty} dt f(t) \approx \int_0^L dt f(t) \approx \sum_{a=1}^m w_a f(x_a).$$

So we have to take  $L$  and  $m$  both large to get accurate results. More details on Fejér type 1 and the values of  $L$  and  $m$  can be found in Appendix B.2. As an illustration, the two graphs in Figure 3.1 were made using equation (3.59) with appropriate settings for  $L$  and  $m$ . As a check on the accuracy of the numerical

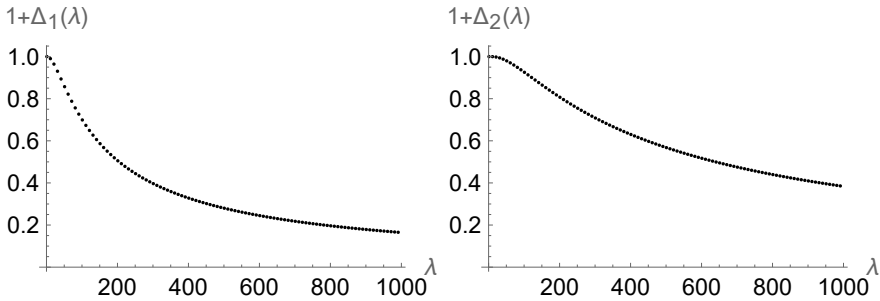


Figure 3.1:  $1 + \Delta_k(\lambda)$  as function of  $\lambda$  with  $k = 1$  (left) and  $k = 2$  (right).

algorithm we can compare our numerical result against the analytic calculations of the small  $\lambda$  expansion of  $\Delta_k(\lambda)$  discussed in [229]. The first few terms in the small  $\lambda$  expansion for  $\Delta_1$  read

$$\Delta_1(\lambda) = -\frac{5\zeta(5)}{256\pi^6}\lambda^3 + \frac{105\zeta(7)}{4096\pi^8}\lambda^4 - \frac{1701\zeta(9)}{65536\pi^{10}}\lambda^5 + \dots.\tag{3.61}$$

In Figure 3.2 we compare this analytic result against our numerics. It is clear that there is very good agreement between the two calculations for small values of  $\lambda$ .<sup>10</sup>

As discussed in [237], the radius of convergence of the series for  $\Delta_1$  is  $\lambda_c = \pi^2$  because of the branch point located at  $\lambda = -\pi^2$ , see also [247].

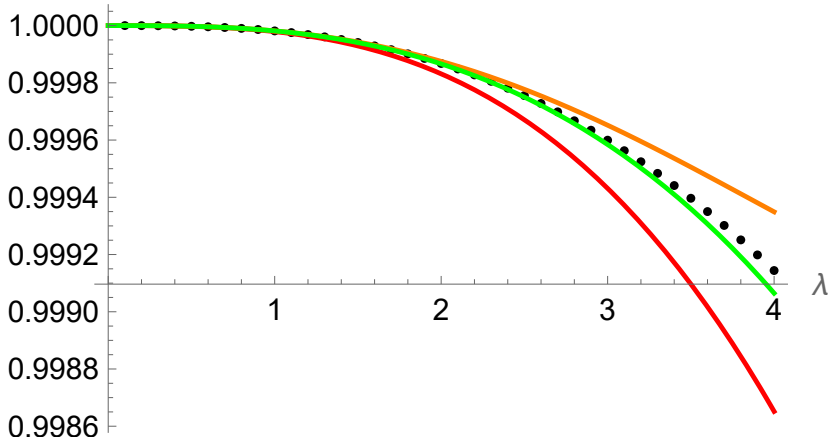


Figure 3.2: Black dots: numerical results for  $1 + \Delta_1(\lambda)$ . Red line:  $1 - \frac{5\zeta(5)}{256\pi^6}\lambda^3$ ; Orange line:  $1 - \frac{5\zeta(5)}{256\pi^6}\lambda^3 + \frac{105\zeta(7)}{4096\pi^8}\lambda^4$ ; Green line:  $1 - \frac{5\zeta(5)}{256\pi^6}\lambda^3 + \frac{105\zeta(7)}{4096\pi^8}\lambda^4 - \frac{1701\zeta(9)}{65536\pi^{10}}\lambda^5$ .

In Table 3.1 we provide additional numerical evidence for the agreement between the two methods.

<sup>10</sup>Since the numerical coefficients multiplying the powers of  $\lambda$  in (3.61) are small ( $10^{-5}$  or smaller) we can treat order 1 values for  $\lambda$  as “small”.

$n \backslash \lambda$	1	2	3	4	20
1	-0.0000210659	-0.000168527	-0.000568778	-0.00134822	-0.168527
2	-0.0000183417	-0.000124939	-0.000348117	-0.000650816	0.267347
3	-0.0000186194	-0.000133826	-0.000415601	-0.000935195	-0.621336
4	-0.0000185927	-0.000132119	-0.000396155	-0.000825934	1.08587
5	-0.0000185952	-0.000132442	-0.000401667	-0.000867224	-2.1399
numerics	-0.000018595	-0.000132391	-0.000400453	-0.000855928	-0.0326525

Table 3.1: Comparison of the small  $\lambda$  expansion against numerical calculations of  $\Delta_1(\lambda)$  for different values of  $\lambda$ . The last row gives the value which is calculated with the numerical method explained in Section 3.3.3. The first row with  $n = 1$  gives the result using 1 term in the expansion (3.61), the second row with  $n = 2$  gives the result using 2 terms in the expansion (3.61) and so on. It is clear that for small  $\lambda < \lambda_c$  ( $\lambda = 1, 2, 3, 4$  in the table) the series (3.61) converges to the numerical result as  $n$  is increased. For large  $\lambda > \lambda_c$  ( $\lambda = 20$  in the table), the series (3.61) does not converge, but the numerical method of Section 3.3.3 still works.

We can proceed similarly and test our method for  $k = 2$ , see Table 3.2. To do this we use the result for the small  $\lambda$  expansion of  $\Delta_2$  from [229]

$$\Delta_2(\lambda) = -\frac{63\zeta(9)}{65536\pi^{10}}\lambda^5 + \frac{1155\zeta(11)}{524288\pi^{12}}\lambda^6 - \frac{27885\zeta(13)}{8388608\pi^{14}}\lambda^7 + \dots \quad (3.62)$$

$n \backslash \lambda$	1	2	3	4	20
1	$-1.02857 \times 10^{-8}$	$-3.29142 \times 10^{-7}$	$-2.49942 \times 10^{-6}$	$-1.05325 \times 10^{-5}$	$-3.29142 \times 10^{-2}$
2	$-7.90102 \times 10^{-9}$	$-1.76523 \times 10^{-7}$	$-7.60998 \times 10^{-7}$	$-7.64937 \times 10^{-7}$	$1.19705 \times 10^{-1}$
3	$-8.26546 \times 10^{-9}$	$-2.23172 \times 10^{-7}$	$-1.55805 \times 10^{-6}$	$-6.73606 \times 10^{-6}$	$-3.46789 \times 10^{-1}$
4	$-8.21887 \times 10^{-9}$	$-2.11244 \times 10^{-7}$	$-1.25232 \times 10^{-6}$	$-3.68225 \times 10^{-6}$	$8.46105 \times 10^{-1}$
5	$-8.22428 \times 10^{-9}$	$-2.14016 \times 10^{-7}$	$-1.35889 \times 10^{-6}$	$-5.1016 \times 10^{-6}$	$-1.92606$
10	$-8.22374 \times 10^{-9}$	$-2.13514 \times 10^{-7}$	$-1.33223 \times 10^{-6}$	$-4.65977 \times 10^{-6}$	$7.26826 \times 10^1$
num.	$-8.22374 \times 10^{-9}$	$-2.13514 \times 10^{-7}$	$-1.3323 \times 10^{-6}$	$-4.66487 \times 10^{-6}$	$-1.79469 \times 10^{-3}$

Table 3.2: Comparison of the small  $\lambda$  expansion against numerical calculations of  $\Delta_2(\lambda)$  for different values of  $\lambda$ . The notation is the same as in Table 3.1 and the conclusions are similar.

### 3.3.4 Large $\lambda$ expansion of $D_{kl}$ and $\Delta_k$ : a conjecture

As outlined above and discussed in detail in [229] it is relatively easy to calculate the small  $\lambda$  expansion of  $D_{kl}$ . The large  $\lambda$  expansion appears to be much more



challenging. The first term was calculated in [229] and reads (assuming  $k \leq l$ ),

$$D_{kl} = \frac{4\pi^2}{\lambda} \sqrt{(2k+1)(2l+1)} k(k+1) + O(1/\lambda^{3/2}). \quad (3.63)$$

The matrix elements for  $k \geq l$  follow from the symmetry  $D_{kl} = D_{lk}$ . We are not able to rigorously calculate the subleading terms in the expansion analytically. However, based on numerical investigations, we make the following conjecture for  $D_{kl}$  with  $k \leq l$ ,

$$\begin{aligned} D_{kl} = 4\pi^2 \sqrt{(2k+1)(2l+1)} \frac{k(k+1)}{\lambda} & \left\{ 1 - 8l(l+1) \frac{\log 2}{\lambda^{1/2}} \right. \\ & + \frac{2\pi^2}{9\lambda} [3l(l+1)(l-k)(l+k+1) + 2(k-1)k(k+1)(k+2)] \\ & + \frac{16 \log^2 2}{\lambda} l(l+1)[k(k+1) + l(l+1) - 1] \\ & - \frac{16\pi^2 \log 2}{9\lambda^{3/2}} L[K(K-2) + L(L-2)] \\ & - \frac{64 \log^3 2}{9\lambda^{3/2}} L[2L^2 + 6KL - 7L - 7K + 2K^2 + 6] \\ & \left. - \frac{\zeta(3)}{3\lambda^{3/2}} L[3 + 4(4K^2 + 4L^2 - 5K - 5L - 6KL)] + O(\lambda)^{-2} \right\} \end{aligned} \quad (3.64)$$

We have used the notation  $K = k(k+1)$  and  $L = l(l+1)$  to shorten some of the coefficients. The expression (3.64) was obtained as follows. First, we used the numerical method outlined in Section 3.3.3 to calculate  $D_{kl}$  for many values of  $k, l$  and many  $\lambda$  ranging<sup>11</sup> from  $e^8$  to  $e^{16}$ . We then used this numerical data and the function `LinearModelFit[]` of `Mathematica` to estimate numerically the coefficients in the large  $\lambda$  expansion of  $D_{kl}$ . Finally, the precision in these fitted coefficients was sufficiently high so that we could guess<sup>12</sup> the closed form expression (3.64).

The analytical form (3.64) for the strong coupling behavior of  $D_{kl}$  is used as ingredient in the calculation of the three point function of single trace chiral/anti-chiral correlators discussed in Section 3.5. It can also be directly

<sup>11</sup>For  $\lambda$  greater than  $e^{16}$ , our algorithm converges too slowly, and the precision obtained is not good enough.

<sup>12</sup>We were able to guess a closed form expression of this additional term only after the publication of [248]. In [248] an analytical expression for the *determinant* of  $D_{kl}$  is obtained, this helped us to guess closed form expressions of the components  $D_{kl}$  themselves.

used in (3.43) to find the quantity  $\Delta_k(\lambda)$  that controls the two-point function of twisted operators. Using (3.43) and (3.64) we find

$$1 + \Delta_k(\lambda) = \frac{8\pi^2 k(2k+1)}{\lambda} \left[ 1 - \frac{16k \log 2}{\lambda^{1/2}} + \frac{32k(4k-1) \log^2 2}{\lambda} + O(\lambda^{-3/2}) \right]. \quad (3.65)$$

A notable fact is that the  $\pi^4$  terms appearing at  $O(1/\lambda^2)$  order in  $D_{kl}$ , see (3.64), cancel with each other in  $\Delta_k$ , leading to a relatively simple result that improves on the calculations in [229] by providing two more terms in the large  $\lambda$  expansion. Some observations can be made based on the leading three terms. First, the powers series is in inverse powers of  $\sqrt{\lambda}$ , which is compatible with the string theory perturbation series in  $\alpha'$  after using the identification  $\lambda^{-1} \sim \alpha'^2$ . Second, the coefficients of the three terms are polynomials of  $k$ , whose order increases by 1 with each term in the series and which exhibit a factorized form. Third, we find an increasing power of  $\log 2$  in each term in the perturbative series. This factor increases the degree of transcendentality of each perturbative coefficient and perhaps suggests a renormalization of the coupling  $\lambda$ .

To illustrate the agreement between the analytic conjecture (3.65) and our numerical results we present a few plots. To facilitate the comparison we define the following three quantities that represent the three terms on the right hand side of the expansion (3.65)

$$\begin{aligned} \Delta_k^{(1)}(\lambda) &= 8\pi^2 \left[ \frac{k(2k+1)}{\lambda} \right], \\ \Delta_k^{(2)}(\lambda) &= 8\pi^2 \left[ -\frac{16k^2(2k+1) \log 2}{\lambda^{3/2}} \right], \\ \Delta_k^{(3)}(\lambda) &= 8\pi^2 \left[ \frac{32k^2(2k+1)(4k-1) \log^2 2}{\lambda^2} \right]. \end{aligned} \quad (3.66)$$

In the figures below, we have added error bars on the numerical data of  $1 + \Delta_k(\lambda)$  with an estimation of accuracy and we have set  $k = 1$  in Figures 3.3 and 3.4. Similar results can be obtained for other values of  $k$  which we illustrate for  $k = 2, 3$  in Figure 3.5.

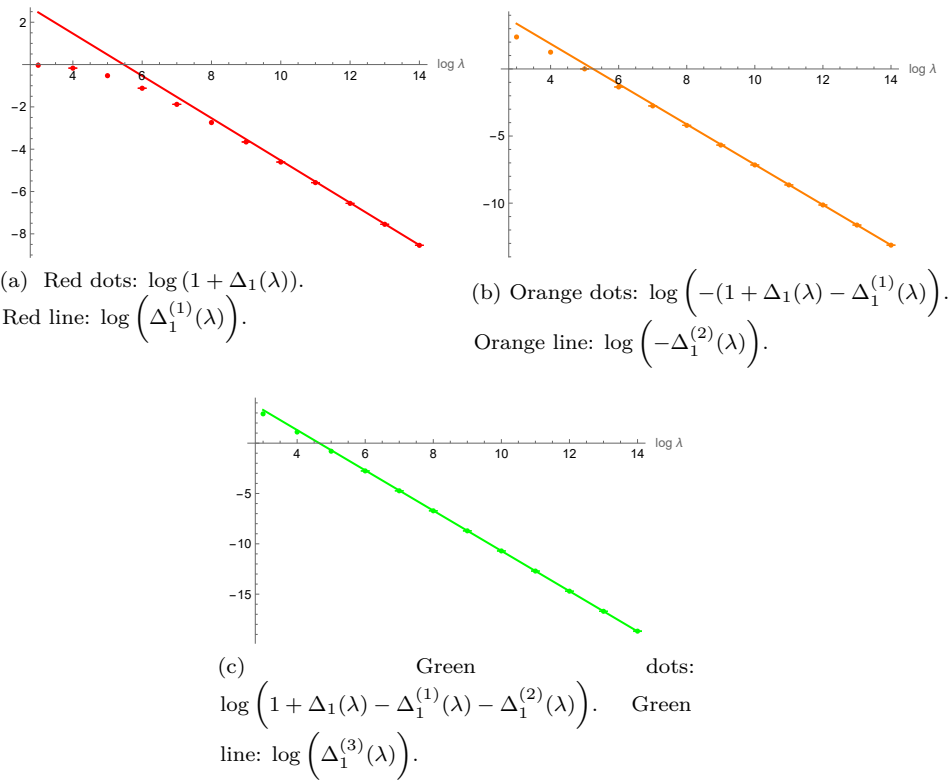


Figure 3.3: A loglog plot of  $1 + \Delta_1(\lambda)$  and its asymptotic expansion. For large  $\lambda$ , the numerical data agrees very well with the asymptotic expansion. This indicates that all terms in the asymptotic expansion (3.65) are correct.

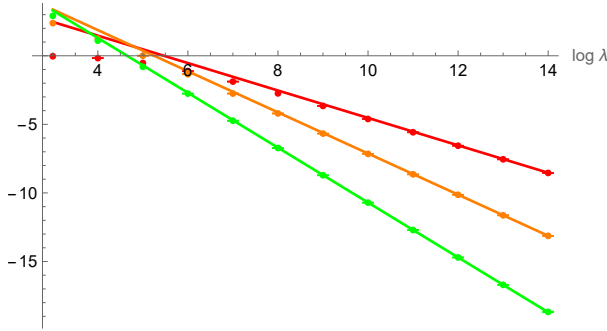


Figure 3.4: A combination of Figures 3.3a-3.3c. The slope of the lines decrease if more terms in the asymptotic expansion are included. This illustrates that the coefficients in the asymptotic expansion (3.65) are correct.

Similarly, Figure 3.5 serves as evidence that the asymptotic expansion (3.65) is valid for  $k = 2$  and  $k = 3$ .

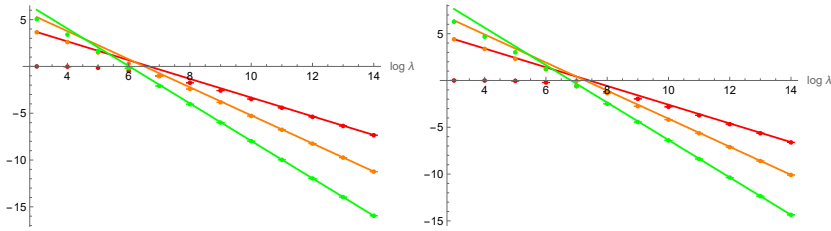


Figure 3.5: Similar to Figure 3.4, but with  $k = 2$  (left) and  $k = 3$  (right) respectively.

### 3.3.5 Large $\lambda$ expansion of $\Delta_k(\lambda)$ : more terms

The matrix elements  $D_{kl}$  are harder to evaluate than the single-index quantity  $\Delta_k$  that controls the two-point functions of physical interest. Utilizing the same numerical method described in Section 3.3.3, we can evaluate  $\Delta_k(\lambda)$  directly for  $\lambda$  going up to  $e^{16}$  and  $k$  up to 25. A selection of the numerical data we have obtained can be found in Appendix B.4. This numerical data agrees very well with the three terms in the expansion in (3.65) as expected and allows us to find an expression for more subleading terms in the large  $\lambda$  expansion of  $\Delta_k(\lambda)$ .

For future convenience, let us denote the coefficients in the large  $\lambda$  expansion with  $C_i$  such that  $1 + \Delta_k(\lambda) = \sum_i C_i \left(\frac{1}{\lambda}\right)^i$ . At  $O(1/\lambda^{5/2})$ , the structure of the

coefficient is more or less the same as in (3.65), but there is a curious shift in the polynomial factor  $(4k - 3)$ , namely we find:

$$C_{5/2} = -8\pi^2 \cdot 32(\log^3 2) \cdot k^2(2k+1)(4k-1) [(4 + 4\epsilon_{5/2})k - (3 - \epsilon_{5/2})] , \quad (3.67)$$

where  $\epsilon_{5/2} \approx 0.107738$ , which does not appear to be any simple “closed form” number. Proceeding to higher order we find that at  $O(1/\lambda^3)$ , the factor  $(4k - 3)$  which is shifted in  $C_{5/2}$  is however unshifted, but there is a new factor  $(4k - 7)$  that is shifted:

$$C_3 = 8\pi^2 \cdot 16(\log^4 2) \cdot k^2(2k+1)(4k-1)(4k-3)[(4+4\epsilon_3)k - (7-\epsilon_3)], \quad \epsilon_3 \approx -0.1381. \quad (3.68)$$

We have also observed a numerical coincidence between the two shifts. Namely, we find the following relation to be correct up to four digits:

$$\epsilon_3 \approx 8\epsilon_{5/2} - 1 \approx -0.13809664. \quad (3.69)$$

The fact that we do not have closed form fully analytic expressions for  $C_{5/2}$  and  $C_3$  but rather have these coefficient depend on the numerical constants  $\epsilon_{5/2}$  and  $\epsilon_3$  limits the accuracy of our numerical investigations due to the limited accuracy in the `LinearModelFit[]` function in `Mathematica`. The highest order in the large  $\lambda$  expansion we could reliably evaluate is at  $O(1/\lambda^{7/2})$  for which we find the coefficient

$$C_{7/2} = 8\pi^2 \cdot (\log^5 2) \cdot 2^8 k^2(2k+1)(4k-1)(4k-3) \cdot [(1 + \epsilon_{7/2})k^2 - (2 - 2\epsilon_{7/2})], \quad (3.70)$$

where  $\epsilon_{7/2} \approx 0.023$ . The numerical data we used to obtain the expressions for  $C_{5/2}$ ,  $C_3$ , and  $C_{7/2}$  above is presented in Table 3.3.

*Note added:* While we were finalizing version 1 of this manuscript, [248] appeared in which the large  $\lambda$  expansion of  $\Delta_k(\lambda)$  is calculated analytically. The result in [248] agrees with our conjecture (3.65). Their expansion also agrees with the expressions in (3.67) and (3.68). Additionally, they obtained the closed form expressions

$$\epsilon_{5/2} = \frac{1}{3} - \frac{\zeta(3)}{16 \log^3 2} \quad \text{and} \quad \epsilon_3 = \frac{5}{3} - \frac{\zeta(3)}{2 \log^3 2}, \quad (3.71)$$

which agree with our numerical estimates discussed above. It turns out that our numerics was not accurate enough to determine the full structure of the coefficient  $C_{7/2}$  in (3.70). Namely, instead of the factor in the square brackets

$k$	$C_{5/2}$	$C_3$	$C_{7/2}$	$L$
1	-11652.	$-9.6 \times 10^3$	$3 \times 10^4$	5000
2	$-70322(2 \pm 2)$ .	$-5.0 \times 10^4$	$5 \times 10^6$	6000
3	$-6.06468 \times 10^6$	$5.83 \times 10^6$	$1.46 \times 10^8$	6000
4	$-2.695(60 \pm 1) \times 10^7$	$5.447 \times 10^7$	$1.31 \times 10^9$	6000
5	$-8.4686(7/8) \times 10^7$	$2.616 \times 10^8$	$6.8 \times 10^9$	8000
6	$-2.145944 \times 10^8$	$8.930 \times 10^8$	$2.55 \times 10^{10}$	8000
7	$-4.69624 \times 10^8$	$2.459 \times 10^9$	$7.7(4 \pm 2) \times 10^{10}$	8000
8	$-9.239(10 \pm 1) \times 10^8$	$5.831 \times 10^9$	$2.01 \times 10^{11}$	8000
9	$-1.67634 \times 10^9$	$1.2384 \times 10^{10}$	$4.65 \times 10^{11}$	8000
10	$-2.85418 \times 10^9$	$2.416 \times 10^{10}$	$9.8(3 \pm 2) \times 10^{11}$	8000
15	$-2.20129 \times 10^{10}$	$3.0492 \times 10^{11}$	$1.731 \times 10^{13}$	8000
20	$-9.34553 \times 10^{10}$	$1.7983 \times 10^{12}$	$1.313 \times 10^{14}$	8000
25	$-2.8645 \times 10^{11}$	$7.056 \times 10^{12}$	$6.31 \times 10^{14}$	8000

Table 3.3: The numerical values for the coefficients  $C_i$  with different  $k$ . The range of our discretization points is  $[0, L]$ .

$[(1 + \epsilon_{7/2})k^2 - (2 - 2\epsilon_{7/2})]$ , the analytical calculation in [248] yields

$$\begin{aligned}
 & \left( -\frac{9\zeta_5}{128 \log^5 2} + \frac{\zeta_3}{\log^3 2} - \frac{32}{15} \right) k^2 + \left( -\frac{9\zeta_5}{128 \log^5 2} - \frac{3\zeta_3}{4 \log^3 2} + \frac{16}{5} \right) k \\
 & + \left( -\frac{27\zeta_5}{2048 \log^5 2} - \frac{\zeta_3}{4 \log^3 2} - \frac{16}{15} \right) \\
 & \approx [1.02051k^2 + 0.0371916k - 2.05448].
 \end{aligned} \tag{3.72}$$

The rest of the expression for  $C_{7/2}$  in (3.70) agrees with the analytic result in [248].

### 3.4 Free energy $\mathcal{F}$ and untwisted correlators

Our goal in this section is to compute the free energy of the matrix model at hand. To this end we employ the Weinstein-Aronszajn determinant identity for an  $m \times n$  matrix  $A$  and an  $n \times m$  matrix  $B$  which reads

$$\det(\mathbf{1}_{m \times m} + AB) = \det(\mathbf{1}_{n \times n} + BA). \tag{3.73}$$

We can identify the matrix  $X$  defined in (3.4) as the product of two  $A_k(t)$  which can be regarded as a generalized matrix with one discrete index  $k \in \mathbb{N}_+$  and

one continuous index  $t \in (0, \infty)$ . After the change of variables  $t \rightarrow \frac{2\pi t}{\sqrt{\lambda}}$  we can rewrite (3.4) as

$$\mathsf{X}_{kl} = - \int dt A_k(t) A_l(t), \quad A_k(t) \equiv (-1)^k \sqrt{(2k+1) \frac{16\pi}{\sqrt{\lambda}}} W\left(\frac{2\pi t}{\sqrt{\lambda}}\right) J_{2k+1}(t). \quad (3.74)$$

Using a continuous version of formula (3.73), one can transform the free energy difference  $\mathcal{F}$  defined in (3.39) as follows:

$$\begin{aligned} \mathcal{F} &= \frac{1}{2} \log \det(\mathbf{1} - \mathsf{X}) = \frac{1}{2} \log \det \left( \mathbf{1} + \int dt A_k(t) A_l(t) \right) \\ &= \frac{1}{2} \log \det \left( \mathbf{1} + \sum_k A_k(t) A_k(s) \right). \end{aligned} \quad (3.75)$$

We therefore conclude that  $\mathcal{F}$  is the logarithm of a Fredholm determinant:

$$\mathcal{F} = \frac{1}{2} \log \det(\mathbf{1} + K), \quad (3.76)$$

where  $\mathbf{1} + K$  is the functional operator that we encountered in (3.50). This will be our starting point for the calculation of the free energy.

As a consistency check on the manipulations performed above, we can compare our results derived from (3.76) against the small  $\lambda$  expansion derived in [232] by other methods. In Section 3.3.2, we showed that when performing an expansion for small  $\lambda$  the kernel is degenerate. Therefore, we can calculate the Fredholm determinant in (3.76) using Equation (B.43). To this end we employ the results and notation of Section 3.3.2. For the free energy up to order  $\mu^{10}$  we find

$$\mathcal{F} = \frac{1}{2} \log \det(1 + A) + O(\mu)^{10}, \quad (3.77)$$

where the  $3 \times 3$  matrix  $A$  is given in equation (3.57). This in turn leads to

$$\mathcal{F} = \frac{5}{8} \zeta(5) \mu^6 - \frac{105}{32} \zeta(7) \mu^8 + O(\mu)^{10}. \quad (3.78)$$

This result agrees with the first two terms in Equation (3.4) in [232]. It is straightforward but tedious to extend our method to higher orders in  $\mu$ . In particular we have successfully compared our results to the terms up to order  $\mu^{20}$  in Equation (3.4) of [232].<sup>13</sup>

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<sup>13</sup>All the terms agree, apart from one. In [232] there is a term  $\frac{3.6355}{8} \zeta(13) \hat{\lambda}^7$ , but we find instead  $\frac{212355}{8} \zeta(13) \hat{\lambda}^7$ . Given that  $\frac{3.6355}{8} \zeta(13) \hat{\lambda}^7$  is the only term with irrational coefficient multiplying a  $\zeta$  function in Equation (3.4) in [232], this is probably a typo.

### 3.4.1 Numerical method for the calculation of $\mathcal{F}$

Unfortunately we are not able to analytically calculate the Fredholm determinant in (3.76) for all values of  $\lambda$ . To proceed we calculate  $\mathcal{F}$  numerically with the Bornemann method [249]. This numerical method is based on the Nyström method for solving integral equations. Namely, if  $w_a \geq 0$  are weights and  $t_a$  are discretization points of a quadrature method, with  $a = 1, \dots, m$ , then

$$\det(\mathbf{1} + K) \approx \det_{a,b=1}^m \left( \delta_{ab} + \sqrt{w_a} K(t_a, t_b) \sqrt{w_b} \right). \quad (3.79)$$

This algorithm is simple but very efficient for smooth kernels. There are many discretization schemes one can use in (3.79). As in Section 3.3.3 we have chosen Fejér type 1. As an illustration of the results obtained via this method, in Figure 3.6 we present the numerical results for the free energy with some appropriate settings for the quadrature parameters  $L$  and  $m$ .

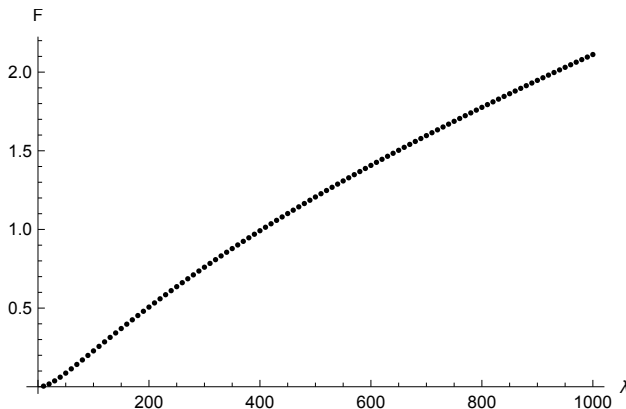


Figure 3.6:  $\mathcal{F}$  as a function of  $\lambda$  computed with the Bornemann method.

A table with numerical values of  $\mathcal{F}$  can be found in Appendix B.4. As a consistency check on the numerical implementation of the Fredholm determinant algorithm we can compare the numerical results against the analytic small  $\lambda$  expansion. We use the small  $\lambda$  expansion of Equation (3.4) in [232] which we have independently reproduced:

$$\mathcal{F} = F_3 \lambda^3 + F_4 \lambda^4 + F_5 \lambda^5 + F_6 \lambda^6 + \dots \quad (3.80)$$

with  $F_3 = \frac{5}{512\pi^6} \zeta(5)$ ,  $F_4 = -\frac{105}{8192\pi^8} \zeta(7)$  etc. The results are illustrated in figure 3.7.



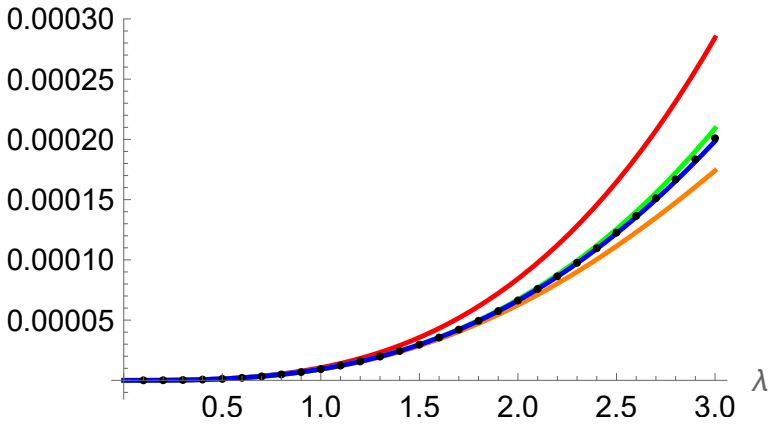


Figure 3.7: Black dots: numerical calculation of  $\mathcal{F}$  with the Bornemann method. Red line:  $F_3\lambda^3$ ; orange line:  $F_3\lambda^3 + F_4\lambda^4$ ; green line:  $F_3\lambda^3 + F_4\lambda^4 + F_5\lambda^5$ ; blue line:  $F_3\lambda^3 + F_4\lambda^4 + F_5\lambda^5 + F_6\lambda^6$ . Clearly the numerical and analytic results agree very well.

In Table 3.4 we provide more evidence for the validity of our numerical method. As discussed in [232], the radius of convergence of  $\lambda$  is  $\lambda_c = \pi^2$ , which is the same as for  $\mathcal{N} = 4$  SYM.

$n \backslash \lambda$	1	2	3	4	20
1	0.0000105329	0.0000842635	0.000284389	0.000674108	0.0842635
2	0.00000917083	0.0000624697	0.000174058	0.000325408	-0.133674
3	0.00000931482	0.0000670777	0.00020905	0.000472864	0.327125
4	0.00000930041	0.0000661549	0.000198539	0.000413804	-0.595688
5	0.00000930182	0.0000663362	0.000201637	0.00043701	1.2173
10	0.0000093017	0.0000663066	0.000200935	0.000430498	249.84
15	0.0000093017	0.0000663066	0.000200935	0.000430495	960.633
numerics	0.0000093017	0.0000663066	0.000200935	0.000430494	0.0175565

Table 3.4: Comparison of small  $\lambda$  expansion against numerical calculation of the free energy for different values of  $\lambda$ . The last row gives the value calculated with the Bornemann method. The first row with  $n = 1$  gives the result using 1 term in the expansion (3.80), the second row with  $n = 2$  gives the result using two terms in the expansion (3.80) and so on. One can see that for small  $\lambda < \lambda_c$  ( $\lambda = 1, 2, 3, 4$  in the table) the series (3.80) converges to the numerical result as  $n$  increases. For large  $\lambda > \lambda_c$  ( $\lambda = 20$  in the table), the series (3.80) does not seem to converge, but the numerical method still yields sensible results.

### 3.4.2 Large $\lambda$ expansion of $\mathcal{F}$ : a conjecture

Using the numerical method detailed above we calculated  $\mathcal{F}$  for  $\lambda$  going up to  $e^{18}$ . Fitting the numerical data listed in table 3.5, we observed that the following asymptotic expansion with coefficients in closed form agrees very accurately with the data

$$\mathcal{F} = \frac{1}{8}\sqrt{\lambda} - \frac{3}{8}\log\left(\frac{\lambda}{\lambda_0}\right) - \frac{3}{4}\frac{\log 2}{\sqrt{\lambda}} - \frac{3}{2}\frac{\log^2 2}{\lambda} + O(\lambda^{-3/2}). \quad (3.81)$$

The constant  $\lambda_0 \approx 7.723901172$  can be determined with high precision by our algorithm whose closed-form formula was found later on by the authors of [248]:

$$\lambda_0 = 2^{14/9} e^{8\zeta'(-1)} \pi^2, \quad (3.82)$$

which agrees with the numerical value we found. It is tempting to speculate that the coefficient of the  $\log \lambda$  term above is related to the difference in conformal anomalies between the E theory and  $\mathcal{N} = 4$  SYM, see (3.1), but we were not able to make this statement quantitatively precise.

Interestingly the result in (3.81) is in conflict with the leading order result presented in [232] where it was argued that  $\mathcal{F} \sim \frac{1}{2\pi}\sqrt{\lambda}$ . As illustrated in

$\lambda$	$\mathcal{F}$
$e^8$	4.5816147173(9±6)
$e^{8.5}$	6.3347294273322(8±8)
$e^9$	8.637894890881(0±9)
$e^{9.5}$	11.64760271190(0±6)
$e^{10}$	15.5647287113(94±21)
$e^{10.5}$	20.6471553368(9±4)
$e^{11}$	27.225974672885(68±15)
$e^{11.5}$	35.726290419555(6±8)
$e^{12}$	46.69392596735(85±24)
$e^{12.5}$	60.8297166581(16±11)
$e^{13}$	79.033540756198(14±11)
$e^{13.5}$	102.460855469831(90±14)
$e^{14}$	132.595289990373(00±26)
$e^{14.5}$	171.34185630862(66±11)
$e^{15}$	221.1466338982(52±31)
$e^{15.5}$	285.1504476051873(00±31)
$e^{16}$	367.3861937408442(07±28)

Table 3.5: The numerical values of  $\mathcal{F}$  that we used for numerical fitting. The range of our sampling points is  $(0, L)$ , where in practice we take  $L = 12000$ .

Figure 3.8 this is in clear disagreement with our numerical results. While we are not completely convinced why the result in [232] disagrees with ours we suspect that it could be due to the assumption in [232] that the leading order behavior of  $\mathcal{F}$  for large  $\lambda$  is controlled by the leading order behavior of  $\mathbf{X}$ . Namely, in [232] the authors use<sup>14</sup>

$$\begin{aligned}
 \mathcal{F} &= \frac{1}{2} \log \det (\mathbf{1} - \mathbf{X}) = \frac{1}{2} \log \det \left[ \mathbf{1} + \frac{\lambda}{2\pi^2} \mathbf{S} + O(\lambda^0) \right] \\
 &= \frac{1}{2} \log \det \left( \mathbf{1} + \frac{\lambda}{2\pi^2} \mathbf{S} \right) + o(\sqrt{\lambda}).
 \end{aligned}
 \tag{3.83}$$

Both analytical and numerical analysis supports the following behavior, see [232]

$$\frac{1}{2} \log \det \left( \mathbf{1} + \frac{\lambda}{2\pi^2} \mathbf{S} \right) \sim \frac{1}{2\pi} \sqrt{\lambda}
 \tag{3.84}$$

Thus we suspect that there is something wrong in going from the first to the second line in (3.83) which is the cause of the conflict with our numerical

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<sup>14</sup>The expression of matrix  $\mathbf{S}$  is given in (B.4).

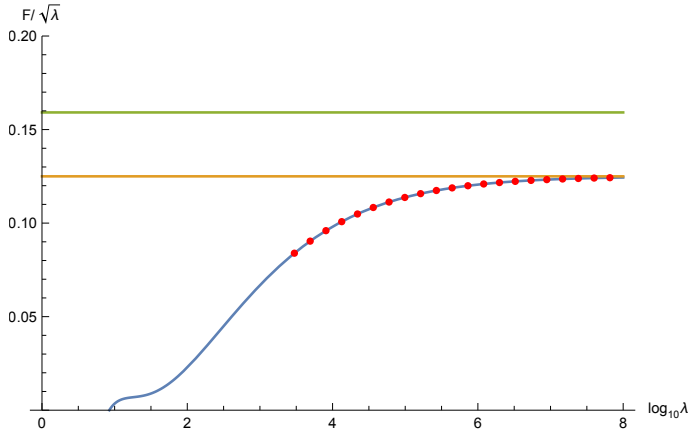


Figure 3.8: The counterpart of Figure 4 in [232]. The green and dark yellow lines represent the constants  $1/2\pi$  and  $1/8$ , respectively. The blue line is the analytic approximation in (3.81). The red dots are the numerical results obtained by evaluating the Fredholm determinant with the Bornemann method.

analysis. To illustrate this subtlety we have shown numerically that  $\mathcal{F}/\sqrt{\lambda}$  can also depend on the sub-leading order expansion of  $\mathbf{X}$ , for example, if we multiply the identity matrix in (3.84) with an arbitrary constant, then the coefficient of  $\sqrt{\lambda}$  in the final result changes. As discussed in Appendix B.1 similar subtle issues are present in the analytic evaluation of  $\Delta_k$ .

### 3.4.3 Untwisted correlators and Wilson loops in the E theory

The free energy in (3.81) can be used to calculate the large  $\lambda$  behavior of the functions  $\delta_k(\lambda)$  that control the two-point functions of the untwisted sector operators in the E theory, see (3.3). It was argued in [229] that  $\delta_k(\lambda)$  obey the following relation

$$\delta_k(\lambda) = -2k \left[ (2k^2 - 1) \lambda \partial_\lambda \mathcal{F} + (\lambda \partial_\lambda)^2 \mathcal{F} \right], \quad (3.85)$$

where  $\mathcal{F}$  is the free energy defined in (3.39). Using (3.85) and the conjectured analytic form of the large  $\lambda$  behavior of  $\mathcal{F}$  in (3.81) we find that the large  $\lambda$

behavior of  $\delta_k(\lambda)$  is

$$\delta_k(\lambda) = -\frac{k(4k^2-1)}{16}\sqrt{\lambda} + \frac{3}{8}k(4k^2-2) - \frac{3}{8}\frac{k(4k^2-3)\log 2}{\sqrt{\lambda}} - \frac{3}{2}\frac{k(4k^2-4)\log^2 2}{\lambda} + O(\lambda^{-3/2}). \quad (3.86)$$

This is a new result that provides three more orders in the large  $\lambda$  expansion of  $\delta_k(\lambda)$  as compared to the previous literature [229, 232]. Note that we again find a discrepancy between our result for the coefficient of the  $\sqrt{\lambda}$  term in  $\delta_k(\lambda)$  above and the results of [232]. This is due to the discrepancy in  $\mathcal{F}$  discussed around (3.83).

As a final application of our result for  $\mathcal{F}$  we can calculate the first four terms in the strong coupling expansion of the function  $q(\lambda)$  that controls the vacuum expectation value of a 1/2-BPS circular expectation loop in the **E** theory. As derived in [232] and discussed around equations (3.12) and (3.13) this function can be calculated by taking a derivative of  $\mathcal{F}$ ,  $\Delta q(\lambda) = -\frac{\lambda^2}{4}\partial_\lambda \mathcal{F}(\lambda)$ . Using our result for  $\mathcal{F}$  in (3.81) we find

$$\Delta q(\lambda) = -\frac{1}{64}\lambda^{3/2} + \frac{3}{32}\lambda - \frac{3\log 2}{32}\lambda^{1/2} - \frac{3\log^2 2}{8} + O(\lambda^{-1/2}). \quad (3.87)$$

### 3.5 Three point functions

In this section, we study the strong coupling expansion of three-point functions of single trace operators in the **E** theory. As discussed in Section 3.2,  $G_{m,n}$ , which are defined in equation (3.20), can be calculated with an expectation value in the matrix model. In the planar limit this is:

$$G_{m,n} = \langle O_m(a)O_n(a)O_{m+n}(a) \rangle. \quad (3.88)$$

Its value in  $\mathcal{N} = 4$  YM is given by, see for example [234],

$$G_{m,n}^{(0)} = \frac{mn(m+n)}{2} \left(\frac{N}{2}\right)^{m+n-1}. \quad (3.89)$$

We will calculate  $\Delta_{m,n}(\lambda)$  which is defined by

$$G_{m,n} = G_{m,n}^{(0)} (1 + \Delta_{m,n}(\lambda) + O(1/N)^2). \quad (3.90)$$

There are three possibilities for the parities of  $m$  and  $n$ . If  $m$  and  $n$  are both even, the three point functions belong to the un-twisted sector, and therefore in the planar limit  $\Delta_{2k,2l} = 0$ . For the cases where  $m$  and  $n$  are both odd, or one is odd and the other is even,  $\Delta_{m,n}$  is generally non-zero. Their leading order behaviour at large  $\lambda$  was calculated previously in [234]. In the next few sections we will calculate the next three terms in its expansion. The reader who is not interested in the calculation can find the result in equations (3.112) and (3.113) below. We want to emphasize that the calculation below is fully analytic, but we use the conjectured form (3.64) for  $D_{kl}$  as input.

Before calculating  $\Delta_{m,n}$  we need to calculate several auxiliary objects called  $T$ ,  $C$  and  $M$ . The  $T$ 's are defined by the expectation values

$$T_m = \langle \Omega_m(a) \rangle, \quad T_{m,n} = \langle \Omega_m(a) \Omega_n(a) \rangle, \quad \text{etc.} \quad (3.91)$$

The mixing coefficients  $C_{m,n}$  were defined previously in equation (3.33). The matrix  $M$  is given by inverting a matrix:  $M = (\mathbf{1} + C)^{-1}$ . We will first present the expressions for  $T$ ,  $C$ ,  $M$  in the untwisted sector and twisted sectors. These results can be found in [234] but we include them here because we need them in Section 3.5.1 where we put everything together and calculate the strong coupling expansion of  $\Delta_{m,n}(\lambda)$ . The two-point functions of operators with even dimensions belong to the un-twisted sector, therefore<sup>15</sup>:

$$T_{2k,2l} = T_{2k,2l}^{(0)} = \frac{N^{k+l+2}}{2^{k+l}} \frac{(2k)!(2l)!}{k!(k+1)!!(l+1)!}. \quad (3.92)$$

In  $\mathcal{N} = 4$  YM, the mixing coefficients are

$$C_{n,m}^{(0)} = \lim_{\lambda \rightarrow 0} C_{n,m} = \left( \frac{N}{2} \right)^{\frac{n-m}{2}} \binom{n}{\frac{n-m}{2}}, \quad \text{if } n > m, \quad (3.93)$$

and  $C_{n,m}^{(0)} = 0$  if  $n \leq m$ , thus for the un-twisted sector, we have

$$C_{2k,2l} = C_{2k,2l}^{(0)} = \left( \frac{N}{2} \right)^{k-l} \binom{2k}{k-l}, \quad \text{if } k > l. \quad (3.94)$$

We introduce the vev-less version of  $\Omega_n(a)$ :

$$\hat{\Omega}_n(a) \equiv \Omega_n(a) - \langle \Omega_n(a) \rangle = \Omega_n(a) - T_n, \quad (3.95)$$

and we denote the transformation coefficients from  $\hat{\Omega}_n(a)$  to  $O_n(a)$  as  $M_{n,m}$ :

$$O_n(a) = \sum_{m \leq n} M_{n,m} \hat{\Omega}_m(a). \quad (3.96)$$

---

<sup>15</sup>All equations presented in this section are valid at the leading order in the large  $N$  expansion.

Using (3.32) one finds:

$$M_{n,m} = \left( \frac{1}{\mathbf{1} + C} \right)_{n,m}^{-1}. \quad (3.97)$$

The matrices  $M$  and  $C$  are lower-triangular, so we obtain from (3.93)

$$M_{n,m}^{(0)} = \left( -\frac{N}{2} \right)^{\frac{n-m}{2}} \frac{n}{m} \left( \frac{\frac{n+m-2}{2}}{\frac{n-m}{2}} \right), \quad \text{if } n \geq m, \quad (3.98)$$

and  $M_{n,m}^{(0)} = 0$  if  $n < m$ . Therefore in the un-twisted sector we have

$$M_{2k,2l} = M_{2k,2l}^{(0)} = \left( -\frac{N}{2} \right)^{k-l} \frac{k}{l} \left( \frac{k+l-1}{k-l} \right), \quad \text{if } k \geq l. \quad (3.99)$$

When  $\lambda = 0$ , one has [250]:

$$O_n^{(0)}(a) = \text{tr } p_n(a), \quad p_n(a) \equiv 2 \left( \frac{N}{2} \right)^{\frac{n}{2}} T_n \left( \frac{a}{\sqrt{2N}} \right) + \delta_{n,2} \mathbf{1} = a^n + \dots. \quad (3.100)$$

where  $T_n(x)$  is the Chebyshev polynomial of the first kind.

The two-point functions  $T_{2k+1,2l+1}$  can be evaluated using  $D_{k,l}$ . From (3.98) and (3.100), one finds

$$\Omega_{2k+1}(a) = \left( \frac{N}{2} \right)^{k+\frac{1}{2}} \sum_{i=0}^{k-1} c_{k,i} \omega_{k-i}(a), \quad \text{with } c_{k,i} = \binom{2k+1}{i} \sqrt{2k-2i+1}, \quad (3.101)$$

and therefore for  $k, l \geq 1$

$$T_{2k+1,2l+1} = \left( \frac{N}{2} \right)^{k+l+1} \sum_{i=0}^{k-1} \sum_{j=0}^{l-1} c_{k,i} c_{l,j} D_{k-i,l-j}. \quad (3.102)$$

Using our conjectured expression (3.64) for  $D_{kl}$  it is then straightforward to show that

$$\begin{aligned}
 T_{2k+1,2l+1} = & \left(\frac{N}{2}\right)^{k+l+1} 4\pi^2 \frac{(2k+1)!(2l+1)!}{k!(k-1)!l!(l-1)!} \left[ \frac{1}{k+l} \frac{1}{\lambda} - \frac{8 \log 2}{\lambda^{3/2}} \right. \\
 & + \frac{16 \log^2 2}{\lambda^2} (2k+2l-1) + \frac{4\pi^2}{3\lambda^2} \frac{k(k-1) + l(l-1)}{k+l-1} \\
 & - \frac{32 \log 2}{3 \lambda^{5/2}} (\pi^2(k(k-1) + l(l-1)) + 4 \log^2 2(k+l-1)(2k+2l-1)) \\
 & \left. + \frac{\zeta(3)}{\lambda^{5/2}} (-32k^2 - 32l^2 + 24k + 24l + 32kl) + O(\lambda)^{-3} \right].
 \end{aligned} \tag{3.103}$$

The mixing coefficients  $C_{2k+1,2l+1}$  for  $k > l \geq 1$  can be obtained from  $T_{2k+1,2l+1}$  using the following formula [234]:

$$C_{2k+1,2l+1} = \frac{\begin{vmatrix} T_{3,3} & T_{3,5} & \cdots & T_{3,2k+1} \\ T_{5,3} & T_{5,5} & \cdots & T_{5,2k+1} \\ \vdots & \vdots & \ddots & \vdots \\ T_{2l+1,3} & T_{2l+1,5} & \cdots & T_{2l+1,2k+1} \end{vmatrix}}{\begin{vmatrix} T_{3,3} & T_{3,5} & \cdots & T_{3,2l+1} \\ T_{5,3} & T_{5,5} & \cdots & T_{5,2l+1} \\ \vdots & \vdots & \ddots & \vdots \\ T_{2l+1,3} & T_{2l+1,5} & \cdots & T_{2l+1,2l+1} \end{vmatrix}}. \tag{3.104}$$

Here the denominator is the determinant of an  $l \times l$  matrix  $T_{2i+1,2j+1}$  with  $1 \leq i, j \leq l$ , and the matrix in the numerator is obtained by performing in the last column the replacement  $l \rightarrow k$ . Substituting (3.103) in (3.104) one obtains

$$\begin{aligned}
 C_{2k+1,2l+1} = & \left(\frac{N}{2}\right)^{k-l} \frac{2k+1}{2l+1} \binom{2k}{k-l} \left[ 1 - \frac{8 \log 2}{\lambda^{1/2}} (k-l) + \right. \\
 & + \frac{16 \log^2 2}{\lambda} (k-l)(2k-2l-1) + \frac{4\pi^2}{3\lambda} (k+l)(k-l) \\
 & - \frac{32 \log 2}{3\lambda^{3/2}} (k-l-1)(k-l) (4 \log^2 2(2k-2l-1) + \pi^2(k+l)) \\
 & \left. + \frac{\zeta(3)}{\lambda^{3/2}} (k-l) (-32k^2 + 16l^2 - 32kl + 24k + 24l - 1) + O(\lambda)^{-2} \right].
 \end{aligned} \tag{3.105}$$



Inverting  $1 + C$  with odd indices we then find that for  $k \geq l$

$$\begin{aligned}
 M_{2k+1,2l+1} = & \left( -\frac{N}{2} \right)^{k-l} \frac{2k+1}{2l+1} \frac{k}{l} \binom{k+l-1}{k-l} \left[ 1 - \frac{8 \log 2}{\lambda^{1/2}} (k-l) \right. \\
 & + \frac{16 \log^2 2}{\lambda} (k-l)(2k-2l-1) + \frac{4\pi^2}{3\lambda} (k+l)(k-l) \\
 & - \frac{32 \log 2}{3\lambda^{3/2}} (k-l-1)(k-l) (4 \log^2 2 (2k-2l-1) + \pi^2 (k+l)) \\
 & \left. + \frac{\zeta(3)}{\lambda^{3/2}} (k-l) (16k^2 - 32l^2 - 32kl - 24k - 24l - 1) + O(\lambda)^{-2} \right].
 \end{aligned} \tag{3.106}$$

The last quantity we will need is the three-point functions of  $\Omega_n(a)$ . This can be found in [234] and reads:

$$T_{2m,2k+1,2l+1} = T_{2m} \left[ 1 + \frac{m(m+1)}{N^2} (k+l+1 + \lambda \partial_\lambda) \right] T_{2k+1,2l+1} + O(1/N)^4. \tag{3.107}$$

### 3.5.1 Three point function at large $\lambda$

Using (3.96), we have

$$\begin{aligned}
 \langle O_{2m}(a) O_{2l+1}(a) O_{2p+1}(a) \rangle &= \sum_{(n,r,s)=1}^{(m,l,p)} M_{2m,2n} M_{2l+1,2r+1} M_{2p+1,2s+1} \langle \widehat{\Omega}_{2n} \widehat{\Omega}_{2r+1} \widehat{\Omega}_{2s+1} \rangle \\
 &= \sum_{(n,r,s)=1}^{(m,l,p)} M_{2m,2n} M_{2l+1,2r+1} M_{2p+1,2s+1} (T_{2n,2r+1,2s+1} - T_{2n} T_{2r+1,2s+1}).
 \end{aligned} \tag{3.108}$$

On the second line above, we substituted the definition of  $\widehat{\Omega}_n$  and simplified. Using (3.107), the term in the bracket is given, in the large  $N$  limit, by

$$T_{2n,2r+1,2s+1} - T_{2n} T_{2r+1,2s+1} = \frac{n(n+1)}{N^2} T_{2n} [(r+s+1) T_{2r+1,2s+1} + \lambda \partial_\lambda T_{2r+1,2s+1}]. \tag{3.109}$$

Using (3.108), we find that the summation of  $n$  can be performed explicitly. Namely, using the expressions for  $T_{2n}$  and  $M_{2m,2n}$  in (3.92) and (3.98), one has

$$\sum_{n=1}^m M_{2m,2n} T_{2n} \frac{n(n+1)}{N^2} = m \left( \frac{N}{2} \right)^{m-1}. \tag{3.110}$$

The sum over  $r, s$  can also be done directly using (3.103) and (3.106). Putting everything together, we find

$$\begin{aligned} \langle O_{2m} O_{2l+1} O_{2p+1} \rangle &= \left( \frac{N}{2} \right)^{p+l+m} \frac{16\pi^2 m}{\lambda} l(2l+1)p(2p+1) \left[ 1 - \frac{4 \log 2}{\lambda^{1/2}} (2p+2l-1) \right. \\ &\quad + \frac{16 \log^2 2}{\lambda} (2p+2l-1)(p+l-1) \\ &\quad - \frac{64 \log^3 2}{3\lambda^{3/2}} (2p+2l-1)(p+l-1)(2p+2l-3) \\ &\quad \left. + \frac{\zeta(3)}{2\lambda^{3/2}} (2p+2l-1) (16p^2 + 16l^2 - 16pl - 4p - 4l - 3) + O(\lambda)^{-2} \right]. \end{aligned} \quad (3.111)$$

If we take  $m = k$  and  $p = k + l$ , use (3.31) and the value of  $G_{m,n}^{(0)}$  in (3.89), we finally find

$$\begin{aligned} 1 + \Delta_{2k, 2l+1} &= \frac{16\pi^2}{\lambda} l(k+l) \left[ 1 - \frac{4 \log 2}{\lambda^{1/2}} (2k+4l-1) + \frac{16 \log^2 2}{\lambda} (2k+4l-1)(k+2l-1) \right. \\ &\quad - \frac{64 \log^3 2}{3\lambda^{3/2}} (2k+4l-1)(k+2l-1)(2k+4l-3) \\ &\quad \left. + \frac{\zeta(3)}{2\lambda^{3/2}} (2k+4l-1) (16k^2 + 16l^2 + 16kl - 4k - 8l - 3) + O(\lambda)^{-2} \right] \end{aligned} \quad (3.112)$$

If we take  $m = k + l + 1$  and  $p = k$  instead, we find

$$\begin{aligned} 1 + \Delta_{2k+1, 2l+1} &= \frac{16\pi^2}{\lambda} kl \left[ 1 - \frac{4 \log 2}{\lambda^{1/2}} (2k+2l-1) + \frac{16 \log^2 2}{\lambda} (2k+2l-1)(k+l-1) \right. \\ &\quad - \frac{64 \log^3 2}{3\lambda^{3/2}} (2k+2l-1)(k+l-1)(2k+2l-3) \\ &\quad \left. + \frac{\zeta(3)}{2\lambda^{3/2}} (2k+2l-1) (16k^2 + 16l^2 - 16kl - 4k - 4l - 3) + O(\lambda)^{-2} \right] \end{aligned} \quad (3.113)$$

The leading term in the large  $\lambda$  expansion in equations (3.112) and (3.113) agrees with the result in [234], the next three subleading terms are our novel results based on the conjecture for  $D_{kl}$  in (3.64).

In [248], which appeared while the first version of this manuscript was being finished, it was pointed out that the expression for  $\Delta_k(\lambda)$  simplifies when using

the variable  $\lambda'$ , where

$$(\lambda')^{1/2} = \lambda^{1/2} - 4 \log 2. \quad (3.114)$$

We observe that something similar happens for  $\Delta_{k,l}(\lambda)$ , namely we have

$$1 + \Delta_{2k,2l+1}(\lambda) = \frac{16\pi^2}{\lambda} l(k+l) \left( \frac{\lambda'}{\lambda} \right)^{(2k+4l-1)/2} \left[ 1 + \frac{\zeta(3)}{2(\lambda')^{3/2}} (2k+4l-1) (16k^2 + 16l^2 + 16kl - 4k - 8l - 3) + O(\lambda')^{-2} \right], \quad (3.115)$$

and

$$1 + \Delta_{2k+1,2l+1}(\lambda) = \frac{16\pi^2}{\lambda} kl \left( \frac{\lambda'}{\lambda} \right)^{(2k+2l-1)/2} \left[ 1 + \frac{\zeta(3)}{2(\lambda')^{3/2}} (2k+2l-1) (16k^2 + 16l^2 - 16kl - 4k - 4l - 3) + O(\lambda')^{-2} \right]. \quad (3.116)$$

That this simplification also occurs for  $\Delta_{k,l}(\lambda)$  seems to provide additional support for the conjectured form of  $D_{kl}$  in (3.64). Also, based on the form for  $\Delta_k(\lambda)$  in [248], it is tempting to speculate that the expressions (3.115) and (3.116) are correct up to  $O(\lambda')^{-5/2}$  instead of only up to  $O(\lambda')^{-2}$  displayed above, and that the coefficient of the next non-zero term is proportional to  $\zeta(5)$ .

## 3.6 Discussion

In this work, our main focus was on developing an efficient numerical algorithm that allows for the calculations of correlation functions in the **E** theory at strong coupling. To this end, we exploited recent supersymmetric localization results that reduce the calculations of these observables to matrix models that can be analyzed with a variety of techniques. Based on our numerical studies we were able to extract analytic expression for a few of the leading terms in the strong coupling expansion of these observables.

Parallel to our work, analytic techniques have been developed to derive these results. The authors of [248] took advantage of techniques on Bessel kernels developed in [251] to confirm some of the results presented in our Section 3.3

and Section 3.4. The results were used in [252] to study the strong coupling expansion of three-point extremal correlators.

We note that the kernel of the integral operator appearing in this chapter is *integrable* [253, 254]. This type of kernel appears often in the mathematical physics literature. In that context, one often translates the calculation to a Riemann-Hilbert problem and the expansion for large parameters is carried out with a non-linear steepest descent method [255]. Perhaps these methods can also be applied to our setup to calculate the large  $\lambda$  expansion of the correlators analytically.

The numerical techniques we developed can also be efficiently applied to calculate correlators at finite values of the coupling. This provides valuable lessons about the properties of large  $N$  gauge theories at finite coupling. This can be used for instance to understand the convergence properties of the weak and strong coupling expansion and to gain insights into non-perturbative effects. We believe that very similar methods can be applied also to other examples of 4d  $\mathcal{N} = 2$  SCFTs that can be analyzed with supersymmetric localization. The Lagrangian theories discussed in Section 3.2 are natural candidates for such an analysis. Work along these lines has recently appeared in [256, 257, 258, 259] for a class of quiver gauge theories and we hope that our techniques will find an application and can be adapted to the study of these models.

The strong coupling results obtained here have connections to string theory through the AdS/CFT correspondence. It will be most interesting to understand how to calculate any of the correlators we analyzed by using world sheet methods. This problem appears to be highly non-trivial since it requires performing string world sheet calculations in the  $\text{AdS}_5 \times S^5/\mathbb{Z}_2$  orientifold at high order in the  $\alpha'$  perturbative expansion. We hope that our results will serve as an impetus to tackle this challenging world sheet analysis. Some progress has been made in the literature reproducing the extremal correlators. The leading order analysis in supergravity [256, 257] reproduces the leading order of strong coupling limit in (3.115) and (3.116). Partial results on higher-derivative corrections in type IIB supergravity are reported in [260] which tried to explain the term  $\sim \zeta(3)\lambda^{-3/2}$  in our expansion (3.115) and (3.116).

Throughout the chapter, we have been discussing the planar limit exclusively. It is desirable to study the  $1/N$  corrections to the observables. The main difficulty is that at finite  $N$ , the operator mixing between  $S^4$  and  $\mathbb{R}^4$  will involve not only single-trace operators but also multi-trace ones, which will make the Gram-Schmidt method much more complicated. Some comments on extremal correlators are in the Appendix D of [234]. A novel double-scaling limit with  $\lambda^{3/2}/N^2$  fixed is discussed in [261], which also deserves a closer study.

## Chapter 4

# Partition functions on squashed seven-spheres and holography

### 4.1 Introduction

The AdS/CFT correspondence posits dualities between large  $N$  field theories and string theories in asymptotically AdS spacetimes. [34, 40, 39] In its original proposal, the conformal field theory is living on a manifold  $\mathcal{M}_d$  conformally equivalent to the boundary of the bulk. The coupling between the conformal field theory and the background helps us gain insight into the dynamics of the field theory. As the bulk theories typically lie in the semi-classical regime, one usually needs to exert non-perturbative tools to investigate the field theories, the well-known examples include supersymmetric localization [20], bootstrap, and integrability. Through a simplified version of AdS/CFT correspondence [262], the  $O(N)$  model, which is a set of  $N$  conformally coupled scalars, corresponds to higher spin gravity in the bulk, both of them can be attacked analytically. In our setup, instead, we consider a Euclidean Einstein gravity living in an asymptotically locally  $AdS_8$  space without matter coupling, which does not correspond to  $O(N)$  model, but shares some universal behaviors as dictated by the conformal symmetry. On the boundary, we consider non-supersymmetric conformal field theories.

The phase structure of asymptotically AdS spaces is an interesting topic,

originating from the famous Hawking-Page phase transition [263] between thermal  $\text{AdS}_4$  space and Euclidean Schwarzschild black hole. Holographically, the Hawking-Page transition is conjectured to be dual to the confinement-deconfinement transition in QCD, where the two phases correspond to different behaviors of Wilson loops. [53] Generalizations of Hawking-Page transition has been discussed in [264] where the asymptotical boundary geometry is  $S^2 \times S^d$ , and in [265] where the boundary geometry is  $S^{d_1} \times S^{d_2}$ . Besides, thermodynamical properties and phase transitions are also discussed for AdS-Taub-NUT spaces [266, 267, 268, 269, 270], where the asymptotic geometry is a  $U(1)$  fiber bundle over a Kähler-Einstein space  $\mathcal{B}$ , where the metric looks like:

$$ds^2 = dr^2 + a^2(r)ds_{\mathcal{B}}^2 + b^2(r)(d\psi + A_{\mathcal{B}})^2, \quad (4.1)$$

where  $A_{\mathcal{B}}$  is the Kähler potential and the Kähler form is given by  $J_{\mathcal{B}} = dA_{\mathcal{B}}$ . The metric on the asymptotic boundary where the conformal field theory lives is given by taking  $r \rightarrow \infty$ :

$$ds_{\text{bdy}}^2 = ds_{\mathcal{B}}^2 + \lambda^2(d\psi + A_{\mathcal{B}})^2, \quad \lambda \equiv \lim_{r \rightarrow \infty} \frac{b(r)}{a(r)}. \quad (4.2)$$

The squashing parameter  $\lambda$  controls the size of the  $U(1)$  bundle, which no longer has the interpretation as the inverse temperature as in thermal field theories. Besides NUT, there exists another family of spaces, which has the same asymptotic symmetry but with a different topology, dubbed Bolt, which has a horizon where the Killing vectors degenerate. By varying the size of the  $U(1)$  bundle and comparing the gravitational free energies, one observes a first-order phase transition between Taub-NUT and Taub-Bolt. In [271], a generalization of Euclidean  $\text{AdS}_4$ -Taub-NUT space with two squashing parameters was studied.

In our work, we focus on a squashed asymptotically locally  $\text{AdS}_8$  geometry preserving  $\text{SO}(5) \times \text{SO}(3)$  isometry [272, 273]<sup>1</sup>, whose boundary geometry is a squashed seven sphere constructed by an  $\text{SU}(2)$  bundle over  $\mathbb{S}^4$ . By evaluating and comparing the gravitational free energy of  $\text{SU}(2)$  analog of NUT and Bolt spaces, we are able to study the phase structure of our  $\text{AlAdS}_8$  geometry. One special property of our squashed  $\mathbb{S}^7$  is that there exist two squashing parameters  $\lambda = 1, \lambda^2 = 1/5$ , for which the squashed sphere is an Einstein manifold [275], i.e.,  $R_{\mu\nu} = kg_{\mu\nu}$  for some constant  $k$ . For the two special cases, we are able to solve the Einstein equations analytically and then evaluate the Euclidean free energy by using the standard holographic renormalization. [269, 179, 29] For general  $\lambda > 0$ , we can evaluate the free energy numerically. Interestingly, although having the analogs of NUT and Bolt phases, there exists only one phase for a given value  $\lambda$ , meaning there is no Hawking-Page-like transition between the two competing phases. A similar phase structure has been found

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<sup>1</sup>See [274] for a similar bulk setup but with positive cosmological constant.

in [265], which appears only if the the boundary geometry  $S^{d_1} \times S^{d_2}$  satisfies  $d_1 + d_2 \geq 9$ .

The field theory contents we're interested in are Euclidean conformal field theories living on the squashed seven sphere with  $\text{SO}(5) \times \text{SO}(3)$  isometry, where the squashing naturally couples the field theory with the background metric. It is the stress tensor of boundary CFT that couples to the squashed metric, the dynamical properties of which are constrained largely by the conformal symmetry [276, 277], which reflects the universal character for all CFTs. The free energy in odd-dimensional field theories is an important quantity since it's conjectured to reflect the degrees of freedom in the field theory and thus monotonically decreasing along with RG flows, which is called  $F$ -theorem. [61, 278] The quantity conjectured to be decreasing along the RG flow is related to the free energy  $F \equiv -\log |Z_{S^d}|$  by a sign:

$$\tilde{F} \equiv (-1)^{\frac{d-1}{2}} \log |Z_{S^d}|. \quad (4.3)$$

The  $F$ -theorem was proven only in 3d CFT by [279], but is supported by pieces of evidence in other dimensions. [278] In our scenario, the CFT living on round  $S^7$  is a free UV fixed point, and introducing squashing on the metric corresponds to switching on a marginal spin-2 deformation generated by the stress tensor: [180]

$$S[g_{\mu\nu}, \phi] = S[g_{\mu\nu}^{(0)}, \phi] - \frac{\epsilon}{2} \int d^d x \sqrt{g^{(0)}} h^{\mu\nu} T_{\mu\nu}, \quad T_{\mu\nu} \equiv -\frac{2}{\sqrt{g}} \frac{\delta S[g_{\mu\nu}, \phi]}{\delta g^{\mu\nu}}. \quad (4.4)$$

For a conformal field theory without conformal anomaly, which is true in odd dimensions, we expect the first-order derivative  $\tilde{F}'(\epsilon)$  to vanish at  $\epsilon = 0$ , which is proportional to the one-point function of the stress tensor. And the second-order derivative  $\tilde{F}''(\epsilon)$  should be negative as dictated by  $F$ -theorem since the marginal spin-2 deformation induces an RG flow from the UV fixed point, along which the free energy must be decreasing. It can be expressed as a double integral on the round sphere:

$$F''(\epsilon) \Big|_{\epsilon=0} = -\frac{1}{4} \int d^d x d^d y \sqrt{g^{(0)}(x)g^{(0)}(y)} h^{ab}(x) h^{cd}(y) \langle T_{ab}(x) T_{cd}(y) \rangle_{\partial\mathcal{M}}. \quad (4.5)$$

Quite remarkably, we are able to evaluate the second-order derivatives for general CFTs living on not only squashed  $\text{SO}(5) \times \text{SO}(3)$  seven spheres but also another  $\text{U}(k+1) \times \text{U}(1)$  family of squashed  $(2k+1)$ -spheres, the latter was only conjectured from results in high-derivative gravity in [280]. The result is universal and applies to all CFTs, and this is the first time to obtain them from the field theory to our knowledge.

The above universal results only apply to cases where the squashing is small. For finite squashing, we consider two toy models: the  $\text{O}(N)$  vector model, which

is equivalent to conformally coupled scalars, and a free fermion model. The free energy of the field theories can be obtained by taking advantage of the spectrum of the Laplacian and the Dirac operators on our squashed metric [281, 282], where the path integral boils down to a Gaussian integral, making the evaluation of the free energy for generic squashing  $\lambda$  possible. [283, 284, 285] We have also investigated the behavior of free energy at small squashing analytically and at large squashing numerically. The comparison among free energies of holographic CFTs, the  $O(N)$  model, and the free fermion model has been studied at small squashing [180, 280, 286] and large squashing [180, 283, 284] where the boundary is a squashed three-sphere. We have extended this exploration to the seven-sphere with  $SU(2)$  bundle.

The structure of the chapter is as follows. In Section 4.2, we introduce two bulk metric ansatzes which preserve  $SO(5) \times SO(3)$  isometry and solve the Einstein equations. We also calculate the gravitational free energy as a function of the squashing parameter  $\lambda$ . The field theory calculations are included in Section 4.3, where we begin with the small-squashing behavior for generic CFT living on  $SU(2)$  squashed seven spheres and  $U(1)$  squashed  $(2k+1)$ -spheres. We also evaluate the free energy of both conformally-coupled scalar and free fermion fields using different methods. In section 4.4, we compare bulk and boundary free energies.

## 4.2 Bulk story

In this section, we study the generalization of Hawking-Page transition in asymptotically locally  $AdS_8$  with Euclidean signature. We begin with an example of Hawking-Page transition in asymptotically locally  $AdS_4$ . Then we go to 8d, introducing two ways to construct squashed sphere metrics and use them to obtain the metrics we are after. Taking the squashed seven sphere as a boundary, the bulk geometry can be obtained by numerically solving the equation of motions. In the end, we can take advantage of the bulk solution to obtain the renormalized free energy.

### 4.2.1 The phase transition between $AdS_4$ NUT and Bolt

#### The geometries

To illustrate better the Hawking-Page phase transition and competition between phases, we take an example of the asymptotically locally  $AdS_4$  NUT and Bolt



spaces. The metric is given by: [179, 287]

$$ds^2 = 4n^2 V(\rho) (\sigma_3)^2 + \frac{d\rho^2}{V(\rho)} + (\rho^2 - n^2) (\sigma_1^2 + \sigma_2^2), \quad (4.6)$$

where the 1-forms  $\sigma_i$  are defined in (4.23) below and  $V$  is given by

$$V(\rho) = \frac{(\rho^2 + n^2) - 2m\rho + l^{-2}(\rho^4 - 6n^2\rho^2 - 3n^4)}{\rho^2 - n^2}, \quad (4.7)$$

where  $n$  is the NUT charge,  $m$  is the generalised mass and  $l$  is the AdS length scale. The asymptotic behaviour for  $\rho \rightarrow \infty$  of the metric in (4.6) is locally the same as the one for  $\text{AdS}_4$ . The only difference being that the boundary is a squashed  $S^3$  with a single squashing parameter, which can be seen by taking the limit  $\rho \rightarrow \infty$  on (4.6):

$$ds^2 = \frac{l^2}{\rho^2} d\rho^2 + r^2 \left[ (\sigma_1^2 + \sigma_2^2) + \frac{4n^2}{l^2} (\sigma_3)^2 \right], \quad (4.8)$$

for which we can identify  $n/l$  as a squashing parameter of the squashed  $S^3$  on the boundary. When  $n/l = 1/2$ , the metric on the sphere  $ds^2 = \sigma_1^2 + \sigma_2^2 + \sigma_3^2$  reduces to that of a round sphere. The asymptotic boundary is the same for all bulk phases, which are distinct in the bulk. Let us first introduce the NUT solution, which is obtained by requiring the Dirac-Misner string to be unobservable and there should be no conical defect at  $\rho = n$ , this gives a constraint on the mass parameter:

$$m = n - \frac{4n^3}{l^2}. \quad (4.9)$$

Now we zoom in to the “near-horizon region” by using the new radial coordinate  $R = \sqrt{8n(\rho - n)}$ , the leading order expansion of the metric in terms of  $R$  gives:

$$ds^2 = dR^2 + \frac{1}{4} R^2 (\sigma_1^2 + \sigma_2^2 + \sigma_3^2), \quad (4.10)$$

which is the coordinate on the origin of smooth  $\mathbb{R}^4$ . We can see that the NUT solution reduces to  $\text{AdS}_4$  when  $n/l = 1/2$ .

When the emblackening factor  $1/V(\rho)$  diverges at  $\rho = \rho_b > n$ , we get the second set of solutions called Bolt. Similarly requiring the absence of conical singularity at the “horizon”, we get:

$$V(\rho_b) = 0, \quad V'(\rho_b) = \frac{1}{2n}. \quad (4.11)$$

From the first condition in (4.11) one finds that the mass of the Bolt should satisfy

$$m_b = \frac{\rho_b^2 + n^2}{2\rho_b} + \frac{1}{2l^2} \left( \rho_b^3 - 6n^2\rho_b - \frac{3n^4}{\rho_b} \right). \quad (4.12)$$

The second condition in (4.11) yields a relation between  $\rho_b$  and  $n$  and  $l$ :

$$\rho_{b\pm} = \frac{l^2}{12n} \left( 1 \pm \sqrt{1 - 48 \frac{n^2}{l^2} + 144 \frac{n^4}{l^4}} \right). \quad (4.13)$$

There are two branches of Bolt solutions, reminiscent of the large and small Schwarzschild black holes in  $\text{AdS}_4$  in the original Hawking-Page transition [263], where the small black hole never dominates. By requiring the positivity of the discriminant in (4.13) and that  $\rho_b > n$ , we find the range of the squashing parameter  $n/l$  for the Bolt solutions to exist:

$$0 < \frac{n^2}{l^2} = \frac{2 - \sqrt{3}}{12} \approx 0.022. \quad (4.14)$$

In particular, the Bolt solutions don't exist for  $n/l = 1/2$  corresponding to the  $\text{AdS}_4$  in the NUT solutions. Now we show that the near-horizon geometry is rather different. Take the new radial coordinate  $R \equiv \sqrt{8n(\rho - \rho_b)}$  and take the limit  $R \rightarrow 0$ , we get:

$$ds^2 = dR^2 + \frac{R^2}{4} d\psi^2 + (\rho_b^2 - n^2)(\sigma_1^2 + \sigma_2^2), \quad (4.15)$$

which has the geometry  $\mathbb{R}^2 \times S^2$ .  $\psi$  plays the role of angular coordinate in  $\mathbb{R}^2$  whose range is  $0 \leq \psi < 4\pi$ . The two sphere has a radius  $\sqrt{\rho_b^2 - n^2}$  which is positive because we require  $\rho_b > n$ .

## The phase transition

Now we understand both the near-horizon geometry and asymptotic boundary for the two families of solutions, we can get down to studying the phase transition. We start from the Euclidean Einstein-Hilbert action:

$$S = -\frac{1}{16\pi G_N} \int_M d^4x \sqrt{g} (R - 2\Lambda) - \frac{1}{8\pi G_N} \int_{\partial M} d^3x \sqrt{h} K, \quad (4.16)$$

where  $h$  denotes the determinant of the induced metric on the boundary  $\partial M$  of our choice, and  $K$  is the trace of the extrinsic curvature. The integral is proportional to the volume of the asymptotically AdS space which diverges, so we need to introduce counter terms:

$$S_{\text{ct}} = \frac{1}{8\pi G_N} \int_{\partial M} d^3x \sqrt{h} \left( \frac{\mathcal{R}}{2} + 2 \right), \quad (4.17)$$

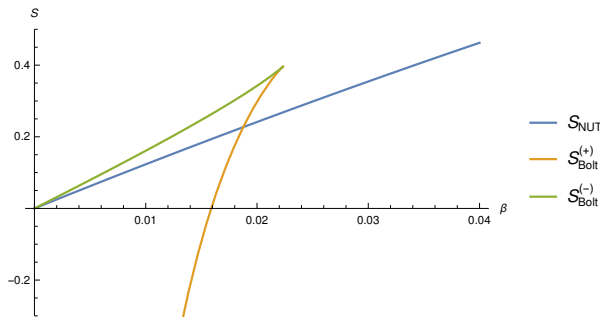


Figure 4.1: The Euclidean action  $S$  versus the squashing parameters  $\beta = n^2/l^2$  for the three families of classical asymptotically locally  $\text{AdS}_4$  saddles. The actions are expressed in units of  $l^2/G_N$ .

where  $\mathcal{R}$  is the scalar curvature on the boundary. A natural choice of boundary is the surface of constant  $\rho$ , this gives us the following renormalized action:

$$\begin{aligned}
 S_{\text{NUT}} &= \frac{4\pi l^2}{G_N} \beta(1 - 2\beta), \quad \beta \equiv \frac{n^2}{l^2}, \quad 0 < \beta < \infty, \\
 S_{\text{Bolt}}^{(\pm)} &= \frac{\pi l^2}{216 G_N} \left[ \left( 72 - \frac{1}{\beta} \right) \pm \left( 48 - \frac{1}{\beta} - 144\beta \right) \sqrt{1 + 48\beta(-1 + 3\beta)} \right], \\
 0 < \beta &\lesssim 0.022.
 \end{aligned} \tag{4.18}$$

The action versus the squashing parameter  $\beta = n^2/l^2$  is plotted in Fig. 4.1. The saddle-point approximation (1.5) tells us that the saddle with the lowest free energy dominates. As we know,  $\beta = 1/4$  corresponds to the round three sphere on the boundary, so when  $\beta$  is close to 0, the smaller  $\beta$  is, the more squashed the sphere becomes. According to the plot, when the squashing is small, the NUT saddle dominates. In fact, NUT is the only saddle for  $\beta \gtrsim 0.022$ . When the sphere gets more squashed such that

$$\beta < \beta_* = \frac{7 - 2\sqrt{10}}{36} \approx 0.019,$$

the dominant saddle becomes Bolt<sup>(+)</sup>. The Bolt solutions with smaller radius never dominate.

The above example shows how the Hawking-Page phase transition happens when the asymptotic boundary is a squashed sphere, whose squashing parameter determines which phase dominates. The goal for our study is to test whether

similar story applies to a Euclidean asymptotically locally  $\text{AdS}_8$  space with  $\text{SO}(5) \times \text{SO}(3)$  isometry.

## 4.2.2 A tale of two metrics

In this chapter, we consider squashed seven spheres with  $\text{SO}(5) \times \text{SO}(3)$  isometry, whose metric can be constructed by distinguished yet equivalent ways. The first one is by embedding the sphere in a projective space admitting the standard Fubini-Study metric, and the other one is by Hopf fibration over a projective space, the fibration is non-trivial because of the existence of Kähler or hyper-Kähler potential on the projective space.

We start with the first construction following [288, 99], where we embed  $\mathbb{S}^7$  in  $\mathbb{HP}^2$ . The standard Fubini-Study metric on  $\mathbb{HP}^2$  is:

$$ds^2 = (1 + \bar{q}_k q_k)^{-1} d\bar{q}_i dq_i - (1 + \bar{q}_k q_k)^{-2} \bar{q}_i dq_i d\bar{q}_j q_j, \quad q_1, q_2 \in \mathbb{HP}^2, \quad (4.19)$$

where the repeated indices are summed over  $\{1, 2\}$ . We introduce a parametrization of  $(q_1, q_2) \in \mathbb{HP}^2$ :

$$q_1 = U \tan \chi \cos \frac{1}{2} \mu, \quad q_2 = V \tan \chi \sin \frac{1}{2} \mu, \quad 0 \leq \chi \leq \pi/2, \quad 0 \leq \mu \leq \pi. \quad (4.20)$$

Here,  $U, V \in \text{SU}(2)$  can be regarded as quaternions with unit length, so we parametrize them by Euler angles  $(\theta, \phi, \psi)$  and  $(\Theta, \Phi, \Psi)$  respectively:

$$U = e^{\mathbf{k}\phi/2} e^{\mathbf{i}\theta/2} e^{\mathbf{k}\psi/2}, \quad V = e^{\mathbf{k}\Phi/2} e^{\mathbf{i}\Theta/2} e^{\mathbf{k}\Psi/2}, \quad 0 \leq \theta \leq \pi, \quad 0 \leq \phi \leq 2\pi, \quad 0 \leq \psi \leq 4\pi, \quad (4.21)$$

where  $\mathbf{i}, \mathbf{j}, \mathbf{k}$  are units of quaternion. The Maurer-Cartan form can be worked out directly:

$$2U^{-1}dU = \mathbf{i}\sigma_1 + \mathbf{j}\sigma_2 + \mathbf{k}\sigma_3, \quad 2V^{-1}dV = \mathbf{i}\Sigma_1 + \mathbf{j}\Sigma_2 + \mathbf{k}\Sigma_3, \quad (4.22)$$

where the left-invariant one-forms are:

$$\sigma_1 = \cos \psi d\theta + \sin \psi \sin \theta d\phi, \quad \sigma_2 = -\sin \psi d\theta + \cos \psi \sin \theta d\phi, \quad \sigma_3 = d\psi + \cos \theta d\phi;$$

$$\Sigma_1 = \cos \Psi d\Theta + \sin \Psi \sin \Theta d\Phi, \quad \Sigma_2 = -\sin \Psi d\Theta + \cos \Psi \sin \Theta d\Phi, \quad \Sigma_3 = d\Psi + \cos \Theta d\Phi. \quad (4.23)$$

Using the parametrization above, the metric (4.19) becomes

$$ds^2 = d\chi^2 + \frac{1}{4} \sin^2 \chi \left[ d\mu^2 + \frac{1}{4} \sin^2 \mu \omega_i^2 + \frac{1}{4} \cos^2 \chi (\nu_i + \cos \mu \omega_i)^2 \right], \quad (4.24)$$

$$\nu_i \equiv \sigma_i + \Sigma_i, \quad \omega_i \equiv \sigma_i - \Sigma_i, \quad i = 1, 2, 3.$$

By setting  $\chi$  to be constant, we're equivalently taking the co-dimension 1 surface foliating the projective space and surrounding the original point  $\chi = 0$ . Introducing the squashing parameter  $\lambda \equiv \cos \chi$ , we obtain the metric on a squashed seven sphere with unit radius [288]:<sup>2</sup>

$$ds^2 = \frac{1}{4} \left[ d\mu^2 + \frac{1}{4} \sin^2 \mu \omega_i^2 + \frac{1}{4} \lambda^2 (\nu_i + \cos \mu \omega_i)^2 \right]. \quad (4.25)$$

One can introduce the following vielbein:

$$e^1 = \frac{1}{2} d\mu, \quad e^i = \frac{1}{4} \sin \mu \omega_{i-1}, \quad e^I = \frac{\lambda}{4} (\nu_{I-4} + \cos \mu \omega_{I-4}), \quad i = 2, 3, 4; I = 5, 6, 7. \quad (4.26)$$

Under the tetrad, the Ricci tensor is diagonal:

$$R_{ab} = \text{diag}(\alpha, \alpha, \alpha, \alpha, \beta, \beta, \beta), \quad \alpha = 12 - 6\lambda^2, \quad \beta = 4\lambda^2 + \frac{2}{\lambda^2}. \quad (4.27)$$

For  $\alpha = \beta$ , the space becomes Einstein. There're two possibilities for that condition,  $\lambda = 1$  and  $\lambda_* = \frac{1}{\sqrt{5}}$ . These two values will both play important roles in the gravitational free energy.

Now let's look at the other way to construct squashed seven-sphere with  $SO(5) \times SO(3)$  isometry. It's motivated by  $k = 1$  SU(2) Yang-Mills instanton on  $\mathbb{S}^4$ . Considering  $\mathbb{S}^7$  as  $\mathbb{S}^3$  bundle over  $\mathbb{S}^4$  with a gauge potential  $A_i = \cos^2 \frac{\tilde{\mu}}{2} \tilde{\Sigma}_i$ , the metric is

$$ds^2 = \frac{1}{4} [ds_{\mathbb{S}^4}^2 + \lambda^2 (\tilde{\sigma}_i - A_i)^2], \quad ds_{\mathbb{S}^4}^2 = d\tilde{\mu}^2 + \frac{1}{4} \sin^2 \tilde{\mu} \tilde{\Sigma}_i^2. \quad (4.28)$$

This is an “inverse-Kaluza-Klein” procession, where we construct a metric on  $\mathbb{S}^7$  out of a four-dimensional metric plus an SU(2) bundle, and the size of the bundle is described by the squashing parameter  $\lambda$ . In fact, we find the metric returns to  $\mathbb{S}^3 \times \mathbb{S}^4$  if we set  $A^i = 0$ , where  $\tilde{\sigma}_i$  parametrizes  $\mathbb{S}^3$  and  $\tilde{\Sigma}_i$  is on  $\mathbb{S}^4$ . One can define the following vielbein:

$$e^1 = \frac{1}{2} d\tilde{\mu}, \quad e^i = \frac{1}{4} \sin \tilde{\mu} \tilde{\Sigma}_{i-1}, \quad e^I = \frac{\lambda}{2} (\tilde{\sigma}_{I-4} - A_{I-4}), \quad i = 2, 3, 4; I = 5, 6, 7, \quad (4.29)$$

under which the Ricci tensor is the same as the before (4.27), indicating that the two metrics are closely related.

The two metrics (4.25) and (4.28) constructed above share some similarities, they are both characterized by one squashing parameter  $\lambda$ , become round when

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<sup>2</sup>The scale factor 1/4 ahead is to make sure when  $\lambda = 1$ , the metric goes back to unit sphere  $\mathbb{S}^7$ .

$\lambda = 1$ , and are Einstein when  $\lambda = 1$  or  $\frac{1}{\sqrt{5}}$ ; they both preserve explicitly the  $\text{SO}(5) \times \text{SO}(3)$  isometry. Although they're different metrics, there exists a map between them<sup>3</sup>

$$\tilde{\sigma}_i = \sigma_i, \quad \mathbf{i}\Sigma_1 + \mathbf{j}\Sigma_2 + \mathbf{k}\Sigma_3 = \tilde{V}(\mathbf{i}\tilde{\omega}_1 + \mathbf{j}\tilde{\omega}_2 + \mathbf{k}\tilde{\omega}_3)\tilde{V}^{-1}, \quad \tilde{\mu} = \pi - \mu, \quad (4.30)$$

where  $\tilde{\omega}_i \equiv \tilde{\sigma}_i - \tilde{\Sigma}_i$ , and  $2\tilde{V}^{-1}d\tilde{V} = \mathbf{i}\tilde{\Sigma}_1 + \mathbf{j}\tilde{\Sigma}_2 + \mathbf{k}\tilde{\Sigma}_3$  same as defined before. The map can be written equivalently in the following more compact way:

$$U = \tilde{U}, \quad V = \tilde{U}\tilde{V}^{-1} \quad \Leftrightarrow \quad \tilde{U} = U, \quad \tilde{V} = V^{-1}U; \quad \tilde{\mu} = \pi - \mu, \quad (4.31)$$

recall that  $U, V$  are parametrized by the Euler angles as in (4.21) and likewise for  $\tilde{U}, \tilde{V}$ . The relation above is nothing but a twist performed in the definition of some angles on the  $\mathbb{S}^3$  bundle between the two metrics, which is philosophically the same as the transformation between (C.19) and (C.33) for a similar construction on complex projective spaces, this explains the similarities between the two metrics.

Since we're concerning Euclidean AlAdS<sub>8</sub> in the bulk with a squashed seven sphere at the boundary, we make the following two ansatzes:<sup>4</sup>

$$ds^2 = dr^2 + a^2(r) \left( d\mu^2 + \frac{1}{4} \sin^2 \mu \Sigma_i^2 \right) + b^2(r) (\sigma_i - A_i)^2, \quad i = 1, 2, 3 \quad (4.32a)$$

$$ds^2 = f_1^2(r) dr^2 + f_2^2(r) \left( d\mu^2 + \frac{1}{4} \sin^2 \mu \omega_i^2 \right) + \frac{f_{i+2}^2(r)}{4} (\nu_i + \cos \mu \omega_i)^2, \quad (4.32b)$$

where  $a(r), b(r), f_1(r), \dots, f_5(r)$  are undetermined functions of  $r$  only. In the first ansatz, we set the coefficient of  $dr$  to be 1 for simplicity, which can also be kept in general. Also note that in the second ansatz,  $f_3, f_4$ , and  $f_5$  can be different, while in the first ansatz, Einstein equations require only one function  $b(r)$  can be arbitrary. This suggests an enhanced permutation symmetry in the ansatz (4.32a), and the twist performed in (4.30) breaks the symmetry. In the main text of the chapter, we will focus on the first ansatz, and we put our partial results on the second ansatz in appendix C.1 and a more general study for future work.

<sup>3</sup>The relation in equation (8.1.32) of [99] is not correct, and should actually be inversed.

<sup>4</sup>We have replaced all tilded quantities with un-tilded ones for simplicity.

### 4.2.3 Equation of Motion

We consider Einstein gravity in Euclidean  $\text{AlAdS}_{d+1}$  space with negative cosmology constant, the equations of motion are:

$$G_{\mu\nu} + \Lambda g_{\mu\nu} = 0, \quad \Lambda = -\frac{d(d-1)}{2\ell^2}, \quad (4.33)$$

where  $\ell$  is the scale of AdS. Plugging in the ansatz, we take advantage of the vielbein formalism in GR to simplify the Einstein field equations. We take the achtbein  $e_\mu^m$  such that the metric tensor  $g_{\mu\nu} = \delta_{mn} e_\mu^m e_\nu^n$ . In the local achtbein coordinate, the connection, Riemann tensor, Ricci tensor, scalar curvature, and Einstein equations are given by:<sup>5</sup>

$$\begin{aligned} \Gamma_{lmn} &= \frac{1}{2}(d_{lmn} - d_{lnm} + d_{mnl} - d_{mln} + d_{nml} - d_{nlm}), \quad d_{lmn} \equiv e_{l\mu} e_n^\nu \partial_\nu e_m^\mu \\ R_{klmn} &= 2\partial_{[k}\Gamma_{|mn|l]} + 2\Gamma_{m[l}^a \Gamma_{|an|k]} + 2\Gamma_{[kl]}^a \Gamma_{mna}, \quad R_{km} = R_k^l{}_{ml}, \quad R = R^k{}_k \\ R_{km} - \frac{1}{2}R\delta_{km} + \Lambda\delta_{km} &= 0, \quad k, l, \dots = 1, 2, 3, \dots, 8. \end{aligned} \quad (4.34)$$

By extracting independent parts of Einstein equations, we get the following equations of motion for the first ansatz:

$$\begin{aligned} -16a^3ba'b' - 8a^2b^2a'^2 - 4a^4b'^2 + 28a^4b^2 + 8a^2b^2 + a^4 - 2b^4 &= 0, \\ -4ab^2a'' - 12aba'b' - 4b^2a'^2 - 4a^2bb'' - 4a^2b'^2 + 28a^2b^2 + a^2 + 4b^2 &= 0, \\ 16a^3b^2a'' + 32a^3ba'b' + 24a^2b^2a'^2 + 8a^4bb'' + 4a^4b'^2 - 84a^4b^2 - 24a^2b^2 - a^4 + 10b^4 &= 0. \end{aligned} \quad (4.35)$$

Only two of them are independent because of Bianchi identity so they are not over-constrained. An analytical study is desirable, but not yet available unfortunately for now, and partial attempts were done in [290] for a similar problem with vanishing cosmological constant. In this chapter, we will solve them perturbatively at both large- $r$  and small- $r$  regimes, followed by numerical evaluation, in the same manner as [271]. By numerical simulation, we are able to find relations between parameters in large- $r$  series and small- $r$  ones.

Remarkably, the equations of motion (C.1) for the second metric ansatz are identical to the ones above when imposing  $f_3 = f_4 = f_5$ . Thus the analysis below applies identically to the two metrics. In a sense, the second metric is a generalization of the first one which we will focus on in the main text.

<sup>5</sup>We follow the notations and conventions of [289].

## Large radius expansion

As a space with negative cosmological constant, it's natural to perform Fefferman-Graham expansion at large- $r$ :

$$\begin{cases} a(r) = e^r A_0 + A_1 + e^{-r} A_2 + \dots \\ b(r) = e^r B_0 + B_1 + e^{-r} B_2 + \dots \end{cases} \quad (4.36)$$

Solving the equations of motion order by order, we find that a general solution can be determined by three parameters, which we choose to be  $A_0, B_0$ , and  $A_7$ . The coefficient  $A_7$  is dual to the vacuum expectation value (vev) of a corresponding operator on the boundary [291], which is the stress tensor in our case and thus should vanish. The first several terms are:

$$\begin{aligned} a(r) &= A_0 e^r + e^{-r} \left( \frac{B_0^2}{8A_0^3} + \frac{A_0}{80B_0^2} - \frac{1}{5A_0} \right) \\ &\quad + \frac{e^{-3r} (-2A_0^6 B_0^2 - 39A_0^4 B_0^4 + 140A_0^2 B_0^6 + A_0^8 - 100B_0^8)}{1600A_0^7 B_0^4} + O(e^{-5r}), \\ b(r) &= B_0 e^r + \frac{e^{-r} \left( -\frac{10B_0^4}{A_0^4} + \frac{8B_0^2}{A_0^2} - 3 \right)}{80B_0} \\ &\quad - \frac{e^{-3r} (-2A_0^6 B_0^2 - 39A_0^4 B_0^4 + 140A_0^2 B_0^6 + A_0^8 - 100B_0^8)}{1200A_0^8 B_0^3} + O(e^{-5r}). \end{aligned} \quad (4.37)$$

As a special analytical solution, for the round  $\text{AdS}_8$  with spherical foliation, we have  $a(r) = b(r) = \sinh r = \frac{1}{2}e^r - \frac{1}{2}e^{-r}$ , which corresponds to  $A_0 = B_0 = \frac{1}{2}$ ,  $A_1 = B_1 = -\frac{1}{2}$ , and all other coefficients vanish.

## Small radius expansion and numerics - NUT

In the small- $r$  region, as  $r$  decreases, both  $a(r)$  and  $b(r)$  decrease, and one of them will hit 0 at some  $r = r_0$ , forming a black-hole horizon. Depending on how they hit 0 at  $r = r_0$ , there're two families of solutions, dubbed by Hawking, "NUT" and "Bolt". "NUT" applies to situations where  $a(r)$  and  $b(r)$  hits 0 at the same  $r_0$ , thus the near-horizon geometry looks locally like  $\mathbb{R}^8$ . While in "Bolt",  $b(r)$  hits 0 at  $r = r_0$  while  $a(r_0)$  is finite, for which the near-horizon geometry looks like  $\mathbb{R}^4 \times \mathbb{S}^4$ .<sup>6</sup> Besides the normal "NUT" and

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<sup>6</sup>On why there's no solution where  $a(r)$  hits 0 first when  $b(r_0)$  is finite: by expanding at small  $r$  and solving equations of motion order by order, one can immediately prove the



“Bolt” geometries, which cap off smoothly at  $r = r_0$ , there exist a singular “NUT” solution which has a conifold singularity at  $r = r_0$ . The geometries of the solutions are illustrated in Fig.4.2. The two families of solutions are analogous as in  $d = 4$  AdS-Taub-NUT/Bolt solution investigated in [271].

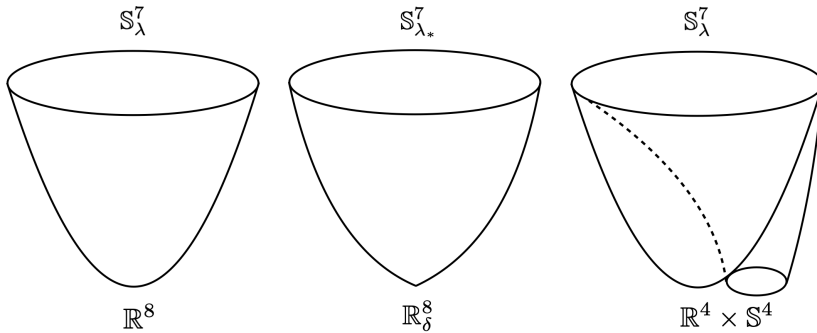


Figure 4.2: Possible geometries in the bulk. The first one to the left is “NUT” geometry, which admits a squashed seven sphere at infinity and caps off smoothly at  $r = r_0$ . The one on the right is “Bolt” geometry, which admits a squashed sphere at infinity and caps off smoothly at  $r = r_0$ , where the geometry is  $\mathbb{R}^4 \times \mathbb{S}^4$ . The one in the middle is a singular “NUT” solution which only exists for  $\lambda = \lambda_* = \frac{1}{\sqrt{5}}$ , it has a conifold singularity at  $r = r_0$ .

For “NUT”, we assume the following small- $r$  expansion:

$$\begin{cases} a(r) = a_1(r - r_0)^1 + a_2(r - r_0)^2 + \dots \\ b(r) = b_1(r - r_0)^1 + b_2(r - r_0)^2 + \dots \end{cases} . \quad (4.38)$$

The equations at leading order have two solutions:

$$\begin{cases} a_1 = \frac{1}{2}, \\ b_1 = \frac{1}{2}, \end{cases} \quad \text{or} \quad \begin{cases} a_1 = \frac{3\sqrt{5}}{10}, \\ b_1 = \frac{3}{10}. \end{cases} \quad (4.39)$$

---

ansatz doesn’t admit a solution. And on why there’s no wormhole solution: we’ve checked it numerically using different initial conditions but failed to find a wormhole.

For the first choice, we solved the equations up to  $O(r - r_0)^{13}$ , and found the series has only one free parameter, which is  $a_3$ , and the first several orders are

$$\begin{aligned} a(r) &= \frac{\rho}{2} + a_3 \rho^3 + \frac{(-14832a_3^2 + 1932a_3 - 49)\rho^5}{2160} + \frac{1}{816480} (44570304a_3^3 - 7822224a_3^2 \\ &\quad + 434448a_3 - 7595)\rho^7 + O(\rho^9), \\ b(r) &= \frac{\rho}{2} + \left(\frac{7}{36} - \frac{4a_3}{3}\right)\rho^3 + \frac{(71424a_3^2 - 9744a_3 + 343)\rho^5}{6480} + \frac{1}{816480} (-83054592a_3^3 \\ &\quad + 15344640a_3^2 - 906192a_3 + 17101)\rho^7 + O(\rho^9), \end{aligned} \quad (4.40)$$

where we used the short-handed notation  $\rho \equiv r - r_0$ . Note that when choosing  $a_3 = \frac{1}{12}$ , one obtains the round  $\text{AdS}_8$  solution:

$$a(r) = b(r) = \sinh \rho \quad \Rightarrow \quad ds^2 = d\rho^2 + \sinh^2 \rho \, ds_{\mathbb{S}^7}^2. \quad (4.41)$$

For the second choice in (4.39), up to order  $O(r - r_0)^{13}$ , the solution is fixed, with no free parameter, whose leading order expansions can be identified with hyperbolic sine functions:

$$\begin{aligned} a(r) &= \frac{3\sqrt{5}}{10} \left( \rho + \frac{\rho^3}{6} + \frac{\rho^5}{120} + \frac{\rho^7}{5040} + \frac{\rho^9}{362880} + O(\rho^{11}) \right) \rightarrow \frac{3\sqrt{5}}{10} \sinh \rho, \\ b(r) &= \frac{3}{10} \left( \rho + \frac{\rho^3}{6} + \frac{\rho^5}{120} + \frac{\rho^7}{5040} + \frac{\rho^9}{362880} + O(\rho^{11}) \right) \rightarrow \frac{3}{10} \sinh \rho. \end{aligned} \quad (4.42)$$

As can be checked, the asymptotic boundary of the above solution is the Einstein squashed sphere (4.28) with  $\lambda_*^2 = 1/5$ . This geometry is special, in one sense, it's the only singular solution that integrates to infinity, which can be shown by its diverging Kretschmann scalar at  $r \rightarrow r_0$ :

$$R = -56, \quad R_{\mu\nu}R^{\mu\nu} = 392, \quad R_{\mu\nu\rho\sigma}R^{\mu\nu\rho\sigma} = 112 + \frac{2^{12}}{3^3 \sinh^4 \rho}. \quad (4.43)$$

If we zoom in to the near-horizon limit, where  $a(r) \approx \frac{3\sqrt{5}}{10}\rho$  and  $b(r) \approx \frac{3}{10}\rho$ , we can evaluate:

$$R = 0, \quad R_{\mu\nu}R^{\mu\nu} = 0, \quad R_{\mu\nu\rho\sigma}R^{\mu\nu\rho\sigma} = \frac{2^{12}}{3^3 \rho^4}. \quad (4.44)$$

The appearance of a singular solution is not typical in Taub-NUT solution, since the introduction of nut charge actually alleviates the singular behavior in a Schwarzschild black hole. A similar singular solution is found in [265] when the boundary dimension satisfies  $d \geq 9$ .

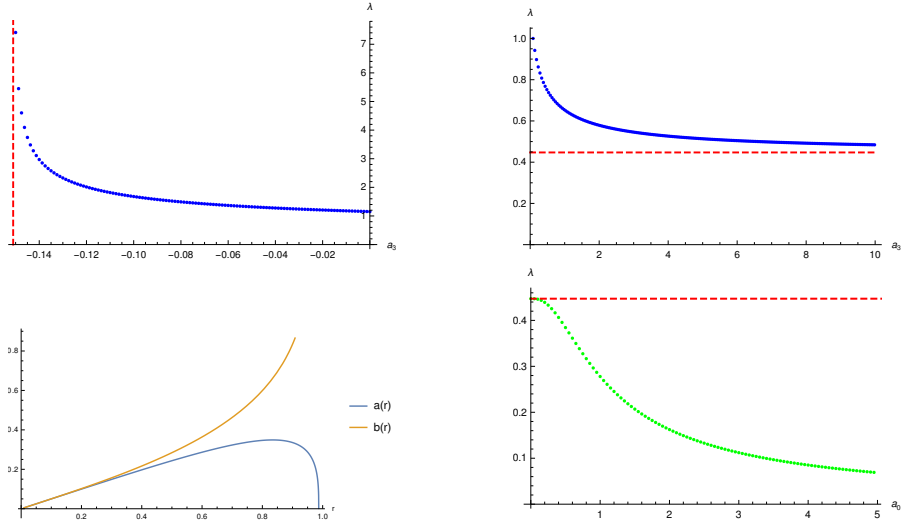


Figure 4.3: Upper: the relation between  $\lambda$  on the boundary and  $a_3$  at small- $r$ , the vertical dashed line is  $a_3 = a_3^*$ , and the horizontal dashed line is  $\lambda = \lambda_* = 1/\sqrt{5}$ . Downleft: numerical solution for NUT with initial value  $a_3 = -0.5$ . Downright: relation between  $\lambda$  and  $a_0$  for Bolt, the dashed line is  $\lambda = \lambda_*$ .

Given the function values of  $a(r), b(r)$  and their first-order derivatives at  $\rho = 0$ , we can solve the differential equations (4.35) numerically. In general, for a randomly-chosen parameter  $a_3$ , it's not guaranteed that both  $a(r)$  and  $b(r)$  can be integrated up to infinity without hitting 0, otherwise one has a compact spindle-like space instead of an AlAdS<sub>8</sub> one. In this chapter, we focus on the solutions where neither  $a(r)$  nor  $b(r)$  has zeros, a bulk solution with asymptotic squashed sphere boundaries as (4.28), where the squashing parameter can be identified with the UV expansion coefficients in (4.36) as

$$\lambda = \lim_{r \rightarrow \infty} \frac{b(r)}{a(r)} = \frac{B_0}{A_0}. \quad (4.45)$$

We start from  $a_3 = \frac{1}{12}$  and integrate numerically to infinity, we get  $\lambda = 1$ , as expected. With different  $a_3$ , this procedure establishes a one-to-one correspondence between the squashing parameter  $\lambda$  at asymptotic boundary and the initial condition  $a_3$  at small- $r$ , as shown in Fig.4.3. Increasing the value of  $a_3$  from  $1/12$ , the corresponding  $\lambda$  decreases monotonically from 1 to  $\lambda_* = 1/\sqrt{5} \approx 0.447$ ; we then decrease  $a_3$ , and  $\lambda$  increases monotonically from 1 to  $\infty$  and finally stops at a special value  $a_3^* \approx -0.151394$ . For any  $a_3 < a_3^*$ ,  $a(r)$  doesn't integrate to infinity, thus is of no interest to us. An example for  $a_3 = -0.5 < a_3^*$  is shown in Fig.4.3.

The NUT solution also has interesting implications in terms of holographic RG flow. It interpolates an asymptotically locally squashed  $\text{AdS}_8$  at the UV region and a flat  $\mathbb{R}^8$  in the IR region. The interesting fact is that from a UV theory with different squashing parameters  $\lambda$ , the RG flow converges to the same IR theory, which, from the leading order coefficients in (4.40), lives in  $\mathbb{R}^8$ . The picture here is similar to the holographic uniformization discovered in [292], which is worth further understanding.

### Small radius expansion and numerics - Bolt

The geometry of “Bolt” solution is supposed to be  $\mathbb{R}^4 \times \mathbb{S}^4$  at small- $r$  limit, where  $a(r_0) > 0$  is the finite radius of  $\mathbb{S}^4$ , and  $b(r)$  goes to zero smoothly, forming  $\mathbb{R}^4$  together with the radial coordinate. The geometry indicates the following small- $r$  expansion:

$$\begin{aligned} a(r) &= a_0 + a_1(r - r_0)^1 + a_2(r - r_0)^2 + \dots \\ b(r) &= b_1(r - r_0)^1 + b_2(r - r_0)^2 + \dots \end{aligned} \quad (4.46)$$

Repeating the procedure as above, the solutions can be obtained in any order, determined by one free parameter  $a_0 > 0$ .<sup>7</sup> The leading terms are as follows

$$\begin{aligned} a(r) &= a_0 - \frac{(-7a_0^2 - 3)\rho^2}{8a_0} - \frac{(49a_0^4 + 98a_0^2 + 39)\rho^4}{384a_0^3} \\ &\quad - \frac{(-7889a_0^6 - 15141a_0^4 - 10241a_0^2 - 2379)\rho^6}{46080a_0^5} + O(\rho^8), \\ b(r) &= \frac{\rho}{2} - \frac{\rho^3}{12a_0^2} - \frac{(-49a_0^4 - 70a_0^2 - 26)\rho^5}{480a_0^4} \\ &\quad - \frac{(10290a_0^6 + 20531a_0^4 + 14000a_0^2 + 3224)\rho^7}{80640a_0^6} + O(\rho^9). \end{aligned} \quad (4.47)$$

The functions can be integrated out to infinity for any  $a_0 > 0$ , and the map between  $a_0$  and  $\lambda$  is shown in Fig.4.3. As shown in the plot, as  $a_0$  increases from 0 to  $\infty$ ,  $\lambda$  decreases from  $\lambda_*$  to 0 monotonically. Combine the  $\lambda \sim a_0$  plot for “Bolt” solutions with  $\lambda \sim a_3$  plot for “NUT” solutions, we find that for a squashed seven-sphere metric (4.28) with any  $\lambda > 0$ , there exists one unique bulk solution whose asymptotic boundary is squashed seven-sphere with  $\lambda$ . For  $0 < \lambda < \lambda_*$ , the bulk is “Bolt”; for  $\lambda \geq \lambda_*$ , the bulk is “NUT”. The two

<sup>7</sup>Taking the limit  $a_0 \rightarrow 0$ , the geometry becomes closer to NUT solutions, as can be seen from the corresponding values of  $\lambda$ .

analytical solutions, which have  $\lambda = 1$  and  $\lambda = \lambda_* = 1/\sqrt{5}$ , are both “NUT” spaces. Inversely speaking, the bulk metric is uniquely determined by  $\lambda$ , so there will not be an analog of Hawking-Page transition in our geometry. But it’s still meaningful to evaluate the bulk free energy  $F_{\text{bulk}}(\lambda)$  as a function of the squashing parameter, which we will do in the next section.

#### 4.2.4 Free energy

In the semi-classical limit, the partition function localizes to solutions of Einstein equations, then the Euclidean gravitational free energy is reduced to the on-shell action:

$$F_{\text{bulk}} = -\log \int \mathcal{D}g_{\mu\nu} e^{-S_E[g_{\mu\nu}]} \underset{\text{approximation}}{\stackrel{\text{classical}}{=}} -\log e^{-S_E[g_{\mu\nu}]_{\text{on-shell}}} = S_E[g_{\mu\nu}]_{\text{on-shell}}. \quad (4.48)$$

We focus on Euclidean gravitational field without matter in the bulk, whose free energy with the GHY term on the boundary is given by [4]:

$$S_{\text{EH}} + S_{\text{GHY}} = -\frac{1}{16\pi G_N} \int_{\mathcal{M}_8} d^8x \sqrt{g} (R - 2\Lambda) - \frac{1}{8\pi G_N} \int_{\partial\mathcal{M}_8} d^7x \sqrt{g^{(7)}} K, \quad (4.49)$$

where  $g_{ab}^{(7)}$  is the induced metric on  $\partial\mathcal{M}_8$ , which we choose to be a surface with constant large  $r$ , and  $K$  is the trace of extrinsic curvature tensor on the boundary:<sup>8</sup>[289]

$$g_{\mu\nu}^{(7)} \equiv g_{\mu\nu} - n_\mu n_\nu, \quad K_{\mu\nu} \equiv (g^{(7)})^\rho_\mu (g^{(7)})^\sigma_\nu \nabla_\rho n_\sigma. \quad (4.50)$$

Here  $n^\mu$  is the unit normal vector of the boundary. By plugging in the metric ansatz, we obtain the action and its boundary term:

$$\begin{aligned} S_{\text{EH}} &= \int_0^{R_\partial} dr \frac{4\pi^3 \ell^5 b}{3G_N} (48a^3 b a' b' + 24a^2 b^2 a'^2 + 16a^3 b^2 a'' + 12a^4 b'^2 + 12a^4 b b'' \\ &\quad - 84a^4 b^2 - 24a^2 b^2 - 3a^4 + 6b^4), \\ S_{\text{GHY}} &= -\frac{16\pi^3 \ell^6 a^3 b^2}{3G_N} (4ba' + 3ab'). \end{aligned} \quad (4.51)$$

For general AlAdS space, the free energy above is proportional to the volume of  $\mathcal{M}_8$ , which is infinite, corresponding to a UV divergence. So we need to

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<sup>8</sup>In our convention, Greek letters starting from  $\mu$  are bulk indices, and Latin letter starting from  $a$  are boundary indices.

regularize the divergence by holographic renormalization. [45, 293, 294] The counter-terms for  $d \leq 7$  are given by [269, 270, 179]<sup>9</sup>

$$\begin{aligned}
S_{\text{ct}} = & \frac{1}{8\pi G_N} \int_{\partial\mathcal{M}} d^d x \sqrt{g^{(d)}} \left[ (d-1) + \frac{1}{2(d-2)} \mathcal{R} + \frac{1}{2(d-4)(d-2)^2} \left( \mathcal{R}_{ab} \mathcal{R}^{ab} - \frac{d}{4(d-1)} \mathcal{R}^2 \right) \right. \\
& + \frac{1}{(d-2)^3(d-4)(d-6)} \left( \frac{3d+2}{4(d-1)} \mathcal{R} \mathcal{R}_{ab} \mathcal{R}^{ab} - \frac{d(d+2)}{16(d-1)^2} \mathcal{R}^3 - 2 \mathcal{R}^{ab} \mathcal{R}^{cd} \mathcal{R}_{acbd} \right. \\
& \left. \left. - \frac{d}{4(d-1)} \nabla_a \mathcal{R} \nabla^a \mathcal{R} + \nabla^c \mathcal{R}^{ab} \nabla_c \mathcal{R}_{ab} \right) \right], \tag{4.52}
\end{aligned}$$

where  $\mathcal{R}_{abcd}$ ,  $\mathcal{R}_{ab}$ ,  $\mathcal{R}$  are the Riemann tensor, Ricci tensor, Ricci scalar of the boundary. Plugging in the metric ansatz, we get:

$$\begin{aligned}
S_{\text{ct}} = & \frac{\pi^3 \ell^6}{12000 a^8 b^3 G_N} \left( 384000 a^{12} b^6 + 9600 a^{12} b^4 + 40 a^{12} b^2 + 76800 a^{10} b^6 - 4480 a^{10} b^4 \right. \\
& + 40 a^{10} b^2 - 19200 a^8 b^8 - 160 a^8 b^6 + 2774 a^8 b^4 - 6400 a^6 b^8 + 1504 a^6 b^6 + 4000 a^4 b^{10} \\
& \left. - 13900 a^4 b^8 + 23200 a^2 b^{10} + 7 a^{12} - 11000 b^{12} \right). \tag{4.53}
\end{aligned}$$

In the following evaluations, we set the Newton constant to be unit. Recall that there're two special values of  $\lambda^2 = 1, 1/5$  for which the metric  $g_{\mu\nu}$  is known analytically (4.41, 4.42), the free energies can also be calculated analytically by  $F_{\text{bulk}}(\lambda) = S_{\text{EH}} + S_{\text{GHY}} + S_{\text{ct}}$ , which are:

$$F_{\text{bulk}}(1) = \frac{2\pi^3}{15} \frac{\ell^6}{G_N} \approx 4.134 \frac{\ell^6}{G_N}, \quad F_{\text{bulk}}\left(\frac{1}{\sqrt{5}}\right) = \frac{2 \cdot 3^6 \pi^3}{5^6} \frac{\ell^6}{G_N} \approx 2.893 \frac{\ell^6}{G_N}. \tag{4.54}$$

For general  $\lambda$ ,  $F_{\text{bulk}}(\lambda)$  can be obtained only numerically. By large- $r$  expansion, we can check that the diverging part of  $S_{\text{EH}} + S_{\text{GHY}}$  and  $S_{\text{ct}}$  exactly cancel with each other, which have the same diverging structure:

$$\begin{aligned}
S_{\text{EH}} + S_{\text{GHY}} \sim -S_{\text{ct}} \sim & \frac{B_0 \pi^3 \ell^6}{G_N} \left[ -32 A_0^4 B_0^2 e^{7r} + \frac{6}{5} e^{5r} (8 A_0^2 B_0^2 + A_0^4 - 2 B_0^4) \right. \\
& + \frac{1}{600} e^{3r} \left( \frac{100 B_0^6}{A_0^4} - \frac{160 B_0^4}{A_0^2} + \frac{A_0^4}{B_0^2} - 112 A_0^2 - 4 B_0^2 \right) \\
& \left. + \frac{e^r}{9600} \left( \frac{11000 B_0^8}{A_0^8} - \frac{23200 B_0^6}{A_0^6} + \frac{13900 B_0^4}{A_0^4} - \frac{1504 B_0^2}{A_0^2} - \frac{40 A_0^2}{B_0^2} - \frac{7 A_0^4}{B_0^4} - 2774 \right) \right]. \tag{4.55}
\end{aligned}$$

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<sup>9</sup>See [29] for a recent algorithm for deducing the counter terms up to arbitrarily large order.

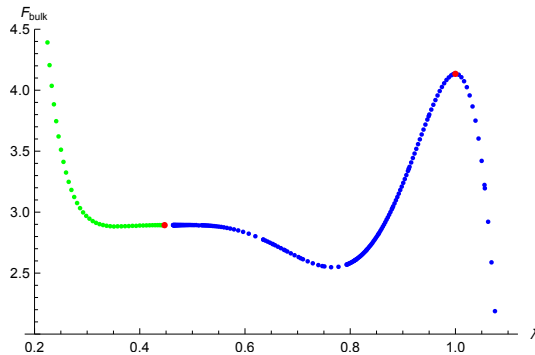


Figure 4.4: Numerical renormalized free energy  $F_{\text{bulk}}(\lambda)$  for NUT and Bolt spaces. The values of  $F_{\text{bulk}}$  are divided by  $\frac{\ell^6}{G_N}$ . Where blue points show NUT solutions, green points are Bolt solutions, and red points are the two special values  $\lambda = 1, \lambda_*$  given in (4.54).

We use the notation “ $\sim$ ” to denote that the equation holds up to vanishing terms at large  $r$ . The numerical renormalized free energy is shown in Fig.4.4. As  $\lambda \rightarrow 0$  or  $\lambda \rightarrow +\infty$ ,  $F_{\text{bulk}}$  diverges as expected. At  $\lambda = 1$ , there is a local maximum, consistent with the holographic F-theorem. Up to the precision of our numerics, near  $\lambda_* = \frac{1}{\sqrt{5}}$ , the free energy varies quite slowly, but we are not able to determine the critical behaviors explicitly.

Since we’re integrating numerically starting from  $r = 0$ , the numerical error keeps accumulating and explodes at around  $r \approx 5$ , thus one has to stop integrating somewhere at  $r = R < 5$ , then the action looks like:

$$S_{\text{EH}} + S_{\text{GHY}} = Ae^{7R} + Be^{5R} + Ce^{3R} + De^R + E + O(e^{-R}). \quad (4.56)$$

The diverging terms are canceled by the counter term, and what we’re interested in is the finite term  $E$ . To extract  $E$ , we take a set of cutoff values  $R$  and numerically fit it with the function of the form  $E + Fe^{-R} + Ge^{-3R} + \dots$ , until the discrepancy from the analytical results (4.54) is small enough.

In the end, we would like to mention that the gravitational action and boundary term of the second metric ansatz with  $f_3 = f_4 = f_5$  are identical to those posted above (4.51), thus the renormalized action is identical to the one we posted in Fig.4.4.

### 4.3 Field theory story

It's also interesting to study the free energies of quantum field theories. To look for the correct holographic correspondence of the Einstein gravity theory, one has to go to string or M-theory and identify the boundary CFT, which is typically a strongly-coupled CFT without supersymmetry. Instead, we will study the universal properties of the free energies dictated by conformal symmetry. Directly making use of the conformal symmetry, we can study universal properties shared by all CFTs living on the squashed sphere as in [180]. Some of the universal properties can also be found by studying some toy models as in [283, 284, 285, 271, 180].

The main quantity that we are interested in is the free energy  $F_{\text{CFT}}(\lambda)$  of CFTs as a function of the squashing parameter that the field theory lives. In the first part of this section, we calculate  $F''_{\text{CFT}}(\lambda)\Big|_{\lambda=1}$  for general CFT living on the squashed seven-sphere of the first kind (4.28), which can be evaluated by integrating the two-point function of stress tensors on the sphere. This property is totally determined by the conformal symmetry and thus independent of the details of the theories such as couplings.

To support the study of the correspondence both around and away from  $\lambda = 1$ , we study in addition two free CFTs, the conformally coupled scalar, and the free fermion respectively. Using these toy models, we can evaluate  $F'''_{\text{CFT}}(\lambda)\Big|_{\lambda=1}$  both analytically and numerically, which is too complicated to be evaluated as an integrated three-point function of stress tensors. Besides, we can also study the strongly deformed scaling of the field theories numerically, which can also be compared with the bulk.

Let's clarify our results for the two metric ansatzes. The result in the first subsection only works for the first ansatz which is easier to deal with, we leave a detailed study of the second ansatz for future work. But we do expect they are the same because our results for the toy models are identical for them both due to the coincidence of spectrum on the two squashed sphere metrics. What's more, the results show a very good coincidence with the bulk free energy, which is also identical for the two metrics.



### 4.3.1 Partition function on deformed manifold

The partition function of a general CFT on the boundary of manifold  $\mathcal{M}_{d+1}$  with Euclidean boundary metric  $g_{ab}$  is given by:<sup>10</sup>

$$Z = \int \mathcal{D}\varphi e^{-S[\varphi, g_{ab}]}, \quad F \equiv -\ln Z. \quad (4.57)$$

We can couple the field theory to the gravitational background by squashing the metric. As is mentioned in the introduction, squashing background metric is equivalent to adding a marginal deformation (4.4) generated by the stress tensor, where we parametrize the squashing by a parameter  $\epsilon$  as follows:

$$g_{ab} = g_{ab}^{(0)} + \epsilon h_{ab}, \quad g^{ab} = (g^{(0)})^{ab} + \epsilon h^{ab} + O(\epsilon^2) \quad \Rightarrow \quad h^{ab} = -h_{cd}(g^{(0)})^{ac}(g^{(0)})^{bd}. \quad (4.58)$$

The coupling of field theory with background metric, or equivalently the effect of the induced RG flow, is encoded in correlation functions of the stress tensor. To start with, the first-order derivative of the free energy is given by integrating the one-point function of the stress tensor: [180]

$$F'(\epsilon) \Big|_{\epsilon=0} = -\frac{1}{2} \int d^d x \sqrt{g^{(0)}} h^{ab}(x) \langle T_{ab}(x) \rangle_{\partial\mathcal{M}}. \quad (4.59)$$

In odd-dimensional CFTs, our setup included, one point function of stress tensor vanishes as is required by conformal symmetry, thus  $F'(0) = 0$ . The second derivative of free energy  $F_{\text{CFT}}(\epsilon)$  is given by the integrated two-point function of stress tensor:

$$F''(\epsilon) \Big|_{\epsilon=0} = -\frac{1}{4} \int d^d x d^d y \sqrt{g^{(0)}(x)g^{(0)}(y)} h^{ab}(x) h^{cd}(y) \langle T_{ab}(x) T_{cd}(y) \rangle_{\partial\mathcal{M}}. \quad (4.60)$$

The integrand is evaluated on the boundary  $\partial\mathcal{M}$  with deformations turned off. To evaluate the two-point function on  $\partial\mathcal{M}$ , we take a conformal map  $f: \partial\mathcal{M} \rightarrow \mathbb{R}^d$  which relates the line element as

$$f^*(ds_{\mathbb{R}^d}^2) = \Omega^2(x) ds_{\partial\mathcal{M}}^2,$$

where  $f^*$  is the pullback and  $\Omega^2(x)$  is the corresponding conformal factor. In odd dimensions, the stress tensors are transformed under the conformal map through [295]

$$T_{ab}(x) = \Omega^{d-2} M_{ab}^{\bar{a}\bar{b}} T_{\bar{a}\bar{b}}(X), \quad \text{where } M_{ab}^{\bar{a}\bar{b}} \equiv \frac{\partial X^{\bar{a}}}{\partial x^a} \frac{\partial X^{\bar{b}}}{\partial x^b}, \quad X^{\bar{a}} \in \mathbb{R}^d, \quad x^a \in \partial\mathcal{M}. \quad (4.61)$$

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<sup>10</sup>In this section, we follow the notation of [180] for the free energy. Note that the quantity  $\tilde{F}$  in  $F$ -theorem [278] in (4.3) is different from the free energy by a sign, which happens to be +1 for  $d = 7$  of our interest.

Thus the stress tensor two-point function on  $\mathbb{S}^7$  is related to that on  $\mathbb{R}^7$  by

$$\langle T_{ab}(x)T_{cd}(y) \rangle_{\mathbb{S}^7} = \Omega^5(x)\Omega^5(y)M_{ab}^{\bar{a}\bar{b}}M_{cd}^{\bar{c}\bar{d}}\langle T_{\bar{a}\bar{b}}(X)T_{\bar{c}\bar{d}}(Y) \rangle_{\mathbb{R}^7}. \quad (4.62)$$

And the two-point function on  $\mathbb{R}^d$  is well-known in the literature by using conformal symmetry: [276, 277]

$$\langle T_{\bar{a}\bar{b}}(X)T_{\bar{c}\bar{d}}(Y) \rangle_{\mathbb{R}^d} = C_T \frac{I_{\bar{a}\bar{b}\bar{c}\bar{d}}(X-Y)}{|X-Y|^{2d}}, \quad I_{\bar{a}\bar{b},\bar{c}\bar{d}}(X) = \mathcal{E}_{\bar{e}\bar{f},\bar{c}\bar{d}}I_{\bar{a}\bar{e}}I_{\bar{b}\bar{f}}, \quad (4.63)$$

$$\mathcal{E}_{\bar{a}\bar{b},\bar{c}\bar{d}} = \frac{1}{2}(\delta_{\bar{a}\bar{c}}\delta_{\bar{b}\bar{d}} + \delta_{\bar{a}\bar{d}}\delta_{\bar{b}\bar{c}}) - \frac{1}{d}\delta_{\bar{a}\bar{b}}\delta_{\bar{c}\bar{d}}, \quad I_{\bar{a}\bar{b}}(X) = \delta_{\bar{a}\bar{b}} - 2\frac{X_{\bar{a}}X_{\bar{b}}}{X^2}.$$

There exists a natural conformal map between  $\mathbb{S}^d$  and  $\mathbb{R}^d$ : the stereographic projection, for which the conformal factor is given by:

$$\Omega = \frac{1}{2} (1 + X_{\bar{a}}X^{\bar{a}}), \quad (4.64)$$

thus one can always evaluate the reaction of the free energy under metric deformation. But for a specific metric on the sphere, the detailed construction of the global map may be different, more discussions and explicit constructions of the conformal map can be found in Appendix C.2. Using the conformal map, one can work out the matrix  $M_{ab}^{\bar{a}\bar{b}}$  defined in (4.61), which facilitates the integral. In this section, we will investigate two different squashings, one is the  $SU(2)$  bundled seven spheres which is the theme of this chapter, and another one is the  $U(1)$  bundled squashed  $\mathbb{S}^{2d+1}$  which is also of great interest.

### **$SU(2)$ bundle squashed $\mathbb{S}^7$**

To apply the formalism above to our metric (4.28) on  $\mathbb{S}^7$ , we can relate the two squashing parameters as follows:

$$\epsilon \equiv \lambda^2 - 1,$$

for which one finds the only non-vanishing components of the perturbative inverse metric  $h^{ab}$  are:

$$h^{\theta\theta} = -4, \quad h^{\phi\phi} = h^{\psi\psi} = -\frac{4}{\sin^2\theta}, \quad h^{\phi\psi} = h^{\psi\phi} = \frac{4}{\tan\theta\sin\theta}. \quad (4.65)$$

Now we know everything in our integral (4.60), which is the double integral on  $\mathbb{S}^7$ . To evaluate it, one doesn't need to perform a 14-dimensional integration, instead, one fixes one stress tensor on the south pole and integrates the other stress tensor over the sphere. [278, 296, 180] What considered in the references

is the correlation function of scalar operators, thus the trick is easily justified because the scalar perturbation preserves the symmetry of the sphere. However, we're dealing with the correlation function of stress tensors, whose deformation coefficients are tensors with coordinate-dependent components as shown in (4.65), so it is not understood why the trick still works. Luckily it works well and corresponds to the other calculations that we did in this chapter. According to the trick, we put one point  $y^a$  on the south pole, and only integrate on  $x^a$ :

$$\begin{aligned} F''(\epsilon)\Big|_{\epsilon=0} &= -\frac{C_T}{4} V_{\mathbb{S}^7} \int d^7x \sqrt{g^{(0)}(x)} h^{ab}(x) h^{cd}(0) \langle T_{ab}(x) T_{cd}(0) \rangle_{\mathbb{S}^7} \\ &= -\frac{C_T}{4} V_{\mathbb{S}^7} h^{cd}(0) \Omega^5(0) M_{cd}^{\bar{c}\bar{d}}(0) \int d^7x \sqrt{g^{(0)}(x)} \left[ h^{ab}(x) \Omega^5(x) M_{ab}^{\bar{a}\bar{b}}(x) \frac{\mathcal{I}_{\bar{a}\bar{b}, \bar{c}\bar{d}}(X)}{|X|^{14}} \right], \end{aligned}$$

where  $V_{\mathbb{S}^7} = \frac{4}{3}\pi$  is the volume of the unit seven sphere, coming from identifying the integrand with arbitrary  $y^\mu \in \mathbb{S}^7$  to that with  $y^\mu$  fixed at the south pole. The south pole of the sphere corresponds to the origin of  $\mathbb{R}^7$ , where the quantities are:

$$\Omega(0) = \frac{1}{2}, \quad M^{\bar{5}\bar{5}}(0) = M^{\bar{6}\bar{6}}(0) = M^{\bar{7}\bar{7}}(0) = \frac{1}{4}, \quad M^{\bar{c}\bar{d}} \equiv h^{cd} M_{cd}^{\bar{c}\bar{d}}. \quad (4.66)$$

Then the integrand, which we denote  $I$  is given by:

$$I = -\frac{C_T V_{\mathbb{S}^7}}{2^9} \sqrt{g^{(0)}(x)} \frac{(1-x^8)^9}{(x^{\bar{a}} x_{\bar{a}})^7} \sum_{\bar{c}=(5,6,7)} M^{\bar{a}\bar{b}} I_{\bar{c}\bar{c}; \bar{a}\bar{b}}(X), \quad x^A \in \mathbb{R}^8, \quad \bar{a} = 1, 2, \dots, 7. \quad (4.67)$$

Since the integrand is rather complicated, in order to evaluate it analytically, we split the contraction into the following three terms:

$$\begin{aligned} \sum_{\bar{c}=(5,6,7)} M^{\bar{a}\bar{b}} I_{\bar{c}\bar{c}; \bar{a}\bar{b}}(X) &= \left( M^{\bar{5}\bar{5}} + M^{\bar{6}\bar{6}} + M^{\bar{7}\bar{7}} - \frac{3}{7} M^{\bar{a}\bar{a}} \right) - \frac{4(1-x^8)^2}{x^{\bar{a}} x_{\bar{a}}} \sum_{\bar{c}=1}^7 \sum_{\bar{d}=1}^3 M^{\bar{c}\bar{d}} X_{\bar{c}} X_{\bar{d}} \\ &\quad + \frac{4(1-x^8)^2}{(x^{\bar{a}} x_{\bar{a}})^2} M^{\bar{c}\bar{d}} X_{\bar{c}} X_{\bar{d}} (x^{\bar{5}} x_{\bar{5}} + x^{\bar{6}} x_{\bar{6}} + x^{\bar{7}} x_{\bar{7}}). \end{aligned} \quad (4.68)$$

Thus we have  $I = I_1 + I_2 + I_3$  where

$$\begin{aligned} I_1 &= -\frac{C_T V_{\mathbb{S}^7}}{2^9} \sqrt{g^{(0)}(x)} \frac{(1-x^8)^9}{(x^{\bar{a}} x_{\bar{a}})^7} \left( M^{\bar{5}\bar{5}} + M^{\bar{6}\bar{6}} + M^{\bar{7}\bar{7}} - \frac{3}{7} M^{\bar{a}\bar{a}} \right) \\ I_2 &= +\frac{C_T V_{\mathbb{S}^7}}{2^7} \sqrt{g^{(0)}(x)} \frac{(1-x^8)^{11}}{(x^{\bar{a}} x_{\bar{a}})^8} \sum_{\bar{c}=1}^7 \sum_{\bar{d}=1}^3 M^{\bar{c}\bar{d}} X_{\bar{c}} X_{\bar{d}} \\ I_3 &= -\frac{C_T V_{\mathbb{S}^7}}{2^7} \sqrt{g^{(0)}(x)} \frac{(1-x^8)^{11}}{(x^{\bar{a}} x_{\bar{a}})^9} M^{\bar{c}\bar{d}} X_{\bar{c}} X_{\bar{d}} (x^{\bar{5}} x_{\bar{5}} + x^{\bar{6}} x_{\bar{6}} + x^{\bar{7}} x_{\bar{7}}). \end{aligned} \quad (4.69)$$

Substituting  $h^{ab}$  from (4.65),  $\Omega^2$  from (4.64), and  $M_{ab}^{\bar{a}\bar{b}}$  from the conformal map given by combining (C.13) and (C.39), we can express the integrand in terms of  $\mathbb{S}^7$  coordinates  $(\mu, \Theta, \Phi, \Psi, \theta, \phi, \psi)$ . Since the three integrals above are all divergent near the south pole, the order of variables to be integrated out is important. Here we present our procedure, which might be not a unique way to get the correct answer.

The dependence of the integrands on  $(\Theta, \Phi, \Psi)$  is very simple, so we can integrate over them directly. Then we integrate out  $\theta$  followed by  $\mu$ , both of which are convergent, and the resulting function only depends on  $\chi \equiv \phi + \psi$ . The final function is divergent, but the integration is ignorant of the divergence, which is similar to the example below:

$$\int_{-1}^1 \frac{1}{x^2} dx = -\frac{1}{x} \Big|_{-1}^1 = -2. \quad (4.70)$$

By going through the procedures above, one can obtain that

$$\begin{aligned} F''(\epsilon) \Big|_{\epsilon=0} &= \int (I_1 + I_2 + I_3) = -\frac{29\pi^8}{3780} C_T + \frac{\pi^8}{1890} C_T + 0 = -\frac{\pi^8}{140} C_T \\ \Rightarrow F''(\lambda) \Big|_{\lambda=1} &= 4F''(\epsilon) \Big|_{\epsilon=0} = -\frac{\pi^8}{35} C_T. \end{aligned} \quad (4.71)$$

In the last line above, we used the relation between the squashings  $\epsilon = \lambda^2 - 1$ . The result is universal since it's only related to conformal symmetry and thus applies to all CFTs. It must be reproduced in free field theories as well as the holographic theory, as we will see.

### **U(1) bundle squashed $\mathbb{S}^{2k+1}$**

Spheres as U(1) bundles over complex projective spaces are very interesting cases and have been investigated partially in the literature. [180, 280, 286] As in the last section, we can obtain the second-order derivative of free energy in terms of squashing parameter for any odd-dimensional sphere with a U(1) bundle. The special cases for  $\mathbb{S}^3$  and  $\mathbb{S}^5$  has been discussed in [180], and a powerful formula was conjectured for general  $d = 2k + 1$  from the point of view of high-derivative gravity [280]:

$$F_d''(\epsilon) \Big|_{\epsilon=0} = \frac{(-1)^{\frac{d-1}{2}} \pi^{d+1} (d-1)^2}{2d!} C_T. \quad (4.72)$$

Using the embedding map of  $\mathbb{S}^7$  described in (C.25), we perform the integral as in [180] for generic values of  $d = 2k + 1$  and find the result consistent with

the prediction above, proving it from the field theory side, which is again a universal result applying for general CFTs living on squashed  $(2k+1)$ -spheres. This is the first time to obtain them from the field theory side up as far as we know. We put the detailed analysis of the integral in Appendix C.3 for people interested.

Taking some special values  $d = 3, 5, 7$ , one gets:

$$F_3''(0) = -\frac{\pi^4}{3}C_T, \quad F_5''(0) = \frac{\pi^6}{15}C_T, \quad F_7''(0) = -\frac{\pi^8}{280}C_T. \quad (4.73)$$

The first two are identical to the results in [180]. Comparing  $F''(\epsilon)$  on the seven spheres with U(1) bundle in (4.73) and SU(2) bundle in (4.71), we find the one with SU(2) bundle is twice larger, which means the squashing with SU(2) bundle perturbs the field theory more strongly, which is intuitively correct.

### 4.3.2 Conformally-coupled scalar

The conformally coupled scalar theory, or the  $O(N)$  model,<sup>11</sup> is holographic dual to higher spin gravity [262], whose free energy is different from the Einstein gravity that we studied. However, by comparing the free energies of Einstein's gravity and field theories here, we can observe some universal properties shared among them. [283, 284, 285, 271, 180]. It would also be interesting to study the high spin gravity in the future.

The partition function of massless conformally-coupled scalars living on squashed seven-sphere is as follows<sup>12</sup>

$$Z_{\text{sc}} = \int D\phi e^{-S_{\text{sc}}[g_{ab}, \phi]}, \quad S_{\text{sc}} = \frac{1}{2} \int d^7x \sqrt{g} \left( (\partial\phi)^2 + \frac{5}{24} R\phi^2 \right). \quad (4.74)$$

After a Gaussian integration, the free energy is given by

$$F_{\text{sc}} = -\log Z_{\text{sc}} = \frac{1}{2} \log \det \left( -\nabla^2 + \frac{5}{24} R \right). \quad (4.75)$$

The eigenvalues of Laplacian on the squashed seven sphere (4.25) and their degeneracies are: [281]

$$\begin{aligned} \lambda_{n,r} &= n(n+6) + \frac{1-\lambda^2}{\lambda^2} (n-2r)(n-2r+2), \quad n = 0, 1, 2, \dots; \\ m_{n,r} &= \frac{1}{6} (n+3)(n-r+2)(n-2r+1)^2(r+1), \quad r = 0, 1, \dots, \left[ \frac{n}{2} \right]. \end{aligned} \quad (4.76)$$

<sup>11</sup>In this chapter, whenever we refer to the  $O(N)$  model, we always assume  $N = 1$ , which is the conformally coupled scalar model.

<sup>12</sup>The coefficient of the coupling term for general  $d$  is  $\frac{d-2}{4(d-1)}$ .

As shown in (4.27), the Ricci scalar of the two squashed sphere metrics are identical, thus the calculation here applies in both cases. We can obtain the spectrum of conformal Laplacian on squashed seven sphere with the Ricci scalar

$$\tilde{\lambda}_{n,r} = \lambda_{n,r} + \frac{5}{24}R, \quad R = 6 \left( 8 - 2\lambda^2 + \frac{1}{\lambda^2} \right). \quad (4.77)$$

From (4.76), we find the eigenvalues are unbounded, thus the free energy has a UV divergence and needs to be regularized. In the following, we will use two regularization methods. The first one is heat-kernel regularization following [284, 285, 271, 180], for which we can calculate  $F_{\text{sc}}(\lambda)$  numerically for general  $\lambda$ . The second one is zeta-function regularization following [283, 286], with which we can calculate the derivatives at  $\lambda = 1$  analytically. Combining the numerical results for generic  $\lambda$  and the analytical results at  $\lambda = 1$ , we can justify the precision of numerical simulation, as well as compare them to the bulk calculation from various aspects.

### Heat-kernel regularization

In this section, we follow the heat-kernel technique of [297], see also [298, 79]. Consider a general spectrum  $\{\lambda_i\}$  with degeneracy  $\{m_i\}$ , one can define the heat-kernel function and spectral zeta-function:

$$K(t) \equiv \sum_i m_i e^{-t\lambda_i}, \quad \zeta_\Delta(p) \equiv \sum_i \frac{m_i}{\lambda_i^p}. \quad (4.78)$$

After evaluating the Gaussian integral in the partition function, the free energy is proportional to the determinant of the kinetic operator. For conformally coupled scalar fields, we have

$$F = \frac{1}{2} \log \det \Delta = \frac{1}{2} \sum_i m_i \log \lambda_i = -\frac{1}{2} \zeta'_\Delta(0). \quad (4.79)$$

The spectral zeta function is related to the heat kernel with a Mellin transformation:

$$G(p) \equiv \Gamma(p) \zeta_\Delta(p) = \int_0^\infty dt K(t) t^{p-1}. \quad (4.80)$$

On the left hand side above, we can expand  $G(p)$  at small  $p$ :

$$G(p) = \frac{\zeta_\Delta(0)}{p} - \gamma \zeta_\Delta(0) + \zeta'_\Delta(0) + O(p). \quad (4.81)$$

Meanwhile, on the right hand side, the integral is divergent in the small- $t$  region because of the divergence of heat kernel at small- $t$ , which in general

$d$ -dimensional field theories is

$$K(t) = \sum_{k=0}^{\frac{d+1}{2}} a_{d/2-k} t^{-d/2+k} + O(t). \quad (4.82)$$

In fact, both the divergence of  $K(t)$  at  $t = 0$  on the right hand side and the pole at  $p = 0$  on the left hand side reflect the UV divergence of the determinant, which requires a regularization. The essence of heat-kernel regularization is to regulate the integral on the right hand side. Practically, we divide the integral domain into  $(0, 1] \cup [1, \infty)$  and perform the divergent integral over  $(0, 1]$  as follows, this essentially eliminates the divergence by throwing off the diverging contribution from a small cutoff:

$$\begin{aligned} \int_0^1 dt \frac{1}{t} a_{d/2-k} t^{-d/2+k} &= \lim_{\epsilon \rightarrow 0} \int_{\epsilon}^1 dt \frac{1}{t} a_{d/2-k} t^{-d/2+k} \\ &= \frac{a_{d/2-k}}{k - d/2} \lim_{\epsilon \rightarrow 0} \left( 1 - \frac{1}{\epsilon^{d/2-k}} \right) \Rightarrow \frac{a_{d/2-k}}{k - d/2}. \end{aligned} \quad (4.83)$$

After heat-kernel regularization,  $G(p)$  is reduced to the following finite value:

$$G(p) = \int_0^1 dt \left[ K(t) - \sum_{k=0}^{\frac{d+1}{2}} a_{d/2-k} t^{-d/2+k} \right] t^{p-1} + \sum_{k=0}^{\frac{d+1}{2}} \frac{a_{d/2-k}}{k - d/2} + \int_1^{\infty} dt K(t) t^{p-1}. \quad (4.84)$$

The expression above has no pole at  $p = 0$ , which requires  $\zeta_{\Delta}(0) = 0$ , thus the free energy (4.79) is equal to the integral of heat-kernel, this is the central equation we're going to calculate:

$$F_{\text{sc}} = -\frac{1}{2} \zeta'_{\Delta}(0) = G(0) = -\frac{1}{2} \int_0^{\infty} \frac{1}{t} K(t) dt. \quad (4.85)$$

From the discussion above, the heat kernel regularization is essentially also a zeta function regularization through the spectral zeta function. Since the integrals above are evaluated numerically, we don't require  $\lambda$  to be special values. For the conformally coupled scalars, we obtain the following coefficients of the

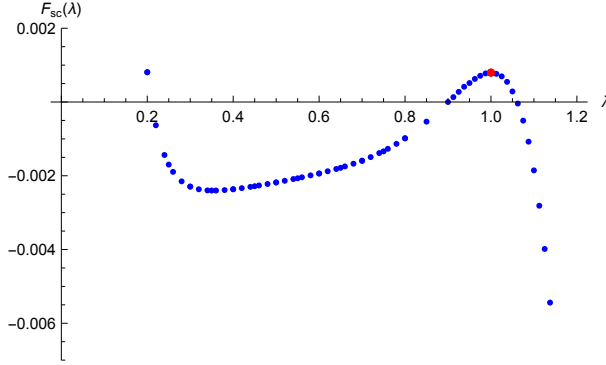


Figure 4.5: Renormalized free energy with squashing parameter  $\lambda$ , the red points correspond to the free energy on the round sphere.

divergent terms:

$$\begin{aligned}
 a_{7/2} &= \frac{\sqrt{\pi}\lambda^3}{384}, \\
 a_{5/2} &= \frac{\sqrt{\pi}\lambda(2\lambda^4 - 8\lambda^2 - 1)}{1536}, \\
 a_{3/2} &= \frac{\sqrt{\pi}(4(255\lambda^6 - 504\lambda^4 + 257\lambda^2 + 60)\lambda^2 + 15)}{184320\lambda}, \\
 a_{1/2} &= -\frac{\sqrt{\pi}(2(51100\lambda^{10} - 60240\lambda^8 - 13674\lambda^6 + 6224\lambda^4 + 11109\lambda^2 + 1260)\lambda^2 + 105)}{15482880\lambda^3}, \\
 a_{-1/2} &= \frac{\sqrt{\pi}}{247726080\lambda^5} [8(733586\lambda^{14} - 2142496\lambda^{12} + 2212060\lambda^{10} - 905552\lambda^8 \\
 &\quad + 81835\lambda^6 + 16808\lambda^4 + 5607\lambda^2 + 420)\lambda^2 + 105].
 \end{aligned} \tag{4.86}$$

The calculation can theoretically be conducted using the formula listed above, but in practice, the double-summation of  $n, r$  converges extremely slowly. So here we followed the technique of [284]<sup>13</sup>, using the Euler-MacLaurin formula to approximate the summation over thousands of points by integrals and function values, reducing the time-cost to an acceptable range. Using heat-kernel regularization, we numerically reproduced the round sphere free energy obtained in [299]; and the dependence of  $\lambda$  is plotted in Fig.4.5. By comparing it with the bulk free energy shown in Fig.4.4, we can identify similar behaviors as

<sup>13</sup>The method has been nicely introduced in several places such as [284, 271, 180], thus we only present our final results here.



well as differences. The difference can mostly be attributed to the distinctions between strongly coupled holographic field theories and free ones we consider here, but also to field theory details such as correlation functions.

Using heat kernel regularization, we are able to evaluate not only the values of free energy but also derivatives with regard to the squashing  $\lambda$ . We studied the second and third-order derivatives at  $\lambda = 1$ , which provides a reference for our analytical results obtained in zeta function regularization. The procedure is nearly the same after taking the derivative of  $\lambda$ :

$$\frac{d^n}{d\lambda^n} F_{\text{sc}} = -\frac{1}{2} \int_0^\infty \frac{1}{t} \frac{\partial^n}{\partial \lambda^n} K(t) dt. \quad (4.87)$$

We also need to distill the divergent part of the integrand:

$$\frac{\partial^n}{\partial \lambda^n} K(t) = \sum_{k=0}^{\frac{d+1}{2}} a_{d/2-k}^{(n)} t^{-d/2+k} + O(t). \quad (4.88)$$

By dividing the integral domain into  $(0, 1]$  and  $[1, \infty)$ , regulating the divergences, and evaluating the converging part numerically, we obtain the renormalized derivative, which is consistent with the results from zeta-function regularization which we obtain later:

$$\begin{aligned} F_{\text{sc}}^{(2)}(1) &= -0.289411 = 1.0009 \left( -\frac{15\pi^2}{512} \right), \\ F_{\text{sc}}^{(3)}(1) &= -5.275 = 1.005 \left( -\frac{62815\pi^2}{118272} \right). \end{aligned} \quad (4.89)$$

For  $F_{\text{sc}}^{(2)}(1)$  and  $F_{\text{sc}}^{(3)}(1)$  at  $\lambda = 1$ , the divergent coefficients are as follows:

$$\begin{aligned} a_{7/2}^{(2)} &= \frac{\sqrt{\pi}}{64}, & a_{5/2}^{(2)} &= -\frac{\sqrt{\pi}}{192}, & a_{3/2}^{(2)} &= \frac{1453\sqrt{\pi}}{30720}, \\ a_{1/2}^{(2)} &= -\frac{152689\sqrt{\pi}}{1290240}, & a_{-1/2}^{(2)} &= \frac{2317181\sqrt{\pi}}{41287680}, \\ a_{7/2}^{(3)} &= \frac{\sqrt{\pi}}{64}, & a_{5/2}^{(3)} &= \frac{3\sqrt{\pi}}{64}, & a_{3/2}^{(3)} &= \frac{16553\sqrt{\pi}}{30720}, \\ a_{1/2}^{(3)} &= -\frac{2051699\sqrt{\pi}}{1290240}, & a_{-1/2}^{(3)} &= \frac{10868771\sqrt{\pi}}{5898240}. \end{aligned} \quad (4.90)$$

## Zeta-function regularization

For the scalar spectrum (4.76) we have

$$F_{\text{sc}}(\lambda) = \frac{1}{2} \log \det \left( -\nabla^2 + \frac{5}{24} R \right) = \frac{1}{2} \sum_{n=0}^{\infty} \sum_{r=0}^{\left[\frac{n}{2}\right]} m_{n,r} \log \tilde{\lambda}_{n,r}. \quad (4.91)$$

Thus, following [286], by formally commuting the infinite sum and derivative over  $\lambda$ , we obtain the following expressions for the  $i$ -th order derivative of  $F_{\text{sc}}$  at  $\lambda = 1$ :

$$\begin{aligned} F_{\text{sc}}^{(i)}(1) &= \frac{1}{2} \sum_{n=0}^{\infty} \sum_{r=0}^{\left[\frac{n}{2}\right]} m_{n,r} \frac{d^i}{d\lambda^i} \log \tilde{\lambda}_{n,r} \Big|_{\lambda=1} \\ &= \frac{1}{2} \sum_{k=0}^{\infty} \sum_{r=0}^k m_{2k,r} \frac{d^i}{d\lambda^i} \log \tilde{\lambda}_{2k,r} \Big|_{\lambda=1} + \frac{1}{2} \sum_{k=0}^{\infty} \sum_{r=0}^k m_{2k+1,r} \frac{d^i}{d\lambda^i} \log \tilde{\lambda}_{2k+1,r} \Big|_{\lambda=1}. \end{aligned} \quad (4.92)$$

For the  $i = 0$  case, we met with a divergent series with logarithms, which needs to be regularized using the generalized zeta-function:

$$\sum_{k=0}^{\infty} (k+a)^n \log(k+a) = -\zeta'_a(-n), \quad \zeta_a(s) \equiv \sum_{m=0}^{\infty} \frac{1}{(a+m)^s}, \quad a \in \mathbb{R}. \quad (4.93)$$

The result we obtain, which is also shown in Table 4.1, is the same as in [299]:

$$F_{\text{sc}}(1) = \frac{60\pi^6 \log 2 + 82\pi^4 \zeta(3) - 150\pi^2 \zeta(5) - 945\zeta(7)}{61440\pi^6} \approx 0.000797. \quad (4.94)$$

For the first-order derivative, which should vanish because of the vanishing of the conformal anomaly in odd-dimensional field theory, the expression is

$$F'_{\text{sc}}(1) = - \sum_{k=0}^{\infty} \frac{16}{21} \left( k + \frac{1}{2} \right) (k+1) \left( k + \frac{3}{2} \right)^2 (k+2) \left( k + \frac{5}{2} \right). \quad (4.95)$$

This expression seems to be non-zero using normal zeta-function regularization, contradicting the conformal symmetry. However, using a new parameter  $k' = k + 3/2$ , we get a non-trivial cancellation among generalized zeta functions:

$$F'_{\text{sc}}(1) = -\frac{4}{21} \sum_{k'} (k'^2 - 5k'^4 + 4k'^6) = -\frac{4}{21} (\zeta_{3/2}(-2) - 5\zeta_{3/2}(-4) + 4\zeta_{3/2}(-6)) = 0. \quad (4.96)$$

Since this kind of divergent series can't be regularized using a method that is both stable and linear, the shift of the summing parameter will bring a different answer. [298] To guarantee we have the correct shift, one thing we can do is find a physical meaning of the parameter or use another summation method, such as comparing our results against those obtained by heat kernel regularization, integrated correlators, or the bulk results. Indeed, all these results justify that the universal value is obtained by omitting the term without the  $\pi$  factors, which we will see below. Another justification is that although shifting variables  $k' = k + a$  shifts the result, all terms with  $\pi$  factors don't change.

For the second and third-order derivatives, we arrange the sum in the form where the first line is divergent and the second line is convergent:

$$\begin{aligned}
 F_{\text{sc}}^{(2)}(1) &= \frac{1}{2} \sum_{k=0}^{\infty} \left( \frac{544k^6}{945} + \frac{272k^5}{45} + \frac{23588k^4}{945} + \frac{6928k^3}{135} + \frac{17018k^2}{315} + \frac{7003k}{270} + \frac{6917}{1680} \right. \\
 &\quad \left. - \frac{5(64k(2k+7)(13k(2k+7)+155)+29619)}{48(4k+5)^2(4k+7)^2(4k+9)^2} \right) \\
 &= \frac{6499}{43200} + \frac{652-75\pi^2}{2560} \Rightarrow -\frac{15\pi^2}{512}.
 \end{aligned} \tag{4.97}$$

$$\begin{aligned}
 F_{\text{sc}}^{(3)}(1) &= \frac{1}{2} \sum_{k=0}^{\infty} \left( -\frac{15296k^6}{10395} - \frac{7648k^5}{495} - \frac{234104k^4}{3465} - \frac{233872k^3}{1485} - \frac{102317k^2}{495} - \frac{143801k}{990} - \frac{6209419}{166320} \right. \\
 &\quad \left. - \frac{8k(2k+7)(8k(2k+7)(11960664k(2k+7)+205534087)+9393298205)+142669238625}{18480(4k+5)^3(4k+7)^2(4k+9)^3} \right) \\
 &= -\frac{614077}{950400} - \frac{62815\pi^2}{118272} \Rightarrow -\frac{62815\pi^2}{118272}.
 \end{aligned}$$

The procedure outlined above can be directly applied to evaluate higher-order derivatives, which are less insightful from the holography point of view, thus we will not bother to put them here.

	$F$	$F''$	$F'''$
Scalar	$\frac{60\pi^6 \log 2 + 82\pi^4 \zeta(3) - 150\pi^2 \zeta(5) - 945\zeta(7)}{61440\pi^6}$	$-\frac{15\pi^2}{512}$	$-\frac{62815\pi^2}{118272}$
Fermion	$\frac{300\pi^6 \log 2 + 518\pi^4 \zeta(3) + 1050\pi^2 \zeta(5) + 945\zeta(7)}{7680\pi^6}$	$-\frac{45\pi^2}{64}$	$-\frac{101335\pi^2}{7392}$

Table 4.1:  $F_{\text{sc}}^{(i)}(1)$  and  $F_{\text{f}}^{(i)}(1)$  for  $i = 0, 2, 3$ .

### 4.3.3 Free fermion

In this section, we calculate the derivatives of free energy at  $\lambda = 1$  for free fermions analytically, using zeta function regularization introduced before. The free energy is

$$F_f(\lambda) = -\log \det(-i\tilde{\nabla}) = -\sum_{n,r} m_{n,r} \log \tilde{\lambda}_{n,r}. \quad (4.98)$$

The spectrum of Dirac operator on squashed seven-sphere with  $SO(5) \times SO(3)$  isometry was calculated in [281], and in [282] by another method. The eigenstates of Dirac operator in  $S^7$  correspond to the  $SO(8)$  irreps  $(n, 0, 0, 1)$  and  $(n, 0, 1, 0)$ , with negative and positive values respectively. [99] Consider the branching rules of the two  $SO(8)$  irreps under  $SO(5) \times SO(3)$ :

$$\begin{aligned} (n, 0, 0, 1) &\rightarrow \sum_{r=0}^{[n/2]} (n+1-2r, r; n+1-2r) + \sum_{r=0}^{[n/2]} (n+1-2r, r; n-1-2r) \\ &\quad + \sum_{r=0}^{[n/2]} (n-1-2r, r+1; n+1-2r) + \sum_{r=0}^{[n/2]} (n-1-2r, r; n-1-2r). \\ (n, 0, 1, 0) &\rightarrow \sum_{r=0}^{[n/2]+1} (n-2r, r+1; n-2r) + \sum_{r=0}^{[(n-1)/2]} (n-2r, r; n-2r) \\ &\quad + \sum_{r=0}^{[n/2]} (n-2r, r; n-2r+2) + \sum_{r=0}^{[n/2]-1} (n-2r, r; n-2r-2). \end{aligned} \quad (4.99)$$

The label  $(p, q; r)$  correspond to irrep of  $SO(5)$  with Dynkin indices  $(p, q)$  and irrep for  $Sp(1)$  with index  $r$ , whose dimensions are:

$$m_{p,q} = \frac{1}{6}(p+1)(q+1)(p+q+2)(p+2q+3), \quad m_r = r+1. \quad (4.100)$$

Thus the degeneracy of irrep  $(p, q; r)$  is  $m_{p,q,r} = m_{p,q} m_r$ . In the branching rule, there're three classes of  $SO(5) \times SO(3)$  irreps, with specific relations between  $r$  and  $p$ : (i)  $(p, q; p)$ , (ii)  $(p, q; p+2)$ , (iii)  $(p, q; p-2)$ .

For case (i), the eigenvalues  $\tilde{\lambda}$  are solutions of the following quatic equation:<sup>14</sup>

$$\begin{aligned}
 (\tilde{\lambda} - \sigma_{1+})(\tilde{\lambda} - \sigma_{1-})(\tilde{\lambda} - \sigma_{2+})(\tilde{\lambda} - \sigma_{2-}) - \frac{(\lambda^2 - 1)(5\lambda^2 - 1)}{\lambda^4} p(p+2) &= 0; \\
 \sigma_{1\pm} &= -\frac{3}{2}\lambda \pm \frac{1}{2\lambda} \sqrt{9\lambda^4 + 2\lambda^2(8pq + 8p + 8q^2 + 24q + 9) + 4p^2 + 8p + 9}, \\
 \sigma_{2\pm} &= \frac{\lambda}{2} \pm \frac{1}{2\lambda} \sqrt{\lambda^4 + 2\lambda^2(8pq + 8p + 8q^2 + 24q + 17) + 4p^2 + 8p + 1}.
 \end{aligned} \tag{4.101}$$

The equation becomes trivial when  $\lambda^2 = 1, 1/5$ . And we will name the four corresponding branches of eigenvalues  $(\Sigma^{1+}, \Sigma^{2+}, \Sigma^{1-}, \Sigma^{2-})$ , which reduce to  $(\sigma^{1+}, \sigma^{2+}, \sigma^{1-}, \sigma^{2-})$  respectively if we take  $\lambda^2 = 1, 1/5$ .

For cases (ii) and (iii), the eigenvalues are denoted by  $D^{2\pm}$  and  $D^{3\pm}$  respectively:

$$\begin{aligned}
 D^{2\pm} &= \frac{\lambda}{2} \pm \frac{1}{2\lambda} \sqrt{\lambda^4 + 2\lambda^2(8pq + 2p + 8q^2 + 24q + 5) + (2p + 5)^2}; \\
 D^{3\pm} &= \frac{\lambda}{2} \pm \frac{1}{2\lambda} \sqrt{\lambda^4 + 2\lambda^2(8pq + 14p + 8q^2 + 24q + 17) + (2p - 1)^2}.
 \end{aligned} \tag{4.102}$$

To determine which eigenvalue to choose for the irreps in (4.99), we need to compare the above eigenvalues with [99], which discussed the special case  $\lambda^2 = 1/5$ . It turns out that corresponding to the eight irreps of  $\text{SO}(5) \times \text{SO}(3)$  appearing in the branching rule following the order of (4.99), we need to specify the following eigenvalues:

$$\Sigma^{2-}, D^{3-}, D^{2-}, \Sigma^{1-}; \quad \Sigma^{1+}, \Sigma^{2+}, D^{2+}, D^{3+}. \tag{4.103}$$

When  $\lambda = 1$ , the eigenvalues and degeneracies above are significantly simplified:

$$\begin{aligned}
 D^+ &= n + \frac{7}{2}, \quad D^- = -n - \frac{7}{2}, \quad n = 0, 1, 2, \dots; \\
 m_n &= \frac{1}{90}(n+1)(n+2)(n+3)(n+4)(n+5)(n+6).
 \end{aligned} \tag{4.104}$$

To calculate  $F_f(1)$ , we need generalized zeta function as in (4.93) to regulate logarithmic terms, which is also shown in Table 4.1, and corresponds to the results of [299]:

$$F_f(1) = \frac{300\pi^6 \log 2 + 518\pi^4 \zeta(3) + 1050\pi^2 \zeta(5) + 945\zeta(7)}{7680\pi^6} \approx 0.0369. \tag{4.105}$$

<sup>14</sup>Notice that the equation in [281] has a typo in the last term in the denominator.

The first, second, and third-order derivatives can be calculated using the ordinary zeta-function regularization, and we extract the terms with factors of  $\pi$  as the physically relevant ones as argued before:

$$\begin{aligned}
F_f'(1) &= \frac{128}{105} \sum_{k=0}^{\infty} (k+1) \left(k + \frac{3}{2}\right) (k+2) \left(k + \frac{5}{2}\right) (k+3) \\
&= \frac{32}{105} \sum_{m=2}^{\infty} (4m^6 - 5m^4 + m^2) = \frac{32}{105} [4\zeta(-6) - 5\zeta(-4) + \zeta(-2)] = 0. \\
F_f''(1) &= - \sum_{k=0}^{\infty} \left( \frac{2176k^6}{945} + \frac{8704k^5}{315} + \frac{125984k^4}{945} + \frac{311552k^3}{945} + \frac{3100k^2}{7} + \frac{295696k}{945} + \frac{112481}{1260} \right. \\
&\quad \left. - \frac{256}{105(2k+5)} + \frac{45}{8(4k+7)^2} + \frac{45}{8(4k+9)^2} + \frac{256}{105(2k+3)} \right) \\
&= - \frac{5608873}{264600} + \left( \frac{14269}{2520} - \frac{45\pi^2}{64} \right) \Rightarrow - \frac{45\pi^2}{64}. \\
F_f'''(1) &= - \sum_{k=0}^{\infty} \left( \frac{16k(k+4)(8k(k+4)(956k(k+4) + 9981) + 255129) + 5118283}{20790} \right. \\
&\quad \left. + \frac{\frac{600000}{2k+5} - \frac{506675}{(4k+7)^2} - \frac{506675}{(4k+9)^2} - \frac{248832}{(2k+5)^3} - \frac{600000}{2k+3} + \frac{248832}{(2k+3)^3}}{4620} \right) \\
&= - \frac{101335\pi^2}{7392} + \frac{54409409}{363825} \Rightarrow - \frac{101335\pi^2}{7392}.
\end{aligned}$$

## 4.4 Comparison

Up to now, we have studied the free energies of three theories: holographic CFT corresponding to Euclidean Einstein gravity,  $O(N)$  vector model, and free fermion model. In this section, we will compare their free energies as functions of the squashing parameter  $\lambda$ .

The number of degrees of freedom in field theory is characterized by its central charge  $C_T$  in the stress-tensor two-point functions (4.62), thus to compare the free energy among different theories, the most convenient normalization is to divide them by the corresponding central charges. For free scalar and massless Dirac fermion, the central charges are given by, respectively: [286, 276, 277,

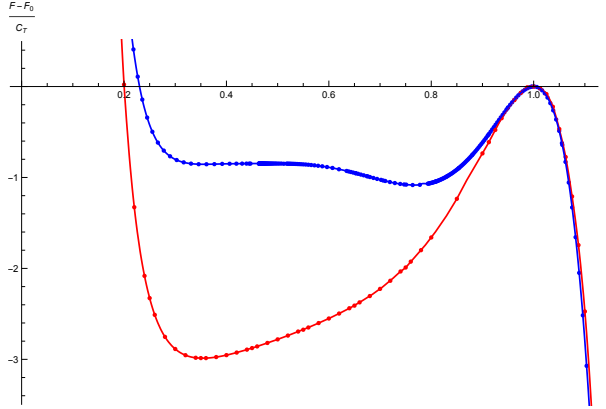


Figure 4.6: Blue points: gravitational free energy. Red points: conformally coupled scalar action. The zero-point energy where  $\lambda = 1$  is extracted, and  $C_T$  is divided. The lines are interpolation lines to make the plots more clear.

300]<sup>15</sup>

$$C_{T,\text{sc}} = \frac{d}{d-1} \frac{1}{V_{\mathbb{S}^{d-1}}^2}, \quad C_{T,\text{f}} = \frac{d}{2} 2^{[d/2]} \frac{1}{V_{\mathbb{S}^{d-1}}^2}, \quad V_{\mathbb{S}^{d-1}} \equiv \frac{2\pi^{d/2}}{\Gamma(d/2)}. \quad (4.106)$$

The two-point function of stress tensor can also be evaluated holographically from the bulk side, and the value of  $C_T$  in Einstein gravity is given by [300, 301]:

$$C_{T,\text{holo}} = \frac{\Gamma(d+2)}{8(d-1)\Gamma(d/2)\pi^{(d+2)/2}} \frac{\ell^{d-1}}{G_N}.$$

Specifically for  $d = 7$ , we have:

$$C_{T,\text{sc}} = \frac{525}{512\pi^6}, \quad C_{T,\text{f}} = \frac{1575}{64\pi^6}, \quad C_{T,\text{holo}} = \frac{448}{\pi^5} \frac{\ell^6}{G_N}.$$

The first comparison can be made between normalized  $F_{\text{bulk}}$  and  $F_{\text{sc}}$  for general  $\lambda$  collected in Fig. 4.6. Except for the expected correspondence at weak and strong deformation regions, they seem to behave differently, especially around  $\lambda_* = 1/\sqrt{5} \approx 0.447$ . There appear to be some local minima in both cases which are less understood. The gravitational free energy seems to have a platform around  $\lambda_*$ , which deserves further numerical study with higher precision.

In the weak-squashing regime, we collect the analytic results of second-order and third-order derivatives at  $\lambda = 1$  for scalar and fermion in Table 4.2. In the

<sup>15</sup>In [180], the convention is different, where the factor of  $V_{\mathbb{S}^{d-1}}$  is absent.

same table, we also include the same quantities of  $F_{\text{bulk}}$  obtained by numerical fitting. The small discrepancy of the second-order derivative is probably due to numerical errors, which reflects our normalization by dividing  $C_T$  in each theory is reasonable. The three-point function of the stress tensor depends on the details of the field theory, which is different in different theories. It was observed in [180] that for some special field theories on  $U(1)$  squashed three spheres, the third-order derivatives of free energies of the squashing parameter  $\epsilon$  appear to be vanishing, which is no longer true in our example. Take the holographic field theory as an example, we have:

$$\frac{1}{C_T} \frac{d^3 F}{d\epsilon^3} \Big|_{\epsilon=0} = \frac{1}{8C_T} F'''(1) - \frac{3}{8C_T} F''(1) \approx -670, \quad (4.107)$$

which is different from zero. It will be interesting to explore this further.

	scalar	free fermion	holographic field
$F''(1)/C_T$	$-\frac{\pi^8}{35} \approx -271.1$	$-\frac{\pi^8}{35} \approx -271.1$	$-271.8$
$F'''(1)/C_T$	$-\frac{12563\pi^8}{24255} \approx -4900$	$-\frac{40534\pi^8}{72765} \approx -5300$	$-6200$

Table 4.2: Comparison of the second and third-order derivatives of free energy at  $\lambda = 1$ .

The comparison at strong-squashing regime, corresponding to big and small values of  $\lambda$ , has been reported in the literature. [265, 283] Our results are collected in Fig. 4.7. Although the free energies are not exactly the same as in weak-squashing regime, they turn out to be proportional to each other and have the same scaling of  $\lambda$  or  $\lambda^{-1}$  respectively, which is the same as  $AlAdS_4$  study in [283].

For small  $\lambda$ , the size of the  $SU(2)$  bundle can be omitted compared with the  $S^4$  base space, this means  $b(r)$  will cap off before  $a(r)$  as  $r$  go from infinity, where the local geometry is given by  $S^4 \times \mathbb{R}^4$ , corresponding to a Bolt space. Following [265], we expect the free energy of the conformal field theory to be identical to a theory living on  $S^4$ , which is proportional to the normalized volume of  $S^4$  and scales like  $F \sim \frac{1}{\lambda^4}$ , and indeed they have been reproduced for free energies both in the bulk and boundary.

At large  $\lambda$ , the  $S^4$  becomes tiny and the fiber effect becomes strong, and numerics suggests to us the power is  $F \sim \lambda^{10}$ . This power can be compared against [265]: there they consider an asymptotic boundary  $S^4 \times S^3$  there when  $S^3$  becomes large, the near horizon geometry becomes  $\mathbb{R}^5 \times S^3$ , corresponding to a CFT living on  $S^3$ , thus the free energy will scale as  $\lambda^3$ , which is much different from our result. The difference in our case is that we don't have such a solution



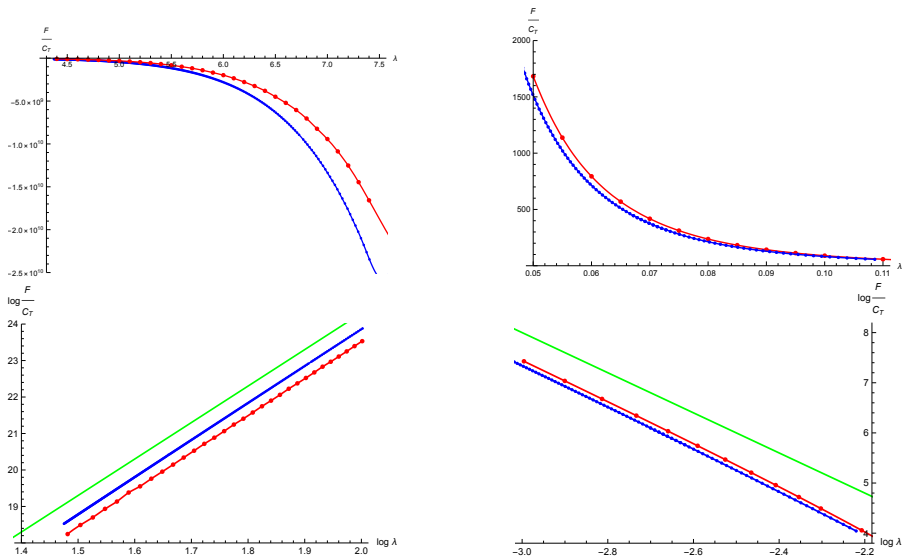


Figure 4.7: Free energy at large and small  $\lambda$ , respectively. The blue line is bulk free energy, and the red one is for free scalar. The green lines are reference lines, whose slopes are 10 and  $-4$  respectively.

where  $a(r)$  caps off before  $b(r)$ , thus the field theory argument above doesn't work anymore. Notice that  $10 = 7 + 3$ , which is the sum of the dimension of the full boundary and the bundle, it will be interesting to understand this scaling better.

We can also discuss the large squashing behavior for field theories living on the  $U(1)$  squashed  $(2k+1)$ -spheres. If we still label the size of the bundle as  $\lambda$ , then as  $\lambda \rightarrow 0$ , the free energy scales as an effective theory on  $\mathbb{S}^{2k}$ , thus  $F \sim \lambda^{-2k}$ ; and as  $\lambda \rightarrow \infty$ , we expect a power equal to the sum of boundary dimension and the fibre, which is  $\lambda^{2k+2}$ . The special case  $k = 1$  has been already considered in the literature. At the bulk side, it was done in [179] where  $O(\lambda^4)$  scaling at large  $\lambda$  and  $O(\lambda^{-2})$  at small  $\lambda$  are obtained analytically. The  $O(\lambda^{-2})$  scaling has also been reproduced for conformally coupled scalar and fermions. [283, 180, 302]

## 4.5 Discussion

As the first result of this chapter, we construct a novel one-parameter family of AlAdS<sub>8</sub> solutions of Euclidean Einstein gravity preserving  $SO(5) \times SO(3)$

isometry with two closely related metrics. We study the renormalized gravitational free energy as a function of the squashing parameter and show its correspondence with free field theories living on a squashed seven sphere. With the solutions containing  $SU(2)$  fiber bundles, our work has extended the literature on AdS vacuum solutions. On the field theory side, we have studied the free energy for an arbitrary CFT living on a perturbed metric. Using zeta-function and heat-kernel regularization, we study the free energy of free conformal scalar and free fermion theories living on the squashed sphere. We compare free energies in the bulk and boundary both at small and large deformations.

As a second result of this chapter, we expressed the second-order derivative of the free energy with regard to the squashing parameter in terms of the central charge in general CFT. The relation is identical to the corresponding one in the bulk, which reflects the universal property of conformal symmetry and acts as a sanity check of our result. We checked this for general conformal field theories living on the  $U(1)$  squashed sphere or the  $SU(2)$  squashed seven spheres. From a renormalization group point of view, the squashing on the round sphere induces an RG flow generated by the stress tensor of the field theory, along which the  $\tilde{F}$  quantity related to the free energy must be decreasing, this is also confirmed in our analysis.

## Future directions

The story we uncovered is interesting but there are some open questions left by our analysis. Let us mention some of them.

More investigations on the field theory free energy will further support our results. It's interesting to evaluate the renormalized free energy for free fermions using heat-kernel regularization to get the strong deformation behavior, where a crucial step is to obtain the heat-kernel coefficients. One should also try to obtain the strong deformation behavior analytically, following [302].

Our second metric ansatz turns out to be more general than the first one and admits three squashing parameters. The discussions in the appendix C.1 only show the behaviors of the metric, without exploring further the free energy. It would be definitely interesting to investigate the extended phase space of the  $AlAdS_8$  solution as well as its holographic partners. We conjecture that there should be an interface between the NUT and Bolt phase in the bulk configuration space parametrized by  $(\lambda_1, \lambda_2, \lambda_3) \equiv \left( \frac{\mathcal{F}_{30}}{\mathcal{F}_{20}}, \frac{\mathcal{F}_{40}}{\mathcal{F}_{20}}, \frac{\mathcal{F}_{50}}{\mathcal{F}_{20}} \right)$ , passing through the special point corresponding to  $\lambda_* = \frac{1}{\sqrt{5}}$ . On the field theory side, we need to generalize the spectrum of conformal Laplacian [281, 282] when

the symmetry group is further broken from  $SO(5) \times SO(3)$  to  $SO(5) \times U(1)$ . At strong deformation regime, we expect the bulk and boundary free energy to scale consistently as a homogeneous polynomial of  $(\lambda_1, \lambda_2, \lambda_3)$  whose order is dictated by our analysis which essentially corresponds to the special case  $\lambda_1 = \lambda_2 = \lambda_3$ .

We also need to understand better the  $\lambda^{10}$  scaling of the free energies, which reflects important properties of the field theory. Notice that a similar scaling also emerges for  $U(1)$  squashed spheres, a numerical study would also be helpful for those cases. We are also looking forward to a formal justification of our steps to evaluate integrated two-point correlators on the sphere, which may also help us evaluate three-point correlators of stress tensors.

Based on our work, there are also many generalizations that are worthy of exploring.

As we mentioned in the beginning, as dictated by the Klebanov-Polyakov correspondence [262], the  $O(N)$  vector field and free fermion on the boundary are dual to higher spin gravity in the bulk. The higher spin modes in the latter contributes non-trivially to the free energy, and thus needs to be studied independently. There are two types of higher spin gravities, called A and B, correspond to complex scalar and free fermion fields on the boundary respectively.<sup>16</sup> Following [304, 305, 306] for a new solution similar to our ansatzes (4.32a) and (4.32b) will be desirable. This will provide new opportunities for quantitative checks of the higher-spin/vector model duality.

The authors of [307] conjectured that any non-supersymmetric AdS vacua supported by fluxes must be unstable. An example with a 11D Euclidean  $AdS_5 \times \mathbb{CP}^3$  backups the conjecture. [308] It would be interesting to study the stability under real-time evolution of our bulk space, following [290] whose geometry is asymptotically flat.

One can further explore the integrated three-point functions of stress tensor on seven spheres, and compare them against the proposal in [280, 286]. It was pointed out in [180, 280] that free bosons and free fermions may play as lower and upper bounds for the free energies in general field theories. For  $U(1)$  bundled  $(2k+1)$ -spheres, this can be explicitly checked by numerically evaluating the renormalized Euclidean Einstein-Hilbert action as well as analytically on the field theory side using the zeta-function regularization with the known eigenvalues of Laplacian [180] and Dirac operators [309].

Our metric ansatz is based on quaternionic line bundle, it might be interesting to also consider octonionic line bundle considered in [310, 311]. Generalizing our solutions here to include higher derivative corrections is also an interesting

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<sup>16</sup>See [303] for a review and [304, 305, 306] for results with  $AdS_8$ .

direction to explore. [312] A more ambitious plan is to follow the top-down approach of [313, 314] to understand the  $\text{AdS}_8$  vacua in string theory.

## Chapter 5

# Conclusion and outlook

In this thesis we mainly do two things. The first is to test precision holography. The second is to take advantage of the precision AdS/CFT correspondence to have a better understanding on both the quantum gravity and the strongly-coupled quantum field theories.

Chapter 2 mainly uses supergravity techniques to reproduce the subleading terms in the dual field theories, which is a non-trivial test of precision holography at quantum level. At the same time, using AdS/CFT, the observation in field theory results that partitions functions and correlators are independent of continuous parameters imposes strong constraints on the bulk theories, and especially may be used to disprove some theories in supergravity with scale separation.

Chapter 3 uses field theory techniques to evaluate several BPS observables at strong coupling in the large  $N$  limit for the first time, this gives non-trivial predictions on scattering of Kaluza-Klein modes in the dual type IIB supergravity. At the same time, partial results in the gravity side are consistent to ours, which is a non-trivial precision test of holography.

Chapter 4 studies the phases and the analogue of Hawking-page phase transition in the Euclidean Einstein gravity for a novel asymptotically AdS<sub>8</sub> background. The phase space unexpectedly indicates an absence of any phase transition, which gives a non-trivial prediction on its dual field theory, which is not understood because of the lack of supersymmetry. As a bottom-up model of holography, we compare the partition functions among the conformal scalar, the free fermion, and the holographic theories.

As discussed in the end of each chapters, there are numerous directions of further studies of these stories. Here we highlight some of them as valuable topics to be explored in the future.

- The methods we have employed can be generalized to study logarithmic corrections to path integrals in de Sitter space, see [315, 316] for some recent explorations in this direction. It is important to develop this topic further and calculate both logarithmic corrections to the entropy of empty de Sitter space as well as to the entropy of asymptotically de Sitter black holes.
- Supersymmetric localization has proven to be an efficient method for the calculation of path integrals in supersymmetric theories. It may be possible to use localization calculations in gauged supergravity as an efficient method to compute the Euclidean gravitational path integral and access the logarithmic corrections discussed above. This has been explored in [317, 318, 319, 76] and it is important to address the subtleties and open questions that accompany this method. We hope that the results presented in this work will prove helpful in this regard. To this end, it is encouraging to note the fact that the 4d KK supergravity regularization discussed in Section 2.6.2 yields the same  $\chi \log(L^2/G_N)$  structure of the logarithmic correction as the one found in [76] using supergravity localization and index theorems.
- The methods we used in this work can be applied to logarithmic corrections of AdS path integrals in other space-time dimensions. It will be particularly interesting to perform such an analysis for even-dimensional asymptotically AdS backgrounds since then there are non-trivial local contributions to the logarithmic corrections and it should be possible to deduce constraints on gravitational theories in AdS as we did in Section 2.7.
- In Section 2.7 we presented general constraints on the spectrum of light excitations around a given  $\text{AdS}_4$  vacuum arising from a UV-complete quantum gravitational theory. It is important to understand whether these constraints are obeyed by the many known  $\text{AdS}_4$  vacua in string and M-theory. This is of particular interest in the context of scale-separated AdS vacua where these new constraints can either rule out some a priori admissible backgrounds, or point to exotic features in the holographically dual 3d CFTs. It will also be interesting to explore the interplay between the constraints on the matter fields presented in Section 2.7 and the species bound discussed in the work of Dvali [320]. Finally, we note that in many examples discussed in Section 2.6, we found that the total heat kernel coefficients of two  $\text{AdS}_4$  solutions connected by a gravitational domain

wall are the same. It is important to understand whether this is a general feature of AdS vacua connected by domain walls. If such a property is generally true it can be used in conjunction with the constraints from Section 2.7 to derive even stronger consistency conditions on gravitational theories in AdS.

- New BPS observables in the superconformal **E**-theory have been studied using similar matrix models that we discuss, such as correlators of Wilson loops [321], correlation function between one single-trace scalar operator and a circular Wilson loop [322], integrated two-point functions with a Wilson loop [323], integrated four-point functions [324]. It would be very interesting to study the limit of our algorithm and to study the strongly coupled limit of these observables for which analytic methods are not powerful enough.
- There're several aspects of the  $SO(5) \times SO(3)$  symmetric  $AlAdS_8$  space that are interesting to explore. We have not identified the detailed behavior of the renormalized free energy when the squashing parameter approaches the special value  $\lambda_* = \frac{1}{\sqrt{5}}$ , which requires higher numerical precision. It would be interesting to see whether there is an oscillating behavior around the conically singular solution as observed in [265]. Besides numerics, this special limit can also be studied from the NUT side analytically following the metric perturbation method as in [265].
- Given the lack of superconformal field theories in  $d = 7$  [17, 325], there have been many explorations on non-conformal supersymmetric Yang-Mills theory living on seven manifolds, which can be constructed as the worldvolume theory of the D6 branes in the Euclidean type IIA\* theory. [326] With supersymmetries, localization calculations can be done to extract information about the free energy and the Wilson loops expectation values. [313] With background fluxes turned on, we expect the free energy to be different from our case, but it would be interesting to compare our results and illustrate the difference.





# Appendix A

## More details on Log corrections

### A.1 Euclidean spinors

In this appendix we briefly summarize the 4d Euclidean convention for spinors and gamma matrices, see [327, 90] for details. We use hermitian gamma matrices satisfying

$$(\gamma_a)^\dagger = \gamma_a, \quad \{\gamma_a, \gamma_b\} = 2\delta_{ab}, \quad (\text{A.1})$$

and define the 5th gamma matrix  $\gamma^5$  as

$$\gamma^5 = \gamma_1 \gamma_2 \gamma_3 \gamma_4. \quad (\text{A.2})$$

The charge conjugation matrix  $\Omega$  satisfies

$$\Omega = -\Omega^T, \quad \Omega \gamma_a \Omega^{-1} = -(\gamma_a)^T, \quad (\text{A.3})$$

and also the unitarity relation  $\Omega^\dagger = \Omega^{-1}$ .

In 4d Euclidean signature, Majorana spinors are not irreducible and instead we must use symplectic-Majorana spinors. A symplectic-Majorana spinor  $\lambda^i$  satisfies the constraint

$$\Omega^{-1}(\bar{\lambda}_i)^T = \varepsilon_{ij} \lambda^j, \quad (\text{A.4})$$

written in terms of the charge conjugation matrix  $\Omega$  and the antisymmetric tensor  $\varepsilon_{ij}$  with  $\varepsilon_{12} = 1$ , where the bar is defined as  $\bar{\lambda}_i := (\lambda^i)^\dagger$  and SU(2) indices

$i, j \in \{1, 2\}$  are raised and lowered by complex conjugation. The symplectic-Majorana condition (A.4) yields the following useful identities on bilinears of *anti-commuting* symplectic-Majorana spinors:

$$(\bar{\lambda}_j \Gamma^\dagger \varphi^i)^\dagger = \bar{\varphi}_i \Gamma \lambda^j = -\varepsilon_{ik} \varepsilon^{jl} \bar{\lambda}_l \Omega^{-1} \Gamma^T \Omega \varphi^k, \quad (\text{A.5})$$

where  $\Gamma$  is any combination of gamma matrices. For *commuting* symplectic-Majorana spinors, we have instead

$$(\bar{\lambda}_j \Gamma^\dagger \varphi^i)^\dagger = \bar{\varphi}_i \Gamma \lambda^j = \varepsilon_{ik} \varepsilon^{jl} \bar{\lambda}_l \Omega^{-1} \Gamma^T \Omega \varphi^k, \quad (\text{A.6})$$

where the additional minus sign comes from  $(AB)^T = -B^T A^T$  for two Grassmann-odd matrices  $A$  and  $B$ .

## A.2 Trace computations

In this appendix, we study the quadratic operator  $\mathcal{Q}$  and the associated bulk contribution to the fourth SdW coefficient in (2.37). We do so for all massless and massive quantum fluctuations of fields with spin  $0 \leq s \leq 2$ . For  $s = 0$  and  $s = 1/2$  we study the fluctuations around a generic Einstein-Maxwell background satisfying the equations of motion (2.36), while for spin  $1 \leq s \leq 2$  we turn off the background graviphoton and focus on Einstein backgrounds for simplicity.

### A.2.1 Scalar fluctuations

The Euclidean action for scalar fluctuations to quadratic order reads

$$S = \int d^4x \sqrt{g} \phi [-\mathcal{D}^\mu \mathcal{D}_\mu + m^2] \phi, \quad (\text{A.7})$$

where the covariant derivative is

$$\mathcal{D}_\mu \phi = \nabla_\mu \phi - i q A_\mu \phi. \quad (\text{A.8})$$

Here,  $q$  is the charge of the scalar field with respect to the background graviphoton. The quadratic operator can be read off from the action (A.7) as

$$\mathcal{Q}_{\text{scal}} = \mathcal{D}^\mu \mathcal{D}_\mu - m^2. \quad (\text{A.9})$$

The associated quantities for the trace computations are defined in Section 2.4.2 and are given by

$$E = -m^2, \quad \Omega_{\mu\nu} = -i q F_{\mu\nu}. \quad (\text{A.10})$$

Substituting (A.10) into the trace formula (2.31) and then rewriting the result in terms of the 4-derivative quantities as in (2.37), we obtain the heat kernel coefficients

$$a_E = \frac{1}{360}, \quad c = \frac{1}{120}, \quad b_1 = \frac{1}{288}((mL)^2 + 2)^2, \quad b_2 = \frac{1}{144}(qL)^2, \quad (\text{A.11})$$

where we have also used the background equations of motion (2.36). The heat kernel coefficients for the massless case can be obtained simply by setting  $m^2 = -2/L^2$  in (A.11).

## A.2.2 Spinor fluctuations

The Euclidean action for massive symplectic-Majorana spinors is given by [90]<sup>1</sup>

$$S = \int d^4x \sqrt{g} \bar{\psi}_i \gamma^5 [\delta^i_j \not{D} - m \sigma_3^i_j] \psi^j \equiv \int d^4x \sqrt{g} \bar{\psi} \mathbb{D} \psi, \quad (\text{A.12})$$

where the covariant derivative is

$$\mathcal{D}_\mu \Omega^i = \nabla_\mu \Omega^i - \mathrm{i} q \sigma_3^i_j A_\mu \Omega^j, \quad (\text{A.13})$$

and  $\sigma_3$  is the third Pauli matrix. To obtain a Laplace-type differential operator, we square the massive Dirac operator to  $\mathcal{Q}_{\text{ferm}} = -\mathbb{D}^2$ , see e.g. [79], and use

$$\log \det \mathbb{D} = \frac{1}{2} \log \det \mathcal{Q}_{\text{ferm}}. \quad (\text{A.14})$$

The resulting second-order operator reads

$$\mathcal{Q}_{\text{ferm}} = \delta^i_j \left( \mathcal{D}^\mu \mathcal{D}_\mu - \frac{1}{4} R - m^2 \right), \quad (\text{A.15})$$

where the Ricci scalar term arises due to the Lichnerowicz formula based on the identity  $\gamma^{ab} \gamma^{cd} R_{abcd} = -2R$ . This operator is diagonal in the  $\text{SU}(2)$  indices. Thus, to obtain the contribution to the heat kernel coefficients from a single symplectic-Majorana spinor, we can work with the above  $\mathcal{Q}_{\text{ferm}}$  and divide the final result by four: one factor of two for the  $\text{SU}(2)$  indices and another factor of two for the symplectic-Majorana condition. From (A.15) we read off the matrices

$$E = -\frac{1}{4}(R + 4m^2)\delta_j^i - \frac{1}{2}\mathrm{i} q F_{ab} \gamma^{ab} \sigma_3^i_j, \quad (\text{A.16})$$

$$\Omega_{\mu\nu} = \frac{1}{4} R^{ab}{}_{\mu\nu} \gamma_{ab} \delta_j^i - \mathrm{i} q F_{\mu\nu} \sigma_3^i_j,$$

---

<sup>1</sup>The Euclidean Dirac action in a similar form can be derived by generalizing the Wick rotation to spinors [328]. A proper derivation of the Euclidean spinor action from conformal supergravity was implemented in [90].

defined in Section 2.4.2. Substituting (A.16) into the trace formula (2.31) and then rewriting the result in terms of the 4-derivative quantities in (2.37), we obtain the heat kernel coefficients

$$a_E = -\frac{11}{720}, \quad c = -\frac{1}{40}, \quad b_1 = \frac{1}{144}(mL)^2((mL)^2 - 2), \quad b_2 = -\frac{1}{36}(qL)^2, \quad (\text{A.17})$$

for a single massive spin-1/2 field. The heat kernel coefficients for the massless case can be obtained simply by setting  $m = 0$  in (A.17).

### A.2.3 Vector fluctuations

For a massless Abelian spin-1 field, the Euclidean action reads

$$S = \int d^4x \sqrt{g} \left[ -\frac{1}{4} F^{\mu\nu} F_{\mu\nu} - \frac{1}{2} (\nabla^\mu A_\mu)^2 \right], \quad (\text{A.18})$$

where we include a gauge-fixing term imposing the gauge  $\nabla^\mu A_\mu = 0$ . To consider the massive case, we introduce a Stückelberg scalar  $B$  and consider the action [164]

$$S = \int d^4x \sqrt{g} \left[ -\frac{1}{4} F^{\mu\nu} F_{\mu\nu} - \frac{1}{2} (m A_\mu + \nabla_\mu B)^2 - \frac{1}{2} (\nabla^\mu A_\mu + m B)^2 \right]. \quad (\text{A.19})$$

Note that the first two terms are invariant under

$$\delta A_\mu = \nabla_\mu \lambda, \quad \delta B = -m \lambda, \quad (\text{A.20})$$

and that the last term is the new gauge-fixing term for this symmetry. To enforce the gauge at the quantum level, we introduce anticommuting scalar ghost and anti-ghost fields with action

$$S_{\text{gh}} = \int d^4x \sqrt{g} \bar{b} [-\nabla^\mu \nabla_\mu + m^2] c. \quad (\text{A.21})$$

The gauge-fixing term allows us to write the action (A.19) in terms of a Laplace-type quadratic operator. This operator is diagonal in field space and reads

$$\mathcal{Q}_{\text{vec}} = \begin{pmatrix} g^{\mu\nu}(\nabla^2 - m^2) - R^{\mu\nu} & 0 \\ 0 & \nabla^2 - m^2 \end{pmatrix}. \quad (\text{A.22})$$

From this we can read off the following matrices defined in Section 2.4.2,

$$E = \begin{pmatrix} -m^2 g^{\mu\nu} - R^{\mu\nu} & 0 \\ 0 & -m^2 \end{pmatrix}, \quad \Omega_{\rho\sigma} = \begin{pmatrix} R^{\mu\nu}{}_{\rho\sigma} & 0 \\ 0 & 0 \end{pmatrix}. \quad (\text{A.23})$$

This leads to the following heat kernel coefficients for the physical fields,

$$a_E^{\text{ph}} = \frac{13}{72}, \quad c^{\text{ph}} = \frac{1}{8}, \quad b_1^{\text{ph}} = \frac{1}{288}(5(mL)^4 - 4(mL)^2 + 12), \quad (\text{A.24})$$

based on the trace formula (2.31) and the equivalent expression (2.37) under the background equations of motion (2.36) with a vanishing background graviphoton. The ghost sector contributes minus twice the coefficients for a real scalar field (A.11), namely

$$a_E^{\text{gh}} = -\frac{1}{180}, \quad c^{\text{gh}} = -\frac{1}{60}, \quad b_1^{\text{gh}} = -\frac{1}{144}((mL)^2 + 2)^2, \quad (\text{A.25})$$

where the factor of two accounts for the pair of ghost and anti-ghost fields and the minus sign arises due to their anticommuting nature.

Putting the contribution from the physical sector (A.24) and ghost sector (A.25) together, we arrive at the following heat kernel coefficients for a massive Abelian vector field

$$a_E = \frac{7}{40}, \quad c = \frac{13}{120}, \quad b_1 = \frac{1}{288}(3(mL)^4 - 12(mL)^2 + 4). \quad (\text{A.26})$$

Note that in these trace computations, the Stückelberg field  $B$  effectively adds a simple scalar degree of freedom to the coefficients obtained from the vector and ghost fields. This is a manifestation of the fact that the quadratic operator (A.22) is diagonal in field space. One can check that the heat kernel coefficients for a massless Abelian vector field presented in [77]

$$a_E = \frac{31}{180}, \quad c = \frac{1}{10}, \quad b_1 = 0, \quad (\text{A.27})$$

are indeed equivalent to the result obtained by subtracting (A.11) from (A.26) with  $m = 0$ .

## A.2.4 Gravitino fluctuations

The Euclidean action for a massive gravitino field reads

$$S = \int d^4x \sqrt{g} \left( \bar{\psi}_{\mu i} \gamma^5 [\delta^i_j \gamma^{\mu\nu\rho} \mathcal{D}_\nu - m \sigma_3^i_j \gamma^{\mu\rho}] \psi_\rho^j + \frac{1}{2} i F^{\rho\sigma} \bar{\psi}_{\mu i} \gamma^5 \gamma_\rho \gamma^{\mu\nu} \gamma_\sigma \psi_\nu^i \right), \quad (\text{A.28})$$

where  $\mathcal{D}_\mu$  denotes the covariant derivative. In the following we will turn off the background gauge field and focus on the gravitino fluctuation around a general

Einstein background. The Rarita-Schwinger action above needs to be modified to include a symplectic-Majorana Stückelberg field  $\chi^i$  as<sup>2</sup>

$$S_\chi = \int d^4x \sqrt{g} \left( \bar{\psi}_{\mu i} + \frac{1}{m} \nabla_\mu \bar{\chi}_i \gamma^5 \right) \gamma^5 \left[ \delta^i_j \gamma^{\mu\nu\rho} \nabla_\nu - m \sigma_3^i_j \gamma^{\mu\rho} \right] \left( \psi_\rho^j + \frac{1}{m} \gamma^5 \nabla_\rho \chi^j \right). \quad (\text{A.29})$$

Implementing the field redefinition

$$\psi_\mu^i \rightarrow \psi_\mu^i + \frac{1}{2} \sigma_3^i_j \gamma_\mu \gamma^5 \chi^j, \quad (\text{A.30})$$

the modified action can be written in the form

$$S_\chi = S - \int d^4x \sqrt{g} \left( \frac{3}{2} \bar{\chi}_i \gamma^5 \nabla \chi^i - 3m [\sigma_3^i_j \bar{\chi}_i \gamma^5 \chi^j + \bar{\psi}_{\mu i} \gamma^\mu \chi^i] \right. \\ \left. - \frac{1}{4m} \left[ R \sigma_3^i_j \bar{\chi}_i \gamma^5 \chi^j - 4 G^{\mu\nu} \bar{\psi}_{\mu i} \gamma_\nu \chi^i + \frac{2}{m} G^{\mu\nu} \bar{\chi}_i \gamma^5 \gamma_\mu \nabla_\nu \chi^i \right] \right), \quad (\text{A.31})$$

where  $G_{\mu\nu}$  is the Einstein tensor. After the shift (A.30), the action  $S$  in (A.29) is invariant under the BRST transformations

$$\delta_B \psi_\mu^i = \nabla_\mu c^i - \frac{m}{2} \sigma_3^i_j \gamma_\mu c^j, \quad \delta_B \chi^i = -m \gamma^5 c^i, \quad (\text{A.32}) \\ \delta_B b^i = i \gamma^5 \nabla B^i, \quad \delta_B c^i = 0, \quad \delta_B B^i = 0,$$

where  $b^i$  and  $c^i$  are commuting symplectic-Majorana ghosts and  $B^i$  is an anti-commuting symplectic-Majorana Nakanishi-Lautrup field. To consistently fix the gauge, we introduce the BRST-invariant action

$$S_{\text{gf}} = \int d^4x \sqrt{g} \left( i \delta_B [\bar{b}_i \mathcal{G}^i] + \xi \bar{B}_i \gamma^5 \nabla B^i \right), \quad (\text{A.33})$$

and choose the gauge-fixing function

$$\mathcal{G}^i = \gamma^\mu \psi_\mu^i. \quad (\text{A.34})$$

Lastly, we introduce the massless commuting Kallosh-Nielsen ghost  $d^i$  with action

$$S_{\text{KN}} = \frac{1}{2} i \int d^4x \sqrt{g} \bar{d}_i \nabla d^i. \quad (\text{A.35})$$

---

<sup>2</sup>See [329] and references therein for how the Stückelberg formalism works for a Rarita-Schwinger field in the Lorentzian signature. Here we generalized it to the Euclidean signature based on [90]. Note also that we use  $\nabla_\mu$  and not the more general covariant derivative  $\mathcal{D}_\mu$  since we are considering backgrounds with vanishing graviphoton.

Upon choosing  $\xi = -\frac{1}{2}$  in (A.33), the complete action  $S_{\text{tot}} = S_\chi + S_{\text{gf}} + S_{\text{KN}}$  can be written in Dirac form

$$S_{\text{tot}} = \begin{pmatrix} \bar{\psi}_{\mu i} & \bar{\chi}_i & \bar{b}_i & \bar{d}_i \end{pmatrix} \mathbb{D} \begin{pmatrix} \psi_\nu^j \\ \chi^j \\ c^j \\ d^j \end{pmatrix}, \quad (\text{A.36})$$

where

$$\mathbb{D} = \begin{pmatrix} \gamma^5 [\delta_j^i g^{\mu\nu} \nabla - m \sigma_3^i{}_j \gamma^{\mu\nu}] & -M \delta_j^i \gamma^\mu & 0 & 0 \\ -M \delta_j^i \gamma^\nu & -\gamma^5 [\delta_j^i \nabla - 2m \sigma_3^i{}_j] & 0 & 0 \\ 0 & 0 & 2i [\delta_j^i \nabla - 2m \sigma_3^i{}_j] & 0 \\ 0 & 0 & 0 & i \delta_j^i \nabla \end{pmatrix}. \quad (\text{A.37})$$

To write the expressions above, we introduced

$$M = \sqrt{\frac{\Lambda}{2} + \frac{3}{2} m^2}, \quad (\text{A.38})$$

and used that  $G_{\mu\nu} = -\Lambda g_{\mu\nu}$  for an Einstein background. We further rescaled the Stückelberg fermion  $\chi^i$  and all the ghosts to normalize all kinetic terms.

Since the operator (A.37) is block diagonal, we first focus on the physical sector in the  $2 \times 2$  upper left corner. To extract the heat kernel coefficients, we square this operator to bring it to the Laplace form (2.29) and define  $\mathcal{Q}_{\text{gravitino}} = -\mathbb{D}^2$ , as in the spin-1/2 case. Contrary to the spin-1 case, this operator is not diagonal in field space due to the cross terms between the gravitino and the Stückelberg fermion:

$$\mathcal{Q}_{\text{gravitino}} = \begin{pmatrix} \mathcal{Q}_{\psi\psi} & \mathcal{Q}_{\psi\chi} \\ -\mathcal{Q}_{\psi\chi} & \mathcal{Q}_{\chi\chi} \end{pmatrix}. \quad (\text{A.39})$$

Explicitly, we have the following matrix elements:

$$\begin{aligned} \mathcal{Q}_{\psi\psi} &= \delta_j^i g^{\mu\nu} \nabla^2 - 4m \sigma_3^i{}_j g^{\rho[\mu} \gamma^{\nu]} \nabla_\rho - \delta_j^i (M^2 + 3m^2) g^{\mu\nu} - \delta_j^i (M^2 + 2m^2) \gamma^{\mu\nu}, \\ \mathcal{Q}_{\chi\chi} &= \delta_j^i \nabla^2 - 4\delta_j^i (M^2 + m^2), \\ \mathcal{Q}_{\psi\chi} &= 2M \delta_j^i \gamma^5 \nabla^\mu - 5mM \sigma_3^i{}_j \gamma^5 \gamma^\mu, \end{aligned} \quad (\text{A.40})$$

which leads to the following field space matrix for  $E$ ,

$$E_{\psi\psi} = \frac{1}{2} \delta_j^i \left( R^{\mu\nu}{}_{ab} \gamma^{ab} - \frac{1}{2} R g^{\mu\nu} + 6m^2 g^{\mu\nu} - 2M^2 \gamma^{\mu\nu} \right),$$

$$E_{\chi\chi} = -\frac{1}{4} \delta_j^i (R + 16m^2), \quad (\text{A.41})$$

$$E_{\psi\chi} = -E_{\chi\psi} = -2mM \sigma_3^i{}_j \gamma^5 \gamma^\mu,$$

and for  $\Omega_{\rho\sigma}$ ,

$$(\Omega_{\rho\sigma})_{\psi\psi} = \delta_j^i \left( R^{\mu\nu}{}_{\rho\sigma} + \frac{1}{4} g^{\mu\nu} R_{\rho\sigma ab} \gamma^{ab} + 2m^2 [\gamma^\mu \gamma_{[\rho} g_{\sigma]}{}^\nu + g^\mu{}_{[\rho} \gamma_{\sigma]} \gamma^\nu - \left(4 + \frac{M^2}{m^2}\right) g^\mu{}_{[\rho} g_{\sigma]}{}^\nu] \right),$$

$$(\Omega_{\rho\sigma})_{\chi\chi} = \frac{1}{4} \delta_j^i R_{\rho\sigma ab} \gamma^{ab}, \quad (\text{A.42})$$

$$(\Omega_{\rho\sigma})_{\psi\chi} = -(\Omega_{\rho\sigma})_{\chi\psi} = 2mM \sigma_3^i{}_j \gamma^5 g^\mu{}_{[\rho} \gamma_{\sigma]}.$$

With this at hand, we obtain the heat kernel coefficients for the physical sector

$$a_E^{\text{ph}} = \frac{109}{36}, \quad c^{\text{ph}} = \frac{25}{6}, \quad b_1^{\text{ph}} = \frac{1}{18} (17(mL)^4 - 16(mL)^2 + 11), \quad (\text{A.43})$$

based on the trace formula (2.31) and the equivalent expression (2.37) obtained using the background equations of motion (2.36). The ghost sector does not present additional complications and the corresponding heat kernel coefficients can be evaluated using the  $2 \times 2$  lower right corner of the operator (A.37). The result is given by

$$a_E^{\text{gh}} = \frac{11}{60}, \quad c^{\text{gh}} = \frac{3}{10}, \quad b_1^{\text{gh}} = -\frac{4}{9} (mL)^2 (2(mL)^2 - 1), \quad (\text{A.44})$$

where we have taken into account the overall minus sign due to the opposite spin statistics of the ghosts fields (commuting) with respect to the physical fields (anti-commuting).

Summing the contribution from the physical sector (A.24) and the ghost sector (A.25) and dividing the final result by four as in the spin-1/2 case, we obtain the heat kernel coefficients

$$a_E = \frac{289}{360}, \quad c = \frac{67}{60}, \quad b_1 = \frac{1}{72} ((mL)^4 - 8(mL)^2 + 11), \quad (\text{A.45})$$

for a single massive spin-3/2 field. The heat kernel coefficients for a massless spin-3/2 fluctuation can be computed in the same way but without the contribution from the spin-1/2 Stückelberg field. The final result is given by

$$a_E = \frac{589}{720}, \quad c = \frac{137}{120}, \quad b_1 = 0. \quad (\text{A.46})$$



### A.2.5 Graviton fluctuations

The Euclidean action for a massive spin-2 fluctuation  $h_{\mu\nu}$  is given by adding the Pauli-Fierz mass term to the massless action and reads

$$\begin{aligned}
 S = \int d^4x \sqrt{g} \Big[ & h^{\mu\nu} \nabla^\rho \nabla_\rho h_{\mu\nu} - h \nabla^\mu \nabla_\mu h + 2h \nabla_\mu \nabla_\nu h^{\mu\nu} + 2\nabla_\nu h^{\mu\nu} \nabla_\rho h_{\mu}{}^\rho \\
 & + 2h^{\mu\nu} h^{\rho\sigma} R_{\mu\rho\nu\sigma} + 2h_{\mu\nu} h^{\mu\rho} R^\nu{}_\rho - 2h^{\mu\nu} h R_{\mu\nu} - h^{\mu\nu} h_{\mu\nu} R + \frac{1}{2} h^2 R \\
 & + \Lambda(2h_{\mu\nu} h^{\mu\nu} - h^2) - m^2(h_{\mu\nu} h^{\mu\nu} - h^2) \Big], \tag{A.47}
 \end{aligned}$$

where all curvature tensors are those of the background metric  $g_{\mu\nu}$  and  $h = h^\mu{}_\mu$ . As in the previous cases for spin-1 and spin-3/2 fields, the Pauli-Fierz mass term breaks the local gauge invariance. We can restore the symmetry by introducing two Stückelberg fields, namely a scalar  $\phi$  and a vector  $B_\mu$ , and replace the metric fluctuation by

$$h_{\mu\nu} \rightarrow h_{\mu\nu} - \frac{1}{2} g_{\mu\nu} \phi + \frac{1}{m} \nabla_\mu \left( B_\nu - \frac{1}{2m} \nabla_\nu \phi \right) + \frac{1}{m} \nabla_\nu \left( B_\mu - \frac{1}{2m} \nabla_\mu \phi \right), \tag{A.48}$$

see for example [329] and references therein. The resulting action now enjoys the gauge symmetry

$$\delta h_{\mu\nu} = \nabla_\mu \xi_\nu + \nabla_\nu \xi_\mu + \lambda m g_{\mu\nu}, \quad \delta B_\mu = \nabla_\mu \lambda - m \xi_\mu, \quad \delta \phi = 2m \lambda, \tag{A.49}$$

for which we add appropriate gauge-fixing terms,

$$\begin{aligned}
 S_1 &= -2 \int d^4x \sqrt{g} \left( \nabla_\nu h^{\mu\nu} - \frac{1}{2} \nabla^\mu h + m B^\mu \right)^2, \\
 S_2 &= -2 \int d^4x \sqrt{g} \left( \nabla_\mu B^\mu + \frac{m}{2} (h - 3\phi) \right)^2.
 \end{aligned} \tag{A.50}$$

Then, tedious but straightforward manipulations show that the resulting gauge-fixed action  $S_{\text{gf}} = S + S_1 + S_2$  can be written as

$$\begin{aligned}
 S_{\text{gf}} = \int d^4x \sqrt{g} \Big\{ & h^{\mu\nu} \left[ G_{\mu\nu\rho\sigma} (\nabla^2 - m^2) + 2R_{\mu\rho\nu\sigma} - 2g_{\mu\rho} R_{\nu\sigma} + 2\Lambda G_{\mu\nu\rho\sigma} \right] h^{\rho\sigma} \\
 & + B^\mu \left[ g_{\mu\nu} (\nabla^2 - m^2 + \Lambda) \right] B^\nu + \phi \left[ \nabla^2 - m^2 - 3\Lambda M^{-2} \right] \phi \\
 & + 2\Lambda M^{-1} h \phi - 2\sqrt{2}\Lambda (mM)^{-1} B^\mu \nabla_\mu \phi \Big\}, \tag{A.51}
 \end{aligned}$$

where

$$G_{\mu\nu\rho\sigma} = \frac{1}{2}(g_{\mu\rho}g_{\nu\sigma} + g_{\mu\sigma}g_{\nu\rho} - g_{\mu\nu}g_{\rho\sigma}), \quad M = \sqrt{\frac{3}{2} - \frac{\Lambda}{m^2}}, \quad (\text{A.52})$$

and we have rescaled the fields to ensure canonically normalized kinetic terms. In deriving (A.51), we made extensive use of the background equations of motion (2.36) with a vanishing graviphoton. We can now read off the Laplace-type operator (2.29) from (A.51),

$$\mathcal{Q}_{\text{graviton}} = \begin{pmatrix} \mathcal{Q}_{hh} & 0 & \mathcal{Q}_{h\phi} \\ 0 & \mathcal{Q}_{BB} & \mathcal{Q}_{B\phi} \\ \mathcal{Q}_{h\phi} & -\mathcal{Q}_{B\phi} & \mathcal{Q}_{\phi\phi} \end{pmatrix}. \quad (\text{A.53})$$

Just as in the spin-3/2 case we note that this is not diagonal in field space, indicating non-trivial interactions between the graviton fluctuations and the Stückelberg fields. Extracting the matrices  $E$  and  $\Omega_{\rho\sigma}$  defined in Section 2.4.2 from the operator  $\mathcal{Q}_{\text{graviton}}$  is straightforward, and we obtain the following heat kernel coefficients from the physical sector:

$$a_E^{\text{ph}} = \frac{89}{24}, \quad c^{\text{ph}} = \frac{113}{24}, \quad b_1^{\text{ph}} = \frac{1}{288} \left( 15(mL)^4 + 48(mL)^2 + 256 \right), \quad (\text{A.54})$$

based on the trace formula (2.31) and the equivalent expression (2.37). The gauge-fixing introduces a pair of vector ghosts and a pair of scalar ghosts whose Euclidean action reads

$$S_{\text{gh}} = \int d^4x \sqrt{g} \left( b_\mu [g^{\mu\nu}(\nabla^2 - m^2) + R^{\mu\nu}] c_\nu + b[\nabla^2 - m^2] c \right). \quad (\text{A.55})$$

Their contribution to the heat kernel coefficients can be obtained from the spin-1 and spin-0 cases treated above. The result is given by

$$a_E^{\text{gh}} = -\frac{13}{36}, \quad c^{\text{gh}} = -\frac{1}{4}, \quad b_1^{\text{gh}} = \frac{1}{144} \left( -5(mL)^4 - 44(mL)^2 - 108 \right), \quad (\text{A.56})$$

where we have included an overall minus sign due to the anticommuting nature of the ghosts.

Putting the physical sector (A.54) and the ghost sector (A.56) together, we arrive at our final result

$$a_E = \frac{241}{72}, \quad c = \frac{107}{24}, \quad b_1 = \frac{5}{288} \left( (mL)^4 - 8(mL)^2 + 8 \right), \quad (\text{A.57})$$

for the massive spin-2 fluctuations around a generic Einstein background. The heat kernel coefficients for a massless fluctuation can be computed in the same

way but without the contribution from Stückelberg fields and the corresponding scalar ghosts. The final result is given by

$$a_E = \frac{571}{180}, \quad c = \frac{87}{20}, \quad b_1 = 0. \quad (\text{A.58})$$

### A.3 Rarita-Schwinger zero modes on EAdS<sub>4</sub>

In Section 2.4.3, we discussed how

$$\Psi_{\mu\ell m}^s(\lambda) = \mathcal{N}_\ell^s \left( \nabla_\mu + \frac{s}{2L} \gamma_\mu \right) \Omega_{\ell m}^s, \quad (\text{A.59})$$

is a zero mode of the Rarita-Schwinger operator. Here,  $\Omega_{\ell m}^s$  is an eigenspinor of the Dirac operator on EAdS<sub>4</sub>,

$$\not{D} \Omega_{\ell m}^s = \frac{\text{i}s}{L} \lambda \Omega_{\ell m}^s. \quad (\text{A.60})$$

We note that using the above, we have

$$\gamma^\mu \Psi_{\mu\ell m}^s(\lambda) = \frac{s}{L} (2 + \text{i}\lambda) \mathcal{N}_\ell^s \Omega_{\ell m}^s. \quad (\text{A.61})$$

Since the path integral over spin-3/2 fluctuations includes a delta function enforcing the harmonic gauge as in (A.34), we should consider the discrete value

$$\lambda = 2\text{i}. \quad (\text{A.62})$$

Indeed, the differential operator relevant for non-zero modes is the Laplace-type operator obtained from (2.52) after introducing the proper gauge-fixing term and the corresponding ghost fields, see Appendix A.2.4. To study the zero modes of that operator, we must impose (A.62).

For  $\lambda \in \text{i}\mathbb{R}$ , the Dirac eigenmodes  $\Omega_{\ell m}^s$  are not square-integrable on EAdS<sub>4</sub> [170]. Using the defining equation (2.50), we can express the norm of the RS zero-modes in terms of the norm of Dirac eigenmodes as follows:

$$\langle \Psi_{\mu\ell m}^s, \Psi_{\ell m}^{\mu s} \rangle = |\mathcal{N}_\ell^s|^2 \left[ -\frac{2}{L^2} \langle \Omega_{\ell m}^s, \Omega_{\ell m}^s \rangle + \int d^4x \sqrt{g} \nabla^\mu [(\Omega_{\ell m}^s)^\dagger \nabla_\mu \Omega_{\ell m}^s] \right]_{\lambda=2\text{i}}. \quad (\text{A.63})$$

Note the appearance of a total derivative term, which can potentially renormalize the divergences that arise since the first term is non-normalizable. For explicit computations, we use a coordinate system in which the metric on EAdS<sub>4</sub> reads

$$ds^2 = L^2 (d\eta^2 + \sinh^2 \eta d\Omega_3^2), \quad (\text{A.64})$$

where  $d\Omega_3^2$  is the line element on  $S^3$ . In this coordinate system, the unit normal to the  $S^3$  boundary is  $n^\mu = (L^{-1}, 0, 0, 0)$  and the spin-connection has non-vanishing components only along the 3-sphere directions. This reduces the boundary term to

$$\int d^4x \sqrt{g} \nabla^\mu [(\Omega_{\ell m}^s)^\dagger \nabla_\mu \Omega_{\ell m}^s]_{\lambda=2i} = \sinh^3 y \int_{S^3} (\Omega_{\ell m}^s)^\dagger \partial_\eta \Omega_{\ell m}^s \big|_{\eta=y, \lambda=2i}, \quad (\text{A.65})$$

where we put the boundary at  $\eta = y$  with  $y$  being large.

The Dirac eigenmodes on EAdS<sub>4</sub> are given by [170]

$$\Omega_{\ell m}^s = C_\ell(\lambda) \begin{pmatrix} \phi_{\lambda\ell}(\eta) \chi_{\ell m}(\theta) \\ i s \psi_{\lambda\ell}(\eta) \chi_{\ell m}(\theta) \end{pmatrix}, \quad (\text{A.66})$$

where  $\chi_{\ell m}(\theta)$  are eigenspinors of the Dirac operator on  $S^3$  (whose coordinates we generically denote as  $\theta$ ),  $\phi$  and  $\psi$  are radial functions expressed in terms of the hypergeometric function  ${}_2F_1$ , and  $C_\ell(\lambda)$  is a normalization constant. For the continuous case  $\lambda \in \mathbb{R}$  the normalization can be found in [170], but since we want to consider the discrete mode with  $\lambda$  fixed and imaginary, this normalization cannot be obtained from requiring that the modes  $\Omega_{\ell m}^s$  provide an orthonormal basis. To proceed we note that the radial functions satisfy the differential equations

$$\begin{aligned} \partial_\eta \phi_{\lambda\ell} &= \frac{1}{2} \left[ \ell \coth \frac{\eta}{2} + (\ell + 1) \tanh \frac{\eta}{2} \right] \phi_{\lambda\ell} - \left[ \frac{\lambda^2 + (\ell + 2)^2}{\ell + 2} \right] \phi_{\lambda(\ell+1)}, \\ \partial_\eta \psi_{\lambda\ell} &= \frac{1}{2} \left[ (2\ell + 1) \coth \eta + \sinh^{-1} \eta \right] \psi_{\lambda\ell} - \left[ \frac{\lambda^2 + (\ell + 2)^2}{\ell + 2} \right] \psi_{\lambda(\ell+1)}. \end{aligned} \quad (\text{A.67})$$

Using this, both the bulk and boundary terms in (A.63) can be computed. In terms of

$$\|\chi_{\ell m}\|_{S^3} = \int_{S^3} \chi_{\ell m}^\dagger \chi_{\ell m}, \quad (\text{A.68})$$

and  $s_y = \sinh y$ , the result is

$$\begin{aligned} \frac{2}{L^2} \langle \Omega_{0m}^s, \Omega_{0m}^s \rangle_{\lambda=2i} &= L^2 |C_0(2i)|^2 \left( \frac{e^{4y}}{32} - \frac{e^{2y}}{8} + \frac{3}{16} + \mathcal{O}(e^{-2y}) \right) \|\chi_{0m}\|_{S^3}, \\ s_y^3 \int_{S^3} (\Omega_{0m}^s)^\dagger \partial_\eta \Omega_{0m}^s \big|_{\eta=y, \lambda=2i} &= L^2 |C_0(2i)|^2 \left( \frac{e^{4y}}{32} - \frac{e^{2y}}{8} + \frac{3}{16} + \mathcal{O}(e^{-2y}) \right) \|\chi_{0m}\|_{S^3}, \end{aligned} \quad (\text{A.69})$$

for  $\ell = 0$ , and

$$\begin{aligned} \frac{2}{L^2} \langle \Omega_{1m}^s, \Omega_{1m}^s \rangle_{\lambda=2i} &= L^2 |C_1(2i)|^2 \left( \frac{e^{4y}}{128} - \frac{25e^{2y}}{288} + \frac{475}{576} + \mathcal{O}(e^{-y}) \right) \|\chi_{1m}\|_{S^3}, \\ s_y^3 \int_{S^3} (\Omega_{1m}^s)^\dagger \partial_\eta \Omega_{1m}^s \big|_{\eta=y, \lambda=2i} &= L^2 |C_1(2i)|^2 \left( \frac{e^{4y}}{128} - \frac{e^{2y}}{288} + \frac{41}{192} + \mathcal{O}(e^{-y}) \right) \|\chi_{1m}\|_{S^3}, \end{aligned} \quad (\text{A.70})$$

for  $\ell = 1$ . Results for higher values of  $\ell$  can be obtained straightforwardly and follow the same pattern. Namely, we observe a perfect cancellation between bulk and boundary terms when  $\ell = 0$ , while the modes with higher values of  $\ell$  only see their leading divergences cancel. Thus, in the large  $y$  limit,

$$\begin{aligned} L^{-2} \langle \Psi_{\mu 0m}^s, \Psi_{0m}^{\mu s} \rangle &= 0, \\ L^{-2} \langle \Psi_{\mu \ell m}^s, \Psi_{\ell m}^{\mu s} \rangle &= |\mathcal{N}_\ell^s C_\ell(2i)|^2 \left[ h_1(\ell) e^{2y} + h_2(\ell) \right] \|\chi_{\ell m}\|_{S^3} \quad \text{for } \ell > 0, \end{aligned} \quad (\text{A.71})$$

for some  $h_1$  and  $h_2$  whose first few values are presented in Table A.1. This shows

$\ell$	0	1	2	3	4	5	6	7	8	9	10
$h_1(\ell)$	0	$\frac{1}{12}$	$\frac{3}{40}$	$\frac{3}{50}$	$\frac{1}{21}$	$\frac{15}{392}$	$\frac{1}{32}$	$\frac{7}{270}$	$\frac{6}{275}$	$\frac{9}{484}$	$\frac{5}{312}$
$h_2(\ell)$	0	$-\frac{11}{18}$	$-\frac{23}{20}$	$-\frac{39}{25}$	$-\frac{118}{63}$	$-\frac{415}{196}$	$-\frac{37}{16}$	$-\frac{1001}{405}$	$-\frac{716}{275}$	$-\frac{657}{242}$	$-\frac{1315}{468}$

Table A.1: The leading and subleading divergences in the norm of the Rarita-Schwinger zero mode on EAdS<sub>4</sub> as a function of the mode number  $\ell \geq 0$ .

that the RS zero mode has vanishing norm for  $\ell = 0$ , but is not square-integrable for  $\ell > 0$ . As such, it cannot contribute to the non-local contribution  $C_{\text{non-local}}$ .

## A.4 Heat kernel coefficients for KK supergravities

In this appendix we compute the contributions  $(a_E, c, b_1)$  to the bulk SdW coefficient in (2.37) for the KK supergravity compactifications discussed in Section 2.6. As explained at the beginning of this section, we will do all computations for minimally coupled fields and use (2.117) to reinstate the effect of supersymmetric non-minimal couplings when necessary.

### A.4.1 KK supergravity on $S^7$

The Weyl dimension formula for the  $\text{SO}(8)$  representation with Dynkin label  $(\lambda_1, \lambda_2, \lambda_3, \lambda_4)$  reads<sup>3</sup>

$$\begin{aligned}
 & \dim(\lambda_1, \lambda_2, \lambda_3, \lambda_4) \\
 &= \frac{1}{4320} (1 + \lambda_1)(1 + \lambda_2)(1 + \lambda_3)(1 + \lambda_4)(2 + \lambda_1 + \lambda_2)(2 + \lambda_2 + \lambda_3)(2 + \lambda_2 + \lambda_4) \\
 & \quad \times (3 + \lambda_1 + \lambda_2 + \lambda_3)(3 + \lambda_1 + \lambda_2 + \lambda_4)(3 + \lambda_2 + \lambda_3 + \lambda_4) \\
 & \quad \times (4 + \lambda_1 + \lambda_2 + \lambda_3 + \lambda_4)(5 + \lambda_1 + 2\lambda_2 + \lambda_3 + \lambda_4) \quad (\lambda_i \geq 0), \tag{A.72}
 \end{aligned}$$

and  $\dim(\lambda_1, \lambda_2, \lambda_3, \lambda_4) \equiv 0$  if any of the  $\lambda_i$ 's are negative. We now combine this formula with the data provided in Tables 2.2, 2.3, 2.4, and 2.5 to evaluate the heat kernel coefficients for the KK supergravity theory arising from compactification of 11d supergravity on  $S^7$ .

The  $a_E$  coefficient can be computed by adding the contributions from all KK modes of spin  $0 \leq s \leq 2$  in the given  $\text{SO}(8)$  representation. At fixed KK level  $k$ , we find

$$\begin{aligned}
 a_E(k) &= \frac{1}{360} \left( \dim(k+2, 0, 0, 0) + \dim(k-2, 2, 0, 0) + \dim(k-2, 0, 0, 0) \right. \\
 & \quad \left. + \dim(k, 0, 2, 0) + \dim(k-2, 0, 0, 2) \right) \\
 &+ \frac{11}{720} \left( \dim(k+1, 0, 1, 0) + \dim(k-1, 1, 1, 0) + \dim(k-2, 1, 0, 1) + \dim(k-2, 0, 0, 1) \right) \\
 & \quad + \left[ \frac{31}{180} + \frac{1}{360} \Theta(k-1) \right] \left( \dim(k, 1, 0, 0) + \dim(k-1, 0, 1, 1) + \dim(k-2, 1, 0, 0) \right) \\
 & \quad - \left[ \frac{589}{720} - \frac{11}{720} \Theta(k-1) \right] \left( \dim(k, 0, 0, 1) + \dim(k-1, 0, 1, 0) \right) \\
 & \quad + \left[ \frac{571}{180} + \left( \frac{31}{180} + \frac{1}{360} \right) \Theta(k-1) \right] \dim(k, 0, 0, 0), \tag{A.73}
 \end{aligned}$$

where  $\Theta(x \geq 0) = 1$  and  $\Theta(x < 0) = 0$ . This step function takes into account the fact that the contributions from Stückelberg fields are present only for massive fields with  $k \geq 1$ . Using (A.72), the total heat kernel coefficient

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<sup>3</sup>We follow the conventions summarized in Appendix A of [189].

$a_E^{\text{tot}} = \sum_{k \geq 0} a_E(k)$  is given by

$$a_E^{\text{tot}} = \frac{1}{144} \sum_{k=0}^{\infty} (k+1)(k+2)(k+3)^2(k+4)(k+5). \quad (\text{A.74})$$

The heat kernel coefficient  $c$  is computed in a similar manner. Here, we find a perfect cancellation at each KK level:

$$\begin{aligned} c(k) &= \frac{1}{120} \left( \dim(k+2, 0, 0, 0) + \dim(k-2, 2, 0, 0) + \dim(k-2, 0, 0, 0) \right. \\ &\quad \left. + \dim(k, 0, 2, 0) + \dim(k-2, 0, 0, 2) \right) \\ &\quad + \frac{1}{40} \left( \dim(k+1, 0, 1, 0) + \dim(k-1, 1, 1, 0) + \dim(k-2, 1, 0, 1) + \dim(k-2, 0, 0, 1) \right) \\ &\quad + \left[ \frac{1}{10} + \frac{1}{120} \Theta(k-1) \right] \left( \dim(k, 1, 0, 0) + \dim(k-1, 0, 1, 1) + \dim(k-2, 1, 0, 0) \right) \\ &\quad - \left[ \frac{137}{120} - \frac{1}{40} \Theta(k-1) \right] \left( \dim(k, 0, 0, 1) + \dim(k-1, 0, 1, 0) \right) \\ &\quad + \left[ \frac{87}{20} + \left( \frac{1}{10} + \frac{1}{120} \right) \Theta(k-1) \right] \dim(k, 0, 0, 0) \end{aligned} \quad (\text{A.75})$$

$$= 0.$$

We therefore conclude that  $c^{\text{tot}} = \sum_k c(k)$  vanishes after summing over the KK tower.

For the  $b_1$  coefficient, we note that since the lowest-lying KK modes with  $k=0$  are massless, Table 2.2 implies that  $b_1(0) = 0$ . For the contribution from higher KK modes with  $k \geq 1$ , we use the dictionary between mass and conformal

dimension in Table 2.3 and obtain

$$\begin{aligned}
b_1(k) = & s_0 \left( \sqrt{\left(\frac{k}{2} + 1\right)\left(\frac{k}{2} - 2\right)} \right) \dim(k + 2, 0, 0, 0) + s_0 \left( \sqrt{\left(\frac{k}{2} + 2\right)\left(\frac{k}{2} - 1\right)} \right) \dim(k, 0, 2, 0) \\
& + s_0 \left( \sqrt{\left(\frac{k}{2} + 3\right)\frac{k}{2}} \right) \dim(k - 2, 2, 0, 0) + s_0 \left( \sqrt{\left(\frac{k}{2} + 4\right)\left(\frac{k}{2} + 1\right)} \right) \dim(k - 2, 0, 0, 2) \\
& + s_0 \left( \sqrt{\left(\frac{k}{2} + 5\right)\left(\frac{k}{2} + 2\right)} \right) \dim(k - 2, 0, 0, 0) \\
& + s_{\frac{1}{2}} \left( \frac{k + 3}{2} - \frac{3}{2} \right) \dim(k + 1, 0, 1, 0) + s_{\frac{1}{2}} \left( \frac{k + 5}{2} - \frac{3}{2} \right) \dim(k - 1, 1, 1, 0) \\
& + s_{\frac{1}{2}} \left( \frac{k + 7}{2} - \frac{3}{2} \right) \dim(k - 2, 1, 0, 1) + s_{\frac{1}{2}} \left( \frac{k + 9}{2} - \frac{3}{2} \right) \dim(k - 2, 0, 0, 1) \\
& + s_1 \left( \sqrt{\left(\frac{k}{2} + 1\right)\frac{k}{2}} \right) \dim(k, 1, 0, 0) + s_1 \left( \sqrt{\left(\frac{k}{2} + 2\right)\left(\frac{k}{2} + 1\right)} \right) \dim(k - 1, 0, 1, 1) \\
& + s_1 \left( \sqrt{\left(\frac{k}{2} + 3\right)\left(\frac{k}{2} + 2\right)} \right) \dim(k - 2, 1, 0, 0) \\
& + s_{\frac{3}{2}} \left( \frac{k + 5}{2} - \frac{3}{2} \right) \dim(k, 0, 0, 1) + s_{\frac{3}{2}} \left( \frac{k + 7}{2} - \frac{3}{2} \right) \dim(k - 1, 0, 1, 0) \\
& + s_2 \left( \sqrt{\left(\frac{k}{2} + 3\right)\frac{k}{2}} \right) \dim(k, 0, 0, 0), \tag{A.76}
\end{aligned}$$

where we have introduced the functions

$$\begin{aligned}
s_0(x) &= \frac{1}{288}(x^2 + 2)^2, \\
s_{\frac{1}{2}}(x) &= -\frac{1}{144}x^2(x^2 - 2), \\
s_1(x) &= \frac{1}{288}(4 - 12x^2 + 3x^4), \\
s_{\frac{3}{2}}(x) &= -\frac{1}{72}(11 - 8x^2 + x^4), \\
s_2(x) &= \frac{5}{288}(8 - 8x^2 + x^4).
\end{aligned} \tag{A.77}$$

Remarkably, we again find a perfect cancellation

$$b_1(k) = 0, \tag{A.78}$$



implying that the total heat kernel coefficient  $b_1^{\text{tot}} = \sum_k b_1(k) = 0$ .

### A.4.2 KK supergravity on $S^7/\mathbb{Z}_k$

In the notation of [18], the  $\mathcal{N} = 8$  supermultiplet whose field content is given in Tables 2.4 and 2.5 is denoted by

$$B_1[0]_{\frac{n}{2}+1}^{(n+2,0,0,0)}. \quad (\text{A.79})$$

Note that we temporarily change notation and denote the KK level by  $n \geq 0$  to reserve the notation  $k$  for the order of the orbifold action  $\mathbb{Z}_k$ , as is common in the literature.

The spectrum of 11d supergravity compactified on  $S^7/\mathbb{Z}_k$  can be obtained from the spectrum of the  $S^7$  compactification as follows. First, we use the  $\mathfrak{so}(8) \rightarrow \mathfrak{so}(6) \oplus \mathfrak{u}(1)$  branching rule [173]

$$B_1[0]_{\frac{n}{2}+1}^{(n+2,0,0,0)} = B_1[0]_{\frac{n}{2}+1, -n-2}^{(0,0,n+2)} \oplus B_1[0]_{\frac{n}{2}+1, n+2}^{(0,n+2,0)} \oplus \sum_{i=0}^n B_1[0]_{\frac{n}{2}+1, -n+2i}^{(0,i+1,n-i+1)}, \quad (\text{A.80})$$

where the subscripts on the right-hand side denote the scaling dimension of the primary in the given representation and the  $\text{U}(1)$  charge, respectively, and the superscripts are the  $\text{SO}(6)$  Dynkin label  $(a, b, c)$ . We must then select the multiplets that are stable under the orbifold action, i.e. the multiplets whose  $\text{U}(1)$  charge is divisible by  $k$  [173].<sup>4</sup> In addition, we only keep the multiplets for which  $n$  is even, see [189]. As a result, the supermultiplets that make up the  $\mathcal{N} = 6$  KK spectrum are those of the form

$$B_1[0]_{h, -2r}^{(0, h-r, h+r)} \quad \text{with} \quad h - |r| \geq 0 \quad \text{and} \quad k \mid 2r, \quad (\text{A.81})$$

where we have defined  $h = \frac{n}{2} + 1 \in \mathbb{N}$  and  $r = h - i - 1$  with  $0 \leq i \leq n$ . The field content of these supermultiplets can be read off from [173]. Note that to obtain the correct field content for the special cases  $0 \leq h - |r| \leq 3$ , one must use the Racah-Speiser algorithm (reviewed e.g. in Appendix A.3 of [18]). We will omit the details here and proceed to compute the heat kernel coefficients  $(a_E, c, b_1)$  based on the KK spectrum (A.81).

The  $\text{SO}(6)$  Weyl dimension formula for a representation with Dynkin label  $(a, b, c)$  is

$$\dim(a, b, c) = \frac{1}{12}(1+a)(1+b)(1+c)(2+a+b)(2+a+c)(3+a+b+c). \quad (\text{A.82})$$

---

<sup>4</sup>Here we choose the periodic spin structure on  $S^7/\mathbb{Z}_k$  in order to impose the same constraint on the bosonic and fermionic modes, see [189] for details.

Using this with the field content of the KK spectrum just discussed, we can proceed in the same way as in Appendix A.4.1. For the  $(c, b_1)$  heat kernel coefficients, we find a perfect cancellation at any allowed given value of the quantum numbers  $(h, r)$ ,

$$c(h, r) = b_1(h, r) = 0. \quad (\text{A.83})$$

For the  $a_E$  coefficient, we obtain a non-vanishing result:

$$a_E(h, r) = \frac{1}{24}(1 + 2h) \left( h(1 + h)(-4 + 5h + 5h^2) + (7 - 10h - 10h^2)r^2 + 5r^4 \right). \quad (\text{A.84})$$

We discuss the sum over the spectrum in more details in Section 2.6.3.

### A.4.3 KK supergravity for mABJM

Here we provide some details on the calculation of the SdW coefficient for the KK modes of the  $\text{AdS}_4$  solution of 11d supergravity holographically dual to the mABJM SCFT. Since this background preserves  $\mathcal{N} = 2$  superconformal symmetry, we first present a short summary of the relevant superconformal multiplets. To facilitate the comparison with the literature we provide a map between superconformal multiplets in the supergravity conventions of Appendix

A in [198] and the SCFT conventions of Table 4 in [18]:

$$\begin{aligned}
A_1 \bar{A}_1[2]_{\Delta=2}^{(r=0)} &\leftrightarrow \text{MGRAV}, \\
L \bar{A}_1[2]_{r+2}^{(r>0)} &\leftrightarrow \text{SGRAV with the upper sign}, \\
A_1 \bar{L}[2]_{-r+2}^{(r<0)} &\leftrightarrow \text{SGRAV with the lower sign}, \\
L \bar{L}[2]_{\Delta>|r|+2}^{(r)} &\leftrightarrow \text{LGRAV}, \\
L \bar{A}_1[1]_{r+\frac{3}{2}}^{(r>0)} &\leftrightarrow \text{SGINO with the upper sign}, \\
A_1 \bar{L}[1]_{-r+\frac{3}{2}}^{(r<0)} &\leftrightarrow \text{SGINO with the lower sign}, \\
L \bar{L}[1]_{\Delta>|r|+\frac{3}{2}}^{(r)} &\leftrightarrow \text{LGINO}, \tag{A.85} \\
A_2 \bar{A}_2[0]_{\Delta=1}^{(r=0)} &\leftrightarrow \text{MVEC}, \\
L \bar{A}_2[0]_{r+1}^{(r>0)} &\leftrightarrow \text{SVEC with the upper sign}, \\
A_2 \bar{L}[0]_{-r+1}^{(r<0)} &\leftrightarrow \text{SVEC with the lower sign}, \\
L \bar{L}[0]_{\Delta>|r|+1}^{(r)} &\leftrightarrow \text{LVEC}, \\
L \bar{B}_1[0]_{\Delta=r}^{(r>\frac{1}{2})} \ \& \ A_2 \bar{B}_1[0]_{\Delta=r}^{(r=\frac{1}{2})} &\leftrightarrow \text{HYP with the upper sign}, \\
B_1 \bar{L}[0]_{\Delta=-r}^{(r<-\frac{1}{2})} \ \& \ B_1 \bar{A}_2[0]_{\Delta=-r}^{(r=-\frac{1}{2})} &\leftrightarrow \text{HYP with the lower sign}.
\end{aligned}$$

Note that the multiplets in [198] are labeled by their energy  $E_0$ , R-symmetry charge  $y_0$ , and the half-integer Lorentz spin  $s_0$ . The map to the corresponding quantities in [18] is given by

$$(E_0, y_0, s_0) \longleftrightarrow (\Delta, r, j/2). \tag{A.86}$$

We can use the explicit content of each of the multiplets above together with the information in Table 2.2 to systematically calculate the heat kernel coefficients for each superconformal multiplet. The result of this analysis is summarized in Table A.2. We hasten to note that the long superconformal multiplets have the interesting feature that while the individual states in the multiplet have heat kernel coefficients that depend on the conformal dimension (or mass in AdS) the total coefficients after summing over all fields in the multiplet are

independent of this continuous parameter. The same is true for the  $a_E$  and  $c$  coefficients of short multiplets. The  $b_1$  coefficient of short multiplets appears to depend on the conformal dimension, however one should bear in mind that for such multiplets the conformal dimension is uniquely determined by the spin and R-charge of the state.

	$a_E$	$c$	$b_1$
LGRAV	$\frac{5}{4}$	$\frac{3}{4}$	$\frac{1}{8}$
SGRAV	$\frac{71-10\delta_{y_0,0}}{48}$	$\frac{35-4\delta_{y_0,0}}{24}$	$-\frac{1}{144}[3(E_0^2 + 2E_0 - 11) + 2(E_0^3 - 3E_0^2 - 9E_0 + 25)\delta_{y_0,0}]$
MGRAV	$\frac{41}{24}$	$\frac{13}{6}$	0
LGINO	0	$-\frac{1}{2}$	$-\frac{1}{12}$
SGINO	$-\frac{11+\delta_{y_0,0}}{48}$	$-\frac{17+\delta_{y_0,0}}{24}$	$\frac{1}{2304}[48E_0(E_0 + 1) - 276 - (2E_0 - 7)^2(4E_0(E_0 - 1) - 7)\delta_{y_0,0}]$
LVEC	$\frac{1}{4}$	$\frac{1}{4}$	$\frac{1}{24}$
SVEC	$\frac{165-2\delta_{y_0,0}}{720}$	$\frac{25-\delta_{y_0,0}}{120}$	$-\frac{1}{288}[6(E_0^2 - 2) + (3E_0^4 - 6E_0^3 - 9E_0^2 + 12E_0 + 4)\delta_{y_0,0}]$
MVEC	$\frac{5}{24}$	$\frac{1}{6}$	0
HYP	$\frac{1}{48}$	$\frac{1}{24}$	$\frac{1}{48}(E_0 - 1)^2$

Table A.2: The heat kernel coefficients  $(a_E, c, b_1)$  for different  $\mathcal{N} = 2$  superconformal multiplets. The value of  $E_0$  for each short multiplet is uniquely determined by the Lorentz spin and R-charge of its primary state. Note that some of the short multiplets do not include certain  $y_0 = 0$  states which explains the appearance of the Kronecker in some of the expressions. The usual massless vector and hypermultiplets of matter-coupled 4d  $\mathcal{N} = 2$  gauged supergravity are MVEC and HYP, respectively.

After this general discussion we are ready to focus our attention on the specific  $\text{AdS}_4$   $\mathcal{N} = 2$  vacuum of interest. This solution was found long ago by Warner as a critical point of the potential of the 4d  $\mathcal{N} = 8$   $\text{SO}(8)$  gauged supergravity [98] and then uplifted to a solution of 11d supergravity in [107] and we will thus refer to this background as the CPW solution. A notable feature of this solution is that it has an  $\text{SU}(3) \times \text{U}(1)$  symmetry in the internal space where the  $\text{U}(1)$  corresponds to the R-symmetry in the dual mABJM SCFT while  $\text{SU}(3)$  corresponds to its flavor symmetry. The KK spectrum of the short superconformal multiplets for this background was described in [198], the spectrum of spin-2 KK modes was computed in [330], while the full KK spectrum was analyzed in [199].

Although there is no clear a priori notion of a “KK level” for the spectrum of 11d supergravity  $\text{AdS}_4$  solutions one can use the fact that the CPW solution is

related to  $\text{AdS}_4 \times S^7$  by a supersymmetric domain wall and use the integer  $k$  labelling the  $\mathcal{N} = 8$  KK spectrum in Table 2.5 as giving some notion of a “KK level”. To avoid confusion and make it clear we refer to the KK spectrum of the CPW solution we will call this integer  $n$  below. With this at hand one can compactly summarize the KK spectrum using the results of [199] as follows

$$\begin{aligned}
 \text{GRAV : } E_0 &= \frac{1}{2} + \sqrt{\frac{9}{4} + \frac{1}{2}n(n+6) - \frac{4}{3}C_{p,q} + \frac{1}{2}\left(r + \frac{2}{3}(q-p)\right)^2}, \\
 \text{GINO : } E_0 &= \frac{1}{2} + \sqrt{\frac{7}{2} + \frac{1}{2}n(n+6) - \frac{4}{3}C_{p,q} + \frac{1}{2}r^2}, \\
 \text{VEC/HYP : } E_0 &= \frac{1}{2} + \sqrt{\frac{17}{4} + \frac{1}{2}n(n+6) - \frac{4}{3}C_{p,q} + \frac{1}{2}r^2},
 \end{aligned} \tag{A.87}$$

where

$$C_{p,q} = \frac{1}{3}(p^2 + q^2 + pq) + p + q. \tag{A.88}$$

Here the integers  $[p, q]$  specify the Dynkin labels of the  $\text{SU}(3)$  representation of the given multiplet and  $C_{p,q}$  is its quadratic Casimir.

The data about the KK spectrum not captured by the information presented above is which  $\text{SU}(3)$  representation precisely are contained in the spectrum. For the short multiplets this was systematically studied in [198, 199], see in particular Table 6 in [198] and Equation (5.99) in [199].<sup>5</sup> For each non-negative integer  $n$  the list of short multiplets is summarized in Table A.3 where we also present their  $\text{SU}(3)$  Dynkin labels. Using this information together with the

SGRAV/MGRAV	$[0, 0]_{\pm n}$
SGINO	$[n+1, 0]_{\frac{n+1}{3}}, [0, n+1]_{-\frac{n+1}{3}}$
SVEC/MVEC	$[n+1, 1]_{\frac{n}{3}}, [1, n+1]_{-\frac{n}{3}}$
HYP	$[n+2, 0]_{\frac{n+2}{3}}, [0, n+2]_{-\frac{n+2}{3}}$

Table A.3: The list of short multiplets in the KK spectrum of the CPW solution along with their  $\text{SU}(3)$  Dynkin labels. Note that  $n \geq 0$  and when  $n = 0$ , the SGRAV and SVEC multiplets reduce to a single MGRAV and MVEC multiplet, respectively.

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<sup>5</sup>Note that the relevant case for our discussion was dubbed Scenario I in [198].

SU(3) Weyl dimension formula

$$\dim(p, q) = \frac{1}{2}(p+1)(q+1)(p+q+2), \quad (\text{A.89})$$

and the heat kernel coefficients in Table A.2, we find the following list of  $(a_E, c, b_1)$  coefficients for all short multiplets at fixed KK level  $n$ ,

$$\begin{aligned} \text{S/MGRAV : } \quad a_E(n) &= \frac{71}{24} - \frac{5}{4}\delta_{n,0}, \quad c(n) = \frac{35}{12} - \frac{3}{4}\delta_{n,0}, \\ b_1(n) &= -\frac{n(n+6)-3}{24} - \frac{1}{8}\delta_{n,0}, \\ \text{SGINO : } \quad a_E(n) &= -\frac{11}{48}(n+2)(n+3), \quad c(n) = -\frac{17}{24}(n+2)(n+3), \\ b_1(n) &= \frac{1}{432}(n+2)(n+3)[n(n+14)-5], \quad (\text{A.90}) \\ \text{S/MVEC : } \quad a_E(n) &= \frac{11}{24}(n+2)(n+4) - 2\delta_{n,0}, \quad c(n) = \frac{5}{12}(n+2)(n+4) - 2\delta_{n,0}, \\ b_1(n) &= -\frac{1}{216}(n+2)(n+4)[n(n+6)-9] - \frac{1}{3}\delta_{n,0}, \\ \text{HYP : } \quad a_E(n) &= \frac{1}{48}(n+3)(n+4), \quad c(n) = \frac{1}{24}(n+3)(n+4), \\ b_1(n) &= \frac{1}{432}(n+3)(n+4)(n-1)^2. \end{aligned}$$

We now move on to discuss the long multiplets. Here the situation is more involved and it is harder to obtain compact expressions for the total number of long multiplets, i.e. to sum over the corresponding SU(3) representation labels. To make progress we can use the strategy employed in [331, 198, 199, 332]. Namely we can rely on the fact that the CPW solution is connected by a holographic RG flow to the  $\text{AdS}_4 \times S^7$  background and thus we can use the symmetry breaking pattern from the  $\mathcal{N} = 8$  supersymmetry with SO(8) R-symmetry in the UV to  $\mathcal{N} = 2$  supersymmetry with U(1) R-symmetry and SU(3) flavor symmetry in the IR to organize the multiplets.<sup>6</sup> Below we present

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<sup>6</sup>We have independently confirmed the calculations in [331, 198, 199, 332] using results from [333] as well as the LieART package [334]. We note that there are probably some typos in [198], namely in Table 18, there should be two LGINO 0 in the  $[1, 1]$  representation and in Table 19 there should be two LVEC 0 in the  $[0, 0]$  representation.

explicit expressions for the total number of long multiplets at KK level  $n$  and a short summary on how they are obtained. Since the heat kernel coefficients  $(a_E, c, b_1)$  are constant for all long multiplets, see Table A.2, this counting is sufficient for our purposes.

**LGRAV:** It was observed in [199] that at level  $n$ , the graviton multiplets transform under  $SU(3) \times U(1)_R$  as follows:

$$[p, q]_{\frac{p-q}{3} + (a-b)}, \quad \forall p, q, a, b \in \mathbb{N}, \quad \text{with } p + q + a + b = n. \quad (\text{A.91})$$

Thus we only need to count the ordered partition  $(p, q, a, b)$  of the integer  $n$ ; which is  $n + 1 - p - q$  for fixed  $p, q$ . Naïvely, the total number of LGRAV multiplets is then obtained by summing over  $p$  and  $q$  to find

$$\sum_{\substack{p, q=0 \\ p+q \leq n}} (n+1-p-q) \dim(p, q) = \frac{1}{360} (n+1)(n+2)(n+3)^2(n+4)(n+5). \quad (\text{A.92})$$

This result is true except for  $p = q = 0$  and  $(a, b) = (n, 0)$  or  $(0, n)$ , where the multiplets are short (or massless when  $n = 0$ ), thus the corrected weighted sum that gives the total number of LGRAV multiplets at KK level  $n$  is:

$$\Omega^{\text{LGRAV}}(n) = \frac{1}{360} (n+1)(n+2)(n+3)^2(n+4)(n+5) - (2 - \delta_{n,0}). \quad (\text{A.93})$$

**LGINO:** The analysis for the LGINO multiplet is more complicated since there is no compact formula analogous to (A.91). As explained above we circumvent this difficulty by using the fact that the field content of the mABJM theory is inherited from the  $\mathcal{N} = 8$  multiplets of the ABJM theory. This implies that for a given level  $n$ , the number of particles with the same spin is identical. The number of LGINO multiplets is thus equal to the number of spin-3/2 fields, minus those in L/SGRAV and SGINO multiplets. The total number of spin-3/2 fields is dictated by the following  $SO(8)$  representations:

$$\begin{aligned} \dim(n, 0, 0, 1) &= \frac{1}{90} (n+1)(n+2)(n+3)(n+4)(n+5)(n+6), \\ \dim(n-1, 0, 1, 0) &= \frac{1}{90} n(n+1)(n+2)(n+3)(n+4)(n+5). \end{aligned} \quad (\text{A.94})$$

The number of spin-3/2 particles in the graviton multiplets is:

$$4 \left[ \frac{1}{360} (n+1)(n+2)(n+3)^2(n+4)(n+5) - (2 - \delta_{n,0}) \right] + 6(1 - \delta_{n,0}) + 2\delta_{n,0}. \quad (\text{A.95})$$

The number of spin-3/2 particles in the two SGINO multiplets is  $2 \times \dim(n+1, 0) = (n+2)(n+3)$ . With this at hand we finally find the total number of LGINO multiplets:

$$\Omega^{\text{LGINO}}(n) = \frac{1}{90}n(n+1)(n+4)(n^3 + 13n^2 + 61n + 123). \quad (\text{A.96})$$

**LVEC:** To find the total number of LVEC multiplets we follow the same approach as above. First, we find the total number of spin-1 modes from the SO(8) representations:

$$\begin{aligned} & \dim(n, 1, 0, 0) + \dim(n-1, 0, 1, 1)_{n \geq 1} + \dim(n-2, 1, 0, 0)_{n \geq 2} \\ &= \frac{3}{40}(n+1)(n+2)(n+3)^2(n+4)(n+5) + \delta_{n,0}. \end{aligned} \quad (\text{A.97})$$

The number of spin-1 modes contained in LGRAV and SGRAV/MGRAV is

$$6 \left[ \frac{1}{360}(n+1)(n+2)(n+3)^2(n+4)(n+5) - (2 - \delta_{n,0}) \right] + 6(1 - \delta_{n,0}) + \delta_{n,0}, \quad (\text{A.98})$$

while the number contained in LGINO and SGINO is

$$4 \left[ \frac{1}{90}n(n+1)(n+4)(n^3 + 13n^2 + 61n + 123) \right] + 6 \dim(n+1, 0). \quad (\text{A.99})$$

Finally the number of spin-1 modes in SVEC/MVEC multiplets is

$$2(1 - \delta_{n,0}) \dim(n+1, 1) + \delta_{n,0} \dim(1, 1). \quad (\text{A.100})$$

Combining all this we find that the total number of LVEC multiplets at KK level  $n$  is

$$\Omega^{\text{LVEC}}(n) = \frac{1}{72}(n+3)(n+4)(n^4 + 11n^3 + 41n^2 + 61n - 42) + 8\delta_{n,0}. \quad (\text{A.101})$$

We now have the total number of each type of long and short multiplets in the KK spectrum of the CPW background. We can combine this with the information in Table A.2 to find the total heat kernel coefficients. A short calculation shows that, at each KK level  $n$ , we find a perfect cancellation for the  $c$  coefficient:

$$c(n) = \frac{3}{4}\Omega^{\text{LGRAV}}(n) - \frac{1}{2}\Omega^{\text{LGINO}}(n) + \frac{1}{4}\Omega^{\text{LVEC}}(n) + c^{\text{short}}(n) = 0, \quad (\text{A.102})$$

where  $c^{\text{short}}$  is the sum of all  $c(n)$  in the short sector (A.90). The same cancellation occurs for the  $b_1$  coefficient:

$$b_1(n) = \frac{1}{8}\Omega^{\text{LGRAV}}(n) - \frac{1}{12}\Omega^{\text{LGINO}}(n) + \frac{1}{24}\Omega^{\text{LVEC}}(n) + b_1^{\text{short}}(n) = 0. \quad (\text{A.103})$$



Lastly, for the  $a_E$  coefficient, we obtain the following compact final result,

$$\begin{aligned} a_E(n) &= \frac{5}{4}\Omega^{\text{LGRAV}}(n) + \frac{1}{4}\Omega^{\text{LVEC}}(n) + a_E^{\text{short}}(n) \\ &= \frac{1}{144}(n+1)(n+2)(n+3)^2(n+4)(n+5). \end{aligned} \tag{A.104}$$

Notably, these are exactly the same expressions we found for the heat kernel coefficients of the KK spectrum of the  $\mathcal{N} = 8$   $\text{AdS}_4 \times S^7$  background in (A.73), (A.75), and (A.76). The calculation leading to these results for the two distinct 11d supergravity backgrounds is very different and as we discuss in the main text it will be interesting to obtain further insights into why the results end up the same. Regardless, it is clear that the total  $c$  and  $b_1$  coefficients after summing over  $n$  vanish. The total  $a_E$  heat kernel coefficient takes the form of a divergent sum which is identical to the one encountered in the  $\text{AdS}_4 \times S^7$  and thus should be regularized in the same way.

#### A.4.4 KK supergravity on $N^{0,1,0}$

The KK supergravity spectrum of the  $\text{AdS}_4 \times N^{0,1,0}$  11d supergravity solution was presented in [202] where it was organized into multiplets of the  $\text{OSp}(3|4)$  superconformal algebra and the  $\text{SU}(3)$  flavor symmetry. We will use both the conventions of [202] and [18] when we discuss our results. The map between the two sets of conventions is given by

$$(E_0, J_0, s_0) \longleftrightarrow (\Delta, R/2, j/2), \tag{A.105}$$

where  $\Delta \in \mathbb{Z}/2$  is the conformal dimension,  $R \in \mathbb{Z}_{\geq 0}$  denotes the  $\text{SO}(3)$   $R$ -symmetry representation, and  $j \in \mathbb{Z}_{\geq 0}$  is the Lorentz spin. Note that the dimension of the  $\text{SO}(3)$  representation with label  $R$  is given by  $\dim(R) = R + 1$  and analogously the dimension of the Lorentz spin- $j$  representation is  $\dim(j) = j + 1$ .

The complete list of 3d  $\mathcal{N} = 3$  multiplets can be found in Table 5 of [18] and is summarized in Table A.4. The  $\mathcal{N} = 3$  multiplets arising from the  $N^{0,1,0}$  KK

name	primary	unitarity bound
$L$	$[j]_{\Delta}^{(R)}$	$\Delta > \frac{1}{2}j + \frac{1}{2}R + 1$
$A_1$	$[j]_{\Delta}^{(R)} \quad (j \geq 1)$	$\Delta = \frac{1}{2}j + \frac{1}{2}R + 1$
$A_2$	$[0]_{\Delta}^{(R)}$	$\Delta = \frac{1}{2}R + 1$
$B_1$	$[0]_{\Delta}^{(R)}$	$\Delta = \frac{1}{2}R$

Table A.4: The complete list of  $\mathcal{N} = 3$  multiplets

spectrum discussed in [202] read

$$\begin{aligned}
L[1]_{\Delta}^{(R)} &\leftrightarrow SD(s_{\max} = 2, E_0 > J_0 + 3/2, J_0|3), \\
L[0]_{\Delta}^{(R)} &\leftrightarrow SD(s_{\max} = 3/2, E_0 > J_0 + 1, J_0|3), \\
A_1[1]_{\Delta}^{(R)} &\leftrightarrow SD(s_{\max} = 2, E_0 = J_0 + 3/2, J_0|3), \\
A_2[0]_{\Delta}^{(R)} &\leftrightarrow SD(s_{\max} = 3/2, E_0 = J_0 + 1, J_0|3), \\
B_1[0]_{\Delta}^{(R)} &\leftrightarrow SD(s_{\max} = 1, E_0 = J_0, J_0|3),
\end{aligned} \tag{A.106}$$

where on the right hand side we used the notation of [202]. The descendants for generic multiplets and conserved current multiplets are presented in Section 4.3 and 5.4.3 of [18], respectively (see also Table 1-5 of [202]). Note that the  $A_2[0]_{\Delta}^{(0)}$  multiplet is not present in the spectrum since it contains an extra supercurrent multiplet not present for the  $\text{AdS}_4 \times N^{0,1,0}$  solution which is genuinely  $\mathcal{N} = 3$  supersymmetric.

To obtain Tables 1-5 of [202] from the generic expressions given in Section 4.3 of [18], one needs to use the Racah-Speiser (RS) algorithm. For the  $\mathfrak{so}(3)$  Lie algebra of Lorentz spin, the RS algorithm is simply given as

$$[j]^{(R)} = \begin{cases} 0 & (j = -1) \\ -[-j - 2]^{(R)} & (j = -2, -3, \dots) \end{cases} \quad (R \geq 0), \tag{A.107}$$

where the overall minus sign on the right-hand side means that it “eats” the representation without the minus sign. Since the  $R$ -symmetry is also controlled by the same algebra, we can apply the same rule, i.e.

$$[j]^{(R)} = \begin{cases} 0 & (R = -1) \\ [j]^{-(-R-2)} & (R = -2, -3, \dots) \end{cases} \quad (j \geq 0), \tag{A.108}$$

with the same interpretation for the overall minus sign in the superscript of the RHS.

To calculate the heat kernel coefficients of each  $\mathcal{N} = 3$  superconformal multiplet of interest, we need to add up the coefficients of every field in the multiplet and use the values in Table 2.2. The result for each of the multiplets in Table A.4 is summarized below.<sup>7</sup>

$$\begin{aligned}
 L[1]_{\Delta}^{(R)} \text{ with } \Delta > \tfrac{1}{2}R + \tfrac{3}{2} : \quad a_E(R) &= \frac{3(1+R)}{2}, \quad c(R) = 0, \quad b_1(R) = 0, \\
 L[0]_{\Delta}^{(R)} \text{ with } \Delta > \tfrac{1}{2}R + 1 : \quad a_E(R) &= \frac{1+R}{2}, \quad c(R) = 0, \quad b_1(R) = 0, \\
 A_1[1]_{\Delta}^{(R)} \text{ with } \Delta = \tfrac{1}{2}R + \tfrac{3}{2} : \quad a_E(R) &= \frac{5(1+R)}{4}, \quad c(R) = \frac{5+R}{4}, \quad b_1(R) = 0, \\
 A_2[0]_{\Delta}^{(R)} \text{ with } \Delta = \tfrac{1}{2}R + 1 : \quad a_E(R) &= -\frac{(1-R)}{4}, \quad c(R) = -\frac{3+R}{4}, \quad b_1(R) = 0, \\
 B_1[0]_{\Delta}^{(R)} \text{ with } \Delta = \tfrac{1}{2}R : \quad a_E(R) &= \begin{cases} -\frac{1-R}{4} & R \geq 2 \\ \frac{1+12R}{360} & R = 0, 1 \end{cases}, \quad (A.109) \\
 c(R) &= \begin{cases} -\frac{1-R}{4} & R \geq 2 \\ \frac{1+7R}{120} & R = 0, 1 \end{cases}, \quad b_1(R) = 0.
 \end{aligned}$$

Then the total heat kernel coefficients are obtained after summing over all multiplets in the KK tower while taking into account their  $SU(3)$  representations. This can be done using the dimension formula (A.89).

Since all  $\mathcal{N} = 3$  multiplets have vanishing  $b_1(R)$ , we immediately get that

$$b_1^{\text{tot}} = 0. \quad (A.110)$$

Next we consider the total heat kernel coefficient  $c^{\text{tot}}$ . Since the  $L[j]_{\Delta}^{(R)}$  long multiplets have vanishing  $c$  coefficients, we only need to consider the  $A_{1,2}$  and  $B_1$  short multiplets and their flavor representations given in Sections 3.2 and 3.3 of [202]. For example, the massive short graviton multiplet  $A_1[1]_{\Delta}^{(R)}$  falls in  $SU(3)$  representations with Dynkin label  $(k, k)$  with  $k \geq 1$ , and the R-charge is given by  $R = 2k$ . In addition, there is a massless graviton multiplet in the  $(0, 0)$  representation with  $R = 0$ . Therefore, the short graviton sector contributes to

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<sup>7</sup>Note that the rightmost entry of Table 5 of [202] is missing one of the two spin- $\frac{1}{2}$  representations.

the  $c$  coefficient with

$$c^{\text{short}(2)} = \sum_{k=0}^{\infty} \dim(k, k) \frac{5+2k}{4} = \frac{1}{4} \sum_{k=0}^{\infty} (1+k)^3 (5+2k). \quad (\text{A.111})$$

The massive short gravitino multiplet  $A_2[0]_{\Delta}^{(R)}$  falls in the  $(k, k+3)$  representation with  $k \geq 0$ . In addition, we must consider the conjugate representation  $(k+3, k)$  to obtain the complete spectrum. The R-charge is fixed to  $R = 2(k+1)$  and the short gravitino sector contributes to the  $c$  coefficient with

$$\begin{aligned} c^{\text{short}(3/2)} &= \sum_{k=0}^{\infty} [\dim(k, k+3) + \dim(k+3, k)] \frac{-3-2(k+1)}{4} \\ &= -\frac{1}{4} \sum_{k=0}^{\infty} (1+k)(4+k)(5+2k)^2. \end{aligned} \quad (\text{A.112})$$

The massive short vector multiplet  $B_1[0]_{\Delta}^{(R)}$  falls in the  $(k, k)$  representation with  $k \geq 2$ , and with  $R = 2k$ . Together with the massless vector multiplet in the  $(1, 1)$  representation with  $R = 2$ , this gives

$$c^{\text{short}(1)} = \sum_{k=0}^{\infty} \dim(k+1, k+1) \frac{-1+2(k+1)}{4} = \frac{1}{4} \sum_{k=0}^{\infty} (2+k)^3 (1+2k). \quad (\text{A.113})$$

Lastly, the (massless) Betti multiplet is in the  $(0, 0)$   $\text{SU}(3)$  representation and has  $R = 2$ , for a contribution of

$$c^{\text{Betti}} = \dim(0, 0) \frac{-1+2}{4} = \frac{1}{4}. \quad (\text{A.114})$$

We should now regularize all the above infinite series. In Appendix A.5, we present a regularization method adapted from [208]. However, this method is based on zeta-function regularization and is therefore not stable. This means that we will obtain different results depending on whether we first sum all short sector contributions and regularize the resulting series, or if we regularize each short sector separately before taking the sum. By doing the former, we find that  $c^{\text{tot}} \neq 0$ , in violation of our bootstrap constraints (2.95). However, we do find a remarkable cancellation if we decide to regulate each series independently. Our regularization prescription based on (A.178) attaches the following finite values:

$$c^{\text{short}(2)} = \frac{55}{1024}, \quad c^{\text{short}(3/2)} = -\frac{1}{2}, \quad c^{\text{short}(1)} = \frac{201}{1024}, \quad (\text{A.115})$$

and together with the Betti multiplet contribution, we arrive at

$$c^{\text{tot}} = 0. \quad (\text{A.116})$$

Thus, it is possible to adopt a regularization prescription for the sum over the KK spectrum that is compatible with the bootstrap constraints (2.95).

The calculation of the  $a_E^{\text{tot}}$  coefficient is more subtle. First, we need to take into account the contributions from both long and short multiplets. Moreover, the resulting infinite sums involve multiple summation indices, which complicates the task of finding a suitable regularization. We give each contribution to  $a_E^{\text{tot}}$  in turn below.

i) From Table (9) of [202], we have

$$\begin{aligned} a_E^{\text{i)}} &= \sum_{k=0}^{\infty} \sum_{j \geq 2}^{\infty} \dim(k, k+3j) \sum_{J_0=j}^{k+j} \left[ \frac{3(1+2J_0)}{2} + \frac{1+2J_0}{2} + \frac{1+2J_0}{2} \right] \\ &= \frac{5}{4} \sum_{k=0}^{\infty} \sum_{j \geq 2}^{\infty} (1+k)^2 (1+2j+k) (1+3j+k) (2+3j+2k). \end{aligned} \quad (\text{A.117})$$

ii) From Table (10) of [202], we have

$$\begin{aligned} a_E^{\text{ii)}} &= \sum_{k=0}^{\infty} \dim(k, k+3) \left[ \sum_{J_0=1}^{k+1} \left[ \frac{3(1+2J_0)}{2} + \frac{1+2J_0}{2} \right] + \sum_{J_0=1}^k \frac{1+2J_0}{2} \right] \\ &= \frac{1}{4} \sum_{k=0}^{\infty} (1+k)(4+k)(5+2k)(12+18k+5k^2). \end{aligned} \quad (\text{A.118})$$

iii) From Table (11) of [202], we have

$$\begin{aligned} a_E^{\text{iii)}} &= \sum_{k=0}^{\infty} \dim(k, k) \left[ \sum_{J_0=0}^{k-1} \left[ \frac{3(1+2J_0)}{2} + \frac{1+2J_0}{2} \right] + \sum_{J_0=0}^k \frac{1+2J_0}{2} \right] \\ &= \frac{1}{2} \sum_{k=0}^{\infty} (1+k)^3 (1+2k+5k^2). \end{aligned} \quad (\text{A.119})$$

iv) From (12), (13) and (18), (19) of [202], we have

$$a_E^{\text{short(2)}} = \sum_{k=0}^{\infty} \dim(k, k) \frac{5(1+2k)}{4} = \frac{5}{4} \sum_{k=0}^{\infty} (1+k)^3 (1+2k). \quad (\text{A.120})$$

v) From (14), (15) of [202], we have

$$a_E^{\text{short}(3/2)} = \sum_{k=0}^{\infty} \dim(k, k+3) \frac{-1 + 2(k+1)}{4} = \frac{1}{8} \sum_{k=0}^{\infty} (1+k)(4+k)(1+2k)(5+2k). \quad (\text{A.121})$$

vi) From (16), (17) and (20), (22) of [202], we have

$$a_E^{\text{short}(1)} = \sum_{k=0}^{\infty} \dim(k+1, k+1) \frac{-1 + 2(k+1)}{4} = \frac{1}{4} \sum_{k=0}^{\infty} (2+k)^3 (1+2k). \quad (\text{A.122})$$

vii) From (21), (22) of [202], we have

$$a_E^{\text{Betti}} = \dim(0, 0) \frac{-1 + 2}{4} = \frac{1}{4}. \quad (\text{A.123})$$

Since we do not have bootstrap constraints involving  $a_E$ , it is difficult to come up with the right prescription for regularizing the above sums. In addition, the method presented in Appendix A.5 does not apply to the long sector series (A.117) which involve both  $k$  and  $j$  quantum numbers.

### A.4.5 KK supergravity connected to the SO(8) gauged supergravity

We present our calculations for the  $(a_E, c, b_1)$  coefficients in supergravity theories connected to the SO(8) gauged supergravity by RG flows apart from the one dual to the mABJM theory discussed above. The theories are shown in Fig. 2.2.

#### Theory 1: $\mathcal{N} = 1, G_2$

We start with the  $\mathcal{N} = 1$  fixed point with  $G_2$  flavor group, given by the quadratic deformation of ABJM and is dual to the CPW solution [107] in 11d supergravity. The supergravity multiplets can be mapped to the 3d  $\mathcal{N} = 1$  superconformal multiplets discussed above Table 2.6.

The Kaluza-Klein mass spectrum can be evaluate using the Exceptional Field Theory technique [335]. At level  $k = 0$ , different from the SO(8) theory, some of the gaugini and vector fields acquire mass by eating some scalars and fermions. Using the multiplets shown in Table 2 of [335], we can explicitly check that

$$(a_E)_0 = \frac{5}{2}, \quad c_0 = (b_1)_0 = 0, \quad (\text{A.124})$$

which is consistent with the GR flow conjecture. At level  $k \geq 1$ , all the multiplets are massive and thus long, since all the short  $\mathcal{N} = 1$  multiplets are massless. Thus, knowing the branching rules from  $SO(8)$  to  $G_2$  will teach us the full knowledge of the multiplets.

$$\text{Gravitons :} \quad (k000) \rightarrow \bigoplus_{p=0}^k (p0) \quad (\text{A.125})$$

Each graviton corresponds to a LGRAV (we only consider  $k \geq 1$ ):

$$\boxed{\text{LGRAV :} \quad \bigoplus_{p=0}^k (p0)} \quad (\text{A.126})$$

$$\begin{aligned} \text{Gravitini :} \quad (k001) &\rightarrow \left[ \bigoplus_{p=0}^{k-1} (p1) \right] \oplus \left[ \bigoplus_{p=0}^{k+1} (p0) \right] \oplus \left[ \bigoplus_{p=1}^k (p0) \right] \\ (k-1, 0, 1, 0) &\rightarrow \left[ \bigoplus_{p=0}^{k-2} (p1) \right] \oplus \left[ \bigoplus_{p=0}^k (p0) \right] \oplus \left[ \bigoplus_{p=1}^{k-1} (p0) \right] \end{aligned} \quad (\text{A.127})$$

One LGRAV has two gravitini, and the remaining gravitini correspond to the highest-spin component of LGINOs, which are:

$$\boxed{\text{LGINO :} \quad \left[ \bigoplus_{p=0}^{k-1} (p1) \right] \oplus \left[ \bigoplus_{p=0}^{k-2} (p1) \right] \oplus \left[ \bigoplus_{p=1}^{k+1} (p0) \right] \oplus \left[ \bigoplus_{p=1}^{k-1} (p0) \right]} \quad (\text{A.128})$$

$$\begin{aligned} \text{Vectors :} \quad (k100) &\rightarrow \left[ \bigoplus_{p=0}^k (p1) \right] \oplus \left[ \bigoplus_{p=0}^{k-1} (p1) \right] \oplus \left[ \bigoplus_{p=1}^{k+1} (p0) \right]^{\oplus 2} \\ (k-1, 0, 1, 1) &\rightarrow \left[ \bigoplus_{p=0}^{k-3} (p2) \right] \oplus \left[ \bigoplus_{p=0}^{k-1} (p1) \right] \oplus \left[ \bigoplus_{p=0}^{k-2} (p1) \right]^{\oplus 2} \oplus \left[ \bigoplus_{p=1}^{k-1} (p1) \right] \oplus \\ &\quad \oplus \left[ \bigoplus_{p=0}^{k+1} (p0) \right] \oplus \left[ \bigoplus_{p=1}^k (p0) \right] \oplus \left[ \bigoplus_{p=1}^{k-1} (p0) \right] \oplus \left[ \bigoplus_{p=2}^k (p0) \right] \\ (k-2, 1, 0, 0) &\rightarrow \left[ \bigoplus_{p=0}^{k-2} (p1) \right] \oplus \left[ \bigoplus_{p=0}^{k-3} (p1) \right] \oplus \left[ \bigoplus_{p=1}^{k-1} (p0) \right]^{\oplus 2} \end{aligned} \quad (\text{A.129})$$

For each LGRAV, there is 1 vector field; and for each LGINO, there are 2 vector fields. Each of the remaining vector fields corresponds a LVEC:

$$\text{LVEC : } \left[ \bigoplus_{p=0}^{k-3} (p2) \right] \oplus \left[ \bigoplus_{p=0}^k (p1) \right] \oplus \left[ \bigoplus_{p=1}^{k-1} (p1) \right] \oplus \left[ \bigoplus_{p=0}^{k-2} (p1) \right] \oplus \left[ \bigoplus_{p=0}^{k-3} (p1) \right] \oplus$$

$$\oplus \left[ \bigoplus_{p=1}^{k+1} (p0) \right] \oplus \left[ \bigoplus_{p=2}^k (p0) \right] \oplus \left[ \bigoplus_{p=1}^{k-1} (p0) \right]$$

(A.130)

$$\text{Fermions : } (k+1, 0, 1, 0) \rightarrow \left[ \bigoplus_{p=0}^k (p1) \right] \oplus \left[ \bigoplus_{p=0}^{k+2} (p0) \right] \oplus \left[ \bigoplus_{p=1}^{k+1} (p0) \right]$$

$$(k-1, 1, 1, 0) \rightarrow \left[ \bigoplus_{p=0}^{k-2} (p2) \right] \oplus \left[ \bigoplus_{p=0}^{k-3} (p2) \right] \oplus$$

$$\oplus \left[ \bigoplus_{p=0}^k (p1) \right] \oplus \left[ \bigoplus_{p=0}^{k-1} (p1) \right] \oplus \left[ \bigoplus_{p=0}^{k-2} (p1) \right] \oplus \left[ \bigoplus_{p=1}^{k-1} (p1) \right]^{\oplus 2} \oplus$$

$$\oplus \left[ \bigoplus_{p=1}^{k+1} (p0) \right]^{\oplus 2} \oplus \left[ \bigoplus_{p=2}^k (p0) \right]^{\oplus 2}$$

$$(k-2, 1, 0, 1) \rightarrow \left[ \bigoplus_{p=0}^{k-3} (p2) \right] \oplus \left[ \bigoplus_{p=0}^{k-4} (p2) \right] \oplus$$

$$\oplus \left[ \bigoplus_{p=0}^{k-1} (p1) \right] \oplus \left[ \bigoplus_{p=0}^{k-2} (p1) \right] \oplus \left[ \bigoplus_{p=0}^{k-3} (p1) \right] \oplus \left[ \bigoplus_{p=1}^{k-2} (p1) \right]^{\oplus 2} \oplus$$

$$\oplus \left[ \bigoplus_{p=1}^k (p0) \right]^{\oplus 2} \oplus \left[ \bigoplus_{p=2}^{k-1} (p0) \right]^{\oplus 2}$$

$$(k-2, 0, 0, 1) \rightarrow \left[ \bigoplus_{p=0}^{k-3} (p1) \right] \oplus \left[ \bigoplus_{p=0}^{k-1} (p0) \right] \oplus \left[ \bigoplus_{p=1}^{k-2} (p0) \right]$$

(A.131)



For each LGINO, there is 1 vector field; for each LVEC, there are 2 vector fields.

$$\text{LSCA : } \left[ \bigoplus_{p=0}^{k-2} (p2) \right] \oplus \left[ \bigoplus_{p=0}^{k-4} (p2) \right] \oplus \left[ \bigoplus_{p=1}^{k-1} (p1) \right] \oplus \left[ \bigoplus_{p=1}^{k-2} (p1) \right] \oplus \left[ \bigoplus_{p=0}^{k+2} (p0) \right] \oplus \left[ \bigoplus_{p=0}^k (p0) \right] \oplus \left[ \bigoplus_{p=2}^k (p0) \right] \oplus \left[ \bigoplus_{p=2}^{k-2} (p0) \right] \quad (\text{A.132})$$

Having obtained all the multiplets, it becomes straightforward to evaluate the heat-kernel coefficients. We can check explicitly that with the  $G_2$  matter contents given above that<sup>8</sup>

$$(a_E)_k = \frac{1}{144} (k+1)(k+2)(k+3)^2(k+4)(k+5), \quad c_k = (b_1)_k = 0.$$

### Theory 2 and 3: $\mathcal{N} = 1$ with $\text{SO}(3)$ and $\text{U}(1)^2$

Because of the much smaller flavor symmetries, these two theories are under less analytical control. However, exceptional field theory helps getting the numerical mass spectrum for the low-lying Kaluza-Klein modes. With the numerical data given by [336], we are able to numerically check that the same values of coefficients are reproduced.<sup>9</sup>

#### A.4.6 KK supergravity connected to the $\text{ISO}(7)$ gauged supergravity

Now we proceed to theories connected to the  $\text{ISO}(7)$  gauged supergravity, which can be uplifted to the massive type IIA supergravity in 10d. There is a slightly more intricate web of flows shown in Fig. 2.3, which we expect the heat-kernel coefficients to be identical level-by-level.

In this example, the “UV” theory is given by the maximal  $\text{ISO}(7)$  gauged supergravity, which can be obtained by compactifying massive type IIA supergravity on  $S^6$ . The theory is not conformal, but the fields are still organized into KK-levels and transform irreducibly under the  $\text{SO}(7)$  gauge group.<sup>10</sup>

<sup>8</sup>In order to evaluate  $(b_1)_k$ , we need to use  $E_0$  for different multiplets given in equation (3.1) of [335].

<sup>9</sup>We thank the authors of [336] for providing the codes of the data and pointing out some typos in the original paper.

<sup>10</sup>See, for example, Table 1 of [337].

In this part, we will start presenting the five theories with large flavor symmetries in Fig. 2.3, i.e. (1) the  $\mathcal{N} = 2, SU(3) \times U(1)$  theory, (2) the  $\mathcal{N} = 1, G_2$  theory, (3) the  $\mathcal{N} = 0, G_2$  theory, (4) the  $\mathcal{N} = 0, SO(7)$  theory, and (5) the  $\mathcal{N} = 0, SO(6)$  theory. Benefiting from the large symmetry group, we can obtain the multiplet contents analytically by branching rules for all KK level. After that, we present two less symmetric theories, they are: (6) the  $\mathcal{N} = 3, SO(4)$  theory and (7) the  $\mathcal{N} = 1, SU(3)$  theory. For these two theories, we are not able to write down all the multiplets analytically, but we can indirectly get the heat-kernel coefficients summed over all fields at a given KK level. Lastly, there are three theories with very small global symmetries, including: (8) the  $\mathcal{N} = 1, U(1)_a$  theory, (9) the  $\mathcal{N} = 1, U(1)_b$  theory and (10) the  $\mathcal{N} = 1, \emptyset$  theory without any global symmetry.<sup>11</sup> For these three theories, there are only numerical spectrum available for the low-lying modes.

### Theory 1: $\mathcal{N} = 2, SU(3) \times U(1)$

The mass spectrum is given in [337]. The heat-kernel coefficients for 3d  $\mathcal{N} = 2$  superconformal multiplets are given in Table A.2. We start with the long multiplets, which are easier because their contributions to the coefficients are all numbers. So we only need to count the long multiplets, without knowing in details the branching rules from  $SO(7)$  to  $SU(3) \times U(1)$ . The procedure will be similar to what we did for mABJM above.

The  $SU(3) \times U(1)$  irrep of the LGRAVs are explicitly known in [337]:

$$\bigoplus_{l=0}^{k-1} \bigoplus_{p=0}^l [p, l-p]_{\frac{2}{3}(l-2p)} \oplus \bigoplus_{p=1}^{k-1} [p, k-p]_{\frac{2}{3}(k-2p)}, \quad (\text{A.133})$$

the dimension for which is<sup>12</sup>

$$\Omega_k^{\text{LGRAV}} = \frac{1}{120}(k+1)(k+2)(2k^3 + 19k^2 + 59k - 60) + \delta_{k,0}. \quad (\text{A.134})$$

The number of LGINO is given by:

$$\Omega_k^{\text{LGINO}} = \dim[k, 0, 1] + \dim[k-1, 0, 1] - 4\Omega_k^{\text{LGRAV}} - 3\Omega_k^{\text{SGRAV}} - 2\Omega_k^{\text{MGRAV}} - \Omega_k^{\text{SGINO}}$$

$$\Rightarrow \quad \Omega_k^{\text{LGINO}} = \frac{1}{30}k(k+1)(k+4)(2k^2 + 15k + 37). \quad (\text{A.135})$$

<sup>11</sup>We add the label  $a$  and  $b$  only to distinguish the two theories, there is no special meaning to this notation.

<sup>12</sup>Notice that when  $k = 0$ , the sum over  $p$  from 1 to  $k$  generates an undesired term, so we added  $\delta_{k,0}$  to cancel it.

In the same way, the number of LVEC is<sup>13</sup>

$$\begin{aligned} \Omega_k^{\text{LVEC}} &= \frac{9}{40}(k+1)(k+2)(k+3)(k+4)(2k+5) + \delta_{k,0} \\ &\quad - 6\Omega_k^{\text{LGRAV}} - 3\Omega_k^{\text{SGRAV}} - \Omega_k^{\text{LGINO}} - 4\Omega_k^{\text{LGINO}} - 3\Omega_k^{\text{SGINO}} - \Omega_k^{\text{SVEC}} - \Omega_k^{\text{MVEC}} \\ \Rightarrow \quad &\boxed{\Omega_k^{\text{LVEC}} = \frac{1}{24}(k+3)(k+4)(2k^3 + 11k^2 + 19k - 14) + 8\delta_{k,0}.} \quad (\text{A.136}) \end{aligned}$$

Now we turn to the short multiplets. From Table A.2, we realize that  $a_E$  and  $c$  doesn't depend on the conformal dimension of the superconformal primaries, so the total coefficient is given by the coefficient for a single multiplet times their numbers  $\Omega_k^X$ , where  $X$  denotes a multiplet:

$$\begin{aligned} \Omega_k^{\text{SGRAV}} &= (k+1)(k+2) - 2\delta_{k,0}, \quad \Omega_k^{\text{MGRAV}} = \delta_{k,0}, \\ \Omega_k^{\text{SGINO}} &= 2(k+1)(k+3), \\ \Omega_k^{\text{S/MVEC}} &= 2(k+2)(k+4) - 16\delta_{k,0}, \quad \Omega_k^{\text{MVEC}} = 8\delta_{k,0}, \\ \Omega_k^{\text{HYP}} &= (k+3)(k+4). \end{aligned} \quad (\text{A.137})$$

However,  $b_1$  does depends on the conformal dimension of the superconformal primaries, so we need to do calculate independently:

$$\begin{aligned} (b_1)_k^{\text{SGRAV}} &= -\frac{1}{432}(k+1)(k+2)(4k^2 + 36k - 27) - \frac{1}{8}\delta_{k,0}, \\ (b_1)_k^{\text{SGINO}} &= \frac{1}{216}(k+1)(k+3)(4k^2 + 28k - 5), \\ (b_1)_k^{\text{SVEC}} &= -\frac{1}{216}(k+2)(k+4)(4k^2 + 12k - 9) - \frac{1}{3}\delta_{k,0}, \\ (b_1)_k^{\text{HYP}} &= \frac{1}{432}(k+3)(k+4)(2k+1)^2. \end{aligned} \quad (\text{A.138})$$

At the lowest KK-level, multiplets can be massless, which contribute:

$$(b_1)_k^{\text{MGRAV}} = 0, \quad (b_1)_k^{\text{MVEC}} = 0. \quad (\text{A.139})$$

Summing over all the multiplets, we get:

$$\boxed{\begin{aligned} (a_E)_k &= \frac{1}{48}(k+1)(k+2)(k+3)(k+4)(2k+5), \\ c_k &= (b_1)_k = 0. \end{aligned}} \quad (\text{A.140})$$

<sup>13</sup>We introduce the delta on the first line to solve the problem  $\dim[k-2, 1, 0] \neq 0$  for  $k=0$ .

This is a new prediction. To support the Conjecture 1, one should show in all the other theories in Fig. 2.3 give the same results level-by-level.

**Theory 2 and 3:**  $\mathcal{N} = 1, G_2$  and  $\mathcal{N} = 0, G_2$

Let's start with the  $G_2$  theory with  $\mathcal{N} = 1$ . The spectrum of this theory is discussed in [335]. For  $\mathcal{N} = 1$  multiplets, the heat-kernel coefficients do depend on the masses, so we need to honestly use the branching rules to get all the multiplets, which is possible thanks to the large global  $G_2$  symmetry.<sup>14</sup>

$$\boxed{\text{LGRAV} : [k, 0, 0] \rightarrow [k, 0].} \quad (\text{A.141})$$

$$\text{Gravitini} : [k, 0, 1] \rightarrow [k, 0] \oplus [k + 1, 0] \oplus [k - 1, 1], \quad (\text{A.142})$$

$$[k - 1, 0, 1] \rightarrow [k - 1, 0] \oplus [k, 0]_{k \geq 1} \oplus [k - 2, 1].$$

$$\Rightarrow \boxed{\text{LGINO} : [k + 1, 0] \oplus [k - 1, 0] \oplus [k - 1, 1] \oplus [k - 2, 1].} \quad (\text{A.143})$$

$$\text{Vectors} : [k, 1, 0] \rightarrow [k + 1, 0] \oplus [k, 1] \oplus [k - 1, 1],$$

$$[k - 1, 1, 0] \rightarrow [k, 0]_{k \geq 1} \oplus [k - 1, 1] \oplus [k - 2, 1],$$

$$[k - 2, 1, 0] \rightarrow [k - 1, 0]_{k \geq 2} \oplus [k - 2, 1] \oplus [k - 3, 1],$$

$$[k - 1, 0, 2] \rightarrow [k - 1, 0] \oplus [k, 0]_{k \geq 1} \oplus [k + 1, 0]_{k \geq 1} \oplus [k - 2, 1] \oplus \quad (\text{A.144})$$

$$\oplus [k - 1, 1]_{k \geq 2} \oplus [k - 3, 2],$$

$$[k + 1, 0, 0] \rightarrow [k + 1, 0],$$

$$[k - 1, 0, 0] \rightarrow [k - 1, 0].$$

$$\boxed{\begin{aligned} \text{LVEC} : & [k + 1, 0]_{k \geq 1} \oplus [k, 0]_{k \geq 1} \oplus [k - 1, 0]_{k \geq 2} \oplus \\ & \oplus [k, 1] \oplus [k - 1, 1]_{k \geq 2} \oplus [k - 2, 1] \oplus [k - 3, 1] \oplus [k - 3, 2]. \end{aligned}} \quad (\text{A.145})$$

<sup>14</sup>Notice that the contents we get below doesn't seem to correctly reproduce the coefficients for  $k = 2$ , which is likely a mistake of mine. But luckily it is only for  $k = 2$ , where the branching can be done seperately, and I checked that it is fine.

$$\text{Spinors} : [k+1, 0, 1] \rightarrow [k+1, 0] \oplus [k+2, 0] \oplus [k, 1],$$

$$[k, 0, 1] \rightarrow [k, 0] \oplus [k+1, 0] \oplus [k-1, 1],$$

$$[k-1, 0, 1] \rightarrow [k-1, 0] \oplus [k, 0]_{k \geq 1} \oplus [k-2, 1],$$

$$[k-2, 0, 1] \rightarrow [k-2, 0] \oplus [k-1, 0]_{k \geq 2} \oplus [k-3, 1],$$

$$[k-1, 1, 1] \rightarrow [k, 0]_{k \geq 1} \oplus [k+1, 0]_{k \geq 1} \oplus [k-1, 1] \oplus [k, 1]_{k \geq 1} \oplus$$

$$\oplus [k-2, 1] \oplus [k-1, 1]_{k \geq 2} \oplus [k-2, 2] \oplus [k-3, 2],$$

$$[k-2, 1, 1] \rightarrow [k-1, 0]_{k \geq 2} \oplus [k, 0]_{k \geq 2} \oplus [k-2, 1] \oplus [k-1, 1]_{k \geq 2} \oplus$$

$$\oplus [k-3, 1] \oplus [k-2, 1]_{k \geq 3} \oplus [k-3, 2] \oplus [k-4, 2].$$

(A.146)

$$\text{LSCA} : [k+2, 0] \oplus [k, 0] \oplus [k, 0]_{k \geq 2} \oplus [k-2, 0] \oplus [k-1, 1] \oplus [k-2, 1] \oplus$$

$$\oplus [k-2, 2] \oplus [k-4, 2].$$

(A.147)

With the explicit multiplets contents together with the spectrum given in [335], we can reproduce the contributions in (A.140).

For the  $G_2$  theory with  $\mathcal{N} = 0$ , there is no multiplet structures and all fields are standing on their own. Their transform irreducibly under the flavor group with representations given by the branching rules above. In addition, the scalars transform under:

$$\text{Scalars} : [k+2, 0, 0] \rightarrow [k+2, 0],$$

$$[k, 0, 0] \rightarrow [k, 0],$$

$$[k-2, 2, 0] \rightarrow [k, 0]_{k \geq 2} \oplus [k-1, 1]_{k \geq 2} \oplus [k-2, 2] \oplus [k-3, 2] \oplus$$

$$\oplus [k-2, 1]_{k \geq 3} \oplus [k-4, 2],$$

$$[k-2, 0, 0] \rightarrow [k-2, 0],$$

$$[k, 0, 2] \rightarrow [k, 0] \oplus [k+1, 0] \oplus [k+2, 0] \oplus [k-1, 1] \oplus [k, 1]_{k \geq 1} \oplus [k-2, 2],$$

$$[k-1, 1, 0] \rightarrow [k, 0]_{k \geq 1} \oplus [k-1, 1] \oplus [k-2, 1],$$

$$[k-2, 0, 2] \rightarrow [k-2, 0] \oplus [k-1, 0]_{k \geq 2} \oplus [k, 0]_{k \geq 2} \oplus [k-3, 1] \oplus$$

$$\oplus [k-2, 1]_{k \geq 3} \oplus [k-4, 2].$$

(A.148)

The representations for fields with spins ranging from 0 to 2, together with the mass spectra of the  $\mathcal{N} = 0, G_2$  given in [335], is enough for us to check that the coefficients are indeed identical to (A.140).

**Theory 4 and 5:  $\mathcal{N} = 0, SO(7)$  and  $\mathcal{N} = 0, SO(6)$**

We start with the  $SO(7)$  theory with larger symmetry. The multiplets are given in Table 1 of [337]. There is one subtlety at  $k = 0$  level, where the gravitini are massive and eat 8 spinors under the same representation. The vectors in **7** of  $SO(7)$  are also massive, whose scalar Stuckelburg fields are already excluded from the table. So the correct field contents at  $k = 0$  are given in Table A.5.

spin	$SO(7)$ representation	$ml$
2	(000)	0
$\frac{3}{2}$	(001)	$\frac{3\sqrt{15}}{10}$
1	$(010) \oplus (100)$	$0, 2\sqrt{\frac{3}{5}}$
$\frac{1}{2}$	(101)	$\frac{\sqrt{15}}{10}$
$0_+$	$(200) \oplus (000)$	$2\sqrt{-\frac{3}{5}}, \sqrt{6}$
$0_-$	(002)	$\sqrt{-\frac{6}{5}}$

Table A.5: Fields in the  $k = 0$  level of the  $\mathcal{N} = 0$   $SO(7)$  theory.

Using the mass spectra given in [337], we can reproduce (A.140) for both  $k = 0$  and larger  $k \geq 1$ .

For the  $\mathcal{N} = 0$   $SO(6)$  theory, the fields are organized into the representations of  $SO(6) \sim SU(4)$  under the branching of  $SO(7)$ :

$$\text{Gravitons :} \quad (k00) \rightarrow \bigoplus_{p=0}^k (0p0). \quad (\text{A.149})$$

$$\text{Gravitini :} \quad (k01) \rightarrow \left[ \bigoplus_{p=0}^k (1p0) \right] \oplus \left[ \bigoplus_{p=0}^k (0p1) \right], \quad (\text{A.150})$$

$$(k-1, 0, 1) \rightarrow \left[ \bigoplus_{p=0}^{k-1} (1p0) \right] \oplus \left[ \bigoplus_{p=0}^{k-1} (0p1) \right],$$

$$\text{Vectors :} \quad (k-1, 0, 2) \rightarrow \left[ \bigoplus_{p=0}^{k-1} (1p1) \right] \oplus \left[ \bigoplus_{p=0}^{k-1} (2p0) \right] \oplus \left[ \bigoplus_{p=0}^{k-1} (0p2) \right],$$

$$(k10) \rightarrow \left[ \bigoplus_{p=1}^{k+1} (0p0) \right] \oplus \left[ \bigoplus_{p=0}^k (1p1) \right],$$

$$(k-1, 1, 0) \rightarrow \left[ \bigoplus_{p=1}^k (0p0) \right] \oplus \left[ \bigoplus_{p=0}^{k-1} (1p1) \right],$$

$$(k-2, 1, 0) \rightarrow \left[ \bigoplus_{p=1}^{k-1} (0p0) \right] \oplus \left[ \bigoplus_{p=0}^{k-2} (1p1) \right],$$

$$(k+1, 0, 0) \rightarrow \bigoplus_{p=0}^{k+1} (0p0),$$

$$(k-1, 0, 0) \rightarrow \bigoplus_{p=0}^{k-1} (0p0),$$

(A.151)

$$\begin{aligned}
\text{Spinors :} \quad (k+1, 0, 1) &\rightarrow \left[ \bigoplus_{p=0}^{k+1} (1p0) \right] \oplus \left[ \bigoplus_{p=0}^{k+1} (0p1) \right], \\
(k, 0, 1) &\rightarrow \left[ \bigoplus_{p=0}^k (1p0) \right] \oplus \left[ \bigoplus_{p=0}^k (0p1) \right], \\
(k-1, 0, 1) &\rightarrow \left[ \bigoplus_{p=0}^{k-1} (1p0) \right] \oplus \left[ \bigoplus_{p=0}^{k-1} (0p1) \right], \\
(k-2, 0, 1) &\rightarrow \left[ \bigoplus_{p=0}^{k-2} (1p0) \right] \oplus \left[ \bigoplus_{p=0}^{k-2} (0p1) \right], \\
(k-1, 1, 1) &\rightarrow \left[ \bigoplus_{p=1}^k (1p0) \right] \oplus \left[ \bigoplus_{p=0}^{k-1} (2p1) \right] \oplus \left[ \bigoplus_{p=1}^k (0p1) \right] \oplus \left[ \bigoplus_{p=0}^{k-1} (1p2) \right], \\
(k-2, 1, 1) &\rightarrow \left[ \bigoplus_{p=1}^{k-1} (1p0) \right] \oplus \left[ \bigoplus_{p=0}^{k-2} (2p1) \right] \oplus \left[ \bigoplus_{p=1}^{k-1} (0p1) \right] \oplus \left[ \bigoplus_{p=0}^{k-2} (1p2) \right], \\
&\hspace{15cm} (\text{A.152})
\end{aligned}$$

$$\begin{aligned}
\text{Scalars}_+ : \quad (k+2, 0, 0) &\rightarrow \bigoplus_{p=0}^{k+2} (0p0), \\
(k, 0, 0) &\rightarrow \bigoplus_{p=0}^k (0p0), \\
(k-2, 0, 0) &\rightarrow \bigoplus_{p=0}^{k-2} (0p0), \\
(k-2, 2, 0) &\rightarrow \left[ \bigoplus_{p=2}^k (0p0) \right] \oplus \left[ \bigoplus_{p=1}^{k-1} (1p1) \right] \oplus \left[ \bigoplus_{p=0}^{k-2} (2p2) \right] \\
&\hspace{15cm} (\text{A.153})
\end{aligned}$$



$$\begin{aligned}
\text{Scalars}_- : \quad (k, 0, 2) &\rightarrow \left[ \bigoplus_{p=0}^k (1p1) \right] \oplus \left[ \bigoplus_{p=0}^k (2p0) \right] \oplus \left[ \bigoplus_{p=0}^k (0p2) \right], \\
(k-2, 0, 2) &\rightarrow \left[ \bigoplus_{p=0}^{k-2} (1p1) \right] \oplus \left[ \bigoplus_{p=0}^{k-2} (2p0) \right] \oplus \left[ \bigoplus_{p=0}^{k-2} (0p2) \right], \quad (\text{A.154}) \\
(k-1, 1, 0) &\rightarrow \left[ \bigoplus_{p=1}^k (0p0) \right] \oplus \left[ \bigoplus_{p=0}^{k-1} (1p1) \right].
\end{aligned}$$

Again, one has to be careful for  $k = 0$ , where we have collected the correct field contents in Table A.6. Compared to the  $SO(7)$  theory, the vectors in  $(010)$  of  $SO(7)$  become massive, which eat scalars in the  $(010) \oplus (101)$  of  $SU(4)$ . With the the mass formulas given in Table 17 of [335], we can reproduce (A.140) for  $k = 0$  and  $k \geq 1$ .

spin	$SU(4)$ irrep	$ml$
2	(000)	0
$\frac{3}{2}$	$(100) \oplus (001)$	$\frac{3}{2}, \frac{3}{2}$
1	$(000) \oplus (010)^2 \oplus (101)$	$\sqrt{6}, \sqrt{3}, \sqrt{\frac{6}{5}}$
$\frac{1}{2}$	$(001) \oplus (011) \oplus (100) \oplus (110)$	$\frac{\sqrt{21}}{2}, \frac{\sqrt{165}}{10}, \frac{\sqrt{21}}{2}, \frac{\sqrt{165}}{10}$
$0_+$	$(000)^2 \oplus (020)$	$\sqrt{6}, \sqrt{-\frac{6}{5}}$
$0_-$	$(200) \oplus (002)$	$\sqrt{\frac{3}{5}}, \sqrt{\frac{3}{5}}$

Table A.6: Fields in the  $k = 0$  level of the  $\mathcal{N} = 0$   $SU(4)$  theory from mIIA supergravity.

### Theory 6 and 7: $\mathcal{N} = 3, SO(4)$ and $\mathcal{N} = 1, SU(3)$

We put together these two theories because we are able to evaluate the coefficients  $(a_E, c, b_1)$  for a given KK level, but we are not able to get the multiplet contents explicitly in a closed form. Nevertheless, this is enough for supporting our conjecture 1.

We start with the  $\mathcal{N} = 3, SO(4)$  theory, which is connected to the  $\mathcal{N} = 2, SU(3) \times U(1)$  theory and the  $\mathcal{N} = 1, G_2$  theory by holographic RG flows

as shown in Fig.2.3 reviewed in [211]. According to the coefficients of  $\mathcal{N} = 3$  multiplets evaluated in (A.109),  $b_1$  vanishes for all the multiplets and  $c$  vanishes for all the long multiplets. We shall start with the short multiplets whose contents are known explicitly for any KK level [337], as shown in Table A.7. The multiplets transform irreducibly under the global symmetry  $SO(4) = SO(3)_F \times SO(3)_R$ . With the coefficients given in (A.109), we directly get:

multiplet	$SO(3)_F \times SO(3)_R$	$E_0$
SGRAV <sub>3</sub>	$(0, k)$	$k + \frac{3}{2}$
SGINO <sub>3</sub>	$(\frac{k+1}{2}, \frac{k+1}{2})$	$\frac{k+3}{2}$
SVEC <sub>3</sub>	$(\frac{k+2}{2}, \frac{k+2}{2})$	$\frac{k+2}{2}$

Table A.7: All the short  $\mathcal{N} = 3$  multiplets in  $SO(4)$  theory. Copied from Table 8 of [337].  $k$  is the KK-level.

$$\begin{aligned}
 (a_E)_k^{\text{short}} &= \dim[0] (a_E)_k^{\text{SGRAV}_3} + \dim\left[\frac{k+1}{2}\right] (a_E)_k^{\text{SGINO}_3} + \dim\left[\frac{k+2}{2}\right] (a_E)_k^{\text{SVEC}_3} \\
 &= \frac{1}{2}(k^2 + 8k + 4),
 \end{aligned}$$

$$c_k = \dim[0] c_k^{\text{SGRAV}_3} + \dim\left[\frac{k+1}{2}\right] c_k^{\text{SGINO}_3} + \dim\left[\frac{k+2}{2}\right] c_k^{\text{SVEC}_3} = 0,$$

The result for  $c_k$  is consistent with our conjecture 1. We need to proceed to the long multiplets for  $a_E$ . Since  $a_E$  depends on the  $R$ -charges, we need the representations of all the long multiplets apart from their numbers, which requires us to do the following branching:

$$SO(7) \supset SO(3)_F \times [SO(3) \times SO(3)] \supset SO(3)_F \times SO(3)_R, \quad (\text{A.155})$$

where  $SO(3)_R$  is the diagonal subgroup of  $SO(3) \times SO(3)$ , whose branching rule is simply:

$$[j_1, j_2] \rightarrow [j_1 + j_2] \oplus [j_1 + j_2 - 1] \oplus \cdots \oplus [|j_1 - j_2|]. \quad (\text{A.156})$$

However, it is exactly the appearance of the absolute value that makes the analytic calculation complicated: there are so many different possibilities for the relative signs. So instead of doing the time-consuming brute-force calculation, we do what follows. First, from the branchings together with the expressions of  $a_E$  in (A.109), it's not hard to see that  $(a_E)_k$  is a polynomial in  $k$  of order 5, which can be determined by the six coefficients. To determine them, we

explicitly evaluate the irreducible representations of the matter multiplets under  $SO(3)_F \times SO(3)_R$  for  $k = 0, 1, \dots, 5$ . The explicit steps of branching are the same as many other cases we have done, a quick summary:

- $(h, j)$  of LGRAV is obtained by the representations of gravitons, with those in SGRAV subtracted.
- $(h, j)$  of LGINO is obtained by the representations of gravitini subtracting those in SGRAV, LGRAV, and SGINO.
- Add up  $(a_E)_k^{\text{long}}$  with  $(a_E)_k^{\text{short}}$  obtained above.

We have checked explicitly for  $k = 0, 1, \dots, 13$  that the expression for  $(a_E)_k$  is identical to (A.140) and thus consistent with our conjecture.

For the  $\mathcal{N} = 1, SU(3)$  theory which is the IR fixed point from the  $\mathcal{N} = 1, G_2$  theory, the spectrum is reported in [335]. The group theory exercise we need to work on is:

$$SO(7) \rightarrow G_2 \rightarrow SU(3). \quad (\text{A.157})$$

Similarly, doing the branchings is possible but complicated, so we used the fact that the heat-kernel coefficients are polynomials of  $k$  and evaluated them explicitly for low-lying values of  $k$ . After working out  $k = 0, 1, \dots, 9$ , we can confirm the coefficients  $(a_E)_k$ ,  $c_k$ , and  $(b_1)_k$  are identical to (A.140).

**Theory 8, 9, and 10:**  $\mathcal{N} = 1, \mathbf{U}(1)_a$ ,  $\mathcal{N} = 1, \mathbf{U}(1)_b$ , and  $\mathcal{N} = 1, \emptyset$

Similar to the other theories discussed in [336], the three theories have very small flavor symmetries, the mass spectra are only known numerically for  $k = 0, 1, \dots, 3$ . With the available data and mass spectra, we are able to numerically reproduce (A.140).

## A.4.7 Theories descending from type IIB supergravity

**Theory 1:**  $\mathcal{N} = 4 J_n$  theory

In this section, we study theories related to the J-fold theory in type IIB supergravity, whose 10d geometry looks like  $\text{AdS}_4 \times S^1 \times S^5$ , where we impose a special twisted boundary condition on  $S^1$ . The field theory dual is 3d superconformal  $J_n$  theory, where the logarithmic term in the partition function vanishes,  $c_{\log} = 0$  [338], which we would like to reproduce from the gauged supergravity side.

Because of the product form of the internal manifold, we have two sets of KK levels associated to  $S^5$  and  $S^1$ , whose values are  $l = 0, 1, 2, \dots$ , and  $n = 0, \pm 1, \pm 2, \dots$ , respectively. The  $\mathcal{N} = 4$  multiplets of the so-called  $J_n$  theory are given in [339], consisting of only graviton multiplets parametrized  $[E_0, l_1, l_2]$ , where  $E_0$  is the dimension of the conformal primary and  $(l_1, l_2)$  is the  $SO(4)$   $R$ -charge. The values of  $(l_1, l_2)$  come from the branching  $SO(6) \rightarrow SO(4)$ :

$$[0, l, 0] \rightarrow \bigoplus_{a=0}^{\lfloor l/2 \rfloor} \bigoplus_{k=0}^{l-2a} [l - 2a - k, k]. \quad (\text{A.158})$$

The dimension of the superconformal primary is given by

$$E_0 = -\frac{1}{2} + \sqrt{\frac{9}{4} + \frac{1}{2}l(l+4) + l_1(l_1+1) + l_2(l_2+1) + \frac{1}{2}\left(\frac{2\pi n}{T}\right)^2}. \quad (\text{A.159})$$

Special attention needs to be paid for  $m = 0, m = 1$ : for  $m = 0$ , the multiplet is massless; and for  $m = 1$ , a few fields are vanishing.<sup>15</sup> In these cases, the standard Racah-Speiser (RS) algorithm is as follows:<sup>16</sup>

- If an  $R$ -charge component of the field is  $l = -\frac{1}{2}$ , delete it.
- If an  $R$ -charge component of the field is  $l \leq -1$ , eat another field with  $l = |l| - 1$ . The existence of such a field can be proven.

The original field contents in the long and short multiplets can be found in the standard literature [18]. With modifications for cases where the  $R$ -charge has negative components,<sup>17</sup> we obtain Table A.8.

The unitary bound  $E_0 \geq s_0 + l_1 + l_2 + 1$  tells us the values of the charges for which the multiplet shortens:

$$n = 0, \quad l_1 = l_2 = \frac{l}{2} = 0, 1, 2, \dots, \quad (\text{A.160})$$

when the multiplet becomes:

$$\text{LGRAV}_4[l_1+l_2+1, l_1, l_2] \rightarrow \text{SGRAV}_4[l_1+l_2+1, l_1, l_2] + \text{SGINO}_4[l_1+l_2+3, l_1+1, l_2+1]. \quad (\text{A.161})$$

<sup>15</sup>Notice that  $\text{SGRAV}[1,0,0]$  is the stress-momentum multiplet, for which we shouldn't use the table on P.74 of [18] but (5.55) in it.

<sup>16</sup>Introduced in App. B of [340] and App. A.3 of [18].

<sup>17</sup>The LGRAV field contents are also given in tables in the App. of [339], but they contain typos with the special values of the representations. One needs to start with the general field contents and use the RS algorithm to take of the shortening conditions.

	$a_E$	$c$	$b_1$
LGRAV $[E_0, 0, 0]$	$\frac{5}{2}$	0	0
LGRAV $[E_0, 1, 0]$	$\frac{15}{2}$	0	0
LGRAV $[E_0, 1, 1]$	$\frac{45}{2}$	0	0
LGRAV $[E_0, l_1, 0]$	$\frac{5}{2}(2l_1 + 1)$	0	0
LGRAV $[E_0, l_1, 1]$	$\frac{15}{2}(2l_1 + 1)$	0	0
LGRAV $[E_0, l_1, l_2]$	$\frac{5}{2}(2l_1 + 1)(2l_2 + 1)$	0	0
SGRAV $[2m + 1, m, m]$	$(4m + 1)(2m + 1)$	$\frac{1}{2}$	0
SGRAV $[3, 1, 1]$	15	$\frac{1}{2}$	0
MGRAV $[1, 0, 0]$	1	$\frac{1}{2}$	0
SGINO $[2m + 3, m + 1, m + 1]$	$\frac{1}{2}(2m + 1)(2m + 3)$	$-\frac{1}{2}$	0
SGINO $[3, 1, 1]$	$\frac{3}{2}$	$-\frac{1}{2}$	0

Table A.8: The heat kernel coefficients for 4d  $\mathcal{N} = 4$  LGRAV and SGRAV multiplets.  $l_1, l_2 \geq \frac{3}{2}$ ,  $m \geq 2$  in SGRAV and  $m \geq 1$  in SGINO.

Under the shortening condition, we are interested in SGRAV $[2m + 1, m, m]$  and SGINO $[2m + 3, m + 1, m + 1]$  multiplets of 4d  $\mathcal{N} = 4$  sugra, which correspond to  $A_2[0]_{\Delta=2m+1}^{(R=R'=2m)}$  and  $B_1[0]_{\Delta=2m+3}^{(R=R'=2m+2)}$  in the notation of [18].

**Case 1:**  $l = 2m + 1, m = 0, 1, 2, \dots$

In this case, there will be no short multiplets. The irreps of  $\text{SO}(4)_R$  include:

$$[0, 2m + 1, 0] \rightarrow \bigoplus_{a=0}^m \bigoplus_{k=0}^{2(m-a)+1} [2(m-a) + 1 - k, k] \quad (\text{A.162})$$

We see that  $c_{m,n} = (b_1)_{m,n} = 0$  level-by-level. Though  $a_E$  doesn't vanish level-by-level:

$$(a_E)_{m,n} = \frac{5}{6}(m+1)(2m+3)^2(m+2) = \frac{5}{24}(l+1)(l+2)^2(l+3), \quad (\text{A.163})$$

but we see that  $(a_E^{\text{L,odd}})_m = 0$  since the summation of  $n$  is factored out and  $\sum_{n \in \mathbb{Z}} 1 = 0$ .

**Case 2:**  $l = 2m, m = 0, 1, 2, \dots$  The irreps of  $\text{SO}(4)_R$  include:

$$\begin{aligned}
 [0, 2m, 0] &\rightarrow \bigoplus_{a=0}^m \bigoplus_{k=0}^{2(m-a)} [2(m-a) - k, k] \\
 &= \bigoplus_{p=0}^m [p, p] \oplus \bigoplus_{a=0}^m \bigoplus_{k=0}^{m-a-1} [2(m-a) - k, k] \oplus \bigoplus_{a=0}^m \bigoplus_{k=m-a+1}^{2(m-a)} [2(m-a) - k, k].
 \end{aligned} \tag{A.164}$$

In this case, we still have  $(b_1)_{m,n} = c_{m,n} = 0$  level-by-level. For  $(a_E)_m$ , the contribution of the last two factors is:

$$\frac{5}{6}m(m+1)(2m+1)(2m+3). \tag{A.165}$$

Notice that for short multiplets, the contribution to  $(a_E)_m$  can be decomposed:  $\text{SGRAV}[2m+1, m, m] + \text{SGINO}[2m+3, m+1, m+1] = \text{LGRAV}[E_0, m, m]$ , so the total contribution of the first term is:

$$\begin{aligned}
 (1 - \delta_{n,0}) \sum_{p=0}^m \frac{5}{2}(2p+1)^2 + \delta_{n,0} \sum_{p=0}^m \frac{5}{2}(2p+1)^2 &= \sum_{p=0}^m \frac{5}{2}(2p+1)^2 \\
 &= \frac{5}{6}(m+1)(2m+1)(2m+3).
 \end{aligned} \tag{A.166}$$

Thus the total contribution will be

$$(a_E)_{m,n} = \frac{5}{6}(m+1)^2(4m^2 + 8m + 3) = \frac{5}{24}(l+1)(l+2)^2(l+3). \tag{A.167}$$

Although  $a_E$  doesn't vanish level-by-level, the summation over  $n$  will still give zero, consistent with field theory.

## Theory 2: $\mathcal{N} = 1$ $\text{SU}(3)$ $J_n$ theory

The theory is connected to the  $\mathcal{N} = 4$  theory discussed above, and the spectrum is provided in [341].

We focus on a specific two-parameter  $\text{U}(1) \times \text{U}(1)$  solution in 4d dyonically gauged supergravity, which is parametrized by two pseudoscalars  $(\chi_1, \chi_2)$ . At the special locus  $\chi_1 = \chi_2 = 0$ , the flavor symmetry is enhanced to  $\text{SU}(3)$ , which is the theory we study here. The contents of 4d  $\mathcal{N} = 1$  multiplets with their irreps under  $\text{SU}(3)$  are explicitly given in (3.3) and (3.4) of [341]. For general KK level  $(l, n)$ , the multiplets are all long. The only exception is  $l = n = 0$ ,

where we have MGRAV and MVEC, notice that there will be extra multiplets generated:

$$\begin{aligned} \text{LGRAV}[E_0 = \frac{5}{2} + \epsilon] &\rightarrow \text{MGRAV}[E_0 = \frac{5}{2}] \oplus \text{LGINO}[E_0 = 3], \\ \text{LVEC}[E_0 = \frac{3}{2} + \epsilon] &\rightarrow \text{MVEC}[E_0 = \frac{3}{2}] \oplus \text{LSCA}[E_0 = 2]. \end{aligned} \quad (\text{A.168})$$

Taking advantage of the coefficients for  $\mathcal{N} = 1$  multiplets given in Table 2.6, we obtain the following coefficients:

$$(a_E)_{l,n} = \frac{5}{24}(l+1)(l+2)^2(l+3), \quad c_{l,n} = (b_1)_{l,n} = 0. \quad (\text{A.169})$$

The coefficients are identical to the  $\mathcal{N} = 4$  theory level-by-level, providing another supporting example of our conjecture 1.

## A.5 Regularizing sums over KK spectra

Throughout the main text, we encounter a number of infinite sums that require regularization. In this appendix, we review a couple of methods that can in some cases attach a finite value to a divergent series. We hasten to note that these methods, being essentially based on zeta-function regularization, are neither linear nor stable (see e.g. [298]). As such, we do not claim that they can be used to regularize all infinite sums we encounter in an unambiguous way. But we are inclined to trust these methods whenever they yield results that are compatible with AdS/CFT expectations, as discussed in the main text.

The first method is a spectral regularization, which we apply to the sum

$$\mathcal{S} = \sum_{n \geq 0} (n+1)(n+2)(n+3)^2(n+4)(n+5). \quad (\text{A.170})$$

We follow [190]. First, we introduce the following zeta function:

$$\zeta_\Delta(z) = 1 + \sum_{n \geq 1} D(n)(n(n+6))^{-z}, \quad (\text{A.171})$$

where

$$D(n) = \frac{1}{360}(n+1)(n+2)(n+3)^2(n+4)(n+5). \quad (\text{A.172})$$

The function  $\zeta_\Delta$  is associated to the scalar Laplacian  $\Delta$  on the seven-sphere. Indeed, this differential operator has eigenvalues  $n(n+6)$  with  $n \geq 0$  and their

multiplicities are given by  $D(n)$ . This spectral zeta function has an integral representation,

$$\zeta_{\Delta}(z) = \frac{1}{\Gamma(z)} \int_0^{\infty} t^{z-1} \text{Tr}[e^{-t\Delta}] dt, \quad (\text{A.173})$$

and the value of  $\zeta_{\Delta}(0)$  can be obtained from a heat kernel computation as [342]

$$\zeta_{\Delta}(0) = a_d(\Delta) \text{res}_{z=0} \Gamma(z), \quad (\text{A.174})$$

where  $d$  is the dimension of the space on which the scalar Laplacian acts. Crucially, the coefficient  $a_d(\Delta)$  vanishes when  $d$  is odd [342], leading to  $\zeta_{\Delta}(0) = 0$  for the seven-sphere. Thus, this method attaches the finite value

$$\mathcal{S} = 0, \quad (\text{A.175})$$

to the infinite sum (A.170).

Another regularization method can be adapted from [208], and is more general than the spectral zeta-function method. Namely, consider a divergent series of the form

$$\sum_{n \geq 0} f(n). \quad (\text{A.176})$$

It will be important in what follows that  $f$  satisfies the following two properties: (i)  $f(n) \neq 0$  for  $n \in [0, \infty)$  and, (ii)  $f_{\text{top}}(n) = 0$  only for  $n = 0$ . Here the subscript “top” indicates the term of highest homogeneity degree in  $f$ . The way to attach a finite value to (A.176) is to introduce the zeta function

$$\zeta(s; f) = \sum_{n \geq 0} f(n)^{-s}. \quad (\text{A.177})$$

Then, under the assumptions (i) and (ii) above required for convergence, [208] showed that

$$\zeta(-1; f) = \sum_{L \geq 0} c_L(f) B_L, \quad (\text{A.178})$$

where  $B_L$  is the  $L$ -th Bernoulli number and  $c_L(f)$  is read off from the expansion of

$$\frac{f_a^{[\deg(f)]}}{\deg(f)} \sum_{\ell=2}^{\deg(f)+1} \frac{(-1)^{\ell-1}}{\ell(\ell-1)} C_{\ell}(f_a) = \sum_{L \geq 0} c_L(f) a^L. \quad (\text{A.179})$$

Here we have defined  $f_a(n) = f(n+a)$ ,

$$f^{[m]} = \frac{1}{m!} \frac{d^m f(n)}{dn^m} \Big|_{n=0}, \quad (\text{A.180})$$



and  $C_\ell(f)$  is the coefficient of  $y^{1+\deg(f)}$  in

$$\left( \sum_{i=1}^{\deg(f)} \frac{f^{[\deg(f)-i]}}{f^{[\deg(f)]}} y^i \right)^\ell. \quad (\text{A.181})$$

Let us apply this regularization to the series  $\mathcal{S}$  in (A.170). We find that the coefficients  $c_L$  are given by

$$c_L(\mathcal{S}) = \left\{ -\frac{738}{7}, -360, -471, -\frac{949}{3}, -120, -26, -3, -\frac{1}{7} \right\}, \quad (\text{A.182})$$

for  $L = 0 \dots 7$ . Remarkably, using this specific linear combination of Bernoulli numbers in (A.178) shows that

$$\mathcal{S} = 0, \quad (\text{A.183})$$

in agreement with the spectral regularization method. We can also use this prescription to attach finite values to other sums. In Appendix A.4.4, we encountered the divergent series

$$\begin{aligned} \mathcal{S}_1 &= \frac{1}{4} \sum_{n \geq 0} (1+n)^3 (5+2n), \\ \mathcal{S}_2 &= -\frac{1}{4} \sum_{n \geq 0} (1+n)(4+n)(5+2n)^2, \\ \mathcal{S}_3 &= \frac{1}{4} \sum_{n \geq 0} (2+n)^3 (1+2n). \end{aligned} \quad (\text{A.184})$$

The relevant  $c_L$  coefficients are

$$\begin{aligned} c_L(\mathcal{S}_1) &= \left\{ -\frac{1229}{5120}, -\frac{5}{4}, -\frac{17}{8}, -\frac{7}{4}, -\frac{11}{16}, -\frac{1}{10} \right\}_{L=0\dots 5}, \\ c_L(\mathcal{S}_2) &= \left\{ \frac{125}{16}, 25, \frac{205}{8}, \frac{47}{4}, \frac{5}{2}, \frac{1}{5} \right\}_{L=0\dots 5}, \\ c_L(\mathcal{S}_3) &= \left\{ -\frac{1267}{5120}, -2, -\frac{7}{2}, -\frac{5}{2}, -\frac{13}{16}, -\frac{1}{10} \right\}_{L=0\dots 5}, \end{aligned} \quad (\text{A.185})$$

which lead to the finite values quoted in (A.115).



## Appendix B

# More details on the superconformal E-theory

### B.1 Wrong analytical calculation of $\Delta_k(\lambda)$ for large $\lambda$

In this section we try to calculate analytically the first two terms in the large  $\lambda$  expansion of  $\Delta_k(\lambda)$ . We warn the reader however that although the calculation appears to be quite straightforward, the result turns out to be wrong.

#### Evaluation of the $\lambda^{-1}$ term in $\Delta_k(\lambda)$

The calculation is based on the  $LDU$  decomposition of matrices and uses the following fact. If  $A = L\mathbb{D}U$  where  $L$  is a lower triangular matrix,  $\mathbb{D}$  is a diagonal matrix and  $U$  is an upper triangular matrix, then

$$A_{(k)} = L_{(k)}\mathbb{D}_{(k)}U_{(k)}, \quad (\text{B.1})$$

where  $A_{(k)}$  is the upper left  $k \times k$  block in the matrix  $A$  and we have used the same notation for the other matrices. Furthermore, if  $L$  is lower uni-triangular, i.e.  $L$  has ones on the diagonal, and  $U$  is upper uni-triangular, then

$$\frac{\det\{A_{(k)}\}}{\det\{A_{(k-1)}\}} = \mathbb{D}_{kk}, \quad (\text{B.2})$$

with the convention that  $A_{(0)} = 1$ .

The  $\lambda^{-1}$  term in the strong coupling expansion of  $\Delta_k(\lambda)$  was calculated in [229] with a different method, we calculate it here again with formula (B.2). By performing the Mellin transformation of (3.4), one has [229]:

$$\mathbf{X} \underset{\lambda \rightarrow \infty}{\sim} -\frac{\lambda}{2\pi^2} \mathbf{S} + O(\lambda)^0 \quad (\text{B.3})$$

where  $\mathbf{S}$  is a tri-diagonal infinite matrix whose elements are

$$S_{kl} = \frac{1}{4}(-1)^{k+l} \sqrt{\frac{2l+1}{2k+1}} \left( \frac{\delta_{k-1,l}}{k(2k-1)} + \frac{\delta_{k,l}}{k(k+1)} + \frac{\delta_{k+1,l}}{(k+1)(2k+3)} \right). \quad (\text{B.4})$$

One can then show that

$$\mathbf{D} \equiv \frac{1}{1-\mathbf{X}} = \frac{2\pi^2}{\lambda} \mathbf{S}^{-1} + O(\lambda)^{-3/2}. \quad (\text{B.5})$$

Now let us define a lower uni-triangular matrix  $L$  and a diagonal matrix  $\mathbb{D}$

$$L_{kl} = \begin{cases} 1 & \text{if } k = l \\ -\frac{\sqrt{2k+1}}{\sqrt{2l+1}} & \text{if } k = l+1 \\ 0 & \text{else} \end{cases}, \quad \mathbb{D}_{kl} = \begin{cases} \frac{\lambda}{4\pi^2} \frac{1}{(2k+1)2k} & \text{if } k = l \\ 0 & \text{else} \end{cases}. \quad (\text{B.6})$$

One can check explicitly that

$$L^T \mathbb{D} L = \frac{\lambda}{2\pi^2} \mathbf{S} \quad (\text{B.7})$$

which in turn leads to

$$L^{-1} \mathbb{D}^{-1} L^{-T} = \frac{2\pi^2}{\lambda} \mathbf{S}^{-1} = \mathbf{D} + O(\lambda)^{-3/2}. \quad (\text{B.8})$$

Since  $L^{-1}$  is again a lower triangular matrix, one can use formula (B.2) to get:

$$1 + \Delta_k(\lambda) + O(\lambda)^{-3/2} = \frac{\det \mathbf{D}_{(k)}}{\det \mathbf{D}_{(k-1)}} + O(\lambda)^{-3/2} = \mathbb{D}_{kk}^{-1} + O(\lambda)^{-3/2}. \quad (\text{B.9})$$

Substituting the value of  $\mathbb{D}_{kk}^{-1}$ , we get:

$$1 + \Delta_k(\lambda) = \frac{4\pi^2}{\lambda} (2k+1)2k + O(\lambda)^{-3/2} \quad (\text{B.10})$$

This agrees with both the analytical evaluation in [229] and the numerical evaluation (3.65) in the main text above.

## Evaluation of the $\lambda^{-3/2}$ term in $\Delta_k(\lambda)$

To proceed to higher order in the  $1/\lambda$  expansion we add one more term to the expansion of  $\mathbf{X}$ :

$$\mathbf{X} = -\frac{\lambda}{2\pi^2}\mathbf{S} + \frac{1}{3}\mathbf{1} + O(\lambda)^{-1/2}. \quad (\text{B.11})$$

One then finds

$$\mathbf{D} = \frac{1}{\mathbf{1} - \mathbf{X}} = \left( \frac{\lambda}{2\pi^2}\mathbf{S} + \frac{2}{3}\mathbf{1} \right)^{-1} + O(\lambda)^{-2}. \quad (\text{B.12})$$

We define new matrices  $L$  and  $\mathbb{D}$ , different from the ones in (B.6),

$$L_{kl} = \begin{cases} 1 & \text{if } k = l \\ -\frac{E_k}{E_l} & \text{if } k = l + 1, \\ 0 & \text{else} \end{cases}, \quad \mathbb{D}_{kl} = \begin{cases} \frac{E_{k-1}}{E_k} \frac{\lambda}{2\pi^2} \frac{1}{4k\sqrt{4k^2 - 1}} & \text{if } k = l, \\ 0 & \text{else} \end{cases} \quad (\text{B.13})$$

where  $E_k$  is expressed in terms of the modified Bessel function as

$$E_k = \sqrt{2k+1} \frac{I_{2k+1}\left(\sqrt{\frac{3\lambda}{2\pi^2}}\right)}{I_1\left(\sqrt{\frac{3\lambda}{2\pi^2}}\right)}. \quad (\text{B.14})$$

To proceed further we recall some properties of the modified Bessel function. A somewhat nonstandard recursion relation satisfied by the modified Bessel functions reads:

$$\nu I_{2\nu+3}(z) - \left(1 + \nu(\nu+1)\frac{8}{z^2}\right)(2\nu+1)I_{2\nu+1}(z) + (\nu+1)I_{2\nu-1}(z) = 0 \quad (\text{B.15})$$

This can be proven by using the standard recursion relation

$$\frac{2\nu}{z}I_\nu(z) = I_{\nu-1}(z) - I_{\nu+1}(z). \quad (\text{B.16})$$

Using (B.16) on both terms in the right hand side of (B.16) gives

$$\frac{2\nu}{z^2}I_\nu(z) = \frac{1}{2(\nu-1)}I_{\nu-2}(z) - \frac{\nu}{\nu^2-1}I_\nu(z) + \frac{1}{2(\nu+1)}I_{\nu+2}(z). \quad (\text{B.17})$$

This relation is equivalent to (B.15), after renaming the order of  $I_\nu(z)$ .

Using the recursion relation of modified Bessel functions (B.15), one can verify directly that

$$L^T \mathbb{D} L = \frac{\lambda}{2\pi^2} \mathbf{S} + \frac{2}{3} \mathbf{1}, \quad (\text{B.18})$$

and hence

$$L^{-1} \mathbb{D}^{-1} L^{-T} = \left( \frac{\lambda}{2\pi^2} \mathbf{S} + \frac{2}{3} \mathbf{1} \right)^{-1} = \mathbf{D} + O(\lambda)^{-2}. \quad (\text{B.19})$$

Applying once again formula (B.2) we find:

$$1 + \Delta_k(\lambda) + O(\lambda)^{-2} = \frac{\det \mathbf{D}_{(k)}}{\det \mathbf{D}_{(k-1)}} + O(\lambda)^{-2} = \mathbb{D}_{kk}^{-1} + O(\lambda)^{-2}. \quad (\text{B.20})$$

Plugging in the value of  $\mathbb{D}_{kk}$  and expanding the modified Bessel functions for large  $\lambda$ , we obtain

$$1 + \Delta_k(\lambda) = \frac{8\pi^2 k(2k+1)}{\lambda} - \frac{32\sqrt{\frac{2}{3}}\pi^3 k^2(2k+1)}{\lambda^{3/2}} + O(\lambda)^{-2}. \quad (\text{B.21})$$

Although the calculations above appear to be quite straightforward, it turns out that the coefficient  $C_{3/2}$  of the  $\lambda^{-3/2}$  term in the large  $\lambda$  expansion of  $\Delta_k(\lambda)$  calculated analytically here is different from the one obtained numerically, see (3.65):

$$\begin{aligned} C_{3/2}^{\text{ana}} &= -32\sqrt{\frac{2}{3}}\pi^3 k^2(2k+1) \approx 810.1k^2(2k+1), \\ C_{3/2}^{\text{num}} &= -128\pi^2 \log 2k^2(2k+1) \approx 875.7k^2(2k+1). \end{aligned} \quad (\text{B.22})$$

We believe that the reason for this discrepancy lies in the fact that the analytic calculation above is subtle and leads to a wrong result due to the fact that we have treated the infinite dimensional matrix  $\mathbf{X}$  as a finite dimensional one. For example, first taking a large  $\lambda$  expansion and then inverting an infinite matrix could potentially yield a different result than first inverting the matrix and then expanding at large  $\lambda$ . Also, we are calculating the large  $\lambda$  expansion of the matrix  $\mathbf{X}$  for each component separately. In doing this, perhaps one needs to be more careful to ensure that this expansion is uniform over all components of the matrix. While we have no rigorous proof that the analytic calculation above is wrong, due to the subtleties discussed here and the fact that the numerical results are very accurate and well-behaved we have chosen to trust the result of our numerical analysis and in particular the large  $\lambda$  expansion in (3.65).

## B.2 Quadrature rules

A quadrature rule for approximation to an integral is a set of points  $x_i$  and weights  $w_i$  such that

$$I \equiv \int_a^b dx f(x) \approx \sum_{i=1}^n w_i f(x_i) \equiv I_n. \quad (\text{B.23})$$

The quadrature rules we describe in this section converge exponentially fast. Namely, if  $f(x)$  can be extended to an analytical function  $f(z)$  in a region around the interval  $[a, b]$  then

$$|I - I_n| \leq e^{-cn}, \quad (\text{B.24})$$

with  $c$  a positive constant. Typically, the larger the region of analyticity, the larger  $c$ . The reason we want fast quadrature rules is that  $n$  should not be too large because we solve linear algebra problems with matrices of size  $n \times n$ , see equation (3.59). The memory requirement will then be of order  $O(n)^2$ , and the timing of order  $O(n)^3$ , so this scales quite badly with  $n$ .

### Gauss-Laguerre

This is a quadrature scheme for the interval  $[0, +\infty[$ . It is the Gaussian quadrature scheme based on Laguerre polynomials. The weights  $w_i$  and points  $x_i$  with  $i = 1, \dots, n$  are such that all polynomials of degree not greater than  $2n - 1$  are integrated exactly. More specifically, if

$$I \equiv \int_0^{+\infty} dx e^{-x} f(x), \quad I_n \equiv \sum_{i=1}^n w_i f(x_i), \quad (\text{B.25})$$

then  $I = I_n$  for all polynomials  $f(x)$  of degree not greater than  $2n - 1$ . Initially, this seemed to be a natural quadrature scheme to use in our setup because the function  $W(t)$  in (3.5) decays exponentially fast for  $t \rightarrow +\infty$ . Also, although the weights  $w_i$  and points  $t_i$  are not directly available in `Mathematica`, they can be calculated efficiently with the Golub-Welsch algorithm. This quadrature scheme works quite well for  $\lambda \lesssim 100$ , however for larger values of  $\lambda$  we need to use larger values of  $n$  ( $n \gtrsim 100$ ). For large values of  $n$ , it turns out that many weights are very small ( $\sim 10^{-100}$ ), so they are in a sense wasted. This is also documented in the literature, see Sections 5 and 6 in [343]. Therefore, it is better to use a different quadrature scheme.

## Quadrature rules on the interval $[-1, 1]$

Some well-known quadrature rules are:

**Gauss-Legendre** Here  $x_i$  are given by the zeros of Legendre polynomials. These are actually points in the interval  $[0, 1]$ , but a linear change of variable converts these to the interval  $[-1, 1]$ .

**Clenshaw-Curtis** Here  $x_k = \cos\left(\frac{k\pi}{n}\right)$  with  $k = 0, 1, \dots, n$ .

**Fejér type 1** Here  $x_k = \cos\left(\frac{(k-\frac{1}{2})\pi}{n}\right)$  with  $k = 1, 2, \dots, n$ .

Gauss-Legendre is perhaps theoretically nicer than Clenshaw-Curtis, but the advantage of Clenshaw-Curtis is that its weights and points are much easier to compute. Also, **Mathematica** has a function to compute the weights and points of Clenshaw-Curtis. However, the end points  $x_0 = 1$  and  $x_n = -1$  are part of the quadrature points. In the integral equation we want to solve, we prefer not to use the left end point. Therefore, we settled on Fejér type 1.

## Fejér type 1

The quadrature points are:

$$x_k = \cos \theta_k, \quad \text{with} \quad \theta_k = (2k-1)\frac{\pi}{2n}, \quad k = 1, 2, \dots, n, \quad (\text{B.26})$$

and the weights  $w_k$  can for example be found in [344]<sup>1</sup>

$$w_k = \frac{2}{n} \left[ 1 - 2 \sum_{r=1}^{\frac{n-1}{2}} \frac{\cos(2r\theta_k)}{4r^2 - 1} \right] \quad (\text{B.27})$$

The points  $x_k$  and weights  $w_k$  are not directly available in **Mathematica**. However, there is code available at Wolfram Function Repository which can be used for their calculation [346].<sup>2</sup>

<sup>1</sup>If the reader wants to check formula (B.27), we found Fejér's own calculation very readable [345].

<sup>2</sup>The function is called **FejerQuadratureWeights** and is based on the Fast Fourier Transform. We checked for many cases that **FejerQuadratureWeights** indeed produces  $x_i$  and  $w_i$  that are numerically the same as the ones given by (B.26) and (B.27).



## Error analysis

To integrate numerically over the interval  $[0, +\infty[$  we truncate the interval to  $[0, L]$  and then use Fejér type 1 on the truncated interval. This amounts to the approximation

$$\int_0^{+\infty} dt \, f(t) \approx \int_0^L dt \, f(t) \approx \sum_{i=1}^n w_i f(x_i). \quad (\text{B.28})$$

This procedure leads to two sources of error: firstly, there is the truncation error which goes to zero if  $L \rightarrow +\infty$ ; secondly, there is the discretization error which goes to zero if  $n \rightarrow +\infty$ . One possibility is to keep  $L$  and  $n$  independent, and test for accuracy by increasing both  $L$  and  $n$  separately. Another possibility is to relate  $L$  and  $n$  in such a way that the truncation error is roughly equal to the discretization error. We observed that the scaling  $L \sim n^{2/3}$  works well in practice.

It is important to note that if  $n \rightarrow \infty$  then  $L \rightarrow \infty$ , so the truncation error goes to zero. Also if  $n \rightarrow \infty$ , the discretization size  $h = \frac{L}{n} \sim n^{-1/3} \rightarrow 0$ , so the discretization error will go to zero as well.

## B.3 Degenerate kernels

In this section we collect some formulae for integral operators with degenerate kernel. These formulae are well-known but included here for convenience. The integral operator

$$f(x) \mapsto f(x) + \int_{\alpha}^{\beta} dy \, K(x, y) f(y), \quad (\text{B.29})$$

has degenerate kernel (also known as kernel of finite rank or separable kernel) if  $K(x, y)$  can be expressed as the finite sum<sup>3</sup>

$$K(x, y) = \sum_{i,j=1}^n a_i(x) C_{ij} b_j(y). \quad (\text{B.30})$$

Integral equations with degenerate kernel can be solved in closed form as follows. Suppose the integral equation is

$$f(x) + \int_{\alpha}^{\beta} dy \, K(x, y) f(y) = g(x), \quad (\text{B.31})$$

---

<sup>3</sup>We do not use the summation convention for repeated indices in this section.

with  $K(x, y)$  as in (B.30). Define  $f_i = \int_\alpha^\beta dy \, b_i(y) f(y)$ , then equation (B.31) is

$$f(x) + \sum_{i,j=1}^n a_i(x) C_{ij} f_j = g(x). \quad (\text{B.32})$$

Multiplying with  $b_k(x)$  and integrating over  $x$  gives

$$f_k + \sum_{i,j=1}^n A_{ki} C_{ij} f_j = g_k, \quad (\text{B.33})$$

where

$$A_{ki} = \int_\alpha^\beta dx \, b_k(x) a_i(x), \quad (\text{B.34})$$

and  $g_k = \int_\alpha^\beta dx \, b_k(x) g(x)$ . The system of  $n$  linear equations (B.33) can be solved exactly for  $f_k$ . Inserting this solution in (B.32) gives<sup>4</sup>

$$f(x) = g(x) - \sum_{i,j,l=1}^n a_i(x) C_{ij} (1 + AC)_{jl}^{-1} g_l. \quad (\text{B.35})$$

The solution of (B.31) is thus

$$f(x) = g(x) - \int_\alpha^\beta dy \, L(x, y) g(y), \quad (\text{B.36})$$

with

$$L(x, y) = \sum_{i,j=1}^n a_i(x) [C(1 + AC)^{-1}]_{ij} b_j(y). \quad (\text{B.37})$$

In the literature  $L(x, y)$  is called the resolvent.

The Fredholm determinant of an integral operator with degenerate kernel can also be calculated analytically. Here is a derivation of the formula. We discretize the integral with discretisation points  $x_\mu$  and weights  $w_\mu$  with  $\mu, \nu = 1, 2, \dots, m$ . Then the Fredholm determinant is equal to

$$\det(1 + K) = \lim_{m \rightarrow \infty} \det_{\mu, \nu=1}^m (\delta_{\mu\nu} + K(x_\mu, x_\nu) w_\nu). \quad (\text{B.38})$$

Write

$$K(x_\mu, x_\nu) w_\nu = \sum_{i,j=1}^n a_i(x_\mu) C_{ij} b_j(x_\nu) w_\nu = (MCN)_{\mu\nu}, \quad (\text{B.39})$$

---

<sup>4</sup> $M_{ij}^{-1}$  is the  $ij$  component of the matrix  $M^{-1}$ .

with  $M_{\mu i} = a_i(x_\mu)$  and  $N_{j\nu} = b_j(x_\nu)w_\nu$ . Then

$$\det(\mathbf{1}_{m \times m} + MCN) = \det(\mathbf{1}_{n \times n} + CNM). \quad (\text{B.40})$$

Since

$$(CNM)_{ij} = \sum_{k=1}^n \sum_{\nu=1}^m C_{ik} b_k(x_\nu) w_\nu a_j(x_\nu), \quad (\text{B.41})$$

we find that in the limit  $m \rightarrow \infty$

$$(CNM)_{ij} = \sum_{k=1}^n C_{ik} A_{kj}, \quad (\text{B.42})$$

with  $A_{kj}$  defined in (B.34). Altogether, one has

$$\det(\mathbf{1} + K) = \det(\mathbf{1}_{n \times n} + CA). \quad (\text{B.43})$$

This is a closed form formula for the Fredholm determinant because the determinant on the right hand side is of a finite  $n \times n$  matrix.

## B.4 Numerical data

Here we provide two tables with some of our numerical data for  $\Delta_k(\lambda)$  for  $k = 1, 2, 3$  as well as for the free energy  $\mathcal{F}$ .

$\lambda$	$1 + \Delta_1(\lambda)$	$1 + \Delta_2(\lambda)$	$1 + \Delta_3(\lambda)$
$e^3$	0.967087942591236(00±11)	0.998182	0.999886471522795(00±11)
$e^4$	0.84413354083007(60±19)	0.976408560611106(00±22)	0.996135433920974(00±11)
$e^5$	0.591355036026568(60±22)	0.867655885531129(00±11)	0.95770388339493(20±22)
$e^6$	0.3263339352130(10±12)	0.62836903552457(64±11)	0.80851244411776(50±30)
$e^7$	0.1524442716515(11±10)	0.36148255438002(6±5)	0.547853721918213(5±8)
$e^8$	0.064525566747991(9±9)	0.1749433084896(77±15)	0.2997641417256(9±5)
$e^9$	0.02579506509172(98±16)	0.07589996453754(0±7)	0.1407940457851(2±4)
$e^{10}$	0.00997266161958(43±27)	0.0308295464823(8±6)	0.0600521108447(98±30)
$e^{11}$	0.003779867460756(4±4)	0.0120383586528(52±14)	0.0241553127444(05±15)
$e^{12}$	0.001415785217(9±4)	0.00459090014(08±27)	0.00937868572(6±8)
$e^{13}$	0.0005265339(42±19)	0.001726033(07±12)	0.003564618(8±4)
$e^{14}$	0.000194980(46±21)	0.00064338(77±14)	0.00133750(4±4)

Table B.1:  $\Delta_k(\lambda)$  with  $k = 1, 2, 3$  for some values of  $\lambda$ . These values are calculated with the Nyström method explained in Section 3.3.3. We have used different values of  $L$  and  $m$  to estimate the accuracy of the numerical values.

$\lambda$	$\mathcal{F}$	$\lambda$	$\mathcal{F}$
1	$9.3017 \times 10^{-6}$	100	0.227879
2	0.0000663066	200	0.50639
3	0.000200935	300	0.759961
4	0.000430494	400	0.991783
5	0.000764363	500	1.20637
6	0.00120686	600	1.40708
7	0.00175905	700	1.59638
8	0.00241988	800	1.77606
9	0.00318697	900	1.94753
10	0.00405711	1000	2.11184
20	0.0175565	2000	3.49445
30	0.0373082	3000	4.60102
40	0.060823	4000	5.55364
50	0.0866247	5000	6.40401
60	0.11382	6000	7.17993
70	0.141848	7000	7.89843
80	0.170347	8000	8.57086
90	0.199078	9000	9.20523

Table B.2: The free energy  $\mathcal{F}$  for some values of  $\lambda$ . These values are calculated with the Bornemann method explained in Section 3.4.1. We have used appropriate settings of  $L$  and  $m$  to ensure that all printed digits are correct.

## Appendix C

# More details on the $\text{AIAdS}_8$ background

### C.1 Partial results with the second ansatz

In this section, we collect our results for the second squashed sphere metric (4.32b). We solved the Einstein equations both perturbatively and numerically, and evaluate the initial values that can be integrated up to the boundary. The results indicate a richer family of solutions worth exploring further.

### C.1.1 Einstein equations of motion

Using the tetrad method introduced in the main text (4.34), the Einstein equations for the metric (4.25) are as follows:

$$\begin{aligned}
& \frac{f_1^2 f_3^2}{2f_2^4} + \frac{f_1^2 f_4^2}{2f_2^4} + \frac{f_1^2 f_5^2}{2f_2^4} - \frac{6f_1^2}{f_2^2} + \frac{f_1^2 f_5^2}{4f_3^2 f_4^2} + \frac{f_1^2 f_4^2}{4f_3^2 f_5^2} + \frac{f_1^2 f_3^2}{4f_4^2 f_5^2} - \frac{f_1^2}{2f_3^2} - \frac{f_1^2}{2f_4^2} \\
& - \frac{f_1^2}{2f_5^2} - 21f_1^2 + \frac{4f_2' f_3'}{f_2 f_3} + \frac{4f_2' f_4'}{f_2 f_4} + \frac{4f_2' f_5'}{f_2 f_5} + \frac{6f_2'^2}{f_2^2} + \frac{f_3' f_4'}{f_3 f_4} + \frac{f_3' f_5'}{f_3 f_5} + \frac{f_4' f_5'}{f_4 f_5} = 0, \\
& - \frac{3f_1' f_2'}{f_1 f_2} - \frac{f_1' f_3'}{f_1 f_3} - \frac{f_1' f_4'}{f_1 f_4} - \frac{f_1' f_5'}{f_1 f_5} - \frac{3f_1^2}{f_2^2} + \frac{f_1^2 f_5^2}{4f_3^2 f_4^2} + \frac{f_1^2 f_4^2}{4f_3^2 f_5^2} + \frac{f_1^2 f_3^2}{4f_4^2 f_5^2} - \frac{f_1^2}{2f_3^2} - \frac{f_1^2}{2f_4^2} - \frac{f_1^2}{2f_5^2} \\
& - 21f_1^2 \\
& + \frac{3f_2''}{f_2} + \frac{3f_2' f_3'}{f_2 f_3} + \frac{3f_2' f_4'}{f_2 f_4} + \frac{3f_2' f_5'}{f_2 f_5} + \frac{3f_2'^2}{f_2^2} + \frac{f_3''}{f_3} + \frac{f_3' f_4'}{f_3 f_4} + \frac{f_3' f_5'}{f_3 f_5} + \frac{f_4''}{f_4} + \frac{f_4' f_5'}{f_4 f_5} + \frac{f_5''}{f_5} = 0, \\
& - \frac{4f_1' f_2'}{f_1 f_2} - \frac{f_1' f_4'}{f_1 f_4} - \frac{f_1' f_5'}{f_1 f_5} + \frac{3f_1^2 f_3^2}{2f_2^4} + \frac{f_1^2 f_4^2}{2f_2^4} + \frac{f_1^2 f_5^2}{2f_2^4} - \frac{6f_1^2}{f_2^2} - \frac{f_1^2 f_5^2}{4f_3^2 f_4^2} - \frac{f_1^2 f_4^2}{4f_3^2 f_5^2} + \frac{3f_1^2 f_3^2}{4f_4^2 f_5^2} \\
& + \frac{f_1^2}{2f_3^2} - \frac{f_1^2}{2f_4^2} - \frac{f_1^2}{2f_5^2} - 21f_1^2 + \frac{4f_2''}{f_2} + \frac{4f_2' f_4'}{f_2 f_4} + \frac{4f_2' f_5'}{f_2 f_5} + \frac{6f_2'^2}{f_2^2} + \frac{f_4''}{f_4} + \frac{f_4' f_5'}{f_4 f_5} + \frac{f_5''}{f_5} = 0, \\
& - \frac{4f_1' f_2'}{f_1 f_2} - \frac{f_1' f_3'}{f_1 f_3} - \frac{f_1' f_5'}{f_1 f_5} + \frac{f_1^2 f_3^2}{2f_2^4} + \frac{3f_1^2 f_4^2}{2f_2^4} + \frac{f_1^2 f_5^2}{2f_2^4} - \frac{6f_1^2}{f_2^2} - \frac{f_1^2 f_5^2}{4f_3^2 f_4^2} + \frac{3f_1^2 f_4^2}{4f_3^2 f_5^2} - \frac{f_1^2 f_3^2}{4f_4^2 f_5^2} \\
& - \frac{f_1^2}{2f_3^2} + \frac{f_1^2}{2f_4^2} - \frac{f_1^2}{2f_5^2} - 21f_1^2 + \frac{4f_2''}{f_2} + \frac{4f_2' f_3'}{f_2 f_3} + \frac{4f_2' f_5'}{f_2 f_5} + \frac{6f_2'^2}{f_2^2} + \frac{f_3''}{f_3} + \frac{f_3' f_5'}{f_3 f_5} + \frac{f_5''}{f_5} = 0, \\
& - \frac{4f_1' f_2'}{f_1 f_2} - \frac{f_1' f_3'}{f_1 f_3} - \frac{f_1' f_4'}{f_1 f_4} + \frac{f_1^2 f_3^2}{2f_2^4} + \frac{f_1^2 f_4^2}{2f_2^4} + \frac{3f_1^2 f_5^2}{2f_2^4} - \frac{6f_1^2}{f_2^2} + \frac{3f_1^2 f_5^2}{4f_3^2 f_4^2} - \frac{f_1^2 f_4^2}{4f_3^2 f_5^2} - \frac{f_1^2 f_3^2}{4f_4^2 f_5^2} \\
& - \frac{f_1^2}{2f_3^2} - \frac{f_1^2}{2f_4^2} + \frac{f_1^2}{2f_5^2} - 21f_1^2 + \frac{4f_2''}{f_2} + \frac{4f_2' f_3'}{f_2 f_3} + \frac{4f_2' f_4'}{f_2 f_4} + \frac{6f_2'^2}{f_2^2} + \frac{f_3''}{f_3} + \frac{f_3' f_4'}{f_3 f_4} + \frac{f_4''}{f_4} = 0,
\end{aligned} \tag{C.1}$$

where we have taken the cosmological constant explicitly to be  $-21$ . Only four of the equations are independent because of Bianchi Identity. If one considers the special case where:

$$f_1(r) \rightarrow 1, \quad f_2(r) \rightarrow a(r), \quad f_3(r) = f_4(r) = f_5(r) \rightarrow b(r), \tag{C.2}$$

then the last three equations become identical, and the remaining three equations become identical to the three equations for our first metric ansatz in (4.35). Thus the solutions discussed in the main text belong to a subset of solutions here, which we will solve both analytically at the near horizon and near boundary regions, and numerically for general squashing parameters  $\lambda_1, \lambda_2, \lambda_3$ .

### C.1.2 Large radius expansion

We perform the following Fefferman-Graham expansion:

$$f_1(r) = 1, \quad f_i(r) = \sum_{j=0}^{+\infty} \mathcal{F}_{ij} e^{-(j-1)r}, \quad i = 2, 3, 4, 5 \quad (\text{C.3})$$

We solve the expansion perturbatively up to  $O(\exp(-9r))$ , it turns out the solutions are determined by totally 7 free parameters which can be chosen as

$\{\mathcal{F}_{i0}, \mathcal{F}_{j7}\}_{i=2,3,4,5}^{j=2,3,4}$ . The first several terms up to  $O(e^{-3r})$  are

$$\begin{aligned}
 f_2(r) = & \mathcal{F}_{20}e^r + e^{-r} \left( \frac{\mathcal{F}_{30}^2 + \mathcal{F}_{40}^2 + \mathcal{F}_{50}^2}{24\mathcal{F}_{20}^3} \right. \\
 & \left. - \frac{\mathcal{F}_{20} \left( \mathcal{F}_{30}^4 - 2(\mathcal{F}_{40}^2 + \mathcal{F}_{50}^2)\mathcal{F}_{30}^2 + (\mathcal{F}_{40}^2 - \mathcal{F}_{50}^2)^2 \right)}{240\mathcal{F}_{30}^2\mathcal{F}_{40}^2\mathcal{F}_{50}^2} - \frac{1}{5\mathcal{F}_{20}} \right) + O(e^{-3r}), \\
 f_3(r) = & \mathcal{F}_{30}e^r + \frac{e^{-r}}{240\mathcal{F}_{30}} \left( -\frac{2(13\mathcal{F}_{30}^2 + \mathcal{F}_{40}^2 + \mathcal{F}_{50}^2)\mathcal{F}_{30}^2}{\mathcal{F}_{20}^4} \right. \\
 & \left. + \frac{-13\mathcal{F}_{30}^4 + 2(\mathcal{F}_{40}^2 + \mathcal{F}_{50}^2)\mathcal{F}_{30}^2 + 11(\mathcal{F}_{40}^2 - \mathcal{F}_{50}^2)^2}{\mathcal{F}_{40}^2\mathcal{F}_{50}^2} + \frac{24\mathcal{F}_{30}^2}{\mathcal{F}_{20}^2} \right) + O(e^{-3r}), \\
 f_4(r) = & \mathcal{F}_{40}e^r + \frac{e^{-r}}{240\mathcal{F}_{40}} \left( -\frac{2(\mathcal{F}_{30}^2 + 13\mathcal{F}_{40}^2 + \mathcal{F}_{50}^2)\mathcal{F}_{40}^2}{\mathcal{F}_{20}^4} \right. \\
 & \left. + \frac{11\mathcal{F}_{30}^4 + 2(\mathcal{F}_{40}^2 - 11\mathcal{F}_{50}^2)\mathcal{F}_{30}^2 - 13\mathcal{F}_{40}^4 + 11\mathcal{F}_{50}^4 + 2\mathcal{F}_{40}^2\mathcal{F}_{50}^2}{\mathcal{F}_{30}^2\mathcal{F}_{50}^2} + \frac{24\mathcal{F}_{40}^2}{\mathcal{F}_{20}^2} \right) + O(e^{-3r}), \\
 f_5(r) = & \mathcal{F}_{50}e^r + \frac{e^{-r}}{240\mathcal{F}_{50}} \left( -\frac{2(\mathcal{F}_{30}^2 + \mathcal{F}_{40}^2 + 13\mathcal{F}_{50}^2)\mathcal{F}_{50}^2}{\mathcal{F}_{20}^4} \right. \\
 & \left. + \frac{11\mathcal{F}_{30}^4 + (2\mathcal{F}_{50}^2 - 22\mathcal{F}_{40}^2)\mathcal{F}_{30}^2 + 11\mathcal{F}_{40}^4 - 13\mathcal{F}_{50}^4 + 2\mathcal{F}_{40}^2\mathcal{F}_{50}^2}{\mathcal{F}_{30}^2\mathcal{F}_{40}^2} + \frac{24\mathcal{F}_{50}^2}{\mathcal{F}_{20}^2} \right) + O(e^{-3r}).
 \end{aligned} \tag{C.4}$$

Same as argued after (4.36), we expect the coefficients  $\{\mathcal{F}_{j7}\}_{j=2,3,4}$  to vanish, thus the family of solutions have four free parameters.

### C.1.3 Small radius expansion and numerics - NUT

For NUT, we assume the following small- $r$  expansion:

$$\begin{aligned}
 f_1(r) &= 1, \\
 f_i(r) &= F_{ij}(r - r_0)^j, \quad i = 2, 3, 4, 5, \quad j = 1, 2, 3, \dots
 \end{aligned} \tag{C.5}$$



In addition, we assume the  $SO(5) \times SO(3)$  symmetry to be preserved along the constant- $r$  surface, thus we require

$$F_{31} = F_{41} = F_{51} > 0. \quad (C.6)$$

The equation of first order has two solutions:

$$\left\{ \begin{array}{l} F_{21} = \frac{1}{2}, \\ F_{31} = F_{41} = F_{51} = \frac{1}{2}, \end{array} \right. \quad \text{or} \quad \left\{ \begin{array}{l} F_{21} = \frac{3\sqrt{5}}{10}, \\ F_{31} = F_{41} = F_{51} = \frac{3}{10}. \end{array} \right. \quad (C.7)$$

For the first choice, we have solved (C.1) up to order  $O(r - r_0)^{13}$ , there're three free parameters, which we can choose to be  $F_{23}, F_{33}, F_{43}$ , the first several terms up to  $O(r - r_0)^5$  are:

$$\begin{aligned} f_2(r) &= \frac{\rho}{2} + \rho^3 F_{23} + \rho^5 \left[ -\frac{2}{5} (F_{33}^2 + F_{43} F_{33} + F_{43}^2) + \frac{7}{30} (F_{33} + F_{43}) \right. \\ &\quad \left. - \frac{1}{60} F_{23} (96(F_{33} + F_{43}) - 91) - 9F_{23}^2 - \frac{49}{720} \right] + O(\rho^7), \\ f_3(r) &= \frac{\rho}{2} + \rho^3 F_{33} - \frac{\rho^5}{720} \left[ -144 (13F_{33}^2 - 12F_{43} F_{33} - 12F_{43}^2) + 252(F_{33} - 4F_{43}) \right. \\ &\quad \left. + 96F_{23} (12(F_{33} + 6F_{43}) - 7) + 49 \right] + O(\rho^7), \\ f_4(r) &= \frac{\rho}{2} + \rho^3 F_{43} - \frac{\rho^5}{720} \left[ 44 (12F_{33}^2 + 12F_{43} F_{33} - 13F_{43}^2) - 252(4F_{33} - F_{43}) \right. \\ &\quad \left. + 96F_{23} (12(6F_{33} + F_{43}) - 7) + 49 \right] + O(\rho^7), \\ f_5(r) &= \frac{\rho}{2} + \frac{\rho^3}{12} (-12(F_{33} + F_{43}) - 48F_{23} + 7) + \frac{\rho^5}{240} \left[ 48 (13F_{33}^2 + 38F_{43} F_{33} + 13F_{43}^2) \right. \\ &\quad \left. - 644(F_{33} + F_{43}) + 112F_{23} (48(F_{33} + F_{43}) - 23) + 11520F_{23}^2 + 147 \right] + O(\rho^7), \end{aligned} \quad (C.8)$$

where  $\rho \equiv r - r_0$ . When assigning  $F_{23} = F_{33} = F_{43} = 1/12$ , we obtain the standard  $AdS_8$  solution with  $f_2 = f_3 = f_4 = f_5 = \sinh \rho$ . Take the small  $r$  expansion as the initial condition, we can perform numerics for general value of  $\{F_{23}, F_{33}, F_{43}\}$ . As expected, not all initial values integrate to infinity: some of them vanishes at some finite  $r$ . By numerical simulation, we determine which initial values can be integrated to infinity and plot them in Fig.C.1. There're two observations to be made. First, on each slice of the plot, the green

region has the same shape as that obtained in [271]. Second, initial values with  $F_{33} = F_{43} = F_{53}$  are always allowed, which correspond to the first metric ansatz.

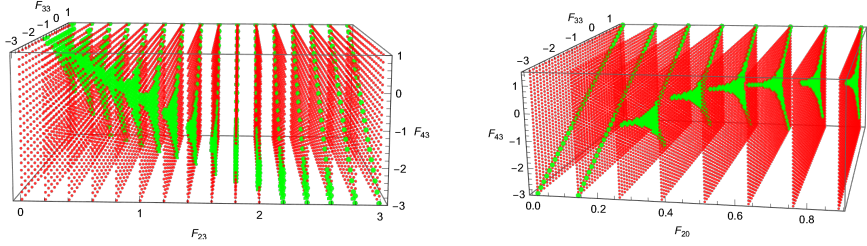


Figure C.1: Left: Green points correspond to initial values for NUT solution that integrate to infinity, and red points for those not. Right: Same plot for the Bolt solution.

For the second choice in (C.7), up to order  $O(r - r_0)^{13}$ , the solution is fixed, with no free parameter, whose leading order expansions can be identified with hyperbolic sine functions:

$$f_2(r) = \frac{3\sqrt{5}}{10} \left( \rho + \frac{\rho^3}{6} + \frac{\rho^5}{120} + \frac{\rho^7}{5040} + \frac{\rho^9}{362880} + O(\rho^{11}) \right) \rightarrow \frac{3\sqrt{5}}{10} \sinh \rho,$$

$$f_3(r) = \frac{3}{10} \left( \rho + \frac{\rho^3}{6} + \frac{\rho^5}{120} + \frac{\rho^7}{5040} + \frac{\rho^9}{362880} + O(\rho^{11}) \right) \rightarrow \frac{3}{10} \sinh \rho,$$

$$f_3(r) = f_4(r) = f_5(r). \quad (\text{C.9})$$

With above solution, the metric has an asymptotic boundary given by squashed sphere in (4.25) with  $\lambda^2 = \frac{1}{5}$  as:

$$ds^2 = dr^2 + \frac{9}{5} \sinh^2 r ds_{S^7}^2. \quad (\text{C.10})$$

The curvatures of the geometry above is the same as the singular solution we obtained in the other metric (4.43).

### C.1.4 Small radius expansion and numerics - Bolt

The ansatz for Bolt is

$$\begin{aligned}
 f_1(r) &= 1, \\
 f_2(r) &= F_{2j}(r - r_0)^j, j = 0, 1, 2, 3, \dots, \\
 f_i(r) &= F_{ij}(r - r_0)^j, j = 1, 2, 3, \dots, \\
 F_{31} &= F_{41} = F_{51} > 0,
 \end{aligned} \tag{C.11}$$

where we require  $F_{20} > 0$  for convenient. The leading terms are

$$\begin{aligned}
 f_2(r) &= F_{20} - \frac{\rho^2 (-7F_{20}^2 - 3)}{8F_{20}} - \frac{\rho^4 (49F_{20}^4 + 98F_{20}^2 + 39)}{384F_{20}^3} + \frac{\rho^6}{46080F_{20}^5} \\
 &\quad [7F_{20}^6 (1536 (F_{33}^2 + F_{43}F_{33} + F_{43}^2) + 1127) \\
 &\quad + 3F_{20}^4 (1536 (F_{33}^2 + F_{43}F_{33} + F_{43}^2) + 896(F_{33} + F_{43}) + 5047) \\
 &\quad + F_{20}^2 (1152(F_{33} + F_{43}) + 10465) + 2475] + O(\rho^8). \\
 f_3(r) &= \frac{\rho}{2} + \rho^3 F_{33} + \frac{\rho^5}{480F_{20}^2} (F_{20}^2 (576 (2F_{33}^2 - 3F_{43}F_{33} - 3F_{43}^2) - 420F_{33} + 49) \\
 &\quad - 72(F_{33} + 6F_{43}) + 35) + O(\rho^7). \\
 f_4(r) &= \frac{\rho}{2} + \rho^3 F_{43} + \frac{\rho^5}{480F_{20}^2} (F_{20}^2 (576 (2F_{43}^2 - 3F_{43}F_{33} - 3F_{33}^2) - 420F_{43} + 49) \\
 &\quad - 72(6F_{33} + F_{43}) + 35) + O(\rho^7). \\
 f_5(r) &= \frac{\rho}{2} + \rho^3 \left( -\frac{1}{4F_{20}^2} - F_{33} - F_{43} \right) \\
 &\quad + \frac{\rho^5}{480F_{20}^4} (F_{20}^4 (576 (2F_{33}^2 + 7F_{43}F_{33} + 2F_{43}^2) + 420(F_{33} + F_{43}) + 49) \\
 &\quad + 4F_{20}^2 (162(F_{33} + F_{43}) + 35) + 90) + O(\rho^7).
 \end{aligned} \tag{C.12}$$

Taking the first two orders as the initial conditions, one can also solve the Einstein equations (C.1) numerically. We can also obtain the set of initial values which can be integrated to infinity, as plotted in Fig.C.1. The shape of the region is also similar to the one obtained in [271].

## C.2 Conformal mapping from sphere to plane

In the main text, to perform the integration of the stress tensor correlation function, one needs the explicit conforming map from  $\mathbb{S}^7$  to  $\mathbb{R}^7$ . In this section, we'll state how we get it and discuss how to construct the map in different situations.

Generally speaking, the conformal map is the composition of an embedding map from  $\mathbb{S}^d$  to  $\mathbb{R}^{d+1}$ , together with a standard stereographic projection from  $\mathbb{R}^{d+1}$  to the equatorial plane  $\mathbb{R}^d$ :

$$Y^{\bar{a}} = \frac{y^{\bar{a}}}{1 - y^{d+1}}, \quad \bar{a} = 1, 2, \dots, d, \quad Y^{\bar{a}} \in \mathbb{R}^d, \quad y^A \in \mathbb{R}^{d+1}. \quad (\text{C.13})$$

The conformal factor is given by

$$ds_{\mathbb{R}^d}^2 = \Omega^2 ds_{\mathbb{R}^{d+1}}^2 = \Omega^2 ds_{\mathbb{S}^d}^2, \quad \Omega = \frac{1}{2}(1 + Y_{\bar{a}} Y^{\bar{a}}) = \frac{1}{1 - y^{d+1}}. \quad (\text{C.14})$$

Thus the only step dependent on the specific metric is the embedding map from  $\mathbb{S}^d$  to  $\mathbb{R}^{d+1}$ , which we'll discuss. In general, the exercise of obtaining the embedding map from the induced coordinate is a highly non-trivial task even for two-dimensional cases. The examples considered in this section are only some special cases where such a map can be constructed systematically.

### C.2.1 U(1) bundle

We start with an easier and more established situation, i.e., U(1) Hopf fibration. Spaces constructed by Hopf fibration with U(1) bundle are common in the literature, and closely related to generalized Taub-NUT spaces. One of them is  $\mathbb{S}^{2k+1}$  constructed by U(1) bundle over  $\mathbb{CP}^k$ , preserving  $U(1) \times SU(k+1)$  symmetry. The metric can be written explicitly:

$$ds_{\mathbb{S}^d}^2 = \frac{1}{d+1} ds_{\mathbb{CP}^k}^2 + \left( d\psi + \frac{A_{\mathbb{CP}^k}}{d+1} \right)^2, \quad d = 2k+1, \quad 0 \leq \psi \leq 2\pi, \quad (\text{C.15})$$

where the Fubini-Study metric on the projective space and the Kähler potential  $A_{\mathbb{CP}^k}$  are defined recursively:

$$ds_{\mathbb{CP}^k}^2 = (2k+2) \left[ d\xi_k^2 + \frac{1}{2k} \sin^2 \xi_k ds_{\mathbb{CP}^{k-1}}^2 + \sin^2 \xi_k \cos^2 \xi_k \left( d\psi_k + \frac{1}{2k} A_{\mathbb{CP}^{k-1}} \right)^2 \right],$$

$$A_{\mathbb{CP}^k} = (2k+2) \sin^2 \xi_k \left( d\psi_k + \frac{1}{2k} A_{\mathbb{CP}^{k-1}} \right), \quad 0 \leq \xi_i \leq \frac{\pi}{2}, \quad 0 \leq \psi_i \leq 2\pi. \quad (\text{C.16})$$

The lowest value is  $k = 1$ , which reproduces the initial construction of Hopf fibration for  $\mathbb{S}^3$ :

$$ds_{\mathbb{CP}^1}^2 = 4(d\xi_1^2 + \sin^2 \xi_1 \cos^2 \xi_1 d\psi_1^2), \quad A_{\mathbb{CP}^1} = 4 \sin^2 \xi_1 d\psi_1. \quad (\text{C.17})$$

The metric on  $\mathbb{S}^3$  is:

$$ds^2 = d\xi_1^2 + \cos^2 \xi_1 \sin^2 \xi_1 d\psi_1^2 + (d\psi + \sin^2 \xi_1 d\psi_1)^2. \quad (\text{C.18})$$

To make the  $\text{SO}(4)$  invariance manifest, we can do the following trick: replace  $d\psi^2$  by  $(\cos^2 \xi_1 + \sin^2 \xi_1)d\psi^2$ , collect terms with  $\sin^2 \xi_1$  and  $\cos^2 \xi_1$  respectively, then the metric becomes:

$$ds^2 = d\xi_1^2 + \cos^2 \xi_1 d\psi^2 + \sin^2 \xi_1 (d\psi + d\psi_1)^2. \quad (\text{C.19})$$

This form may look more familiar, which can be obtained by the simple embedding formula, as we'll explain later:<sup>1</sup>

$$x^4 = \cos \xi_1 \cos \psi, \quad x^3 = \cos \xi_1 \sin \psi, \quad x^2 = \sin \xi_1 \cos(\psi + \psi_1), \quad x^1 = \sin \xi_1 \sin(\psi + \psi_1). \quad (\text{C.20})$$

Now we head forward to  $k = 2$  for a metric on  $\mathbb{S}^5$ . Again, this time we replace  $d\psi^2$  by  $(\cos^2 \xi_2 + \sin^2 \xi_2)d\psi^2$ , leave the former on its own, and put the latter together with the other terms:

$$ds^2 = d\xi_2^2 + \cos^2 \xi_2 d\psi^2 + \sin^2 \xi_2 [d\xi_1^2 + \cos^2 \xi_1 (d\psi + d\psi_2)^2 + \sin^2 \xi_1 (d\psi + d\psi_1 + d\psi_2)^2], \quad (\text{C.21})$$

where the term in the bracket is organized in order to produce the same form as (C.19). So we can write down the embedding formula easily:

$$\begin{aligned} x^6 &= \cos \xi_2 \cos \psi, \quad x^5 = \cos \xi_2 \sin \psi, \\ x^4 &= \sin \xi_2 \cos \xi_1 \cos(\psi + \psi_2), \quad x^3 = \sin \xi_2 \cos \xi_1 \sin(\psi + \psi_2), \\ x^2 &= \sin \xi_2 \sin \xi_1 \cos(\psi + \psi_1 + \psi_2), \quad x^1 = \sin \xi_2 \sin \xi_1 \sin(\psi + \psi_1 + \psi_2). \end{aligned} \quad (\text{C.22})$$

Now we understand how the procedure works. Let's take one last example, the metric on  $\mathbb{S}^7$  with  $k = 3$ . Again, we replace  $d\psi^2$  by  $(\cos^2 \xi_3 + \sin^2 \xi_3)d\psi^2$  first:

$$ds^2 = d\xi_3^2 + \cos^2 \xi_3 d\psi^2 + \sin^2 \xi_3 [A]. \quad (\text{C.23})$$

In our expectation, we need to organize  $A$  in a form similar to (C.21), where we need to depart  $d\psi^2$  again by  $(\cos^2 \xi_2 + \sin^2 \xi_2)d\psi^2$ , finally we get

$$\begin{aligned} A &= d\xi_2^2 + \cos^2 \xi_2 (d\psi + d\psi_3)^2 \\ &+ \sin^2 \xi_2 [d\xi_1^2 + \cos^2 \xi_1 (d\psi + d\psi_2 + d\psi_3)^2 + \sin^2 \xi_1 (d\psi + d\psi_1 + d\psi_2 + d\psi_3)^2]. \end{aligned} \quad (\text{C.24})$$

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<sup>1</sup>Here we reverse the order of  $x^a$  for the convenience of evaluating the integral.

We can then write down the embedding map without any difficulty:

$$\begin{aligned}
 x^8 &= \cos \xi_3 \cos \psi, & x^7 &= \cos \xi_3 \sin \psi, & x^6 &= \sin \xi_3 \cos \xi_2 \cos(\psi + \psi_3), \\
 x^5 &= \sin \xi_3 \cos \xi_2 \sin(\psi + \psi_3), & x^4 &= \sin \xi_3 \sin \xi_2 \cos \xi_1 \cos(\psi + \psi_2 + \psi_3), \\
 x^3 &= \sin \xi_3 \sin \xi_2 \cos \xi_1 \sin(\psi + \psi_2 + \psi_3), & x^2 &= \sin \xi_3 \sin \xi_2 \sin \xi_1 \cos(\psi + \psi_1 + \psi_2 + \psi_3), \\
 x^1 &= \sin \xi_3 \sin \xi_2 \sin \xi_1 \sin(\psi + \psi_1 + \psi_2 + \psi_3).
 \end{aligned} \tag{C.25}$$

Upon following the procedures above, we can write down the mapping for general  $k$ :

$$\begin{aligned}
 x^{2k+2} &= \cos \xi_k \cos \psi, & x^{2k+1} &= \cos \xi_k \sin \psi, \\
 x^{2k} &= \sin \xi_k \cos \xi_{k-1} \cos \theta_k, & x^{2k-1} &= \sin \xi_k \cos \xi_{k-1} \sin \theta_k, \\
 x^{2k-2} &= \sin \xi_k \sin \xi_{k-1} \cos \xi_{k-2} \cos \theta_{k-1}, & x^{2k-3} &= \sin \xi_k \sin \xi_{k-1} \cos \xi_{k-2} \sin \theta_{k-1}, \\
 &\dots\dots \\
 x^4 &= \prod_{i=2}^k \sin \xi_i \cos \xi_1 \cos \theta_2, & x^3 &= \prod_{i=2}^k \sin \xi_i \cos \xi_1 \sin \theta_2, \\
 x^2 &= \prod_{i=1}^k \sin \xi_i \cos \theta_1, & x^1 &= \prod_{i=1}^k \sin \xi_i \sin \theta_1,
 \end{aligned} \tag{C.26}$$

where we have defined

$$\theta_i = \psi + \sum_{j=i}^k \psi_j, \quad i = 1, 2, \dots, k. \tag{C.27}$$

With the embedding map, one can write down the conformal map from spheres to planes, and evaluate the integrated two-point function of the stress tensor (4.60). We have evaluated this explicitly, and find it corresponds to the prediction of (4.72).

## C.2.2 SU(2) bundle

Our metric on  $S^7$  that preserves explicitly  $SO(5) \times SO(3)$  isometry is the simplest example of Hopf fibration with SU(2) bundle, thus we expect some tricks can

help us obtain the embedding map like (C.20), which is the simplest fibration with  $U(1)$  bundle. We discussed in section 4.2.2 that there're two equivalent ways to construct metrics on a sphere: by embedding into projective space, or Hopf fiber over projective space. As we will see, the embedding map of the first class of metrics can be obtained by the identification of projective space with Euclidean space in the near-origin limit. And the embedding map of the second class of metrics can be obtained by an explicit coordinate transformation (4.30) from the first class.

Let's start with the first class of metrics. To illustrate how it works, we take an example first and consider  $\mathbb{S}^3 \in \mathbb{CP}^2$ , following the same steps in section 4.2.2, we can obtain the metric on  $\mathbb{S}^3$ . The Fubini-Study metric is given by

$$ds^2 = (1 + \bar{q}_k q_k)^{-1} d\bar{q}_i dq_i - (1 + \bar{q}_k q_k)^{-2} \bar{q}_i dq_i d\bar{q}_j q_j, \quad q_1, q_2 \in \mathbb{CP}^2. \quad (\text{C.28})$$

We take the following parametrization on  $\mathbb{CP}^2$ :

$$\begin{aligned} q_1 &= U \tan \chi \cos \frac{\mu}{2}, \quad q_2 = V \tan \chi \sin \frac{\mu}{2}, \quad U = e^{i\theta/2}, \quad V = e^{i\Theta/2}, \\ 0 &\leq \mu \leq \pi, \quad 0 \leq \chi \leq \frac{\pi}{2}, \quad 0 \leq \theta, \Theta \leq 4\pi. \end{aligned} \quad (\text{C.29})$$

The Maurer-Cartan form and left-invariant one-form are

$$2U^{-1}dU = \mathbf{i}\sigma, \quad 2V^{-1}dV = \mathbf{i}\Sigma, \quad \sigma = d\theta, \quad \Sigma = d\Theta. \quad (\text{C.30})$$

The Fubini-Study metric on  $\mathbb{CP}^2$  is given by

$$\begin{aligned} ds^2 &= d\chi^2 + \frac{1}{4} \sin^2 \chi \left[ d\mu^2 + \frac{1}{4} \sin^2 \mu \omega^2 + \frac{1}{4} \cos^2 \chi (\nu + \omega \cos \mu)^2 \right], \\ \nu &\equiv \sigma + \Sigma, \quad \omega \equiv \sigma - \Sigma. \end{aligned} \quad (\text{C.31})$$

The line element in the bracket is the squashed three-sphere metric, with the squashing parameter identified with  $\lambda \equiv \cos \chi$ . In the limit  $\chi \rightarrow 0$ , we get the metric on the round sphere:

$$ds_{\mathbb{S}^3}^2 = \frac{1}{4} \left( d\mu^2 + \cos^2 \frac{\mu}{2} d\theta^2 + \sin^2 \frac{\mu}{2} d\Theta^2 \right). \quad (\text{C.32})$$

To compare with (C.19), we can define  $\tilde{\mu} \equiv \mu/2, \tilde{\theta} \equiv \theta/2, \tilde{\Theta} \equiv \Theta/2$  to get rid of the  $1/4$  factor:

$$ds^2 = d\tilde{\mu}^2 + \cos^2 \tilde{\mu} d\tilde{\theta}^2 + \sin^2 \tilde{\mu} d\tilde{\Theta}^2. \quad (\text{C.33})$$

The metric (C.19) is related to this one by a twist over angles:

$$\tilde{\mu} = \xi_1, \quad \tilde{\theta} = \psi, \quad \tilde{\Theta} = \psi + \psi_1. \quad (\text{C.34})$$

Now we're ready to discuss the embedding map of  $\mathbb{S}^3$  in  $\mathbb{R}^4$ . It still seems non-trivial to write down an embedding map from (C.33) to  $\mathbb{R}^4$ , but the magic happens at  $\chi \rightarrow 0$  limit of  $\mathbb{CP}^2$ : the space is identical to  $\mathbb{R}^4$ . We write down the map between  $\mathbb{CP}^2$  and  $\mathbb{R}^4$ :

$$q_1 = x^1 + \mathbf{i}x^2, \quad q_2 = x^3 + \mathbf{i}x^4. \quad (\text{C.35})$$

Compare it with (C.29), one obtains the following map between  $(\mu, \theta, \Theta)$  and  $(x^1, x^2, x^3, x^4)$ :

$$x^1 = \cos \frac{\mu}{2} \cos \frac{\theta}{2}, \quad x^2 = \cos \frac{\mu}{2} \sin \frac{\theta}{2}, \quad x^3 = \cos \frac{\mu}{2} \cos \frac{\Theta}{2}, \quad x^4 = \cos \frac{\mu}{2} \sin \frac{\Theta}{2}. \quad (\text{C.36})$$

This provides an embedding rule from the round sphere metric of the first class. However, the metrics that we're more interested in belong to the other class, for example, those we discuss in the last section: they're all obtained by Hopf fiber. For  $U(1)$  bundle, the problem is not severe as we can identify the two metrics (C.19) and (C.33) by observation. But for  $SU(2)$  bundle, it's no longer direct. Luckily, the map (4.30) between them has been worked out forty years ago. [288, 99]

The example we discuss in the main text is  $\mathbb{S}^7$  embedded in  $\mathbb{HP}^2$ . Similarly, we identify the near-origin limit of  $\mathbb{HP}^2$  as  $\mathbb{R}^8$ :

$$q_1 = x^1 + x^2\mathbf{i} + x^3\mathbf{j} + x^4\mathbf{k}, \quad q_2 = x^5 + x^6\mathbf{i} + x^7\mathbf{j} + x^8\mathbf{k}. \quad (\text{C.37})$$

Meanwhile,

$$q_1 = \cos \frac{\mu}{2} \tilde{U}, \quad q_2 = \sin \frac{\mu}{2} \tilde{V}, \quad (\text{C.38})$$

where  $\tilde{U}$  and  $\tilde{V}$  are defined in terms of  $(\mu, \Theta, \Phi, \Psi, \theta, \phi, \psi)$  according to (4.31). We get the following embedding map:

$$\begin{aligned} x^1 &= \cos \frac{\mu}{2} \left( \cos \frac{\theta}{2} \cos \frac{\Theta}{2} \cos \frac{1}{2}(-\Phi + \psi - \Psi + \phi) + \sin \frac{\theta}{2} \sin \frac{\Theta}{2} \cos \frac{1}{2}(-\Phi - \psi + \Psi + \phi) \right), \\ x^2 &= \cos \frac{\mu}{2} \left( \sin \frac{\theta}{2} \cos \frac{\Theta}{2} \cos \frac{1}{2}(\Phi - \psi + \Psi + \phi) - \cos \frac{\theta}{2} \sin \frac{\Theta}{2} \cos \frac{1}{2}(\Phi + \psi - \Psi + \phi) \right), \\ x^3 &= \cos \frac{\mu}{2} \left( \sin \frac{\theta}{2} \cos \frac{\Theta}{2} \sin \frac{1}{2}(\Phi - \psi + \Psi + \phi) - \cos \frac{\theta}{2} \sin \frac{\Theta}{2} \sin \frac{1}{2}(\Phi + \psi - \Psi + \phi) \right), \\ x^4 &= \cos \frac{\mu}{2} \left( \sin \frac{\theta}{2} \sin \frac{\Theta}{2} \sin \frac{1}{2}(-\Phi - \psi + \Psi + \phi) + \cos \frac{\theta}{2} \cos \frac{\Theta}{2} \sin \frac{1}{2}(-\Phi + \psi - \Psi + \phi) \right), \\ x^5 &= \cos \frac{\theta}{2} \sin \frac{\mu}{2} \cos \frac{\psi + \phi}{2}, & x^6 &= \sin \frac{\theta}{2} \sin \frac{\mu}{2} \cos \frac{\phi - \psi}{2}, \\ x^7 &= \sin \frac{\theta}{2} \sin \frac{\mu}{2} \sin \frac{\phi - \psi}{2}, & x^8 &= \cos \frac{\theta}{2} \sin \frac{\mu}{2} \sin \frac{\psi + \phi}{2}. \end{aligned} \quad (\text{C.39})$$



The conformal map between  $\mathbb{S}^7$  and  $\mathbb{R}^7$  is obtained by combining the stereographic projection and the embedding map above, then we can evaluate the integral of the stress tensor two-point function as in the main text.

### C.3 Integrated correlators on general U(1) bundle spheres

In this section, we provide more details of our reproduction of the formula (4.72) for general  $k$ , where the integral (4.60) is performed on  $\mathbb{S}^{2k+1}$ , which we rewrite as below:

$$I = -\frac{C_T}{4} V_{2k+1} \int_{\mathbb{S}^{2k+1}} \sqrt{g_{2k+1}^{(0)}} d^{2k+1}x \Omega^{2k-1}(0) \Omega^{2k-1}(x) M^{\bar{a}\bar{b}}(0) M^{\bar{c}\bar{d}}(x) \frac{I_{\bar{a}\bar{b};\bar{c}\bar{d}}(X)}{X^{2(2k+1)}} \quad (\text{C.40})$$

The volume of  $\mathbb{S}^{2k+1}$  and the square root of the metric (C.15) are given by:

$$V_{2k+1} = \frac{2\pi^{k+1}}{k!}, \quad \sqrt{g_{2k+1}^{(0)}} = \prod_{i=1}^k \cos \xi_i \sin^{2i-1} \xi_i \quad (\text{C.41})$$

The conformal factor and  $X^2$  are known from the standard stereographic projection (C.13):

$$\Omega(x) = \frac{1}{1 - \cos \psi \cos \xi_k}, \quad \Omega(0) = \frac{1}{2}; \quad X^2 = \frac{1 + \cos \psi \cos \xi_k}{1 - \cos \psi \cos \xi_k} \quad (\text{C.42})$$

We define the new quantities:

$$M^{\bar{a}\bar{b}} = h^{ab} \frac{\partial X^{\bar{a}}}{\partial x^a} \frac{\partial X^{\bar{b}}}{\partial x^b} = -\frac{\partial X^{\bar{a}}}{\partial \psi} \frac{\partial X^{\bar{b}}}{\partial \psi} \equiv -\Lambda^{\bar{a}} \Lambda^{\bar{b}}, \quad (\text{C.43})$$

where we used the fact that the only non-vanishing component of  $h^{ab}$  is  $h^{\psi\psi} = -1$ . Using another fact that the only non-zero component of  $M^{\bar{a}\bar{b}}(0)$  is  $M^{\bar{\psi}\bar{\psi}} = -\frac{1}{4}$ , the contraction between  $M^{\bar{a}\bar{b}} M^{\bar{c}\bar{d}}$  and  $I_{\bar{a}\bar{b};\bar{c}\bar{d}}$  can be simplified as:

$$\begin{aligned} M^{\bar{a}\bar{b}}(0) M^{\bar{c}\bar{d}}(x) I_{\bar{a}\bar{b};\bar{c}\bar{d}}(X) &= \frac{1}{4} (\Lambda^{2k+1})^2 - \frac{1}{X^2} (\Lambda^{\bar{a}} X_{\bar{a}}) (\Lambda^{2k+1} X_{2k+1}) \\ &\quad + \frac{1}{X^4} (\Lambda^{\bar{a}} X_{\bar{a}})^2 (X^{2k+1})^2 - \frac{1}{4(2k+1)} (\Lambda^{\bar{a}} \Lambda^{\bar{a}}) \end{aligned} \quad (\text{C.44})$$

Using our general form of coordinate transformation (C.26), we can get a general form of the quantities appearing above, which, if we put together into

the integrand together with what we have above, we obtain:

$$\begin{aligned}
 F''(0) = & -\frac{C_T \pi^{k+1}}{(2k+1)2^{2k+5}k!} \int \sqrt{g} d^{2k+1}x \frac{1}{(1 + \cos \psi \cos \xi_k)^{2k+3}} [8k \cos 2\psi \cos^2 \xi_k \\
 & + 4 \cos \psi [(6k-1) \cos \xi_k + (2k+1) \cos 3\xi_k] + 4(3k+1) \cos 2\xi_k \\
 & + (2k+1) \cos 4\xi_k + 10k - 5]
 \end{aligned} \tag{C.45}$$

Note that the integral doesn't depend on  $\psi_i$ , which reflects the symmetry of the manifold. As a first step, we can integrate out  $\prod_{i=1}^k d\psi_i$  and  $\prod_{j=1}^{k-1} d\xi_j$ :

$$\int \prod_{i=1}^k \prod_{j=1}^{k-1} d\psi_i d\xi_j \sqrt{g} = \frac{2\pi^k \cos \xi_k \sin^{2k-1} \xi_k}{(k-1)!} \tag{C.46}$$

Since the dependence of  $\psi$  is relatively simple in (C.45), we integrate over  $\psi$  first. The trick is to identify the integral range  $\psi \in [\pi, 2\pi]$  to  $[0, \pi]$  by the invariance of the integrand under  $\psi \rightarrow -\psi$  and periodicity  $\psi \sim \psi + 2\pi$ , and integrate on a new variable  $z = \cos \psi$ . This gives us with a divergent integral of  $\xi_k$ , whose value, after throwing away the diverging terms, is:

$$F''(0) = -C_T \frac{k\pi^{2k+3/2} \Gamma(-k - \frac{1}{2})}{4^k (k-1)!} \tag{C.47}$$

After identifying  $2k+1 = d$  and simplification, the result is exactly the formula (4.72) predicted by [280] from the analysis of high-derivative gravity.

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