

Axion cloud evaporation during inspiral of black hole binaries: The effects of backreaction and radiation

Takuya Takahashi^{1,*}, Hidetoshi Omiya¹, and Takahiro Tanaka^{1,2}

¹*Department of Physics, Kyoto University, Kyoto 606-8502, Japan*

²*Center for Gravitational Physics, Yukawa Institute for Theoretical Physics, Kyoto University, Kyoto 606-8502, Japan*

*E-mail: t.takahashi@tap.scphys.kyoto-u.ac.jp

Received December 22, 2021; Revised March 4, 2022; Accepted March 9, 2022; Published March 11, 2022

.....
 Ultralight scalar fields such as axions can form clouds around rotating black holes (BHs) by the superradiant instability. It is important to consider the evolution of clouds associated with BH binaries for the detectability of the presence of clouds through gravitational wave signals and observations of the mass and spin parameters of BHs. The impact on the axion cloud due to the tidal perturbation from the companion in a binary system was first studied in D. Baumann et al., Phys. Rev. D, **101**, 083019. Here, we re-examine this issue taking into account the following points. First, we study the influence of higher-multipole moments. Second, we consider the backreaction due to the angular momentum transfer between the cloud and the orbital motion. This angular momentum transfer further causes the backreaction to the hyperfine split through the change in geometry. Finally, we calculate the particle number flux to infinity induced by the tidal interaction. As a result, we find that the scalar field is not reabsorbed by the BH. Instead, the scalar particles are radiated away to evaporate during the inspiral, irrespective of the direction of the orbital motion, for almost equal mass binaries.

Subject Index B71, C15, E02, E31

1. Introduction

Ultralight bosons such as axions are one of the best-motivated particles in the context of an extension of the Standard Model. The existence of plenty of axion-like particles is predicted by string theory [1,2], and such particles are also a good candidate for dark matter [3–6]. Interestingly, they can create an observable signature in cosmological and astrophysical phenomena. As one of them, we consider the observability of the existence of an ultralight scalar field associated with black holes (BHs).

A massive scalar field around a BH can be bound by gravitational interaction, and it can extract the rotation energy from a spinning BH through the superradiance [7]. This energy extraction mechanism works most efficiently when the Compton wavelength of the scalar field is comparable to the radius of the BH, and the field forms a macroscopic condensate around the BH. For astrophysical BHs, a scalar field with a mass in the range $10^{-20} \sim 10^{-10}$ eV has an appropriate Compton wavelength for forming this condensate [8,9]. In the following, we refer to such a scalar field simply as an axion, and its condensate formed around a BH as an *axion cloud*.

One observational signature of the existence of an axion cloud is the appearance of a forbidden region in the parameter distribution of BH mass and spin, owing to the spin-down due

to the superradiance [10–12]. In addition, gravitational waves (GWs) emitted from an axion cloud and the modification of the gravitational waveform from a binary coalescence accompanied by an axion cloud are considered to be possible targets for observations [13–20]. From observations, we are now getting new constraints on the axion mass [21–23].

The GW events observed so far are those originating from binary coalescences [24–26], and most of the BHs whose spin has been measured belong to a binary system. On the other hand, the tidal interaction from the companion in a binary greatly affects the evolution of the axion cloud during the inspiral phase. Axion clouds are initially dominated by the superradiant growing mode, but when the binary orbital frequency coincides with the difference in the phase velocities with another mode, a resonant level transition is induced [19]. The disruption of the cloud due to tidal interaction from the static perturber has also been studied by numerical simulations [27].

If axions are transferred to a mode with a large decay rate, axions would be reabsorbed by the BH and the cloud depleted before the merger [28,29]. Furthermore, axions in the decaying mode typically have an angular momentum with the opposite sign to that of the central BH, and the reabsorption may accelerate the spin-down of the BH. As a result, the forbidden region in the mass and spin distribution of BHs might be modified. Therefore, to clarify the detectability of axions and to make the constraints obtained more robust, we need to understand the dynamics of axion clouds in binary systems more precisely.

The level transition of an axion cloud due to the tidal interaction is formulated in Ref. [30]. We develop this work further in the following respects. In previous works, the main focus was only on the effect of the leading quadrupole moment of the tidal potential. However, since the resonance caused by the effect of higher-multipole moments occurs earlier during the binary inspiral, it may dominate the evolution of clouds. We also consider the backreaction due to the angular momentum transfer between the cloud and the orbital motion. We describe the transition between different axion energy eigenstates taking into account the backreaction to the orbital evolution using a non-linear model Hamiltonian, and derive the persistent rate of axions in a strongly non-linear regime. Furthermore, we propose a model to take into account the modification to the hyperfine split due to the backreaction of the angular momentum transfer, and show that this modification can drastically change the final fate of the transition of axion clouds. In addition, we examine a new channel that turns out to deplete the axion clouds: axion emission induced by the tidal perturbation. Once axions are transferred to an upper level by the higher-multipoles of the tidal field, they can be easily further excited to an unbound state. Then, axions evaporate away to infinity.

In this paper we analyze axion clouds in the non-relativistic regime, assuming that the binary's orbit is quasi-circular and the orbital angular momentum is aligned to the BH spin, for simplicity. Also, we neglect axion self-interaction, which may play an important role in the evolution of axion clouds [31,32]. Hence, we consider the simplest model of a massive scalar field and assume that there is nothing that prevents the growth of clouds until the superradiance condition is saturated by the spin-down of the central BH. We believe that this work will give a foundation for the analysis of more complicated cases.

The paper is organized as follows. In Section 2 we review how the level transition of axion clouds is described and show the importance of higher-multipole moments of the tidal potential. In Section 3 we analyze the effect of the backreaction of the angular momentum transfer in detail. In Section 4 we calculate the particle number flux to infinity. Finally, in Section 5 we

summarize our results and give a brief discussion about their implications. In the rest of the paper we use units with $G = c = \hbar = 1$.

2. Level transition of axion clouds

In this section we first review the level transition of axion clouds induced by the tidal perturbation due to the binary companion, formulated in Ref. [30]. Then, we show that higher-multipole moments of the tidal perturbation actually play an important role in the evolution of clouds during the inspiral phase.

2.1 Hamiltonian

The equation of motion of a real scalar field (axion) of mass μ around a rotating BH is

$$(g^{\mu\nu}\nabla_\mu\nabla_\nu - \mu^2)\phi = 0, \quad (1)$$

where $g_{\mu\nu}$ is the Kerr metric. Let the BH mass be M and the angular momentum be $J = aM$. In the non-relativistic limit, it is appropriate to start with the ansatz

$$\phi = \frac{1}{\sqrt{2\mu}}(e^{-i\mu t}\psi + e^{i\mu t}\psi^*); \quad (2)$$

ψ is a complex scalar function whose timescale of variation is much larger than μ^{-1} . With this ansatz, the equation of motion in Eq. (1) is rewritten as

$$i\frac{\partial}{\partial t}\psi = \left(-\frac{1}{2\mu}\nabla^2 - \frac{\alpha}{r}\right)\psi \quad (3)$$

at the leading order in r^{-1} . Here, we have introduced the gravitational fine structure constant

$$\alpha = M\mu \simeq 0.2 \left(\frac{M}{30M_\odot}\right) \left(\frac{\mu}{10^{-12}\text{ eV}}\right). \quad (4)$$

Equation (3) is formally equivalent to the Schrödinger equation, and the bound state solution is given by

$$\psi_{nlm} = e^{-i(\omega_{nlm}-\mu)t} R_{nl}(r) Y_{lm}(\theta, \varphi), \quad (5)$$

where $R_{nl}(r)$ represents the radial wave function of the hydrogen atom (Appendix A) and $Y_{lm}(\theta, \varphi)$ is the spherical harmonics. The radius corresponding to the Bohr radius for a hydrogen atom is $r_0 = M/\alpha^2$, and this approximation is well justified for $\alpha \ll 1$. In the non-relativistic approximation, the eigenfrequency is given by [8,19,33]

$$\omega_{nlm} = (\omega_R)_{nlm} + i(\omega_I)_{nlm}, \quad (6)$$

with

$$(\omega_R)_{nlm} = \mu \left(1 - \frac{\alpha^2}{2n^2} - \frac{\alpha^4}{8n^4} + \frac{(2l-3n+1)\alpha^4}{n^4(l+1/2)} + \frac{2m\alpha^5}{n^3l(l+1/2)(l+1)} \frac{a}{M}\right), \quad (7)$$

$$(\omega_I)_{nlm} = 2(r_+/M)C_{nlm}(a, \alpha)(m\Omega_H - \omega)\alpha^{4l+5}, \quad (8)$$

where r_+ is the horizon radius, $\Omega_H = a/2Mr_+$ is the angular velocity of the BH horizon, and the explicit form of $C_{nlm}(a, \alpha)$ is given in Ref [8]. Note that the labels of the eigenstates that we can take are restricted to $n \geq 1$, $l \leq n-1$, $|m| \leq l$. As you can see from Eq. (8), the mode satisfying $\omega_R < m\Omega_H$ is a growing mode. We can consider the situation in which axions occupy the fastest growing mode initially. In the following, we assume that there is no mechanism to terminate the growth of the cloud. Then, the growth of the cloud will saturate when the the BH

spin is reduced to satisfy the condition for the critical spin:

$$\frac{a}{M} = \frac{a_{\text{crit}}}{M} \equiv \frac{4m\alpha}{m^2 + 4\alpha^2}. \quad (9)$$

When a BH with an axion cloud forms a binary system, the tidal perturbation from the binary companion of mass M_* at (R_*, Θ_*, Φ_*) can be described by the additional potential [19]

$$V_*(t) = -M_*\mu \sum_{l_*=2}^{\infty} \sum_{|m_*| \leq l_*} \frac{4\pi}{2l_* + 1} Y_{l_* m_*}^*(\Theta_*, \Phi_*) Y_{l_* m_*}(\theta, \varphi) \times \left(\frac{r^{l_*}}{R_*^{l_*+1}} \theta(R_* - r) + \frac{R_*^{l_*}}{r^{l_*+1}} \theta(r - R_*) \right) \quad (10)$$

in Eq. (3). The orbital angular velocity of the companion can be defined by $\dot{\Phi}_*(t) = \pm\Omega(t)$, and the upper (lower) sign represents the case of co-rotating (counter-rotating) orbits relative to the central BH spin. For simplicity, we focus on the case in which the binary orbit is on the equatorial plane, $\Theta = \pi/2$.

We denote the i th eigenstate of an axion as $|i\rangle$, and a general bound state can be written by a superposition of eigenstates, i.e. $|\psi(t)\rangle = \sum_i \tilde{c}_i(t) |i\rangle$. Since the tidal interaction acts as a periodically oscillating tiny external field, it becomes important only when the orbital angular velocity coincides with the difference between the phase velocities of the two levels before and after transition. Therefore, it is sufficient to consider a two-level system, neglecting all the other levels. $|\tilde{c}_i(t)|^2$ represents the normalized particle number occupying level i , and its time evolution is given by

$$i \frac{d\tilde{c}_i}{dt} = \sum_{j=1,2} \tilde{\mathcal{H}}_{ij} \tilde{c}_j, \quad \tilde{\mathcal{H}} = \begin{pmatrix} -\frac{\Delta E}{2} & \eta e^{i\Delta m \Phi_*(t)} \\ \eta e^{-i\Delta m \Phi_*(t)} & \frac{\Delta E}{2} \end{pmatrix}, \quad (11)$$

where $\Delta E = (\omega_R)_2 - (\omega_R)_1$ and $\Delta m = m_2 - m_1$. Writing

$$V_*(t) = \sum_{l_* m_*} V_{*, l_* m_*} e^{-im\Phi_*(t)}, \quad (12)$$

we parameterize the amplitude of perturbation by $\eta \equiv |\langle 1|V_{*, l_* m_*}|2\rangle|$, whose explicit expression is

$$\frac{\eta}{\Omega} = \left| \frac{q}{(1+q)^{(1+l_*)/3}} \frac{(M\Omega)^{(2l_*-1)/3}}{\alpha^{2l_*-1}} \frac{4\pi}{2l_*+1} Y_{l_* m_*}(\Theta_*, \Phi_*) I_{\tilde{r}}^{\text{in}} \times I_A + q(1+q)^{l_*/3} \frac{\alpha^{2l_*+3}}{(M\Omega)^{(2l_*+3)/3}} \frac{4\pi}{2l_*+1} Y_{l_* m_*}(\Theta_*, \Phi_*) I_{\tilde{r}}^{\text{out}} \times I_A \right|, \quad (13)$$

with

$$I_{\tilde{r}}^{\text{in}} = \int_0^{R_*/r_0} d\tilde{r} \tilde{r}^{2+l_*} \tilde{R}_{n_1 l_1} \tilde{R}_{n_2 l_2}, \quad I_{\tilde{r}}^{\text{out}} = \int_{R_*/r_0}^{\infty} d\tilde{r} \tilde{r}^{1-l_*} \tilde{R}_{n_1 l_1} \tilde{R}_{n_2 l_2}, \quad (14)$$

$$I_A = \int \sin\theta d\theta d\varphi Y_{l_1 m_1}^* Y_{l_* m_*} Y_{l_2 m_2}, \quad (15)$$

where $\tilde{r} = r/r_0$, $\tilde{R}_{nl} = r_0^{3/2} R_{nl}$. Here we defined the mass ratio by $q = \frac{M_*}{M}$. Performing the unitary transformation with the transformation matrix $\mathcal{U} = \text{diag}(e^{i\Delta m \Phi_*(t)/2}, e^{-i\Delta m \Phi_*(t)/2})$, we can remove the rapidly oscillating terms in Eq. (11). In the new frame, the coefficients and Hamiltonian are, respectively, transformed to $c(t) = \mathcal{U}^{-1} \tilde{c}(t)$ and $\mathcal{H} = \mathcal{U}^\dagger \tilde{\mathcal{H}} \mathcal{U} - i\mathcal{U}^\dagger \dot{\mathcal{U}}$. As a result, we can describe the level transition due to the tidal interaction by the following equation with the

Hamiltonian:

$$i \frac{dc_i}{dt} = \sum_{j=1,2} \mathcal{H}_{ij} c_j, \quad \mathcal{H} = \begin{pmatrix} \pm \frac{\Delta m}{2} (\Omega(t) - \Omega_0) & \eta \\ \eta & \mp \frac{\Delta m}{2} (\Omega(t) - \Omega_0) \end{pmatrix}, \quad (16)$$

where we defined the resonance frequency by

$$\Omega_0 = \pm \frac{\Delta E}{\Delta m}. \quad (17)$$

2.2 Linear orbital evolution

For a binary BH system, the orbital angular velocity gradually increases due to the GW radiation reaction. During the inspiral phase, the time evolution of the orbital angular velocity is given by [34,35]

$$\frac{d\Omega}{dt} = \gamma \left(\frac{\Omega}{\Omega_0} \right)^{11/3}, \quad (18)$$

with

$$\frac{\gamma}{\Omega_0^2} = \frac{96}{5} \frac{q}{(1+q)^{1/3}} (M\Omega_0)^{5/3}. \quad (19)$$

If we approximate $\Omega(t)$ linearly around the resonance frequency,

$$\Omega(t) = \Omega_0 + \gamma t, \quad (20)$$

the Hamiltonian in Eq. (16) becomes

$$\mathcal{H} = \begin{pmatrix} \pm \frac{\Delta m}{2} \gamma t & \eta \\ \eta & \mp \frac{\Delta m}{2} \gamma t \end{pmatrix}. \quad (21)$$

The problem defined by the Hamiltonian in Eq. (21) is known as the Landau–Zener problem [36,37], and the dynamics of this system is characterized by the parameter

$$z \equiv \frac{\eta^2}{|\Delta m| \gamma}, \quad (22)$$

which measures the adiabaticity of the transition. This set of equations has an exact solution, and we can calculate the persistent rate $\Gamma = |c_1(+\infty)|^2 / |c_1(-\infty)|^2$ analytically. With the initial condition $c_1(-\infty) = 1$, $c_2(-\infty) = 0$, the persistent rate is given by [30]

$$\Gamma = \exp(-2\pi z). \quad (23)$$

This means that almost all axions are transferred to another mode in the adiabatic limit, $z \gg 1$.

2.3 Higher-multipole moments

In Ref. [30], the main focus is on the effect of leading quadrupole tidal perturbation: $l_* = 2$ in Eq. (10). In this case, only the transition with $\Delta m \leq 2$ is allowed because of the selection rules reflecting the conservation of angular momentum: $-m_1 + m_* + m_2 = 0$ and $|m_*| \leq l_*$. If we take into account the effect of higher-multipole moments $l_* > 2$, a wider class of transitions can occur. From Eq. (17) we can see that, if we have the same order of the difference between the energy levels, ΔE , the larger Δm and l_* are, the smaller the resonance frequency Ω_0 becomes. Therefore, if the magnitude of the perturbation of higher-multipole moments is sufficiently large, since their resonances occur earlier, they might dominate the transition. In the following, we examine to which mode the axions that initially occupy $|n_1 l_1 m_1\rangle = |211\rangle$ make the first transition effectively.

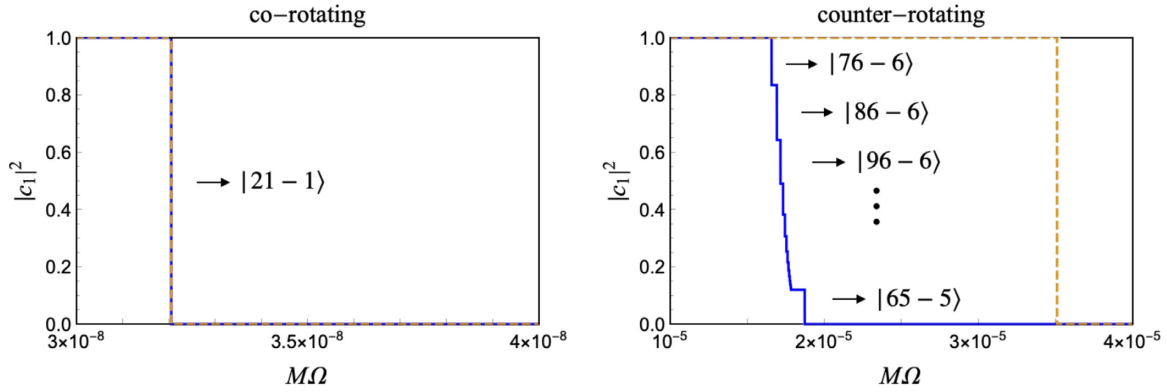


Fig. 1. Evolution of the normalized particle number occupying $|211\rangle$, $|c_1|^2$, for the co-rotating case (left) and the counter-rotating case (right) with $\alpha = 0.1$, $q = 1$. The blue solid curves show the transition taking into account the higher-multipole moments. The yellow dashed curves show the transition due to the quadrupole moment. For the co-rotating case they are the same, while for the counter-rotating case the ($l_* = 7$)th moment first gives a non-negligible contribution to the transition. The right side of the arrows shows the transition destinations for each step.

For the co-rotating case, the transition with $\Delta E/\Delta m > 0$ occurs. In particular, the transition between two levels separated by the hyperfine split, i.e. $|211\rangle \rightarrow |21-1\rangle$, is possible. As one can see from Eq. (7), this energy gap $|\Delta E|$ is very tiny, since it is suppressed by a factor of $\mathcal{O}(\alpha^4)$ compared to the gap between different n modes, which is $\mathcal{O}(\alpha^2)$. For this reason, even if we take higher-multipole moments into account, the evolution of binaries hits the frequency for the transition due to the quadrupole moment first.

For the counter-rotating case, the transition with $\Delta E/\Delta m < 0$ occurs. In this case, only the transition to the level with a higher n occurs. Since ΔE is the same order for all transitions that are associated with the change of n , the transition with a large $|\Delta m|$ works earlier. The question that we need to answer is which is the largest l_* that starts to contribute to the transition effectively, because the amplitude of perturbation η is suppressed like $\propto R_*^{-(l_*+1)}$. As an example, we show the evolution of the particle number $|c_1|^2$ for $\alpha = 0.1$, $q = 1$ in Fig. 1. This evolution takes into account the higher-multipole moments, and $|c_1|^2$ is reduced by multiplying the persistent rate in Eq. (23) at each resonance frequency for all transitions satisfying the selection rules. For this set of parameters, the ($l_* = 7$)th moment is the first one that gives a non-negligible contribution to the transition, and some of the axions in $|211\rangle$ are transferred to $|76-6\rangle$. Successively, the axions remaining in $|211\rangle$ are gradually transferred to the levels with a high n (e.g. $|86-6\rangle$, $|96-6\rangle$, ...).

Next, we discuss the dependence on the parameter set (α, q) . For a given l_* and an initial state (n_1, l_1, m_1) , we consider the combinations of (n_2, l_2, m_2) for the transition destination that minimize the resonance frequency, i.e. the energy difference ΔE divided by Δm . First, to maximize Δm , m_* is determined to be $\pm l_*$, then we have $m_2 = m_1 \pm l_*$. From Eq. (7), ω_R is minimized for the minimum n and l that we can take. Hence, ΔE is minimized for $n_2 = |m_2| + 1$ and $l_2 = |m_2|$, assuming that the hyperfine split is negligible. Considering the transition from $|211\rangle$ and calculating the persistent rate from the adiabaticity z , we determine the region in which the l_* th moment can contribute to the transition in the parameter space of (α, q) as shown in Fig. 2.¹ We set the threshold as $z = 0.01$. In the parameter region below the curves,

¹For the counter-rotating case we consider the transition $|211\rangle \rightarrow |31-1\rangle$ with the $l_* = 2$ moment.

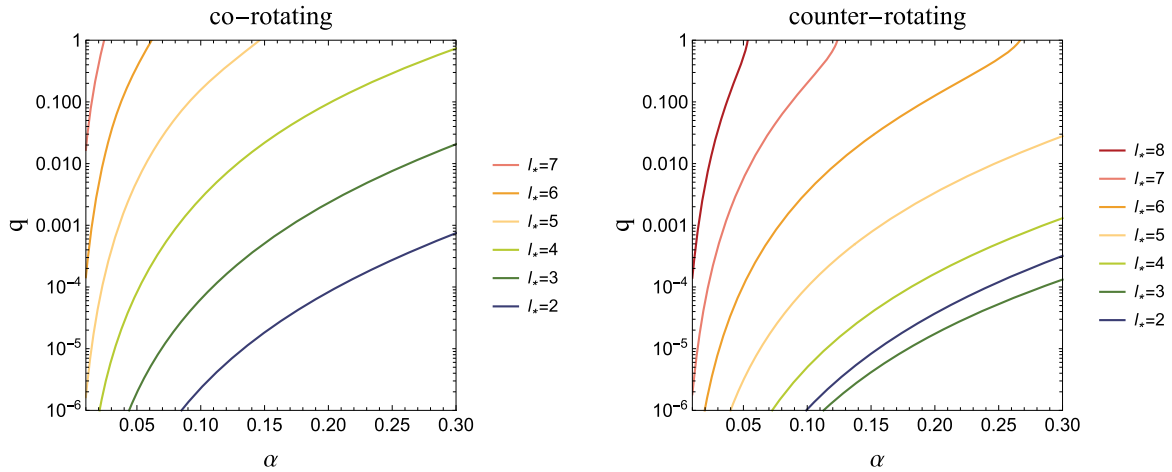


Fig. 2. Boundary curves above which the l_* th moment can give a non-negligible contribution to the transitions for the co-rotating case (left) and for the counter-rotating case (right). The solid curves show the boundaries where the adiabaticity z is equal to 0.01 for the transition with the smallest resonance frequency for the respective specified values of l_* . In the parameter region above the curve, the transition due to the l_* th moment effectively works.

the transition rate, which is determined by z , is so small that one would be able to neglect its contribution to the cloud depletion. As one can see from this figure, it is important to take into account the higher-multipole moments, at least for almost equal-mass binaries.

Here, we confirmed that higher-multipole moments play an important role in the level transition during the binary inspiral. However, as we will see in the next section, the backreaction due to the angular momentum transfer cannot be ignored for both co-rotating and counter-rotating cases. Therefore, we should understand that the discussion presented here is not the final conclusion about the transition destination.

3. Backreaction

In this section we investigate the backreaction caused by the angular momentum transfer associated with the level transition. When higher-multipole moments are considered, the transitions occur at smaller resonance frequencies compared with the case in which only the quadrupole moment is considered. Therefore, since the change rate of the orbital angular momentum is smaller, the effect of the backreaction becomes more important. We also investigate the effect of the backreaction on the hyperfine split due to the angular momentum transfer between the axion cloud and the orbital motion. In addition, we analytically derive a formula for the persistent rate taking into account these backreaction effects.

3.1 Non-linear Hamiltonian

For the system that we are considering, the conservation of angular momentum reads

$$\frac{d}{dt}(J_{\text{binary}} \pm J_c) = -\mathcal{T}_{\text{GW}}, \quad (24)$$

where the right-hand side is the torque caused by the radiation reaction due to the gravitational wave emission. We choose the signs of J_{binary} and \mathcal{T}_{GW} to be positive, irrespective of the direction of orbital rotation. The upper (lower) sign corresponds to the co-rotating (counter-rotating)

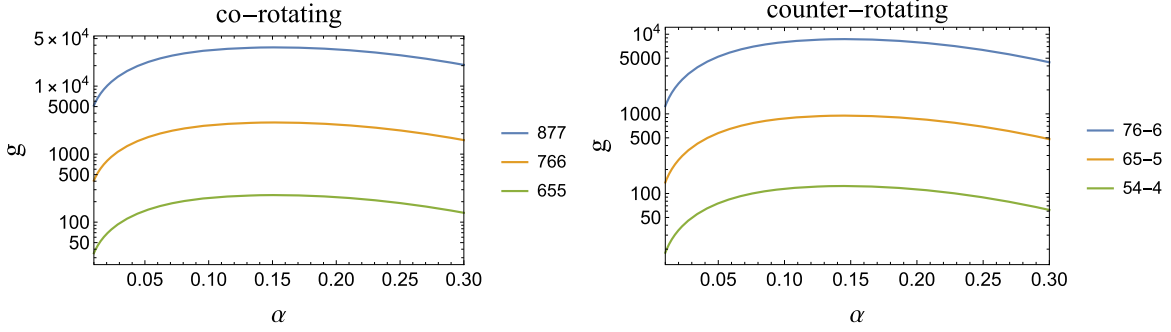


Fig. 3. Non-linearity g of the transition from $|211\rangle$ for the co-rotating case (left) and the counter-rotating case (right) with $q = 1$. Each line corresponds to a different transition destination.

case. The angular momentum of the cloud can be written as

$$J_c = J_{c,0}[m_1|c_1(t)|^2 + m_2|c_2(t)|^2], \tag{25}$$

where we defined $J_{c,0}$ so that the initial angular momentum of the cloud is given by $m_1 J_{c,0}$. When we consider the transition between the modes with a small decay rate, we can ignore the time variation of the BH spin during the transition. Then, we have the time evolution of the orbital angular velocity around the resonance frequency as [30]

$$\frac{d\Omega}{dt} = \gamma \pm 3R_J\Omega_0 \frac{d}{dt}[m_1|c_1|^2 + m_2|c_2|^2], \tag{26}$$

where

$$R_J = \frac{J_{c,0} (1 + q)^{1/3}}{M^2 q} (M\Omega_0)^{1/3}. \tag{27}$$

Integrating Eq. (26) with the initial condition $\Omega(t) \rightarrow \Omega_0 + \gamma t$ at $t \rightarrow -\infty$, we have

$$\Omega(t) = \Omega_0 + \gamma t \pm 3R_J\Omega_0[m_1(|c_1|^2 - 1) + m_2|c_2|^2]. \tag{28}$$

Therefore, from Eq. (16), the level transition with backreaction is described by the following non-linear Hamiltonian:

$$\mathcal{H} = \begin{pmatrix} \theta(t) & \eta \\ \eta & -\theta(t) \end{pmatrix}, \tag{29}$$

$$\theta(t) = \pm \frac{\Delta m}{2} \gamma t + \frac{3|\Delta m|^2}{2} R_J \Omega_0 |c_2|^2, \tag{30}$$

where we used $|c_1|^2 + |c_2|^2 = 1$. We now introduce a parameter which represents the strength of the non-linearity,

$$g \equiv \frac{3|\Delta m|^2 R_J \Omega_0}{2\eta}. \tag{31}$$

As an example, we show the value of the non-linearity g for some transitions with $q = 1$ in Fig. 3. When higher-multipole moments are concerned, the effect of the non-linearity can be especially large. For this reason, it is important to investigate the transition including this backreaction.

As shown in Ref. [30], there are two cases in the way the backreaction affects the evolution of the orbital motion. They are classified by the relative sign of the terms in Eq. (30). Case I is the case where the relative sign is positive. This occurs for the “co-rotating and $\Delta m > 0$ ” case or for the “counter-rotating and $\Delta m < 0$ ” case. In Case I, the cloud receives angular momentum from the orbital motion of the binary, and the orbit sinks faster. Hence, the binary inspiral passes through the resonance band more quickly, which makes the persistent rate larger. Case II is the

case where the relative sign is negative. This occurs for the “co-rotating and $\Delta m < 0$ ” case or for the “counter-rotating and $\Delta m > 0$ ” case. In Case II, the cloud gives angular momentum to the orbital motion, and the orbital evolution tends to stagnate. Hence, the binary inspiral passes through the resonance band more slowly, which makes the transition more adiabatic and the persistent rate smaller.

The first transition during the binary inspiral for the counter-rotating case belongs to Case I. On the other hand, the first transition across the hyperfine split for the co-rotating case seems to belong to Case II. We will discuss this point in detail in Section 3.2.

3.2 Backreaction to the hyperfine split through the change in the geometry

We consider a cloud that occupies only the level with the maximum superradiant growth rate. Usually, we can ignore the backreaction to the geometry when we discuss the evolution of the cloud because of the low energy density of the cloud [11]. However, focusing on the very small hyperfine split, which is proportional to the angular momentum, we may not be able to ignore the backreaction due to the change of the angular momentum of the saturated cloud, because it is expected to be comparable to that of the central BH. To take this effect into account, we model the frequency shift related to the coupling with the background angular momentum, which corresponds to the fifth term in Eq. (7), as

$$\delta\omega^h(t) = \mu \frac{2m\alpha^5}{n^3 l(l+1/2)(l+1)} \frac{J}{M^2} \left(1 + x \frac{J_c(t)}{J}\right). \quad (32)$$

We parameterized the efficiency of the frequency shift due to the angular momentum of the cloud itself by x , which should be $\mathcal{O}(1)$. In fact, we evaluate the value of x in Appendix B for the flat background.²

In this section our interest is in the first transition for the co-rotating case, $|211\rangle \rightarrow |21-1\rangle$, i.e. $\Delta m < 0$. So far, we have considered the resonance frequency Ω_0 to be a constant. However, in this case, since the level gap varies with time, we consider the resonance frequency to be time-dependent.³ We define the initial resonance frequency by

$$\Omega_0^{(-\infty)} = \mu \frac{2\alpha^5}{n^3 l(l+1/2)(l+1)} \frac{J}{M^2} \left(1 + x \frac{J_c(-\infty)}{J}\right), \quad (33)$$

where $J_c(-\infty) = m_1 J_{c,0}$. Then, the time-dependent resonant frequency becomes

$$\Omega_0(t) = \Omega_0^{(-\infty)} + \frac{x J_{c,0}}{J + x m_1 J_{c,0}} \Omega_0^{(-\infty)} [m_1 (|c_1(t)|^2 - 1) + m_2 |c_2(t)|^2]. \quad (34)$$

This implies that the resonance band shrinks as the angular momentum is transferred from the cloud to the orbital motion. Thus, we have the diagonal term of the Hamiltonian in Eq. (16) that describes the transition between the levels separated by the hyperfine split as

$$\begin{aligned} \theta^h(t) &= -\frac{|\Delta m|}{2} (\Omega(t) - \Omega_0(t)) \\ &= -\frac{|\Delta m|}{2} \gamma t + \frac{|\Delta m|^2}{2} \Omega_0^{(-\infty)} \left(3R_J - \frac{x J_{c,0}}{J + x m_1 J_{c,0}}\right) |c_2|^2. \end{aligned} \quad (35)$$

²If we consider the maximally grown cloud, it will have a larger angular momentum than the BH for $\alpha \ll 1$. Hence, the frequency shift due to the angular momentum of the cloud becomes dominant, and the resonance frequency deviates from that calculated from Eq. (7). This means that we should consider the deviation from the Kerr metric when we need the precise value of the resonance frequency. However, it would not change our results qualitatively, and we leave this problem as future work.

³It is only in this section that the resonance frequency is time-dependent.

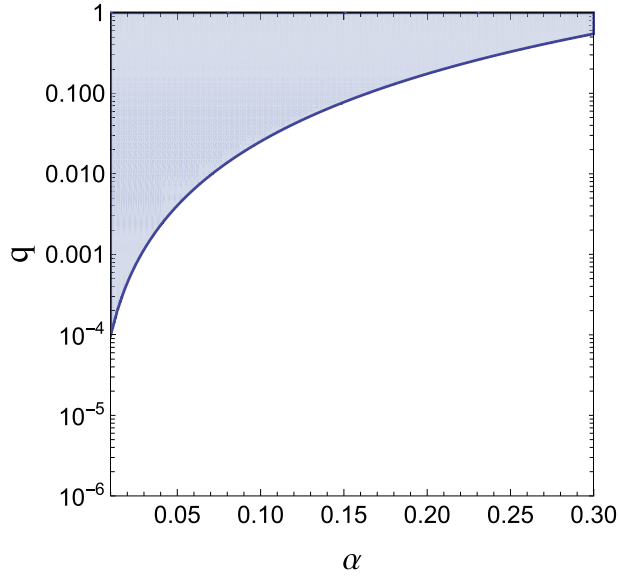


Fig. 4. Parameter region that satisfies $3R_J < xJ_{c,0}/(J + xm_1J_{c,0})$ for $|211\rangle \rightarrow |21-1\rangle$ with $J/M^2 = a_{\text{crit}}/M$, $J_{c,0}/M^2 = 1 - J/M^2$, $x = 7/32$. In the shaded region, this hyperfine transition belongs to Case I due to the shrinking of the level gap according to the angular momentum transfer.

When we ignore the backreaction on the geometry, i.e. for $x = 0$, this transition belongs to Case II. However, if $3R_J < xJ_{c,0}/(J + xm_1J_{c,0})$, the relative sign reverses and this transition belongs to Case I. In Fig. 4 we show the parameter region that satisfies $3R_J < xJ_{c,0}/(J + xm_1J_{c,0})$. For example, in the case of equal-mass binaries, this condition is satisfied and the transition effectively belongs to Case I. In other words, since the effect of shrinking the resonance frequency works more efficiently than the effect of slowing down the evolution of the orbit, the resonance band is passed through quickly and the persistent rate becomes larger.

In this hyperfine transition, the non-linearity g given in Eq. (31) should be replaced with the effective one,

$$g_{\text{eff}} \equiv \frac{|\Delta m|^2}{2\eta} \left| 3R_J - \frac{xJ_{c,0}}{J + xm_1J_{c,0}} \right| \Omega_0^{(-\infty)}. \tag{36}$$

In particular, for the transition $|211\rangle \rightarrow |21-1\rangle$ with $3R_J < xJ_{c,0}/(J + xm_1J_{c,0})$, the effective non-linearity can be approximately evaluated as

$$g_{\text{eff}} \simeq 3 \times 10^3 \frac{1+q}{q} \left(\frac{0.1}{\alpha} \right)^3 \frac{xJ_{c,0}/M^2}{(J/M^2 + xJ_{c,0}/M^2)^2}. \tag{37}$$

Therefore, even for equal-mass binaries, this transition is in the strongly non-linear regime. This means that the persistent rate is large and most of the axions remain in the state $|211\rangle$. The resonances that the binary experiences after the first hyperfine resonance are those associated with the change of n and $\Delta m > 0$. Hence, they belong to Case I. As a result, when we consider a binary system with $q = \mathcal{O}(1)$, almost all transitions from $|211\rangle$ belong to Case I and are in the strongly non-linear regime.

3.3 Persistent rate for the strongly non-linear regime

Focusing on a binary system with $q = \mathcal{O}(1)$, we investigate the level transition in the strongly non-linear regime $g \gg 1$ for Case I. In particular, we derive the self-consistent equation for the persistent rate by referring to Ref. [38].

For Case I, $\theta(t)$ in the diagonal elements of the Hamiltonian in Eq. (29) is

$$\theta(t) = \frac{|\Delta m|}{2} \gamma t + \frac{3|\Delta m|^2}{2} R_J \Omega_0 |c_2|^2. \quad (38)$$

First, we transform the coefficients as

$$c_1 = \bar{c}_1 \exp \left[-i \int_0^t dt' \theta \right], \quad c_2 = \bar{c}_2 \exp \left[+i \int_0^t dt' \theta \right]. \quad (39)$$

By this transformation, the diagonal elements in Eq. (29) vanish, and the time evolution of \bar{c}_2 is determined by

$$\frac{d\bar{c}_2}{dt} = \frac{\eta}{i} \bar{c}_1 \exp \left[-2i \int_0^t dt' \theta \right]. \quad (40)$$

Integrating this equation with the initial condition $\bar{c}_2(-\infty) = 0$, we formally obtain

$$\bar{c}_2(t) = \frac{\eta}{i} \int_{-\infty}^t dt' \bar{c}_1(t') \exp \left[-2i \int_0^{t'} dt'' \theta(t'') \right]. \quad (41)$$

Because $g \gg 1$ and the exponent in the above expression shows rapid oscillations, we evaluate the above integral using the stationary phase approximation. We denote the second-derivative coefficient at the stationary point t_0 by

$$|\Delta m| \bar{\gamma} \equiv \left. \frac{d^2}{dt^2} \left(2 \int_0^t dt' \theta(t') \right) \right|_{t_0} = |\Delta m| \gamma + 3|\Delta m|^2 R_J \Omega_0 \left. \frac{d|c_2|^2}{dt} \right|_{t_0}. \quad (42)$$

Then, we have an expression for the particle number as

$$\begin{aligned} |c_2(t)|^2 &= \eta^2 |\bar{c}_1(t_0)|^2 \left(\int_{-\infty}^t dt' \exp \left[\frac{i}{2} |\Delta m| \bar{\gamma} (t' - t_0)^2 \right] \right) \\ &\quad \times \left(\int_{-\infty}^t dt' \exp \left[-\frac{i}{2} |\Delta m| \bar{\gamma} (t' - t_0)^2 \right] \right). \end{aligned} \quad (43)$$

From this expression, we get the form of a Fresnel integral and can calculate the following quantities:

$$\left. \frac{d|c_2|^2}{dt} \right|_{t_0} = \eta^2 |\bar{c}_1(t_0)|^2 \sqrt{\frac{\pi}{|\Delta m| \bar{\gamma}}}, \quad (44)$$

$$|c_2(+\infty)|^2 = \eta^2 |\bar{c}_1(t_0)|^2 \frac{2\pi}{|\Delta m| \bar{\gamma}}. \quad (45)$$

Using the above results, we evaluate the persistent rate $\Gamma = |c_1(+\infty)|^2 = 1 - |c_2(+\infty)|^2$ self-consistently. Here, Γ is nearly 1 because of the strong non-linearity, so we approximate $|\bar{c}_1(t_0)|^2 \simeq 1$. From Eq. (45), we get

$$\frac{1}{1 - \Gamma} = \frac{|\Delta m| \bar{\gamma}}{2\pi \eta^2}. \quad (46)$$

Substituting Eq. (42) into this equation and using Eq. (44), we finally obtain a closed form of the equation for Γ as

$$\frac{1}{1 - \Gamma} = \frac{1}{2\pi z} + \frac{g}{\sqrt{2\pi}} \sqrt{1 - \Gamma}, \quad (47)$$

where the definitions of z and g are given by Eqs. (22) and (31), respectively. Solving this equation numerically, we can estimate the persistent rate including the backreaction without solving non-linear differential equations. Figure 5 compares the solution of Eq. (47) with the numerical result for the persistent rate obtained by solving Eq. (29) directly. We can see that Eq. (47) gives a good approximation of the persistent rate with backreaction for $g \gg 1$.

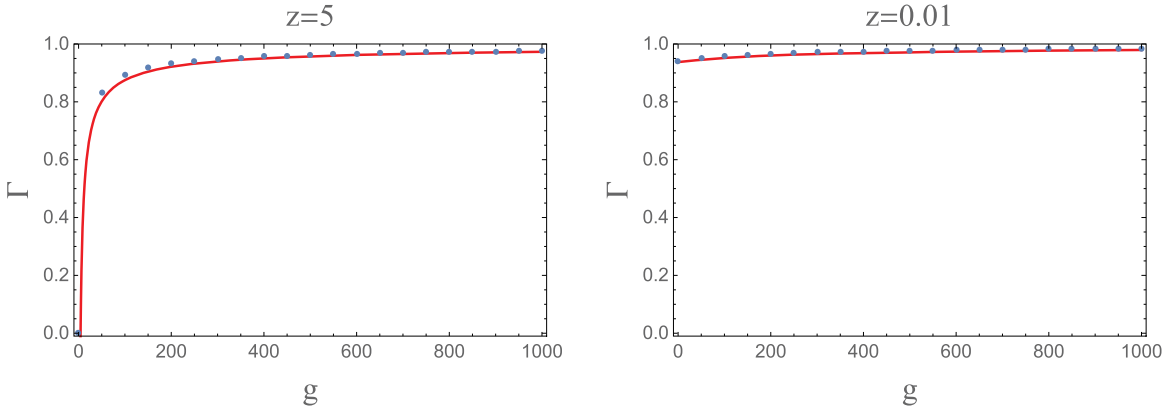


Fig. 5. Persistent rate Γ including backreaction whose strength is characterized by the non-linearity g with $z = 5$ (left) and $z = 0.1$ (right). The red solid line shows the solution of the self-consistent equation in Eq. (47) for the strong non-linear regime. The blue dots show the numerical results obtained by solving the differential equations described by the non-linear Hamiltonian in Eq. (29).

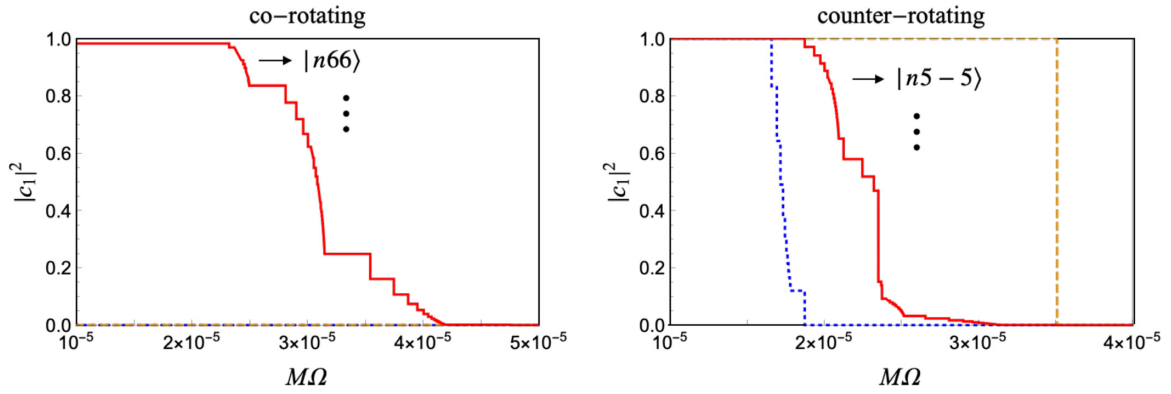


Fig. 6. Evolution of the normalized particle number $|c_1|^2$ occupying $|211\rangle$, including the backreaction for the co-rotating case (left) and the counter-rotating case (right) with $\alpha = 0.1$, $q = 1$. The red solid curve shows the transition taking into account the backreaction and the higher-multipole moments. The blue and yellow curves are the same as in Fig. 1. Note that, for the co-rotating case, since the transition across the hyperfine split is passed through with little transition, the blue and yellow lines are almost zero in this range.

Using this formula, we investigate how the evolution of the particle number shown in Fig. 1 is modified. Figure 6 shows the results obtained by replacing the persistent rate in Eq. (23) with the one evaluated using Eq. (47).⁴ For the counter-rotating case, the qualitative results do not change. By contrast, for the co-rotating case, the axion cloud passes through the resonance corresponding to the transition $|211\rangle \rightarrow |21-1\rangle$ with little transition. Later, the axions are transferred to higher levels as in the counter-rotating case.

When the mass ratio is small, i.e. $q \ll 1$, the first transition for the co-rotating case $|211\rangle \rightarrow |21-1\rangle$ belongs to Case II, even if we take into account the reduction of the hyperfine split due to the angular momentum loss of the cloud. In this case, we cannot apply the method we introduced in this section straightforwardly because of the large change in the number of

⁴In Case I with strong non-linearity, the transition becomes non-adiabatic and the particle number changes with oscillations. Since the orbital frequency also oscillates at the transition [30], strictly speaking, it may not be appropriate to treat the orbital frequency as a time coordinate. In Fig. 6, we use the persistent rate obtained by solving Eq. (29) directly for transitions with $g < 10$.

particles occupying the initial state. In addition, for such a transition, since the decay rate of the transition destination is large compared with the timescale of the evolution of the binary orbital frequency, we may not be able to treat the level transition as usual. We leave a study on the extreme-mass-ratio inspiral case as future work.

4. Axion emission

So far, we have discussed the first transition destination and found that the axions in $|211\rangle$ are transferred to higher levels for both directions of the orbital rotation, at least for $q = \mathcal{O}(1)$. At this point, since the decay (growth) rates of the transition destinations are generally very small compared with the timescale of the binary evolution, axions are not reabsorbed by the BH before the merger. However, once excited to higher levels, axions can easily be further excited by the tidal interaction to an unbounded state. In this section we evaluate the particle number flux to infinity.

4.1 Particle number flux

We evaluate the particle number flux perturbatively. We solve the following approximate equations:

$$\left(i\partial_t + \frac{1}{2\mu}\nabla^2 + \frac{\alpha}{r}\right)\psi^b = 0, \quad \left(i\partial_t + \frac{1}{2\mu}\nabla^2 + \frac{\alpha}{r}\right)\psi^r = V_*\psi^b, \quad (48)$$

where

$$\psi^b(x) = e^{-i(\omega_0 - \mu)t} R_{n_0 l_0}(r) Y_{l_0 m_0}(\theta, \varphi) \quad (49)$$

is the background bound state solution and ψ^r is the radiative part. Here, we normalize the number of particles that occupy the background state as

$$\int d^3x |\psi^b(x)|^2 = 1. \quad (50)$$

We construct the solution for the radiative part perturbatively using the Green's function as

$$\psi^r(x) = \int d^4x' G(x, x') V_* \psi^b(x'). \quad (51)$$

Here, the Green's function is defined to satisfy

$$\left(i\partial_t + \frac{1}{2\mu}\nabla^2 + \frac{\alpha}{r}\right) G(x, x') = \delta^4(x - x'). \quad (52)$$

We can decompose the Green's function as

$$G(x, x') = \sum_{lm} \int \frac{d\omega}{2\pi} e^{-i(\omega - \mu)(t - t')} Y_{lm}(\theta, \varphi) Y_{lm}^*(\theta', \varphi') G_l^\omega(r, r'). \quad (53)$$

The radial part of the Green's function, $G_l^\omega(r, r')$, can be constructed using the Coulomb wavefunction $R_{kl}(r)$ that satisfies

$$\frac{1}{2\mu} \left(\frac{1}{r^2} \frac{d}{dr} r^2 \frac{d}{dr} - \frac{l(l+1)}{r^2} + k^2 + \frac{2\mu\alpha}{r} \right) R_{kl}(r) = 0, \quad (54)$$

where $k = \sqrt{2\mu(\omega - \mu)}$. We have

$$G_l^\omega(r, r') = \frac{2\mu}{W_{kl}} (R_{kl}^0(r) R_{kl}^+(r') \theta(r' - r) + R_{kl}^0(r') R_{kl}^+(r) \theta(r - r')), \quad (55)$$

with

$$W_{kl} = r^2 \left(R_{kl}^0 \frac{dR_{kl}^+}{dr} - R_{kl}^+ \frac{dR_{kl}^0}{dr} \right). \quad (56)$$

R_{kl}^0 is regular at the origin and $R_{kl}^+(r)$ is the outgoing wave at infinity (see Appendix A for the explicit form). Now, assuming a constant orbital angular velocity for the binary, i.e. $\Phi_*(t) = \pm\Omega t$, we consider the tidal field given by

$$V_* = \sum_{l_* m_*} V_{*, l_* m_*} e^{\mp i m_* \Omega t}. \quad (57)$$

Then, we obtain the perturbative solution

$$\begin{aligned} \psi^r &= \sum_{lm} \sum_{l_* m_*} \int d^4 x' \int \frac{d\omega}{2\pi} e^{-i(\omega-\mu)(t-t')} Y_{lm}(\theta, \varphi) Y_{lm}^*(\theta', \varphi') \\ &\times V_{*, l_* m_*}(r', \theta', \varphi') e^{\mp i m_* \Omega t'} G_l^\omega(r, r') e^{-i(\omega_0-\mu)t'} R_{n_0 l_0}(r') Y_{l_0 m_0}(\theta', \varphi') \\ &\rightarrow - \sum_{lm} \sum_{l_* m_*} \int d\omega \delta(\omega - \omega_0 \mp m_* \Omega) e^{-i(\omega-\mu)t} \frac{e^{ikr}}{r} Y_{lm}(\theta, \varphi) \frac{2\mu}{k} \\ &\times \int dr' r'^2 d\theta' \sin \theta' d\varphi' R_{kl}^0(r') Y_{lm}^*(\theta', \varphi') V_{*, l_* m_*}(r', \theta', \varphi') R_{n_0 l_0}(r') Y_{l_0 m_0}(\theta', \varphi'), \end{aligned} \quad (58)$$

where we take the limit $r \rightarrow \infty$ and choose the normalization of R_{kl}^0 as given in Appendix A. Therefore, we can calculate the normalized particle number flux to infinity as

$$\begin{aligned} F &= \int d\theta \sin \theta d\varphi \frac{r^2}{2\mu i} (\psi^{r*} \partial_r \psi^r - \psi^r \partial_r \psi^{r*}) \\ &= \sum_{lm} \sum_{l_* m_*} \frac{4\mu}{\sqrt{2\mu((\omega_0 \pm m_* \Omega) - \mu)}} \left| \int d^3 x' R_{kl}^0 Y_{lm}^* V_{*, l_* m_*} R_{n_0 l_0} Y_{l_0 m_0} \right|^2. \end{aligned} \quad (59)$$

4.2 Results

Here, we show how efficiently this emission works in comparison with the timescale of the binary evolution,

$$T_{\text{binary}} \equiv \frac{\Omega}{\gamma} = \frac{5}{96} M \frac{(1+q)^{1/3}}{q} (M\Omega)^{-8/3}. \quad (60)$$

First, for simplicity, we give an analytic estimate of the flux from a cloud composed of the $|211\rangle$ state in the limit $k \rightarrow 0$. This limit corresponds to the case where the binary orbital frequency is just at the threshold for the excitation to an unbound state. To estimate the flux, we consider the component that gives the maximum amplitude of the tidal field, i.e. the flux due to the $(l_*, m_*) = (2, \pm 2)$ perturbation. Hence,

$$M\Omega \simeq \frac{1}{|\Delta m|} \frac{\alpha^3}{2n^2} = \frac{\alpha^3}{16}. \quad (61)$$

At this frequency, the timescale of the binary's evolution is given by

$$T_{\text{binary}} \simeq 8 \times 10^9 \frac{(1+q)^{1/3}}{q} M \left(\frac{0.1}{\alpha} \right)^8. \quad (62)$$

In the limit $k \rightarrow 0$, the radiative wave function is given by

$$R_{kl}^0 \rightarrow \sqrt{k} \sqrt{\pi/r} J_{2l+1}(\sqrt{8\mu\alpha r}), \quad (63)$$

where $J_\nu(x)$ is the Bessel function. Then, we can roughly estimate the flux as⁵

$$F \simeq 2 \times 10^{-5} \frac{1}{M} \frac{q^2}{(1+q)^2} \left(\frac{\alpha}{0.1} \right)^3 \quad (\text{co-rotating}), \quad (64)$$

⁵Here, we consider only the inner part of the perturbation in Eq. (10) and underestimate the actual value. However, the flux is still so large that it should not affect the conclusion.

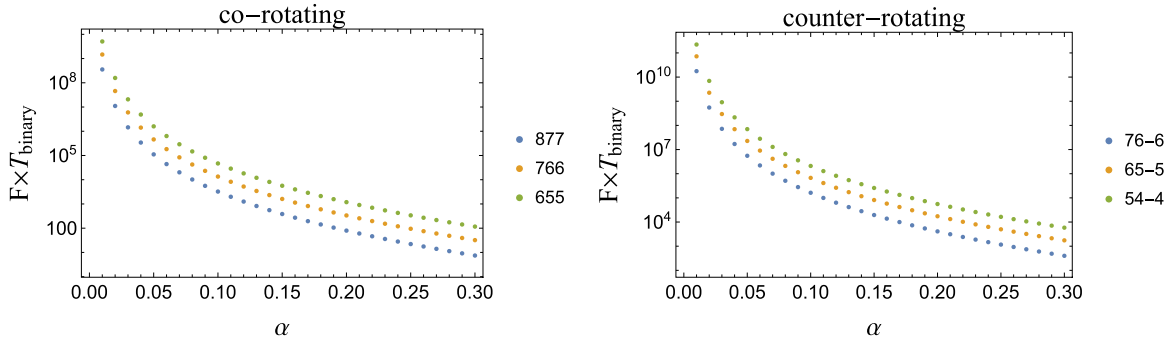


Fig. 7. Comparison between the normalized particle number flux and the timescale of the binary's evolution for both co-rotating (left) and counter-rotating (right) cases. They are evaluated at the resonance frequency for the transition from $|211\rangle$ to each level for $q = 1$.

$$F \simeq 4 \times 10^{-6} \frac{1}{M} \frac{q^2}{(1+q)^2} \left(\frac{\alpha}{0.1}\right)^3 \quad (\text{counter-rotating}). \quad (65)$$

The particle number flux divided by the number of particles contained in the cloud is sufficiently large compared with the timescale of the binary evolution, except for the extreme mass ratio inspiral case with $q \ll 1$. This means that if the orbital frequency reaches the threshold for the transition to an unbound state, bounded axions are all radiated away.

In practice, as we showed in the previous section, axions are first transferred to the levels with some higher l . Hence, Fig. 7 shows the numerical results of the comparison between the flux from higher levels and the timescale of the orbital evolution due to radiation reaction for $q = 1$. The orbital frequency is set to the resonance frequency for the transition from $|211\rangle$ to each level. As one can see from this figure, axions transferred to higher levels will be radiated away to infinity immediately. Therefore, we conclude that, for equal-mass binaries, the axion cloud disappears just after the first transition, through outward radiation, without being reabsorbed by the BH.

5. Summary and discussion

In this paper we studied the dynamics of the axion cloud associated with a BH in a binary system during the inspiral phase, for the purpose of giving a reliable constraint on the properties of axions from observations of GWs and BHs. The presence of the cloud should affect the gravitational waveform, especially if it remains until a late inspiral phase close to the merger time. In addition, the level transition of the cloud due to the binary tidal interaction may change the effective forbidden region in the mass and spin parameters of BHs observed in binary systems. Therefore, we have clarified in which case the cloud disappears, and when it disappears, when and how the process proceeds. We have mainly focused on equal-mass binaries, which are the main target of ground-based gravitational wave detectors, and extended the previous studies in the following manner.

First, we studied level transitions taking into account the higher-multipole moments of the tidal perturbation without the backreaction to the orbital evolution. Though the magnitude of higher-multipole perturbations is smaller than that of the leading quadrupole moment, they can be sufficiently strong to make a transition by a non-negligible amount. The resonance frequency is roughly inversely proportional to the difference of the azimuthal number between two levels, unless the difference of the energies between two levels is governed by the hyperfine

split. Therefore, higher-multipole moments can have an effect earlier during the inspiral of the binary orbit. We confirmed that this picture is correct and showed the parameter dependence.

Second, we investigated precisely the effects of backreaction due to the angular momentum transfer associated with the level transition. We described the level transition with this backreaction by introducing a non-linear model Hamiltonian, and analyzed its behavior. In particular, for the transition between two levels gapped by the hyperfine split, which is proportional to the angular momentum of the BH and the cloud, we considered the backreaction from the transfer of angular momentum between the cloud and the orbital motion. This effect makes the duration at the resonance band shorter and the persistent rate of the initial level larger. In addition, we derived an analytic closed formula for the persistent rate in a strongly non-linear regime. Using this formula, we analyzed the transitions with backreaction and found that axions are transferred to levels with a larger l gradually for both co-rotating and counter-rotating cases.

Finally, we considered the axion emission to infinity from the cloud induced by the tidal interaction. At the first transition, axions are transferred to higher levels, which neither decay nor grow rapidly by themselves. Hence, axions are not reabsorbed by the BH. However, they can be easily excited to a continuous unbound state. We calculated the normalized particle number flux and compared it with the timescale of the evolution of the binary orbital frequency. As a result, the flux turned out to be large enough to deplete the axion clouds completely through the radiation to infinity.

From the above results, we can conclude that axion clouds in equal-mass binary systems will disappear during the early inspiral phase due to the transition to unbound states caused by the tidal perturbation. This fact may imply that it is difficult to detect the modulation of the gravitational waveform caused by the presence of axion clouds using ground-based gravitational wave detectors. On the other hand, because axions are not reabsorbed by the BH, the tidal interaction due to the companion in binaries does not affect the evolution of the BH spin. This implies that the current constraints on the possible axion mass from the distribution of the mass and the spin of BHs will not be altered, even if we use estimates of these parameters derived from gravitational wave observations of binary black holes. We have concentrated on the theoretical aspects here, and we leave more careful examination of the possible implications for observations, along with the case of extreme-mass-ratio inspirals, for future study.

Acknowledgments

This work is supported by JSPS Grant-in-Aid for Scientific Research JP17H06358 (and also JP17H06357), as part of the innovative research area ‘‘Gravitational wave physics and astronomy: Genesis,’’ and also by JP20K03928. T. Takahashi is supported by the establishment of university fellowships towards the creation of science technology innovation.

Appendix A Wavefunction for a hydrogen atom

In this appendix we summarize the wavefunction for a hydrogen atom that we use in this paper. We consider a Schrödinger-type equation with the Coulomb potential

$$\left(i\partial_t + \frac{1}{2\mu}\nabla^2 + \frac{\alpha}{r}\right)\psi = 0. \quad (\text{A1})$$

The general solution of this equation can be written by the superposition of discrete unbound states and continuous unbound states as

$$\psi = \sum_{nlm} c_{nlm} \psi_{nlm} + \int dk \sum_{lm} c_{lm}(k) \psi_{klm}. \quad (\text{A2})$$

The bound-state solution can be written as

$$\psi_{nlm} = e^{-i(\omega_{nlm} - \mu)t} R_{nl}(r) Y_{lm}(\theta, \varphi). \quad (\text{A3})$$

In particular, the radial wavefunction that is regular at the origin is

$$R_{nl}(r) = \left[\left(\frac{2}{nr_0} \right)^3 \frac{(n-l-1)!}{2n(n+l)!} \right]^{1/2} e^{-\tilde{r}/n} \left(\frac{2\tilde{r}}{n} \right)^l L_{n-l-1}^{2l+1} \left(\frac{2\tilde{r}}{n} \right), \quad (\text{A4})$$

where

$$\tilde{r} = \frac{r}{r_0}, \quad r_0 = \frac{1}{\mu\alpha}. \quad (\text{A5})$$

$L_p^q(x)$ is the generalized Laguerre polynomial, defined by

$$L_p^q(x) = \sum_{n=0}^p (-1)^n \binom{p+q}{p-n} \frac{x^n}{n!}. \quad (\text{A6})$$

The unbound-state solution can be written by

$$\psi_{klm} = e^{-i(\omega(k) - \mu)t} R_{kl}(r) Y_{lm}(\theta, \varphi). \quad (\text{A7})$$

The radial wavefunctions which are regular at the origin and outgoing at infinity are, respectively,

$$R_{kl}^0(r) = \frac{F_l(-1/kr_0, kr)}{r} \rightarrow \begin{cases} C_l(-1/kr_0) k(kr)^l & (r \rightarrow 0), \\ \frac{\sin kr}{r} & (r \rightarrow \infty), \end{cases} \quad (\text{A8})$$

$$R_{kl}^+(r) = \frac{H_l^+(-1/ka_0, kr)}{r} \rightarrow \frac{e^{ikr}}{r} \quad (r \rightarrow \infty), \quad (\text{A9})$$

where

$$F_l(\eta, \rho) = C_l(\eta) \rho^{l+1} e^{-i\rho} {}_1F_1(l+1-i\eta, 2l+2, 2i\rho), \quad (\text{A10})$$

$$C_l(\eta) = \frac{2^l e^{-\pi\eta/2} |\Gamma(l+1+i\eta)|}{(2l+1)!}. \quad (\text{A11})$$

${}_1F_1$ is the confluent hypergeometric function; see Ref. [39] for H_l^+ , whose explicit form is not necessary in this paper.

Appendix B Frequency shift due to the angular momentum of the axion cloud

In this appendix we derive the frequency shift due to the angular momentum of the axion cloud itself. This shift is sourced by the t - φ component of the metric perturbation from the background as [19]

$$\left(i\partial_t + \frac{1}{2\mu} \nabla^2 + \frac{\alpha}{r} \right) \psi = i\delta g^{t\varphi} \partial_\varphi \psi. \quad (\text{B1})$$

Writing the frequency $\omega = \bar{\omega} + \delta\omega$, we have

$$\delta\omega = \frac{-m \int d^3x \delta g^{t\varphi} |\psi_{nlm}(x)|^2}{\int d^3x |\psi_{nlm}(x)|^2}. \quad (\text{B2})$$

If we consider the leading term of the Kerr metric $\delta g^{t\varphi} = -2Mar^3$, we can reproduce the fifth term of Eq. (7). Here, we evaluate the metric perturbation due to the self-gravity of the axion

cloud. Approximating the background by a Minkowski spacetime, we calculate the stationary t - φ component of the metric perturbation by

$$\Delta \delta g_{t\varphi} \simeq -16\pi T_{t\varphi}, \quad (\text{B3})$$

where Δ is the Laplacian in flat space and T_{ti} is the t - i component of the energy-momentum tensor. Here, we write $x^i = (x, y, z)$. Solving Eq. (B.3), we have

$$\delta g_{t\varphi} = -16\pi \frac{\partial x^i}{\partial \varphi} \int d^3 x' \frac{-1}{4\pi |\mathbf{x} - \mathbf{x}'|} T_{ti}. \quad (\text{B4})$$

On the other hand, the t - φ component of the energy-momentum tensor is

$$T_{t\varphi} = -\frac{2\omega m}{\mu} |\psi_{nlm}(x)|^2, \quad (\text{B5})$$

and we can evaluate the angular momentum of the axion cloud as

$$J_c \simeq - \int d^3 x T_{t\varphi} = \frac{2\omega m}{\mu} \int d^3 x |\psi_{nlm}(x)|^2. \quad (\text{B6})$$

As a result, using $\delta g^{t\varphi} = \delta g_{t\varphi}/r^2 \sin^2 \theta$, we have the frequency shift as

$$\begin{aligned} \frac{\delta \omega}{\mu} &= \frac{4m}{\mu} J_c \frac{\int d^3 x d^3 x' \frac{1}{r \sin \theta} \frac{1}{r' \sin \theta'} \frac{\cos(\varphi - \varphi')}{|\mathbf{x} - \mathbf{x}'|} |\psi_{nlm}(x)|^2 |\psi_{nlm}(x')|^2}{\left(\int d^3 x |\psi_{nlm}(x)|^2 \right)^2} \\ &= 4m\alpha^5 \frac{J_c}{M^2} \sum_{LM} \frac{4\pi}{2L+1} \\ &\quad \times \int d\tilde{r} d\tilde{r}' r\tilde{r} \tilde{R}_{nl}^2(\tilde{r}) \tilde{R}_{nl}^2(\tilde{r}') \left(\frac{\tilde{r}^L}{\tilde{r}^{L+1}} \theta(\tilde{r} - \tilde{r}') + \frac{\tilde{r}'^L}{\tilde{r}'^{L+1}} \theta(\tilde{r}' - \tilde{r}) \right) \\ &\quad \times \int d\theta d\varphi d\theta' d\varphi' \cos(\varphi - \varphi') Y_{LM}(\Omega) |Y_{lm}(\Omega)|^2 Y_{LM}^*(\Omega') |Y_{lm}(\Omega')|^2. \end{aligned} \quad (\text{B7})$$

In particular, for the cloud with $|nlm\rangle = |21\pm 1\rangle$, we have

$$\frac{\delta \omega}{\mu} = \frac{7}{384} m\alpha^5 \frac{J_c}{M^2}. \quad (\text{B8})$$

In this case, the parameter we defined in Section 3.2 becomes $x = (7/384)/(1/12) = 7/32$. This parameter x depends on the choice of the pair of levels: the one that produces the metric perturbation and the one whose energy eigenvalue is affected by it. However, since x remains $\mathcal{O}(1)$ anyway, we adopt this value as an approximation for our analysis.

Note that the frequency shift due to the t - t component of the self-gravity is also calculated in the appendix of Ref. [31]. This effect comes from the order of $\mu\alpha^3$. Hence, when we consider the transition between different n modes, which is of the order of $\mu\alpha^2$, we can neglect it.

References

- [1] A. Arvanitaki, S. Dimopoulos, S. Dubovsky, N. Kaloper, and J. March-Russell, Phys. Rev. D **81**, 123530 (2010).
- [2] P. Svrcek and E. Witten, J. High Energy Phys. **06**, 051 (2006).
- [3] M. Dine and W. Fischler, Phys. Lett. B **120**, 137 (1983).
- [4] J. Preskill, M. B. Wise, and F. Wilczek, Phys. Lett. B **120**, 127 (1983).
- [5] L. F. Abbott and P. Sikivie, Phys. Lett. B **120**, 133 (1983).
- [6] L. Hui, J. P. Ostriker, S. Tremaine, and E. Witten, Phys. Rev. D **95**, 043541 (2017).
- [7] R. Brito, V. Cardoso, and P. Pani, Superradiance: New Frontiers in Black Hole Physics, Lecture Notes in Physics Vol. 971, (2020), 10.1007/978-3-030-46622-0.
- [8] S. L. Detweiler, Phys. Rev. D **22**, 2323 (1980).
- [9] S. R. Dolan, Phys. Rev. D **76**, 084001 (2007).

- [10] A. Arvanitaki and S. Dubovsky, *Phys. Rev. D* **83**, 044026 (2011).
- [11] R. Brito, V. Cardoso, and P. Pani, *Class. Quant. Grav.* **32**, 134001 (2015).
- [12] R. Brito, S. Ghosh, E. Barausse, E. Berti, V. Cardoso, I. Dvorkin, A. Klein, and P. Pani, *Phys. Rev. D* **96**, 064050 (2017).
- [13] A. Arvanitaki, M. Baryakhtar, and X. Huang, *Phys. Rev. D* **91**, 084011 (2015).
- [14] A. Arvanitaki, M. Baryakhtar, S. Dimopoulos, S. Dubovsky, and R. Lasenby, *Phys. Rev. D* **95**, 043001 (2017).
- [15] H. Yoshino and H. Kodama, *Prog. Theor. Exp. Phys.* **2014**, 043E02 (2014).
- [16] S. Choudhary, N. Sanchis-Gual, A. Gupta, J. C. Degollado, S. Bose, and J. A. Font, *Phys. Rev. D* **103**, 044032 (2021).
- [17] A. K.-W. Chung, J. Gais, M. H.-Y. Cheung, and T. G. F. Li, *Phys. Rev. D* **104**, 084028 (2021).
- [18] V. De Luca and P. Pani, *J. Cosmol. Astropart. Phys.* **08**, 032 (2021).
- [19] D. Baumann, H. S. Chia, and R. A. Porto, *Phys. Rev. D* **99**, 044001 (2019).
- [20] T. Liu and K.-F. Lyu, [arXiv:2107.09971](https://arxiv.org/abs/2107.09971) [astro-ph.HE] [[Search inSPIRE](#)].
- [21] L. Tsukada, T. Callister, A. Matas, and P. Meyers, *Phys. Rev. D* **99**, 103015 (2019).
- [22] K. K. Y. Ng, S. Vitale, O. A. Hannuksela, and T. G. F. Li, *Phys. Rev. Lett* **126**, 151102 (2021).
- [23] R. Abbott et al., [arXiv:2111.15507](https://arxiv.org/abs/2111.15507) [astro-ph.HE] [[Search inSPIRE](#)].
- [24] B. P. Abbott et al., *Phys. Rev. Lett.* **116**, 061102 (2016).
- [25] B. P. Abbott et al., *Phys. Rev. D* **93**, 122003 (2016).
- [26] R. Abbott et al., [arXiv:2111.03606](https://arxiv.org/abs/2111.03606) [gr-qc] [[Search inSPIRE](#)].
- [27] V. Cardoso, F. Duque, and T. Ikeda, *Phys. Rev. D* **101**, 064054 (2020).
- [28] E. Berti, R. Brito, C. F. B. Macedo, G. Raposo, and J. L. Rosa, *Phys. Rev. D* **99**, 104039 (2019).
- [29] T. Takahashi and T. Tanaka, *J. Cosmol. Astropart. Phys.* **10**, 031 (2021).
- [30] D. Baumann, H. S. Chia, R. A. Porto, and J. Stout, *Phys. Rev. D* **101**, 083019 (2020).
- [31] M. Baryakhtar, M. Galanis, R. Lasenby, and O. Simon, *Phys. Rev. D* **103**, 095019 (2021).
- [32] H. Omiya, T. Takahashi, and T. Tanaka, *Prog. Theor. Exp. Phys.* **2021**, 043E02 (2021).
- [33] D. Baumann, H. S. Chia, J. Stout, and L. ter Haar, *J. Cosmol. Astropart. Phys.* **12**, 006 (2019).
- [34] P. C. Peters and J. Mathews, *Phys. Rev.* **131**, 435 (1963).
- [35] L. Blanchet, *Living Rev. Rel.* **17**, 2 (2014).
- [36] L. D. Landau, *Zur Theorie der Energieubertragung II* **2**, 46 (1932).
- [37] C. Zener, *Proc. Roy. Soc. Lond. A* **137**, 696 (1932).
- [38] J. Liu, L. Fu, B.-Y. Ou, S.-G. Chen, D.-I. Choi, B. Wu, and Q. Niu, *Phys. Rev. A* **66**, 023404 (2002).
- [39] National Institute of Standards, NIST Digital Library of Mathematical Functions (NIST, Gaithersburg, MD, 2022) (available at: <https://dlmf.nist.gov/>, date last accessed: March 10, 2022).