

# Meson mass and the sign problem at finite theta

Takahiro Sasaki<sup>1</sup>, Hiroaki Kouno<sup>2</sup> and Masanobu Yahiro<sup>1</sup>

<sup>1</sup> Department of Physics, Graduate School of Sciences, Kyushu University, Fukuoka 812-8581, Japan

<sup>2</sup> Department of Physics, Saga University, Saga 840-8502, Japan

E-mail: [sasaki@email.phys.kyushu-u.ac.jp](mailto:sasaki@email.phys.kyushu-u.ac.jp)

**Abstract.** We propose a practical way of circumventing the sign problem in lattice QCD simulations with the theta-vacuum term. This method is the reweighting method for QCD Lagrangian after the  $U_A(1)$  transformation. In the Lagrangian, the  $P$ -odd mass term as a cause of the sign problem is minimized. In order to find out a good reference system in the reweighting method, we estimate the average reweighting factor by using the two-flavor NJL model and eventually find a good reference system.

## 1. Introduction

The existence of instanton solution requires QCD Lagrangian with the theta vacuum:

$$\mathcal{L} = \sum_f \bar{q}_f(\gamma_\nu D_\nu + m_f)q_f + \frac{1}{4g^2}F_{\mu\nu}^a F_{\mu\nu}^a - i\theta \frac{1}{64\pi^2} \varepsilon_{\mu\nu\sigma\rho} F_{\mu\nu}^a F_{\sigma\rho}^a, \quad (1)$$

in Euclidean spacetime. Hereafter, we will consider two-flavor QCD and assume isospin symmetry,  $m_u = m_d = m_0$ . Though the angle  $\theta$  can take any arbitrary value theoretically, experimental measurements of neutron dipole moment give the upper limit,  $|\theta| < 10^{-9}$ [1, 2]. Why should  $\theta$  be so small? This long-standing puzzle is called the strong  $CP$  problem.

Since the upper limit is determined only at zero temperature, the behavior is nontrivial for finite temperature. Hence the first-principle lattice simulation is needed, but it has the sign problem for finite  $\theta$ . After making  $U_A(1)$  transformation

$$q = e^{i\gamma_5 \frac{\theta}{4}} q', \quad (2)$$

$\theta$  dependence appears only through the mass term

$$m_0(\theta) = m_0 \cos(\theta/2) + m_0 i\gamma_5 \sin(\theta/2), \quad (3)$$

in the transformed Lagrangian

$$\mathcal{L} = \sum_f \bar{q}_f(\gamma_\nu D_\nu + m_0(\theta))q_f + \frac{1}{4g^2}F_{\mu\nu}^a F_{\mu\nu}^a. \quad (4)$$

The  $P$ -odd mass term including  $i\gamma_5$  makes the fermion determinant complex.

Because of the sign problem, we should perform a reweighting method in lattice simulations. The vacuum expectation value of operator  $\mathcal{O}$  is obtained by

$$\langle \mathcal{O} \rangle = \int \mathcal{D}A \mathcal{O} \det \mathcal{M}(\theta) e^{-S_g} \quad (5)$$

$$= \int \mathcal{D}A \mathcal{O}' \det \mathcal{M}_{\text{ref}}(\theta) e^{-S_g} \quad (6)$$

with the gluon part  $S_g$  of the QCD action and

$$\mathcal{O}' \equiv R(\theta) \mathcal{O}, \quad (7)$$

$$R(\theta) \equiv \frac{\det \mathcal{M}(\theta)}{\det \mathcal{M}_{\text{ref}}(\theta)}, \quad (8)$$

where  $R(\theta)$  is the reweighting factor and  $\det \mathcal{M}_{\text{ref}}(\theta)$  is the Fermion determinant of the reference theory that has no sign problem. The simplest candidate of the reference theory is the theory in which the  $\theta$ -odd term is neglected in the mass term (3). We refer to this reference theory as reference A in this paper. As discussed in Ref. [3], reference A may be a good reference theory for small and intermediate  $\theta$ , but not for large  $\theta$  near  $\pi$ . In reference A, the limit of  $\theta = \pi$  corresponds to the chiral limit for  $\det \mathcal{M}_{\text{ref}}$  that is hard for LQCD simulations to reach.

The expectation value of  $R(\theta)$  in the reference theory is obtained by

$$\langle R(\theta) \rangle = \frac{Z}{Z_{\text{ref}}} \quad (9)$$

where  $Z$  ( $Z_{\text{ref}}$ ) is the partition function of the original (reference) theory. The average reweighting factor  $\langle R(\theta) \rangle$  is a good index for the reference theory to be good; the reference theory is good when  $\langle R(\theta) \rangle = 1$ .

In this paper, we estimate  $\langle R(\theta) \rangle$  with the two-flavor NJL model in order to find out a good reference theory. We find that reference A is good only for small  $\theta$ , so propose a good reference theory that satisfies  $\langle R(\theta) \rangle \approx 1$ . This work is based on the Ref. [4].

## 2. Model setting

The two-flavor NJL Lagrangian with the  $\theta$ -dependent term is obtained by

$$\mathcal{L} = \bar{q}(\gamma_\nu \partial_\nu + m_0)q - G_1 \sum_{a=0}^3 [(\bar{q}\tau_a q)^2 + (\bar{q}i\gamma_5 \tau_a q)^2] - 8G_2 [e^{i\theta} \det \bar{q}_R q_L + e^{-i\theta} \det \bar{q}_L q_R], \quad (10)$$

in Euclidean spacetime where  $m_0$  is the current quark mass and  $\tau_0$  and  $\tau_a$  ( $a = 1, 2, 3$ ) are the  $2 \times 2$  unit and Pauli matrices in the flavor space, respectively. The parameter  $G_1$  denotes the coupling constant of the scalar and pseudoscalar-type four-quark interactions, while  $G_2$  stands for that of the Kobayashi-Maskawa-'t Hooft determinant interaction [5, 6] where the matrix indices run in the flavor space. Under the  $U_A(1)$  transformation (2), the Lagrangian density is then rewritten with  $q'$  as

$$\mathcal{L} = \bar{q}'(\gamma_\nu \partial_\nu + m_0(\theta))q' - G_+ [(\bar{q}'q')^2 + (\bar{q}'i\gamma_5 \vec{\tau}q')^2] - G_- [(\bar{q}'\vec{\tau}q')^2 + (\bar{q}'i\gamma_5 q')^2], \quad (11)$$

where  $G_\pm = G_1 \pm G_2$ .

Applying the saddle-point approximation to the path integral in the partition function, one can get the average reweighting factor  $\langle R(\theta) \rangle$ ,

$$\langle R(\theta) \rangle \approx R_A R_B \quad (12)$$

$$R_A = \sqrt{\frac{\det H_{\text{ref}}}{\det H}}, \quad R_B = e^{-\beta V(\Omega - \Omega_{\text{ref}})}, \quad (13)$$

where  $\beta = 1/T$  and  $\Omega$  ( $\Omega_{\text{ref}}$ ) is the thermodynamic potential at the mean-field level in the original (reference) theory [4].  $H$  ( $H_{\text{ref}}$ ) is the Hessian matrix in the original (reference) theory defined by [7, 8]

$$H_{ij} = \frac{\partial^2 \Omega}{\partial \phi'_i \partial \phi'_j}, \quad \{\phi'_i\} = \{\sigma', \eta', \vec{a}', \vec{\pi}'\}, \quad (14)$$

with the quark-condensates

$$\sigma' = \langle \bar{q}q \rangle, \quad \eta' = \langle \bar{q}i\gamma_5 q \rangle, \quad \vec{a}' = \langle \bar{q}\vec{\tau}q \rangle, \quad \vec{\pi}' = \langle \bar{q}i\gamma_5 \vec{\tau}q \rangle. \quad (15)$$

The four-dimensional volume  $\beta V$  is obtained by  $\beta V = (N_x/N_\tau)^3 T^{-4}$  for the  $N_x^3 \times N_\tau$  lattice. Here we consider  $N_x/N_\tau = 4$  as a typical example, following Refs. [7, 8].

We consider the following reference theory that has no sign problem:

$$\mathcal{L} = \bar{q}'(\gamma_\nu \partial_\nu + m_{\text{ref}}(\theta))q' - G_+ [(\bar{q}'q')^2 + (\bar{q}'i\gamma_5 \vec{\tau}q')^2] - G_- [(\bar{q}'\vec{\tau}q')^2 + (\bar{q}'i\gamma_5 q')^2]. \quad (16)$$

Here  $m_{\text{ref}}(\theta)$  is  $\theta$ -even mass defined below. We consider three examples as  $m_{\text{ref}}(\theta)$ .

### 3. Numerical results

If some reference system satisfies the condition  $\langle R(\theta) \rangle \approx 1$ , one can say that the reference system is good. As a typical example of the condition, we consider the case of  $0.5 \lesssim \langle R(\theta) \rangle \lesssim 2$ . This condition seems to be the minimum requirement. The discussion made below is not changed qualitatively, even if one takes a stronger condition.

The first example is reference A defined by

$$\begin{aligned} m_{\text{ref}}(\theta) &\equiv m_A(\theta) \\ &= m_0 \cos(\theta/2). \end{aligned} \quad (17)$$

In this case, the  $P$ -odd mass is simply neglected from the original Lagrangian (11).

Figure 1(a) shows  $\theta$  dependence of  $\langle R(\theta) \rangle$  at  $T = 100$  MeV. The solid line stands for  $\langle R(\theta) \rangle$ , while the dashed (dotted) line corresponds to  $R_A$  ( $R_B$ ). This temperature is lower than the chiral transition temperature in the original theory that is 206 MeV at  $\theta = 0$  and 194 MeV at  $\theta = \pi$ . As  $\theta$  increases from zero,  $\langle R(\theta) \rangle$  also increases and exceeds 2 at  $\theta \approx 1.2$ . Reference A is thus good for  $\theta \lesssim 1.2$ .

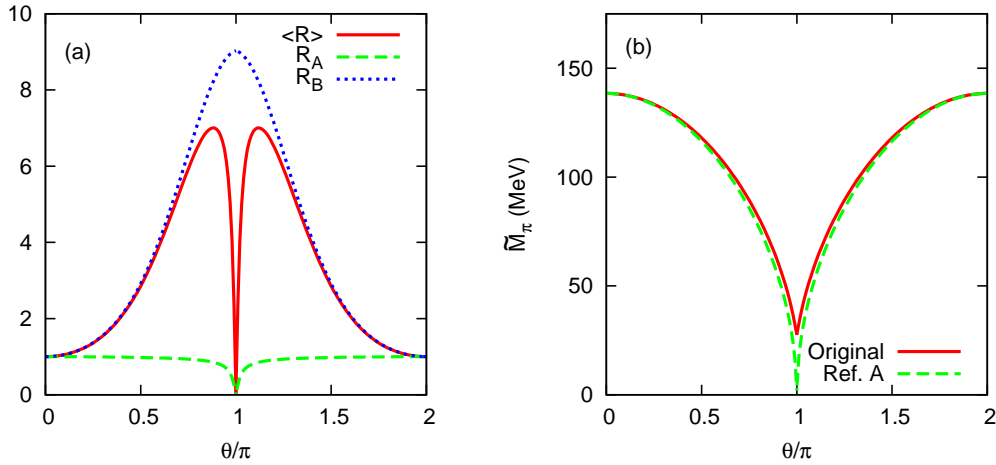
Figure 1(b) shows  $\theta$  dependence of pion mass  $\tilde{M}_\pi$  at  $T = 100$  MeV. Since  $P$  symmetry is broken at finite  $\theta$ ,  $P$ -even modes and  $P$ -odd modes are mixed with each other for each meson. Hence,  $\tilde{M}_\pi$  is defined by the lowest pole mass of the inverse propagator in the isovector channel[4]. The solid (dashed) line denotes  $\tilde{M}_\pi$  in the original (reference A) system. At  $\theta = \pi$ ,  $\tilde{M}_\pi$  is finite in the original system, but zero in reference A. As a consequence of this property,  $R_A$  and  $\langle R(\theta) \rangle$  vanish at  $\theta = \pi$ ; see Fig. 1(a). This indicates that reference A breaks down at  $\theta = \pi$ .

The second example is reference B defined by

$$\begin{aligned} m_{\text{ref}}(\theta) &\equiv m_B(\theta) \\ &= m_0 \cos(\theta/2) + \frac{1}{\alpha} \{m_0 \sin(\theta/2)\}^2. \end{aligned} \quad (18)$$

In this case, we have added the  $m_0^2$ -order correction due to the  $P$ -odd quark mass. Here  $\alpha$  is a parameter with mass dimension, so we simply choose  $\alpha = M_\pi$ . The coefficient of the correction term is  $m_0^2/M_\pi = 0.129$  MeV.

The same analysis is made for reference B in Fig. 2. As shown in panel (b),  $\tilde{M}_\pi$  in reference



**Figure 1.**  $\theta$  dependence of (a) the average reweighting factor and (b)  $\tilde{M}_\pi$  at  $T = 100$  MeV for the case of reference A.

B well reproduces that in the original theory for any  $\theta$ . As shown in panel (a), however, the reliable  $\theta$  region in which  $0.5 \lesssim \langle R(\theta) \rangle \lesssim 2$  is located only at  $\theta \lesssim 1.3$ . Therefore reference B is still not good.

Finally we consider reference C. The pion mass  $\tilde{M}_\pi(\theta)$  at finite  $\theta$  is estimated from the chiral Lagrangian and  $1/N_c$  analysis [9]:

$$\tilde{M}_\pi^2(\theta) = \frac{|\sigma_0|}{f_\pi^2} \left[ m_0 |\cos(\theta/2)| + \frac{m_0 M_\pi^2}{M_{\eta'}^2} \sin^2(\theta/2) \right]. \quad (19)$$

where  $\sigma_0$  is the chiral condensate at  $T = \theta = 0$ . Interpreting a  $\theta$  dependent mass from this result, reference C is defined by

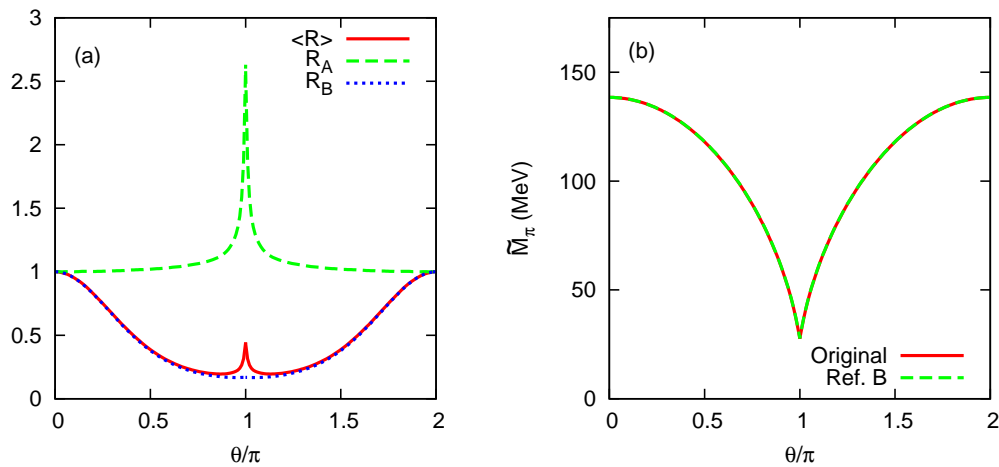
$$\begin{aligned} m_{\text{ref}}(\theta) &\equiv m_C(\theta) \\ &= m_0 \cos(\theta/2) + \frac{m_0 M_\pi^2}{M_{\eta'}^2} \sin^2(\theta/2). \end{aligned} \quad (20)$$

This case also has the  $m_0^2$ -order correction, but  $\alpha$  is different from reference B. The coefficient of the correction term is  $m_0 M_\pi^2 / M_{\eta'}^2 = 0.114$  MeV.

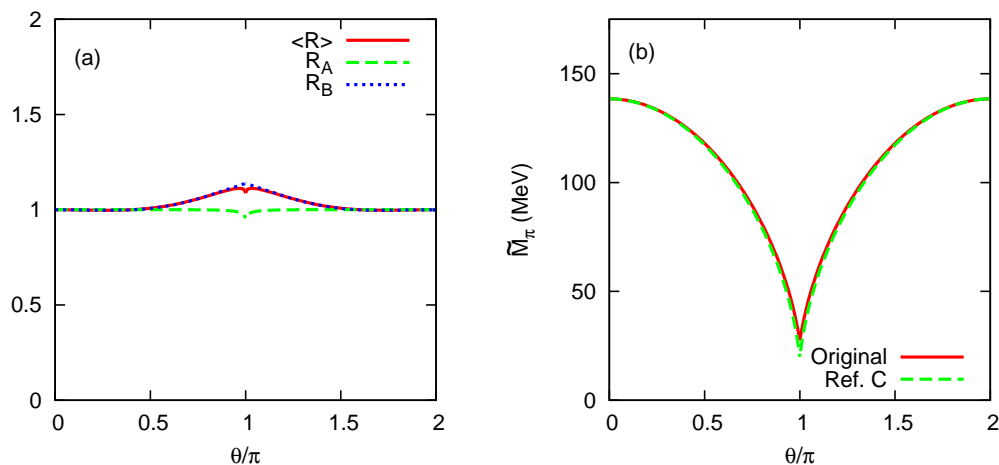
As shown in Fig. 3(b),  $\tilde{M}_\pi$  in reference C slightly underestimates that of the original theory at small and intermediate  $\theta$ . As shown in Fig. 3(a), however,  $\langle R(\theta) \rangle$  satisfies the condition  $0.5 \lesssim \langle R(\theta) \rangle \lesssim 2$  for all  $\theta$ . Therefore we can think that reference C is a good reference system for any  $\theta$ .

#### 4. Summary

We have proposed a practical way of circumventing the sign problem in LQCD simulations with finite  $\theta$ . This method is the reweighting method for the transformed Lagrangian (4). In the Lagrangian, the sign problem is minimized, since the  $P$ -odd mass is much smaller than the dynamical quark mass of order  $\Lambda_{\text{QCD}}$ . Another key is to find out which kind of reference system satisfies the condition  $\langle R(\theta) \rangle \approx 1$ . For this purpose, we have estimated  $\langle R(\theta) \rangle$  by using the two-flavor NJL model and eventually found that reference C is a good reference system in the reweighting method.



**Figure 2.**  $\theta$  dependence of (a) the average reweighting factor and (b)  $\tilde{M}_\pi$  at  $T = 100$  MeV for the case of reference B.



**Figure 3.**  $\theta$  dependence of (a) the average reweighting factor and (b)  $\tilde{M}_\pi$  at  $T = 100$  MeV for the case of reference C.

## Acknowledgments

This work was supported by JSPS KAKENHI Grant Number 23-2790.

## References

- [1] Baker C A, *et al.* 2006 *Phys. Rev. Lett.* **97** 131801.
- [2] Kawarabayashi K and Ohta N 1980 *Nucl. Phys. B* **175** 477; 1981 *Prog. Theor. Phys.* **66** 1789; Ohta N 1981 *Prog. Theor. Phys.* **66** 1408; 1982 *Prog. Theor. Phys.* **67** 993.
- [3] Sasaki T, Takahashi J, Sakai Y, Kouno H and Yahiro M 2012 *Phys. Rev. D* **85** 056009.
- [4] Sasaki T, Kouno H and Yahiro M 2012 *Preprint* arXiv:1208.0375 [hep-ph].
- [5] Kobayashi M and Maskawa T 1970 *Prog. Theor. Phys.* **44** 1422; Kobayashi M, Kondo H and Maskawa T 1971 *Prog. Theor. Phys.* **45** 1955.
- [6] 't Hooft G 1976 *Phys. Rev. Lett.* **37** 8; 1976 *Phys. Rev. D* **14** 3432; 1978 **18** 2199(E).
- [7] Andersen J O, Kyllingstad L T and Splittroff K 2010 *J. High Energy Phys.* **01** 055.
- [8] Sakai Y, Sasaki T, Kouno H and Yahiro M 2010 *Phys. Rev. D* **82** 096007.
- [9] Metlitski M A and Zhitnitsky A R 2005 *Nucl. Phys.* **B731** 309; 2006 *Phys. Lett. B* **633** 721.