

## Article

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# Charge Resolution Study on AMS-02 Silicon Layer-0 Prototype

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**Abstract:** The work presented in this paper represents a preliminary study on the performance of the new Silicon tracker layer, Layer 0 (L0), that will be installed on top of the Alpha Magnetic Spectrometer (AMS-02), at the end of 2024. AMS-02 is a cosmic ray (CR) detector that has been operating on the International Space Station (ISS) since May 2011. Thanks to its nine-layer Silicon tracker, this apparatus can perform high-energy CR measurements with an unprecedented level of statistics and precision. However, high-Z ( $Z \geq 15$ ) CR nuclei statistics is strongly affected by fragmentation along the detector: with the installation of the new Silicon layer, it will be possible to achieve new unique high-energy (TeV region) measurements of those nuclei along with increased statistics for all nuclei up to Zinc. To achieve this, a Silicon ladder prototype, which will be part of the final Silicon layer, was exposed to an ion test beam at the super-proton synchrotron (SPS) of CERN to characterize its charge resolution and the readout electronics. Preliminary results have shown a charge resolution of 10 % for nuclei up to  $Z = 7$ .

**Keywords:** AMS-02 Layer 0 upgrade; silicon micro-strip detector; nuclei; charge resolution; ADC; cosmic ray

## 1. Introduction



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By CRs, we mean various species of energetic particles, charged or not, coming from space with galactic and extra-galactic origin. After the discovery of radioactivity (1896, A. H. Becquerel), it was observed that the rate of discharge of an electroscope increased considerably when it approached radioactive sources. Between 1901 and 1903, numerous researchers noticed that electroscopes discharged even when shielded, deducing that highly penetrating radiation contributed to the spontaneous discharge. The evidence of CRs' extraterrestrial origin is mainly due to the Austrian–American physicist Victor Franz Hess and the Italian physicist Domenico Pacini in the early Twentieth Century. Hess discovered an increase in radiation intensity with altitude in 1912 [1] and was awarded the Nobel Prize in 1936 for that. As well as having established the foundation of particle physics, CRs' discovery and study have provided important contributions to understanding the physical processes underlying the astrophysics phenomenon and have allowed obtaining a closer to complete and more-detailed comprehension of the fundamental mechanisms of particle physics.

CRs are divided into primary ones, which are produced by astrophysical sources, and secondary ones, which are produced by the interactions of the primaries with the interstellar medium. At the top of Earth's atmosphere, the CR radiation is composed of ~90% of protons, ~8% of Helium nuclei, ~1% higher-charge nuclei, and ~1 % of electrons, positrons, and antiprotons. Most CRs arriving at Earth's surface are constituted by muons, which are a by-product of particle showers formed in the atmosphere by galactic CRs, starting from a single energetic particle. The study of CRs allows one to investigate a wide range of phenomena such as: the production, acceleration, and propagation of the latter. Currently, CRs and accelerator particle physics represent two complementary studies with

the aim of solving the current physics mysteries such as the presence of dark matter or the absence of primordial anti-matter in our universe.

CRs' spectrum (number of particles per energy unit, time unit, surface unit, and solid angle) is well described by a power law of the energy, with a power index of  $\sim -2.7$  for primary nuclei up to  $10^{15}$  eV. The most-common way to describe the spectrum is by particles per rigidity  $R$ : the rigidity  $R$ , measured in volts, is defined as  $R = cp/q$ , where  $p$  and  $q$  are, respectively, the momentum and charge of the particle. Particles with different charges and masses have the same dynamics in a magnetic field if they have the same rigidity  $R$ .

The AMS-02 experiment is capable of performing precise and continuous measurements of CRs, providing a large amount of statistics and data since its installation on the ISS in May 2011. The apparatus is composed of different subdetectors to measure the characteristics of traversing particles. The core of the instrument is formed by a Silicon tracker composed of nine layers of Silicon micro-strip sensors. A permanent magnet surrounds six layers, forming the spectrometer (inner tracker), which is able to measure the charge sign of a traversing particle. The Transition Radiation Detector (TRD), located at the top, identifies and separates leptons ( $e^\pm$ ) from hadrons ( $p$  and nuclei). Time-of-flight (ToF) systems determine the direction and velocity of incoming particles and measure their charge. Anti-coincidence counters (ACCs), surrounding the tracker in the magnet bore, reject particles entering sideways. The ring imaging Cherenkov counter (RICH) provides a high-precision measurement of the velocity. The electromagnetic calorimeter (ECAL) is a three-dimensional calorimeter of 17 radiation lengths, which provides energy measurements of positrons and electrons.

AMS-02 has the unique capability of distinguishing matter from anti-matter, thanks to its capability of measuring the charge sign from the track deflection within its magnetic field. No other experiment currently taking data has a similar capability, nor is it foreseen to have one in the near future. In January 2020, AMS-02 was serviced with the installation of a new cooling system, the Upgraded Tracker Thermal Pump System (UTTPS). In the new configuration, the AMS is supposed to take data for the whole life of the ISS, which is currently extended to 2030.

The latest report from the AMS collaboration [2] has highlighted an unprecedented observation: primary CRs have at least two distinct classes of rigidity dependence (Ne, Mg, Si and He, C, O). Moreover, it has been observed that the rigidity dependencies of primary and secondary CR fluxes (Li, Be, B) are distinctly different. These results together with ongoing measurements of heavier elements in CRs will enable determining how many classes of rigidity dependence exist in both primary and secondary CRs and provide important information for the development of the theoretical models.

Measuring both nuclei charge and the sign of the charge, with high precision, is a fundamental requirement to acquire a significant amount of data and supply important information about CR fluxes. In order to do that, an upgrade (Layer 0 upgrade) will be installed on top of the AMS-02 experiment. The AMS-02 Layer 0 upgrade consists of two planes of Silicon micro-strip sensors, both composed by 36 electromechanical units called a "ladder". The upgrade will provide an increase by a factor of three of the acceptance in many analysis channels, along with two new measurements of charge. Elements from  $Z = 15$  to  $Z = 30$  have limited statistics: the upgrade will enable performing complete and accurate measurements of the spectra of the elements up to Zn, where data from the AMS and spectrometry in the TeV region are statistically poor. It will also provide the foundation for a comprehensive theory of CRs. Moreover, the study of secondary CRs with  $Z > 14$  will contribute a complete and unique understanding of the CRs' propagation charge dependence, which is of widespread interest in physics.

In order to achieve these goals, a complete and accurate characterization of the performances of the Silicon sensors that will be installed on the apparatus is of fundamental importance. This preliminary work focused on the study of one of those ladders that will be mounted on the Layer 0 planes: in particular, after a description of the components present in the detector, the process of analysis will be reviewed, starting from the calibration of the

Silicon sensors and the electronics, going through the corrections applied to the signal and, finally, arriving at the evaluation of the actual charge resolution of the ladder.

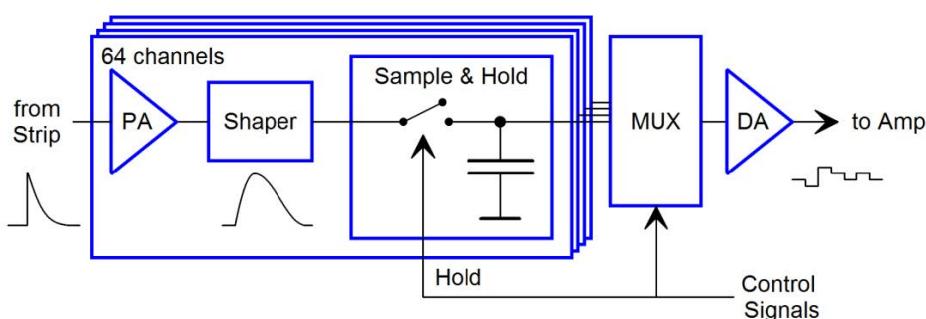
## 2. Materials and Methods

The Layer 0 Silicon ladder prototype is a fundamental electromechanical unit composed of 10 Silicon sensors and an electronics front-end (LEF) board, which allows the measurements of the charge and position of a passing particle. The main characteristics of the Silicon sensors used are reported in Table 1.

**Table 1.** Main characteristics of the ladder prototype and the Silicon sensors used.

Parameter	Rating	Unit
Device type	Single-sided AC readout	-
Silicon type	n-type Phosphorus-doped	-
Crystal orientation	$< 100 >$	-
Thickness	$320 \pm 15$	$\mu\text{m}$
Front-side metal	AL	-
Back-side metal	AL	-
Chip size	$113,000 \pm 20 \times 80,000 \pm 20$	$\mu\text{m}$
Active area	$111,588.75 \times 78,840$	$\mu\text{m}$
Number of strips	4096	ch
Strip pitch	27.25	$\mu\text{m}$
Number of readout strips	1024	-
Readout strip pitch	109	$\mu\text{m}$
Strip width	10	$\mu\text{m}$
Readout AL width	12	$\mu\text{m}$
Readout PAD size	$56 \times 300$	$\mu\text{m}$

Sixteen application-specific integrated circuits (ASICs) located on the LEF, named IDE1140 or VA, read out 64 Silicon micro-strips each. Each ASIC includes an array of 64 spectrometric channels, an analog multiplexer (MUX), the registers, and the logic elements. An individual spectrometric channel contains a charge-sensitive preamplifier (PA), a shaping amplifier (Shaper), and a sample-and-hold unit. The sample-and-hold units are triggered by a common external signal (HOLD), which is generated by a field-programmable gate array (FPGA) after receiving an external trigger signal. While the HOLD signal is high, the FPGA sends 64 clock pulses to the MUX, providing the sequential readout of the signal values held in the sample-and-hold units. Then, the picked up values are amplified by an internal differential amplifier (DA) and a two-stage separate amplifier (Amp). Finally, all signals are digitized by analog-to-digital converters (ADCs). The scheme in Figure 1 shows all the described components of the VA.



**Figure 1.** Structural schematic of the IDE1140 [3] demonstrating the signal shape in the critical points of the chip. Abbreviations used here are explained in the text.

An ion beam test was performed in November 2022 at the super-proton synchrotron of CERN: A 40 mm Beryllium target was hit by a primary beam of Pb (379 GV/c), which produced ions by fragmentation. The fragments were selected magnetically, in the rigidity

interval of a few percent around 300 GV/c. At this scale of rigidity, every ion is considered a minimum ionizing particle (MIP).

The Bethe–Bloch formula describes the average energy loss by a particle with charge  $Z$  that traverses a target: for a fixed  $\beta = v/c$  and a fixed target, that quantity only depends on the charge-squared  $Z^2$  of the incident particle. These average ionization losses are stochastic in nature, and the Bethe–Bloch formula gives the mean value of these losses: the fluctuations around this value, in thin materials, are well described by the convolution of a Gaussian and a Landauian (LanGauss) distribution [4]. Having a beam with a population of different ions with different charges, the population distribution will be the sum of the single convolutions provided by the individual species.

## 2.1. Calibration and Clusterization

The ADC values of the readout strips for the  $i$ -th channel on the  $j$ -th VA preamplifier in the  $k$ -th event can be written as:

$$x_{ij}^k = p_{ij} + c_j^k + s_{ij}^k + q_{ij}^k \quad (1)$$

where  $p_{ij}$  is a constant offset pedestal (unique for each channel),  $c_j^k$  a coherent common noise component (which affects in the same way all the channels belonging to the same VA),  $s_{ij}^k$  the strip noise, and  $q_{ij}^k$  an eventual signal due to the passage of an ionizing particle in the depleted Silicon. The calibration procedure consists of the determination of the noise ( $\sigma_s$ ) for each readout channel, recording  $n$  events in absence of incident particles ( $q_{ij}^k = 0$ ):

$$\sigma_s = \sqrt{\frac{1}{n} \sum_{k=1}^n (s_{ij}^k)^2} = \sqrt{\frac{1}{n} \sum_{k=1}^n (x_{ij}^k - c_j^k - p_{ij})^2} \quad (2)$$

To determine the noise, it is necessary to evaluate the pedestal  $p$  and the common noise values  $c$ . The first half part of the  $n$  events taken establishes the preliminary values of the strip pedestals ( $p_{ij}^{RAW}$ ):

$$p_{ij}^{RAW} = \frac{2}{n} \sum_{k=1}^{n/2} x_{ij}^k \quad (3)$$

and their standard deviations:

$$\sigma_{ped}^{RAW} = \sqrt{\frac{2}{n} \sum_{k=1}^{n/2} (x_{ij}^k - p_{ij}^{RAW})^2} \quad (4)$$

The final values of the strip pedestals are computed using the second half of the  $n$  events taken using:

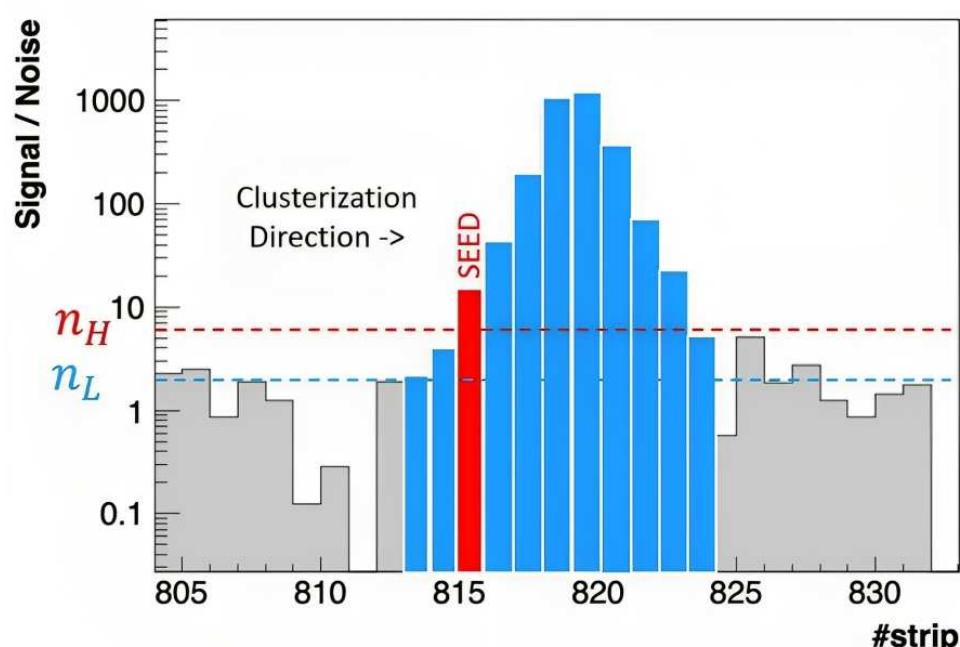
$$p_{ij} = \frac{2}{n} \sum_{k=n/2}^n x_{ij,good}^k \quad (5)$$

where the ADC values  $x_{ij,good}^k$  are the ones inside  $\pm 3\sigma_{ped}^{RAW}$  with respect to  $p_{ij}^{RAW}$ . Thanks to this procedure, the too-noisy channels for a given event are excluded from the evaluation of the pedestals. The common noise is produced by the fluctuations of the power supply and other electromagnetic interferences, and it is constant for all the preamplifiers contained in the same VA. It is evaluated event by event for each VA after subtracting the pedestal, calculating the median value. This procedure defines a valid signal by applying a threshold to the signal-to-noise ratio (S/N) of the strip:

$$\frac{S}{N} = \frac{x_{ij}^k - c_j^k - p_{ij}}{\sigma_s} \quad (6)$$

After the calibration procedure, every channel contains two contributions: the strip noise and a possible value due to the crossing particle.

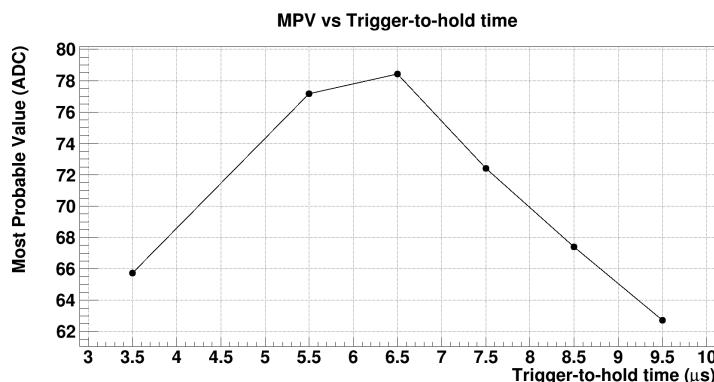
To correctly measure the charge of a crossing particle, it is necessary to identify all the strips that are interested in collecting all the released signal in the Silicon from that particle. This process is called clusterization. A cluster is a group formed by all the strips involved in the collection of the ionization energy loss by a particle. This process is performed by checking the S/N of every readout strip: The first strip found with this ratio above a certain threshold ( $n_H$ ) is defined as the seed of the cluster. All strips adjacent to the seed are added to the cluster until their S/N ratio is above a second lower threshold ( $n_L$ ). This procedure is performed for all 1024 readout strips of the Silicon ladder. An example is reported in Figure 2.



**Figure 2.** Signal-to-noise ratio (in logarithmic scale) as a function of the strip number for a single event. The red line indicates the higher threshold  $n_H$ , which defines the cluster seed. All strips adjacent to the seed are added to the cluster until their S/N ratio is above the blue lower threshold  $n_L$ . The cluster will be formed by all the highlighted strips.

## 2.2. Trigger-to-Hold Time

The time, or delay, between the arrival of the external trigger and the sampling of the signal is the so-called trigger-to-hold time: waiting for the correct amount of time between these two events is a crucial point in order to sample the peak of the shaped signal. In order to find the best value for the trigger-to-hold time, a dedicated study on CERN beam test data was performed. During the data acquisition, different runs with about the same amount of data were made with different values of the trigger-to-hold time. In total, six datasets with, respectively,  $3.5\ \mu s$ ,  $5.5\ \mu s$ ,  $6.5\ \mu s$ ,  $7.5\ \mu s$ ,  $8.5\ \mu s$ , and  $9.5\ \mu s$  of the trigger-to-hold time were analyzed. For each dataset, the distribution of the total cluster amplitude (ADC), where the amplitude is the sum of all the contributions of all the individual cluster strips, was fit using a Landauian function. The behavior of the most-probable values extrapolated from the fits as a function of the trigger-to-hold time is shown in Figure 3.



**Figure 3.** Most-probable values as a function of the trigger-to-hold time. When the trigger signal for sampling is coming, it is necessary to wait a certain amount of time in order to sample and hold the signal peak. The value that allows this is around 6.5  $\mu$ s according to our study. As can be seen in the figure, waiting too much or too little time compared to 6.5  $\mu$ s leads to a smaller sampled signal amplitude.

### 2.3. Eta Correction

Once all the events are clusterized, it is possible to proceed with the evaluation of the charge resolution. The dataset used for the evaluation of the charge resolution was acquired with high and low clusterization thresholds of 5.5 and 2.0, respectively, and with a trigger-to-hold time of  $\sim 6$   $\mu$ s. Selecting the most-energetic cluster per event, i.e., the cluster with maximum amplitude, allows a noise rejection and good cluster choosing. The considered ladder has a total of 4096 Silicon micro-strips, but only one every four adjacent strips (1024) is effectively read out by the electronics: The intermediates, called floating strips, are capacitively coupled with the readout ones. All the strips are also capacitively coupled with the metalized back plane, allowing the operation of the Silicon sensors in overdepleted mode [5]. This electrical scheme leads to an inter-strip energy loss. When collecting ionization, the floating strips share all the acquired signal with the nearest readout strips, but when doing this, part of the signal is lost due to the capacitive coupling with the back plane. As a first approximation, to quantify the inter-strips' energy loss, it is sufficient to study the signal shared between the two strips closest to the particle impact position. A more-realistic description of the capacitive charge sharing has to take into account not only the direct inter-strip capacitance with the first neighboring strips, but also indirect coupling to the second and even third readouts [6]. The inter-strips' energy loss is quantified by  $\eta$ , defined as follows:

$$\eta = \frac{S_1}{S_1 + S_2} \quad \eta \in (0, 1) \quad (7)$$

where  $S_1$  and  $S_2$  are the signals in the ADC of the two highest strips of the cluster (coinciding with the two closest to the impact position). The dependency of the total cluster amplitude on eta is shown in Figure 4: the region between the two black lines corresponds to the energy deposited by  $Z = 2$  particles. Different eta values, i.e., different impact positions with respect to the two highest strips of the cluster, correspond to different ADC values for the same charge. To take into account this dependency, the ADC distribution is supposed to be parabolic in eta and constant for every amplitude:

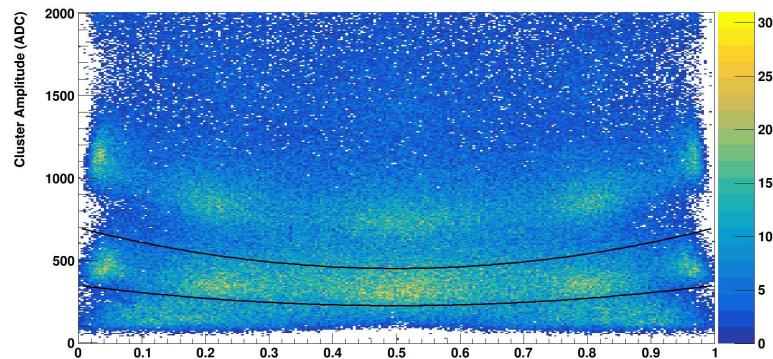
$$f(\eta) = a\eta^2 + b\eta + c \quad (8)$$

To find the coefficients of the parabola, the  $Z = 2$  sample was used. The cluster amplitude distribution was fit with a Landauian function around the maximum, for three different eta intervals:  $\eta \in \{[0, 0.08], [0.46, 0.54], [0.92, 1]\}$ . The regions chosen for this purpose are shown in Figure 5, and the fits on the  $Z = 2$  peak for the different regions are shown in Figure 6. The passage of the parabola was imposed on three points, each one

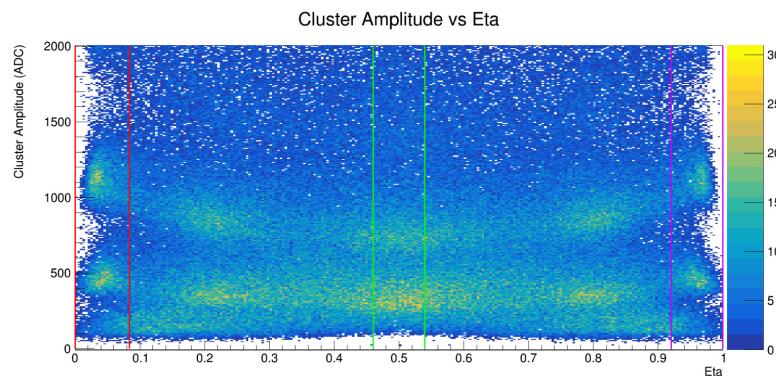
composed of the eta values (0,0.5,1), and the most-probable values are shown in Figure 6. The eta correction is, finally, defined as:

$$\omega = \frac{c}{f(\eta)} \quad (9)$$

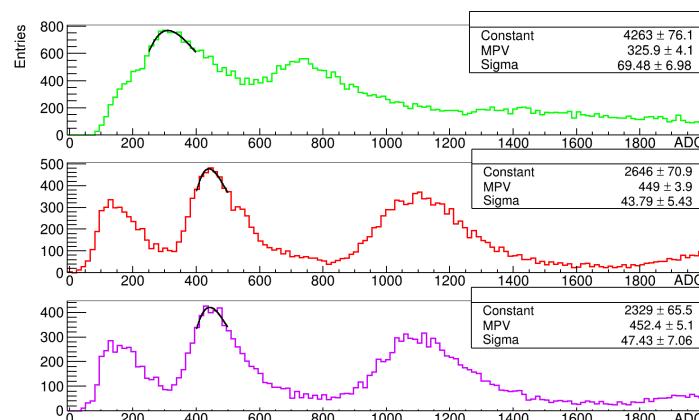
To get rid of the inter-strip energy loss, every ADC value was multiplied by  $\omega = c/f(\eta)$ , where  $c$  is the known term of the parabola and  $f(\eta)$  will be the parabola value at the eta point corresponding to the ADC value that we want to correct.



**Figure 4.** Cluster amplitude distribution as a function of eta. The region between the two black lines is the sample corresponding to  $Z = 2$  chosen to characterize the eta dependency.



**Figure 5.** Cluster amplitude as a function of eta. In red, green, and purple are highlighted the three selected eta intervals.

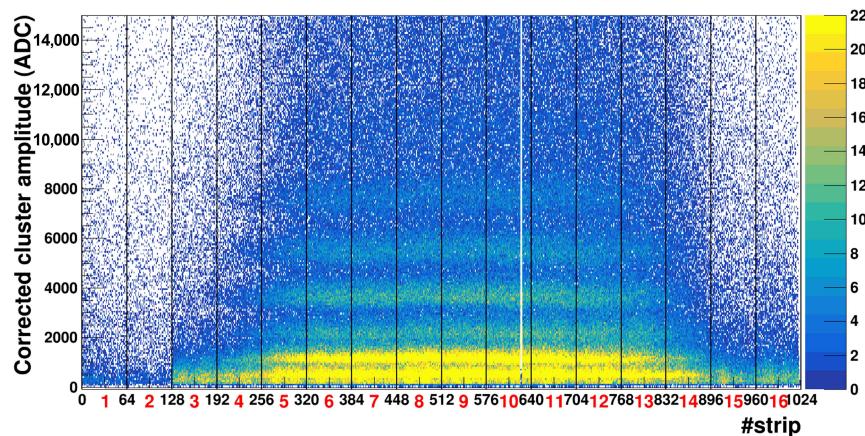


**Figure 6.** Distribution of the cluster amplitude for the three selected eta intervals: green ( $0.46 \div 0.54$ ), red ( $0 \div 0.08$ ), and purple ( $0.92 \div 1$ ). The black lines represent the Landau fit around the maximum of the  $Z = 2$  sample.

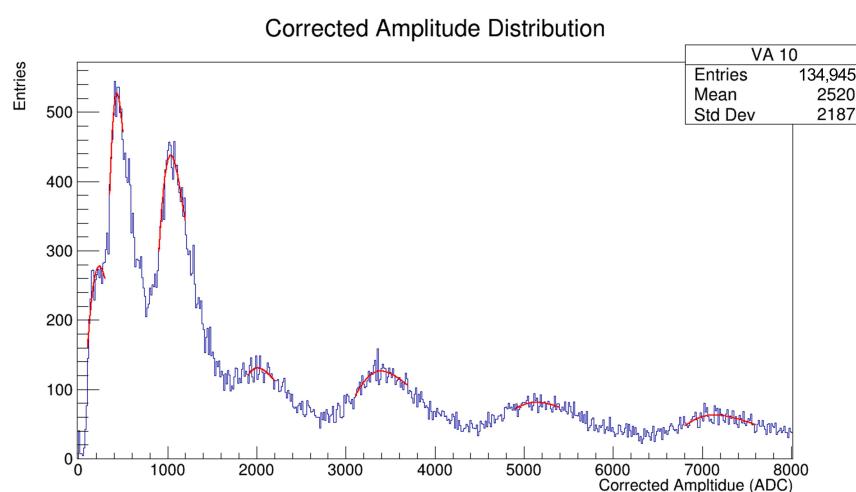
## 2.4. VAE Equalization

Another signal correction was performed considering the VAs: ideally, one wants to observe the same response, i.e., the same ADC value, for each VA for a given Z. This did not happen, and different VAs had different response functions, which provide different ADC values for the same charges. An equalization of 9 of the 16 VAs (from Number 5 to 13) was made, considering VA Number 10 as a reference.

Figure 7 shows the corrected cluster amplitude distribution as a function of the strip number: in red is highlighted the VA number, going from 1 to 16. To equalize the VAs with respect to VA Number 10, the corrected cluster amplitude distribution inside a 64-channel range (which corresponds to a full VA) was studied. As mentioned, the distribution of a population containing different ions will be the sum of the single convolutions (between a Gaussian and a Landauian) provided by each ion. Figure 8 reports the corrected cluster amplitude distribution for VA Number 10: the red lines represent the fit performed around the peaks with the convolution between a Gaussian and a Landauian in order to estimate the most-probable values for the energy deposited by charge from Z = 2 to Z = 7. The first peak corresponds to Z = 1; despite being performed, Z = 1 was excluded from the analysis.



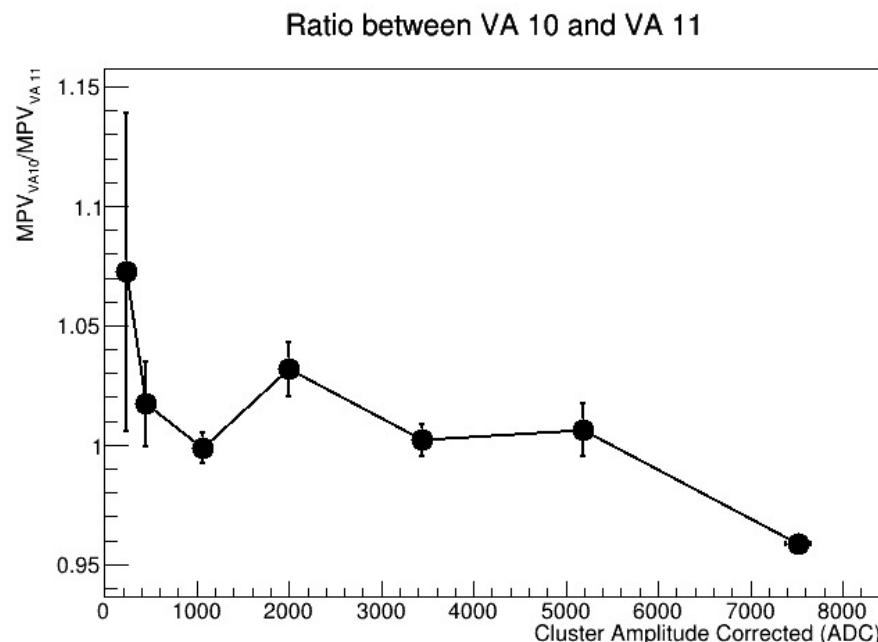
**Figure 7.** Corrected cluster amplitude distribution as a function of strip number. In red is reported the VA number.



**Figure 8.** Corrected cluster amplitude distribution for VA Number 10. The red lines are the fit performed with the convolution of a Gaussian and a Landauian around the peaks. The first peak corresponds to Z = 1, but was excluded from the analysis.

The same procedure was applied for the remaining eight VAs. For the  $k$ -th VA (for a total of nine VAs from Number 5 to 13), the fits gave six most-probable values of  $MPV_i^k$ ,

with  $i = 2, \dots, 7$  and  $k = 5, \dots, 13$ . Then, the response functions of every VA with respect to VA 10 were built by using the ratio between the most-probable values of VA 10 ( $\text{MPV}_i^{10}$ ) and the most-probable values of the remaining VAs ( $\text{MPV}_i^k$ ) as a function of  $\text{MPV}_i^k$ ,  $k \in \{5, 6, 7, 8, 9, 11, 12, 13\}$ . To clarify, Figure 9 shows the ratio between VA Number 10 and VA Number 11.



**Figure 9.** VA 11 equalization function (with respect to VA Number 10). On the x-axis is the corrected cluster amplitude for VA Number 11, and on the y-axis is the ratio between the most-probable values of VA Number 10 and VA Number 11. Despite being reported,  $Z = 1$  was excluded from the analysis.

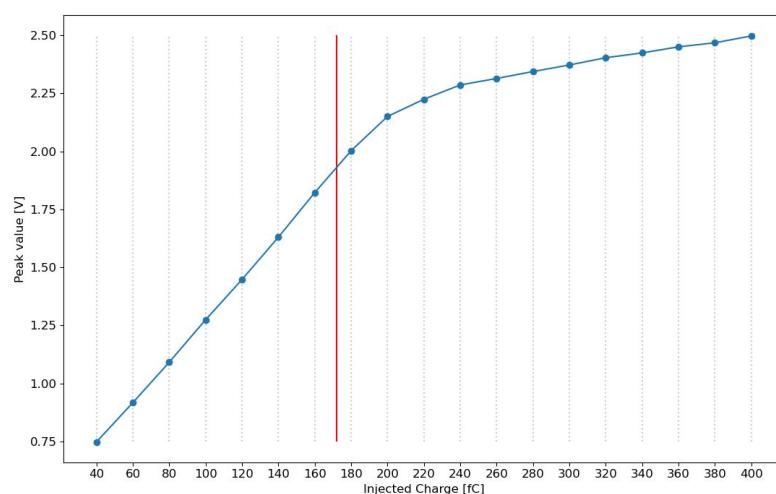
The first point corresponds to  $Z = 1$ : despite being reported,  $Z = 1$  was excluded from the analysis because the trigger conditions were set in order to minimize the acquisition of that type of event. So, the statistics for  $Z = 1$  is very poor and inappropriate to perform any type of statistical analysis. In reference to the same figure, the polyline that joins the points represents the function used for the equalization of VA Number 11,  $f^{11}$ . The signal measured by the  $k$ -th VA,  $S^k$ , was equalized with respect to VA Number 10 by:

$$f^k(S^k) \cdot S^k \quad (10)$$

where  $f^k$  is the equalization function for the  $k$ -th VA, obtained with the same procedure explained for  $k = 11$ .

## 2.5. Saturation

The analysis performed on the Silicon ladder was performed up to  $Z = 7$ , and it was not possible to acquire higher charges because of electronics saturation. This behavior is due to the dynamic range of the VA and the preamplifier. Figure 10 shows the output of the VA as a function of the input signal. The VA output is a linear function of the input signal only below a certain value, that is 172 fC. As long as the input charge is below 172 fC, the VA output is linear with the charge, but above this threshold, the VA gain decreases rapidly, leading to the same output for a large range of input charges. Incident particles generate an amount of ionization and, so, a VA input that is increasing with  $Z^2$ : the non-linear behavior of the VA for high charges limited the analysis to only those charges with  $Z \leq 7$ .

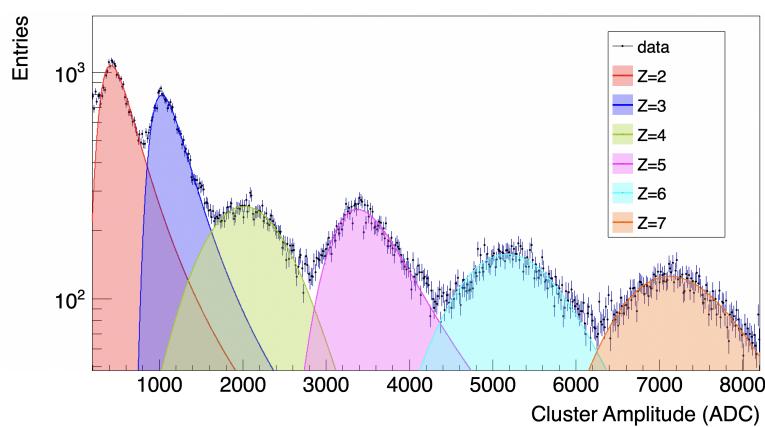


**Figure 10.** Voltage output of the VA as a function of the injected charge: the red line represents the declared limit of the linear range of the VA, which corresponds to 172 fC.

## 2.6. Charge Resolution

Figure 11 shows the distribution of the total cluster amplitude corrected by eta and equalized with respect to VA Number 10 and the six convolution functions used to fit that distribution. The applied procedure to measure the final charge resolution was the following:

- The total cluster amplitude corrected by eta and equalized with respect to VA Number 10 was fit with six different LanGauss functions;
- The parameters obtained from the fits were used to generate a Monte Carlo (MC) toy for each charge sample by the square root of a random event generated using the probability density functions (PDFs). Thanks to the Bethe–Bloch formula, the mean energy loss by a particle was proportional to  $Z^2$ , which was measured by the detector in ADC counts. In order to evaluate  $Z$ , it was necessary to study the  $\sqrt{ADC}$  distribution;
- The MC toy was used to apply the central limit theorem (CLT) to estimate the charge resolution.

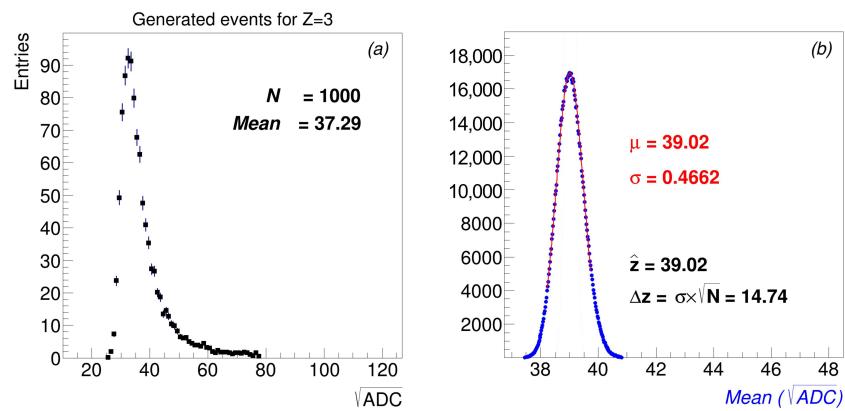


**Figure 11.** Distribution of the total cluster amplitude corrected by eta and equalized with respect to VA Number 10. Every peak was fit using the convolution of a Gaussian and a Landauian.

The PDFs  $f_i(Z^2)$  with  $i = 2, \dots, 7$ , were built. A sample of  $N = 1000$  events for the  $i$ -th charge was generated by the square root of a random event created using  $f_i(Z^2)$ .

As an example, in Figure 12a is reported the  $\sqrt{ADC}$  distribution for  $Z = 3$  and its arithmetic mean generated with the MC toy. The  $\sqrt{ADC}$  distribution reported in the

same figure follows a PDF,  $f(Z)$ , with an expectation value of  $\hat{z}$  and variance  $(\Delta z)^2$ . The resolution of the charge will be  $\Delta z/\hat{z}$ .

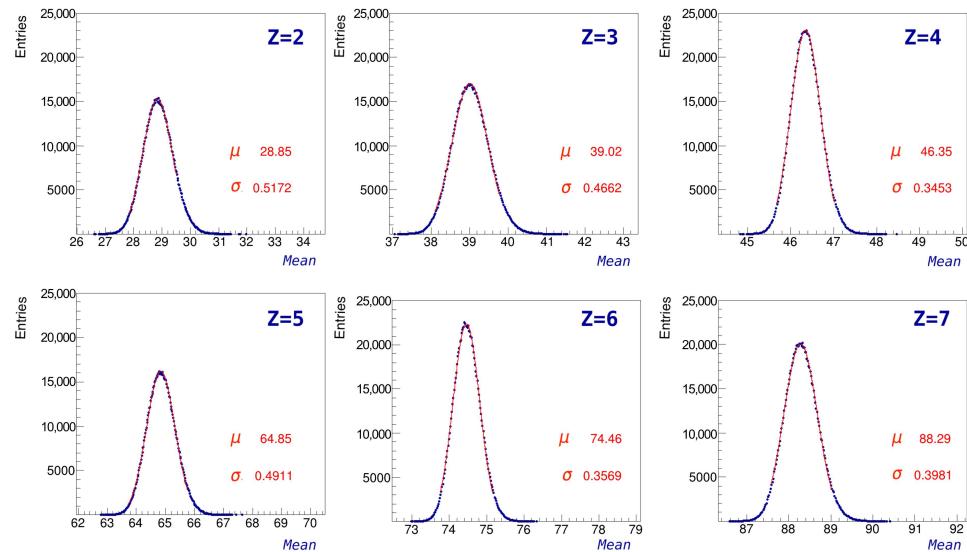


**Figure 12.** (a) Sample of  $N = 1000$  events containing the distribution of  $\sqrt{ADC}$  generated with a Monte Carlo experiment with  $f_3(Z^2)$  for  $Z = 3$ . (b) Distribution of the mean ( $\sqrt{ADC}$ ) for  $M = 10^6$  Monte Carlo experiments (each one with  $N = 1000$  events) for  $Z = 3$ . According to the central limit theorem, it is possible to evaluate  $\hat{z}$  and  $\Delta z$ .

According to the central limit theorem (CLT), for a variable  $x$  with expectation value  $E[x] = \hat{z}$  and variance  $V[x] = (\Delta z)^2$ , the distribution of the mean is Gaussian with mean  $\mu$  and variance  $\sigma^2$  linked to  $\hat{z}$  and  $(\Delta z)^2$  by:

$$\mu = \hat{z} \quad \sigma = \frac{\Delta z}{\sqrt{N}}, \quad N \rightarrow \infty \quad (11)$$

Figure 12b shows the mean distribution for  $Z = 3$  for  $M = 10^6$  Monte Carlo experiments (each one with  $N = 1000$  events): it is possible to evaluate  $\hat{z}$  as the mean of the Gaussian distribution and  $\Delta z$  as  $\sigma \cdot \sqrt{N}$ . Figure 13 shows the distributions of the means for all the charges under study (from  $Z = 2$  to  $Z = 7$ ) and the relative Gaussian fit with mean  $\mu$  and standard deviation  $\sigma$ .

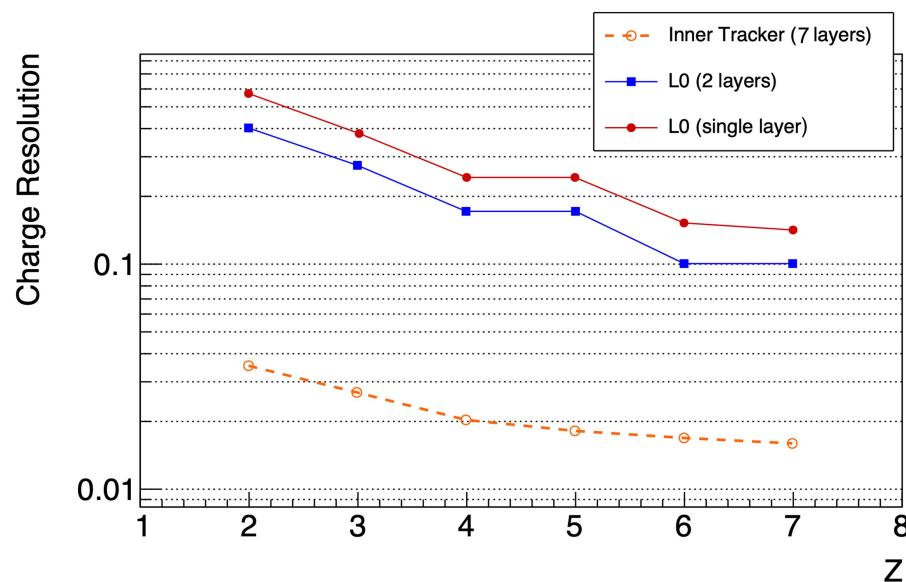


**Figure 13.** Distributions of the means for all the charges under study (from  $Z = 2$  to  $Z = 7$ ) and the relative Gaussian fits with mean  $\mu$  and standard deviation  $\sigma$ .

### 3. Results

#### Charge Resolution

Figure 14 shows the preliminary results we obtained for the charge resolution of the Layer 0 prototype for a single layer (red points) and for two layers (square blue points) compared with the charge resolution of the AMS-02 inner tracker (L2 to L8; hollow orange points). The Layer 0 upgrade will be composed by two planes, and its overall charge resolution can be evaluated by the combination of two independent measurements. We evaluated the resolutions for two layers assuming that the charge resolution is the same for both: in this case, it was  $1/\sqrt{2}$ -times the resolution of a single layer. In Table 2 are reported the charge resolution values we evaluated for charges from  $Z = 2$  to  $Z = 7$  for a single layer of Layer 0 and for two layers. For comparison, the values of the inner tracker (L2 to L8) charge resolution for the same charges are also reported.

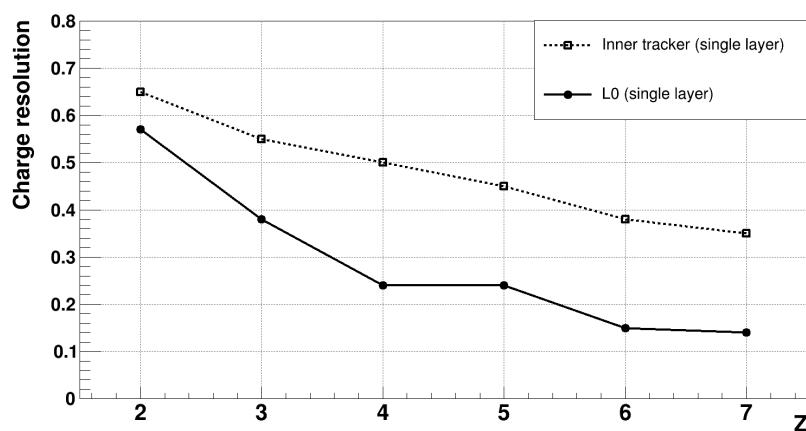


**Figure 14.** Preliminary charge resolution values as a function of charge  $Z$  we obtained for a single layer (red points) and for two layers (square blue points) of Layer 0 compared with the current charge resolution of the AMS-02 inner tracker, from Layer 2 (L2) to Layer 8 (L8) [7] (hollow orange points), obtained by the combination of 7 layers.

**Table 2.** Values of charge resolution we obtained for different  $Z$  values (first column) both for single layer (second column) and two layers (third column) of Layer 0. In the fourth column is reported the current AMS-02 inner tracker (L2 to L8) charge resolution.

Z	L0 (Single Layer)	L0 (Two Layer)	Inner Tracker (L2 to L8)
2	0.57	0.40	0.035
3	0.38	0.27	0.027
4	0.24	0.17	0.02
5	0.24	0.17	0.018
6	0.15	0.10	0.017
7	0.14	0.10	0.016

To conclude, in Figure 15 is reported the comparison between the charge resolution we evaluated for a single layer of L0 with the charge resolution for a single layer of the AMS-02 inner tracker (L2 to L8).



**Figure 15.** Charge resolution we evaluated for a single layer of Layer 0 (filled circles) compared with the charge resolution of a single layer of the AMS-02 inner tracker (L2 to L8, hollow squares) [7].

#### 4. Discussion

The study performed on the Silicon AMS-02 Layer 0 prototype showed agreement in terms of the charge resolution with respect to the AMS-02 inner tracker. The signal collected by the Silicon sensors was analyzed after the calibration to find an algorithm that allows discriminating the signal from the noise. After the selection of the signal, an accurate characterization of the signal released by different species was performed with  $2 \leq Z \leq 7$  in the Silicon sensors that will be used for the construction of L0. In the current state, the new layer on top of AMS-02 will be able to measure the charge at least up to  $Z = 7$  with a resolution of 10%.

The obtained resolutions can be further improved. For example, the lack of statistics for  $Z = 1$ , due to trigger conditions, can be compensated by future data acquired with a suitable setup to maximize the  $Z = 1$  particles' acquisition.

Furthermore, the applied correction for  $\eta$  can be improved considering the different dependencies for different charges.

Moreover, the saturation of the electronics (VA) limited the acquisition of high charges to  $Z = 7$ . Indeed, considering that the analog-to-digital converter has an input range of  $0 \div 4$  V (the full scale equals  $2^{14} - 1$  ADC = 16 383 ADC), this is consistent with the fact that saturation appeared at a VA output value of 2 V, which corresponds approximately to 8000 ADC. By investigating the dynamic range of the VA itself and by studying the various amplify stages, it will be possible to study even higher charges.

Moreover, other improvements would be possible considering the fits performed on the cluster distribution of Figure 11: in the current state, every contribution has been fit with a single LanGauss function described by five parameters, for a total of six different LanGauss functions. The fit could be improved by using a single function constituted by the sum of six LanGauss functions, which could possibly be more accurate.

#### 5. Conclusions

The work presented in this manuscript is the first preliminary characterization of the performance, in terms of charge resolution, of the new Silicon sensors that will be mounted on the AMS-02 Layer 0 upgrade.

The values we evaluated for the charge resolution for charges from  $Z = 2$  to  $Z = 7$  for L0 differed by an order of magnitude with respect to the current overall resolution of the AMS-02 inner tracker: this was due to the fact that the latter values were obtained by the combination of seven layers.

Despite this, the values we evaluated for the charge resolution for a single layer of Layer 0 were significantly smaller (2.5-times for  $Z = 7$ ) than the values for the single layer of the AMS-02 inner tracker, as shown in Figure 15; this is promising, although the signal

correction can be further improved. This will be a starting point for a future analysis and a complete characterization of the final Layer 0 charge resolution.

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## Abbreviations

The following abbreviations are used in this manuscript:

L0	Layer 0
AMS	Alpha Magnetic Spectrometer
ISS	International Space Station
CR	cosmic ray
eV	electron volt
TeV	teraelectron volt
SPS	super-proton synchrotron
TRD	transition radiation detector
ToF	time-of-flight
ACC	anti-coincidence counter
RICH	ring imaging Cherenkov
ECAL	electromagnetic calorimeter
UTTPS	Upgraded Tracker Thermal Pump System
LEF	L0 electronics front-end
ASIC	application-specific integrated circuit
MUX	analog multiplexer
PA	preamplifier
FPGA	field-programmable gate array
DA	differential amplifier
ADC	analog-to-digital converter
MIP	minimum ionizing particle
LanGauss	convolution of a Gaussian and a Landauian
S/N	signal-to-noise ratio
MPV	most-probable value
fC	femtocoulomb
MC	Monte Carlo
PDF	probability density function
CLT	central limit theorem
L2	Layer 2
L8	Layer 8

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