

# 1 A study of data representation in Hadoop to optimize data 2 storage and search performance for the ATLAS EventIndex

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7 **Abstract.** This paper reports on the activities aimed at improving the architecture and performance of the  
8 ATLAS EventIndex implementation in Hadoop. The EventIndex contains tens of billions of event  
9 records, each of which consists of  $\sim$ 100 bytes, all having the same probability to be searched or counted.  
10 Data formats represent one important area for optimizing the performance and storage footprint of  
11 applications based on Hadoop. This work reports on the production usage and on tests using several data  
12 formats including Map Files, Apache Parquet, Avro, and various compression algorithms. The query  
13 engine plays also a critical role in the architecture. This paper reports on the use of HBase for the  
14 EventIndex, focussing on the optimizations performed in production and on the scalability tests.  
15 Additional engines that have been tested include Cloudera Impala, in particular for its SQL interface, and  
16 the optimizations for data warehouse workloads and reports.

## 17 **1. The ATLAS EventIndex project**

18 The ATLAS EventIndex [1] is a metadata catalogue of all real and simulated data produced by the  
19 ATLAS experiment [2], one of seven particle detectors constructed for the CERN Large Hadron  
20 Collider [3]. It was designed in 2012-2013 and implemented in 2014; the first data (all LHC Run 1  
21 data collected in 2009-2013) were loaded at the beginning of 2015.

### 22 *1.1. System requirements and use cases*

23 The ATLAS EventIndex system has to scale to the order of several  $10^{10}$  events (the number of events  
24 expected for LHC Run 2 between 2015 and 2018), be flexible in its schemas to accommodate a variety  
25 of quantities to be stored that could change in the future, use established and possibly open-source  
26 technologies and be “easy” to develop, deploy and operate.

27 The main use cases that were identified for this service are [4]:

- 28 • Event picking: given a list of run numbers and event numbers, trigger stream, event format  
29 and processing version, find the events and return pointers to them to the user that issued the  
30 query, who can then use the data management tools to retrieve them.
- 31 • Trigger checks and event skimming: the population of events that passed given triggers and of  
32 events that passed multiple triggers can be retrieved from the event catalogue. Similarly, a  
33 trigger-based event selection can be done, retrieving the references to the selected events and  
34 then the events themselves.
- 35 • Production consistency checks: each production cycle should be checked for completeness  
36 (the number of produced events is the same as the number of input events) and consistency  
37 (no duplicated events).

39    *1.2. Current architecture*

40    The ATLAS EventIndex collects the data from distributed computer centres and stores them in a  
41    central storage at CERN. The system is divided into few functional packages that implement data  
42    acquisition, storage, access and monitoring:

- 43    • *The Data Collection* system collects data from jobs at Tier-0 or on the Grid that produce new  
44    data. The EventIndex information for each permanent output file is transmitted to a central  
45    server at CERN where it is validated, reformatted and stored in the EventIndex storage  
46    system.
- 47    • *The Core Storage* system accepts data from the Data Collection system and physically stores it  
48    in its own storage space, accepts queries from the front-end web server and returns the results.
- 49    • *The Query Server* is a web service that acts as a front-end to the storage system. It provides a  
50    command-line interface and a web interface that can be used to find and retrieve the stored  
51    information.
- 52    • *The Trigger Decoding* service unpacks the trigger information of each event and makes it  
53    readily available in the event records.
- 54    • *The monitoring* system provides continuous information on the health and load of all the  
55    servers involved, as well as on the data traffic and query response times.

56    The Core Storage is one of the critical parts of the system as it integrates all other packages by  
57    consolidating the data and making them available to be accessed by users via the Query Server. Thus it  
58    is important that it is robust and delivers the required performance for both data ingestion and data  
59    access. Apache Hadoop [5] was chosen as the main backend technology for storing and accessing  
60    data. It met all criteria from the project requirements (in section 1.1) and, differently from other  
61    shared storage technologies like relational databases, in various tests at CERN [6] has proven that it is  
62    horizontally scalable.

63    The rest of this paper elaborates on the internal design of the Core Storage and potential  
64    improvements that can bring the use of alternative approaches available in the Hadoop ecosystem for  
65    storing and accessing the data. In particular, the results evaluation of the most popular Hadoop file  
66    formats and storage engines with ATLAS EventIndex data and workloads are discussed and  
67    concluded.

68    **2. Core Storage – implementation, usage and bottlenecks**

69    In order to understand how the core layer of the ATLAS EventIndex can profit from adopting recent  
70    technologies, it is important to explain some key implementation aspects of this component.

71    *2.1. Storage implementation*

72    The Core Storage package is responsible for implementing persistent storage based on Apache  
73    Hadoop and implementing data accessing interfaces. It consists of two components:

- 74    • *Catalogue* – an inventory of all imported datasets and their internal schema. All catalogue data  
75    are stored in an HBase database running on the same Hadoop cluster as the EventIndex data.
- 76    • *HDFS namespace* – a distributed placeholder for the data. The data are physically stored on  
77    the Hadoop Distributed Files system in a format called MapFile [7]. A MapFile is a union of  
78    two files (Sequence Files [8]). The first one holds raw data in a sequence of key-value pairs  
79    and the other one holds an index to the raw data stored in the first file. The MapFile, compared  
80    to other file formats available on Hadoop, is unique, as it allows having sequential scans and  
81    random data lookups at the same time.

82    *2.2. Event Index record content*

83    Each indexed event is stored in a MapFile format as a separate record that in average is 1.5 kB long  
84    and has 56 attributes encoded in various types. Most of them are arrays of characters, few are integers  
85    and floating point numbers. The main attributes are:

86     • *Event identification*: run number (integer), event number (long), trigger stream (string), event  
87       format (string) and processing version (string).  
88     • *Trigger information*: the list of trigger chains passed by the given event (string).  
89     • *References of the event*: the GUIDs (Global Unique IDentifiers) (string).

90 In the third quarter of 2016 there are  $6 \times 10^9$  records stored in HDFS that occupy tens of Terabytes (not  
91 including data replication).

### 92 2.3. Data access paths

93 There are two typical access paths that satisfy all the use cases:

94     • *Event picking* – lookup for a random event by identification attributes. It is the main use case  
95       of the ATLAS EventIndex. Until the end of 2015, this access was implemented by using  
96       Catalogue-based data pruning and lookup for relevant records in a MapFile by built-in index.  
97     • *Data scanning* – full scan of a population of events in order to perform event skimming or  
98       trigger-based selection. For this type of access path, a MapReduce job is used in order to  
99       perform distributed and scalable data filtering.

### 100 2.4. Limitation of the Core Storage implementation

101 During a review of the ATLAS EventIndex project in late 2015, a few limitations have been identified  
102 in the Core Storage implementation:

103     • Data ingestion into MapFile format is complex, as it requires sorting datasets by key values  
104       before storing them physically in HDFS. Typically this means launching a MapReduce job  
105       that will perform data sorting in a distributed way, which in case of small data sets (that can be  
106       easily sorted in a single host memory) is suboptimal. The average measured ingestion speed  
107       into MapFile format was 6.4 kHz per a collection set.  
108     • Due to the extra effort (mentioned above) needed when loading data into MapFiles, a number  
109       of staging areas with duplicated data are created and maintained.  
110     • Data and metadata are separated and served by different components. This means that any data  
111       access operations have extra cost (latency) of combining raw data with its metadata – direct  
112       access to raw data is not possible. Additionally, this implies that using any of popular open-  
113       source community frameworks to process the data is not possible.  
114     • Random data lookup of MapFiles is performed on the client side – index files are downloaded  
115       to a client machine where they are processed in order to obtain the final location of events of  
116       interest in HDFS. This can potentially cause a performance problem when the network  
117       connectivity between HDFS and the client is poor or when a single client machine performs  
118       multiple requests in parallel like in the case of Query Server. Typical event lookup speed  
119       when using MapFiles is around 4s.

120 Most of the limitations identified during the review were related to the usage of the MapFile file  
121 format as a container for the data. For this reason, a new initiative of evaluating alternative  
122 possibilities of storing data in Hadoop ecosystem was started. The main goal was to understand if Core  
123 Storage could significantly profit from using a different format for the data representation.

## 124 3. Evaluation of alternative modern storage approaches for Core Storage

125 This chapter describes a performance comparison of some popular data formats and storage engines  
126 available in the Hadoop ecosystem to evaluate space efficiency, ingestion performance, analytic scans  
127 and random data lookup. This should help in understanding how (and when) each of the evaluated  
128 technologies can improve handling of the ATLAS EventIndex big data workloads.

129 During the evaluation, the same ATLAS EventIndex data sets have been stored on the same Hadoop  
130 cluster using different storage techniques and compression algorithms (Snappy, GZip or BZip2).  
131  
132

133    3.1. *Hardware and storage configuration*

134    The data access and ingestion tests were performed on a cluster composed of 14 physical machines,  
135    each equipped with:

- 136    • 2 x 8 cores @ 2.60GHz
- 137    • 64 GB of RAM
- 138    • 2 x 24 SAS drives

139    Hadoop was installed from Cloudera Data Hub (CDH) distribution version 5.7.0, which includes:

- 140    • Hadoop core 2.6.0
- 141    • Impala 2.5.0
- 142    • Hive 1.1.0
- 143    • HBase 1.2.0 (configured JVM heap size for region servers = 30 GB)
- 144    • (not from CDH) Kudu 1.0 (configured memory limit = 30 GB)

145    Apache Impala (incubating) was used as a data ingestion and data access framework in all the  
146    conducted tests presented later in this report.

147    3.2. *Evaluated formats and technologies*

148    With respect to recent trends on the market and evaluations done with various storage techniques in  
149    the past at CERN [9], four candidate technologies for storing the data in the Hadoop ecosystem have  
150    been chosen.

151    3.2.1. *Apache Avro* [10] is a data serialization standard for compact binary format widely used for  
152    storing persistent data in HDFS as well as for communication protocols. One of the advantages of  
153    using Avro is lightweight and fast data serialization and deserialization, which can deliver very good  
154    ingestion performance.

155    Even though it does not have any internal index (like in the case of MapFiles), the HDFS directory-  
156    based partitioning technique can be applied to quickly navigate to the collections of interest when fast  
157    random data access is needed. In the test a tuple of *runnumber*, *project* and *streamname* was used as a  
158    partitioning key. This allowed obtaining good balance between the number of partitions (few  
159    thousands) and an average partitions size (hundreds of megabytes).

160    3.2.2. *Apache Parquet* [11] is a column-oriented data serialization standard for efficient data  
161    analytics. Additional optimizations include encodings (RLE, Dictionary, Bit packing), and the  
162    compression applied on series of values from the same columns that gives very good compaction  
163    ratios. When storing data in HDFS in Parquet format, the same partitioning strategy was used as in the  
164    Avro case.

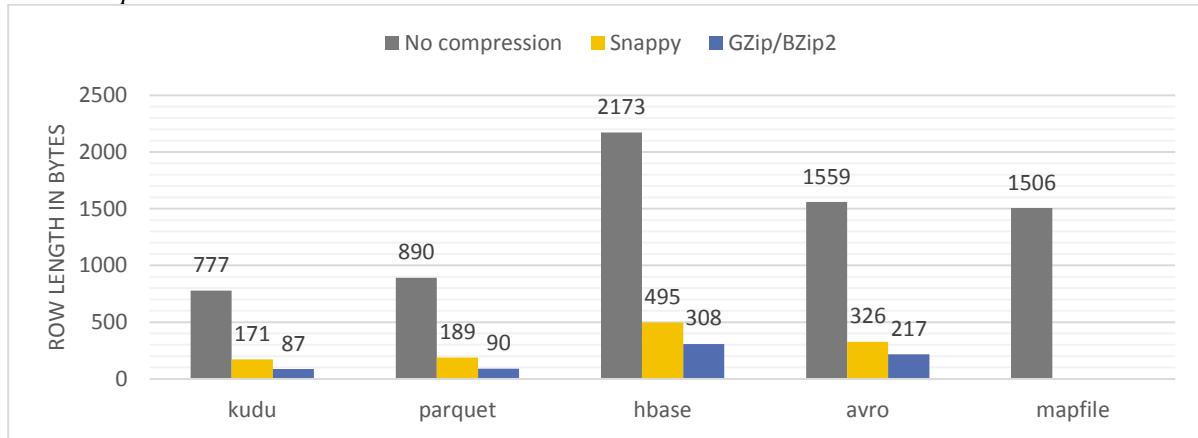
165    3.2.3. *Apache HBase* [12] is a scalable and distributed NoSQL database on HDFS for storing key-  
166    value pairs. Keys are indexed, which typically provides very quick access to the records. When storing  
167    ATLAS EventIndex data into HBase each event attribute was stored in a separate cell, and the row key  
168    was composed as a concatenation of an event identification attributes (*runnumber*, *eventnumber*,  
169    *project*, *streamname*, *datatype* and *version*). Additionally, encoding of the row key was enabled in  
170    order to reduce the size of HBase blocks (without this, each row would have the length of 8KB)

171    3.2.4. *Apache Kudu* [13] is new scalable and distributed table-based storage. Kudu provides  
172    indexing and columnar data organization to achieve a good compromise between ingestion speed and  
173    analytics performance. In the evaluation all literal types were set to be stored with a dictionary  
174    encoding and numeric types with bit shuffle encoding. Additionally, a combination of range and hash  
175    partitioning was introduced, by using the first column (*runnumber*) of the primary key (composed of  
176    the same event attributes like in HBase case) as a partitioning key.

178    **3.3. Measurement results**

179    Despite the effort made to obtain as precise results as possible, they should not be treated as universal  
 180    and fundamental benchmarks of the tested technologies. There are too many variables that could  
 181    influence the tests and make them more case specific, like the chosen test cases, the data model used,  
 182    the hardware specification and configuration, and the software stack used for data processing.

183    **3.3.1. Space utilization**



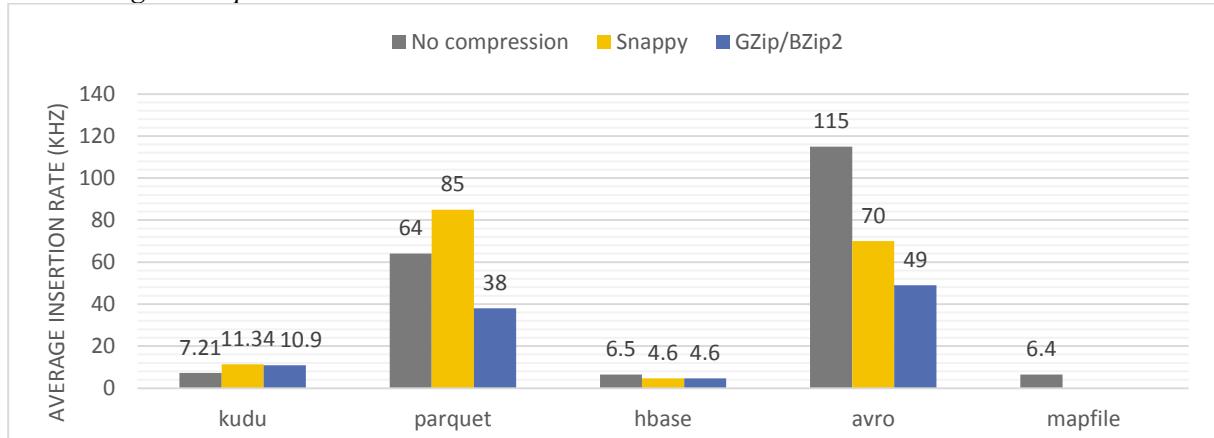
184    *Figure 1: Average row length in bytes for each tested format and compression type.*

185    Measuring the average record size after storing the same data sets (millions of records) using different  
 186    techniques and compression algorithms allows estimating what would be the expected volume of  
 187    production data when migrated to the chosen format and the space savings associated with that.  
 188    According to the measured results (Figure 1), data encoded with Kudu and Parquet delivered the best  
 189    compaction ratios. Using compression algorithms like Snappy or GZip can further reduce the volume  
 190    significantly – by a factor 10 comparing to the original data set encoding with MapFiles.

191    HBase, due to the way it stores the data, is a less space efficient solution. Although compressing the  
 192    HBase blocks gives quite good ratios, however, it is still far away from those obtain with Kudu and  
 193    Parquet.

194    On the other hand, Apache Avro delivers similar results in terms of space occupancy like other  
 195    HDFS row stores e.g. MapFiles.

196    **3.3.2. Ingestion speed**



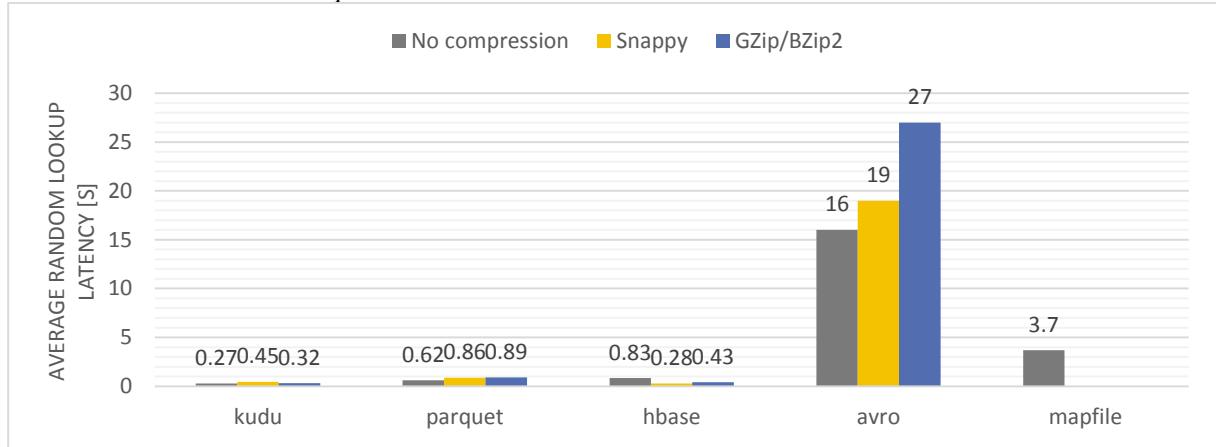
197    *Figure 2: Average ingestion speed in kHz into a single data partition for each tested data format.*

202 Measuring records ingestion speed into a single data partition should reflect the performance of  
 203 writing to the ATLAS EventIndex Core Storage system that can be expected when using different  
 204 storage techniques. The results of this test are presented on Figure 2.

205 In general, it is difficult to make a valid performance comparison between writing data to files and  
 206 writing data to a storage engine. However, because Apache Impala performs writing into a single  
 207 HDFS directory (Hive partition) serially, the results obtained for HDFS formats and HBase or Kudu  
 208 can be directly compared for single data partition ingestion efficiency.

209 Writing to HDFS files encoded with Avro or Parquet delivered much better results (at least by a  
 210 factor 5) than storage engines like HBase and Kudu. Since Avro has the most lightweight encoder, it  
 211 achieved the best ingestion performance. At the other end of the spectrum, HBase in this test was very  
 212 slow (worse than Kudu). This most likely was caused by the length of the row key (6 concatenated  
 213 columns), that in average was around 60 bytes. HBase has to encode a key for each of the columns in a  
 214 row separately, which for long records (with many columns) can be suboptimal.

215 *3.3.3. Random data lookup*



216  
 217  
 218 *Figure 3: Average random record lookup latency [in seconds] per data format.*

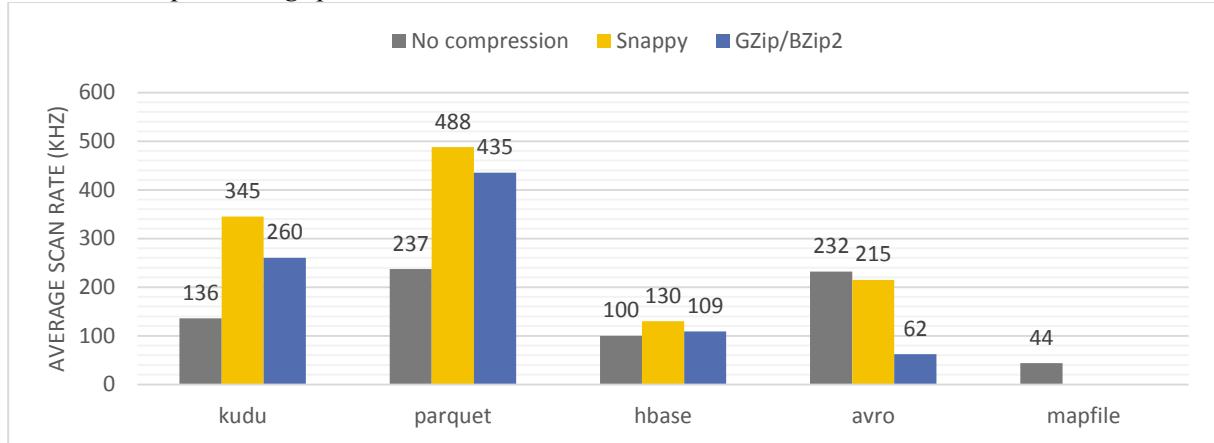
219 Retrieving a non-key attribute from a record by providing a record identifier (a compound key) is the  
 220 main use case of the EventIndex (see 1.1). With respect to that, a list of runnumber-eventnumber pairs  
 221 was used in order to retrieve their corresponding GUID.

222 According to the measured results (Figure 3), when accessing data by a record key, Kudu and  
 223 HBase were the fastest ones, because of the usage of built-in indexing. Values on the plot were  
 224 measured with cold caches. Using Apache Impala for random lookup test is suboptimal for Kudu and  
 225 HBase as a significant amount of time is spent to set up a query (planning, code generation etc.) before  
 226 it really gets executed – typically this takes about 200 ms. Therefore for low latency data access it is  
 227 advised to skip Impala and use dedicated APIs; we tried also this approach and results for Kudu and  
 228 HBase were similar – with cold cache <200 ms and with warmed up cache <80 ms.

229 In opposite to Kudu and HBase, retrieving data from an individual record stored in Avro format  
 230 can only be done in a brute force scan of an entire data partition (reminder – data are partitioned by  
 231 part of a record key, so partition pruning was applied in such case). An average partition is sized in  
 232 GB, thus getting the desired record takes seconds (depending on I/O throughput) and uses a significant  
 233 amount of the cluster resources. This ultimately reduces the number of concurrent queries that can be  
 234 executed at a full speed on a cluster.

235 The same problem applies to Parquet; however, the columnar nature of the format allows  
 236 performing partition scans relatively fast. Thanks to column projection and column predicate push  
 237 down, a scan input set is ultimately reduced from GBs to just a few MBs (effectively only 3 columns  
 238 were scanned out of 56).

### 3.3.4. Data processing speed



240  
241  
242

Figure 4: Average scan speed per CPU core [kHz] for each tested format.

243 The data scanning test was performed as a simplified use case of event skimming or trigger based  
244 selection. The idea was to extract a trigger chain information from all the events and later count only  
245 the ones that met certain condition (substring matching).

246 Due to the input set reduction by applying column projection, Parquet in this test has left behind  
247 Avro (Figure 4). It was not only the most efficient in terms of per-core processing rates but also the  
248 fastest to finish the processing. The unit of data access parallelization in the case of Parquet and Avro  
249 is an HDFS file block – thanks to that it is very easy to evenly distribute processing across all the  
250 resources available on a Hadoop cluster.

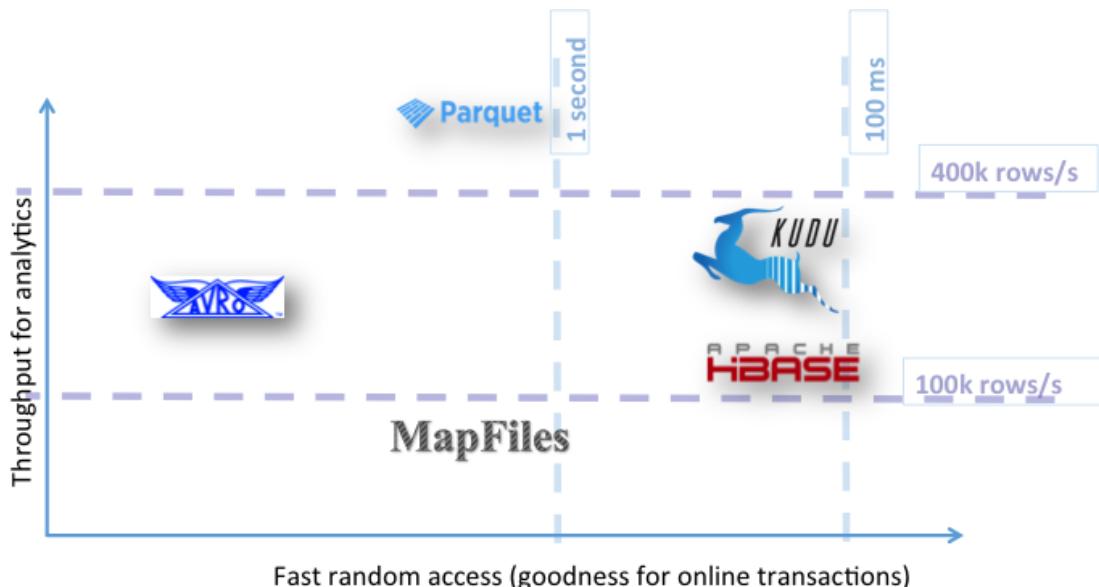
251 In terms of scanning efficiency Kudu (with Snappy compression) was not far from Parquet. It  
252 profited from column projection. Scanning data stored in Kudu and HBase might be imbalanced since  
253 a single table partition is the unit of a scan parallelization in both cases. Therefore the amount of  
254 resource involved in a scan depends on the number of given table partitions, their sizes and their  
255 distribution across a cluster. In this test case, it was not possible to use Kudu's native predicate push  
256 down feature, as Kudu did not support the used predicate. Additional tests proved that Kudu scans  
257 could be faster than Parquet when supported predicates are in use.

258 Before performing the test with HBase the scanned column was separated in a dedicated HBase  
259 column family – this improved the scanning efficiency by factor 5. That was still far away from  
260 Parquet or Kudu.

### 261 3.4. Summary of the evaluation

262 The performed tests of major data storing techniques with the ATLAS EvenIndex workloads delivered  
263 valuable information about the key aspects to be considered when deciding to deploy any of these  
264 techniques:

- 265 • *Storage efficiency* – with Parquet or Kudu and Snappy compression the total volume of the  
266 data can be reduced by a factor 10 comparing to uncompressed simple serialization format.
- 267 • *Data ingestion speed* – all tested file based solutions provide faster ingestion rates (between  
268 x2 and x10) than specialized storage engines or MapFiles (sorted sequence).
- 269 • *Random data access time* – using HBase or Kudu, typical random data lookup speed is below  
270 500ms. With smart HDFS namespace partitioning Parquet could deliver random lookup on a  
271 level of a second but consumes more resources.
- 272 • *Data analytics* – with Parquet or Kudu it is possible to perform fast and scalable (typically  
273 more than 300k records per second per CPU core) data aggregation, filtering and reporting.
- 274 • *Support of in-place data mutation* – HBase and Kudu can modify records (schema and values)  
275 in-place where it is not possible with data stored directly in HDFS files.

277 **Fast random access (goodness for online transactions)**278 *Figure 5: A schematic view of the results of the tests on Hadoop data formats and storage engines. Kudu and*  
279 *Parquet appear as good compromises between random data lookup and scalable data analytics performance.*280 Apache Avro has proven to be a fast universal encoder for structured data. Due to very efficient  
281 serialization and deserialization, this format can guarantee very good performance whenever an access  
282 to all the attributes of a record is required at the same time – data transportation, staging areas etc.283 On the other hand Apache HBase delivers very good random data access performance and the  
284 biggest flexibility in structuring stored data (schema-less tables). The performance of batch processing  
285 of HBase data heavily depends on a chosen data model and typically cannot compete on this field with  
286 the other tested technologies. Therefore any analytics with HBase data should be performed rather  
287 rarely.288 Notably, compression algorithms played a significant role not only in reducing the data volume but  
289 also in enhancing the performance of data ingestion and data access. In all those fields the Snappy  
290 codec delivered the best results for all tested technologies, much better than plain encoding without  
291 compression (except in the case of Avro).292 

#### 4. Hybrid system

293 Alternatively to a single storage technology implementation, a hybrid system could be considered  
294 composed of a raw storage for batch processing (like Parquet) and indexing layer (like HBase) for  
295 random access. This would allow to fully profit from technologies specialization/optimization on  
296 certain access paths and deliver the best performance. Notably, such approach comes at the price of  
297 data duplication, overall complexity of a system architecture and higher maintenance costs.298 At the end of 2015 as a follow-up of the initial evaluation of available storage techniques, an  
299 attempt for building a hybrid system for the ATLAS EventIndex was conducted in two ways:300 

- 301 • Indexing the most relevant data (event identification and references of the event) in a separate  
302 relational system (Oracle) [14]. The assumption here was that this index should be self-  
303 contained and does not keep pointers to the complete event records available on HDFS.
- 304 • Indexing events by event number and run number in HBase database. In this approach the  
305 indexing key resolves to GUID and pointers to the complete records stored on HDFS.

306 So far both systems have proven to deliver very good events picking performance on a level of tens of  
307 milliseconds – an order of magnitude faster than the original approach when using MapFiles solely.  
The only concern when running a hybrid approach in both cases is the system size and internal

308 coherence – robust procedures for handling HDFS raw data sets updates and propagating them to  
309 indexing databases with low latency have to be maintained and monitored.

310 **5. Conclusions**

311 The study of improving the ATLAS EventIndex Core Storage performance has shown a potential for  
312 enhancing the current implementation efficiency in many aspects, like reduction of overall data  
313 volume, simplifying ingestion and increasing the performance of data access. Columnar stores like  
314 Apache Parquet and Apache Kudu appear to be very good candidates for future data storage systems  
315 as they guarantee very good flexibility between fast data ingestion, fast random data lookup and  
316 scalable data analytics by keeping the system simplicity (Figure 5). On this field, Kudu appears to be  
317 more suited for the ATLAS EventIndex use case because of fast event lookup and simplified ingestion  
318 procedures. However, deep evaluation of Apache Kudu disclosed a lack of important functionalities  
319 (like security) and maintenance problems that makes Kudu in the currently available version (1.0.1)  
320 not fully production ready.

321 On the other hand, deployment of additional indexing platforms to improve fast data access (HBase  
322 and Oracle) provided satisfactory results for the main use cases of the ATLAS EventIndex. This came  
323 at a price of extra complexity of the system and extra maintenance effort. However, at the given state  
324 of development of the project, it pays off.

325 In the longer term, there are plans to consolidate the data onto a single platform. With respect to  
326 that, Apache Kudu seems to be the best choice. Therefore further monitoring of the technology  
327 evolution is foreseen.

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